

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) WEST, KIMBERLY E.	2. Court or Organization EASTERN DISTRICT OF OKLAHOMA	3. Date of Report 02/02/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE JUDGE, FT	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address P.O. BOX 2999 MUSKOGEE, OK 74402-2999		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

WEST, KIMBERLY E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
WEST, KIMBERLY E.	02/02/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BANCFIRST - CHECKING ACCOUNT	A	Interest	N	T					
2. ONEOK - SAVINGS ACCOUNT	A	Interest	J	T					
3. CITIZENS BANK OF ADA - CHECKING ACCOUNT	A	Dividend	K	T					
4. BLACKROCK GLOBAL ALLOCATION FD-INSTL (MALOX)	A	Dividend	K	T	Sold (part)	08/07/19	J		
5. EATON VANCE ATLANTA CAP SMID CAP FD I (EISMX)	A	Dividend	J	T					
6. OAKMARK INTL INVESTOR CL (OAKIX)	A	Dividend	J	T					
7. OAKMARK GLOBAL SELECT FD INVESTOR (OAKWX)	A	Dividend	J	T					
8. CATALYST MILLBURN HEDGE STRTGY FD CL I (MCXIX)	A	Dividend	J	T					
9. PRIMECAP ODYSSEY STOCK FUN (POSKX)	A	Dividend	K	T					
10. BOSTON PARTNERS LONG SHORT EQ INSTL (BPLSX)		None	J	T					
11. VIRTUS VONTOBEL EMERG MKTS OPPS I (HIEMX)	A	Dividend	J	T					
12. INVESCO MULTI-ASSET INCOME FUND CL Y (PIYFX)	A	Dividend	J	T					
13. LOOMIS SAYLES STRATEGIC INC Y (NEZYX)	A	Dividend	J	T					
14. INVESCO EXCHNG TRADED FD TR II S&P (XSLV)	A	Dividend	J	T					
15. GREG SAUNDERS - BLACKROCK GLOBAL ALLOCATION FD-INSTL (MALOX)	A	Dividend	J	T					
16. GREG SAUNDERS - CATALYST MILLBURN HEDGE STRTGY FD CL I (MBXIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. (GREG SAUNDERS, SPOUSE) BOSTON PARTNERS LONG SHORT EQ INSTL (BPLSX)		None	J	T					
18. (GREG SAUNDERS) BLACKROCK STRATEGIC INCOME OPPRT SI INSTL (BSIIX)	A	Dividend	J	T					
19. (GREG SAUNDERS) EATON VANCE FLOATING RATE ADVANTAGE CL I (EIFAX)	A	Dividend	J	T					
20. (GREG SAUNDERS) TRANSAMERICA SHORT-TERM BOND CL I (TSTIX)	A	Dividend	J	T					
21. (GREG SAUNDERS) VIRTUS NEWFLEET MLT SECTOR SH TERM BD I (PIMSX)	A	Dividend	J	T					
22.									
23.									
24.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **KIMBERLY E. WEST**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544