

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bush, John K.	2. Court or Organization 6th Circuit	3. Date of Report 03/01/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address 208 Gene Snyder U.S. Courthouse 601 W. Broadway Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Brandeis School of Law, University of Louisville, teaching income	\$2,040.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Landrum & Shouse LLP
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federalist Society	01/22/19	Washington, DC	Speaker	transportation, food
2.	The George Washington University Law School	01/22/19 - 01/25/19	Washington, DC	Judge of Moot Court	transportation, lodging, food
3.	J. Reuben Clark Law School, BYU	02/05/19 - 02/08/19	Provo, UT	Seminar Attendee	transportation, lodging, food
4.	Federalist Society	02/19/19	Lexington, KY	Speaker	transportation, food
5.	Federalist Society	02/27/19 - 03/01/19	Charlottesville, VA	Speaker	transportation, lodging, food

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6.	Elizabeth Haub School of Law & at Pace University	02/22/19 - 02/23/19	White Plains, NY	Judge of National Environmental Law Moot Court	transportation, lodging, food
7.	Federalist Society	03/28/19 - 03/29/19	Omaha, NE	Speaker	transportation, lodging, food
8.	Federalist Society	03/29/19 - 03/31/19	Cambridge, MA	Speaker	transportation, lodging, food
9.	Federalist Society	04/05/19 - 04/07/19	Cambridge, MA	Speaker	transportation, lodging, food
10.	Federalist Society	04/09/19 - 04/10/19	Chicago, IL	Speaker	transportation, lodging, food
11.	Global Antitrust Institute	05/25/19 - 06/01/19	Lisbon, Portugal	Seminar Attendee	transportation, lodging, food
12.	Federalist Society	08/27/19	Highland Heights, KY	Speaker	transportation, food
13.	Federalist Society	09/04/19 - 09/05/19	Charlottesville, VA	Speaker	transportation, lodging, food
14.	Georgetown University Law Center	09/20/19 - 09/21/19	Washington, DC	Seminar Attendee	transportation, lodging, food
15.	WVU College of Law	09/24/19 - 09/27/19	Morgantown, WV	Speaker	transportation, lodging, food
16.	James Wilson Institute	11/01/19 - 11/03/19	Washington, DC	Seminar Attendee	transportation, lodging, food
17.	Federalist Society	11/14/19 - 11/15/19	Philadelphia, PA	Speaker	transportation, lodging, food
18.	Federalist Society	11/15/19	Washington, DC	Convention Attendee	food

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Fifth Third Bank	Line of Credit	K
2.	Navient	Student Loans	L
3.	University of Louisville	Tuition Agreement	J
4.	Capital One	Credit Card	J
5.	Synchrony Bank	Credit Card	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. --Vanguard Explorer Fund	A	Int./Div.	J	T					
3. --Wellington Fund Investment	A	Int./Div.	K	T					
4. --Vanguard Total Bond Market Index Fund	A	Int./Div.	J	T					
5. --Windsor Fund Amiliral	A	Int./Div.	L	T					
6. IRA #2									
7. --Federated Kaufman Fund	A	Dividend	J	T					
8. IRA #3									
9. --Allergen PLC Stock	A	Dividend	J	T					
10. --Alphabet Stock		None	K	T					
11. --Apple Inc. Stock	A	Dividend	K	T					
12. --Berkshire Hathaway Series B Stock		None	J	T					
13. --CarMax Inc.Stock		None	J	T	Buy	02/01/19	J		
14. --Cisco Systems Inc. Stock	A	Dividend	J	T					
15. --CVS Health Corp. Stock	A	Dividend			Sold	04/02/19	J	A	
16. --Expeditors Int'l Washington Stock	A	Dividend	J	T					
17. --Fastenal Co. Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. --Harley Davidson Stock	A	Dividend	J	T					
19. --Home Depot Stock	A	Dividend	K	T					
20. --IBM Stock	A	Dividend			Sold	03/29/19	J	A	
21. --Johnson & Johnson Stock	A	Dividend	J	T					
22. --JP Morgan Chase & Co. Stock	A	Dividend	K	T	Buy	03/29/19	J	A	
23. --Microsoft Corp. Stock	A	Dividend	K	T					
24. --Northern Trust Corp. Stock	A	Dividend	J	T					
25. --Omnicom Group Stock	A	Dividend	J	T					
26. --O'Reilly Automotive Inc. Stock		None	J	T	Sold (part)	01/30/19	J	A	
27. --Pfizer Int'l Stock	A	Dividend	J	T	Sold (part)	06/04/19	K	A	
28. --Progressive Corp OH Stock	A	Dividend	J	T	Sold (part)	06/04/19	J	A	
29. --TE Connectivity Ltd. Stock	A	Dividend	J	T					
30. --TJX Cos. Inc. Stock	A	Dividend	J	T					
31. --Union Pacific Corp. Stock	A	Dividend	J	T					
32. --US Bancorp DE Stock	A	Dividend	J	T					
33. --Walt Disney Co. Stock	A	Dividend	K	T					
34. --Wells Fargo & Co, Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. --Alphabet Inc. Sr. Unsecured Bonds	A	Interest	K	T					
36. --Apple, Inc. Sr. Unsecured Bonds	A	Interest	K	T					
37. --AT&T Inc. Sr. Unsecured Bonds		None			Sold	01/02/19	J	A	
38. --Berkshire Hathaway Inc. Sr. Unsecured Bonds	A	Interest	K	T					
39. --CVS Caremark Corp. Sr. Unsecured Callable Bonds		None			Sold	01/02/19	J	A	
40. --Federal Home Loan Bank Callable Bonds		None			Sold (part)	04/02/19	J	A	
41. --Federal Home Loan Bank Callable Bonds	A	Interest			Sold (part)	09/27/19	K	A	
42. --Home Depot Inc. Sr. Unsecured Callable Bonds	A	Interest	K	T					
43. --John Deere Capital Corp. Medium Term Note Bonds		None			Sold	02/27/19	J	A	
44. --JPMorgan Chase & Co. Unsecured Callable Bonds	A	Interest	K	T					
45. --Microsoft Corp. Senior Note Bonds	A	Interest	K	T					
46. --Northern Trust Corp. Senior Unsecured Bonds	A	Interest	K	T					
47. --O'Reilly Automotive Inc. Sr. Unsecured Note Bonds	A	Interest	K	T					
48. --Pfizer Inc. Sr. Unsecured Bonds	A	Interest	K	T					
49. --TJX Cos. Inc. Sr. Unsecured Callable Bonds	A	Interest	K	T					
50. --Union Pacific Corp. Sr. Unsecured Bonds		None			Sold	01/02/19	J	A	
51. --US Bancorp Medium Term Note Bonds	A	Interest	J	T	Sold (part)	06/04/19	K	A	

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52. --Artison Int'l Fund	A	Int./Div.	K	T	Sold (part)	02/27/19	J	A	
53. --Janus Henderson Funds Small Cap Value Fund	A	Int./Div.	K	T	Sold (part)	02/27/19	J	A	
54. --Oakmark Int'l Fund Advisor	A	Int./Div.	K	T	Sold (part)	02/27/19	J	A	
55. --Virtus Vontobel Emerging Mkts	A	Int./Div.	L	T	Sold (part)	02/27/19	J	A	
56. Massachusetts Mutual (whole life)	A	Dividend	J	T					
57. Northwestern Mutual (whole life)	A	Dividend	J	T					
58. Fifth Third Bank	A	Interest	J	T					
59. Commonwealth Bank & Trust	A	Interest	J	T					
60. PNC Bank	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John K. Bush**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544