

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duncan, Stuart K.	2. Court or Organization U.S. Court of Appeals for the Fifth Circuit	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III judge active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 777 Florida Street Suite 369 Baton Rouge, LA 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Duncan, Stuart K.

Date of Report

08/13/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Regent University School of Law	January 16-17, 2020	Virginia Beach, VA	Moot Court Competition	Transportation, meals, lodging
2. Federalist Society	March 19-20, 2019	Birmingham, AL	Alabama Chapter	Transportation, meals, lodging
3. Federalist Society	April 10-11, 2019	San Francisco, CA	Sa Francisco Chapter	Transportation, meals, lodging
4. Federalist Society	May 27-31, 2019	Phoenix, AZ	Federalist-Antifederalist Seminar	Transportation, meals, lodging
5. The Whitherspoon Institute	July 21-27, 2019	Princeton, NJ	Moral Foundation of Law Seminar	Tramspotation, meals

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Name of Person Reporting Duncan, Stuart K.	Date of Report 08/13/2020
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6.	Federalist Society	November 14-16, 2019	Washington, D.C.	National Lawyers Convention	Transportation, meals, lodging
7.					

Name of Person Reporting	Date of Report
Duncan, Stuart K.	08/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. QUAYX	B	Dividend	J	T	Buy	12/12/19	J		
2. QUAYX	B	Dividend	J	T	Buy	11/26/19	J		
3. QUAYX	B	Dividend	J	T	Buy	10/22/19	J		
4. QUAYX	B	Dividend	J	T	Buy	10/02/19	J		
5. QUAYX	B	Dividend	J	T	Buy	09/24/19	J		
6. QUAYX	B	Dividend	J	T	Buy	08/23/19	J		
7. QUAYX	B	Dividend	J	T	Buy	08/14/19	J		
8. ASPZX	A	Dividend	K	T	Buy	12/12/19	J		
9. ASPZX	A	Dividend	K	T	Buy	11/26/19	J		
10. ASPZX	A	Dividend	K	T	Buy	10/22/19	J		
11. ASPZX	A	Dividend	K	T	Buy	10/02/19	J		
12. ASPZX	A	Dividend	K	T	Buy	09/24/19	J		
13. ASPZX	A	Dividend	K	T	Buy	08/23/19	J		
14. ASPZX	A	Dividend	K	T	Buy	08/14/19	J		
15. BFGIX	A	Dividend	J	T	Buy	12/12/19	J		
16. BFGIX	A	Dividend	J	T	Buy	11/26/19	J		
17. BFGIX	A	Dividend	J	T	Buy	10/22/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. BFGIX	A	Dividend	J	T	Buy	10/02/19	J		
19. BFGIX	A	Dividend	J	T	Buy	09/24/19	J		
20. BFGIX	A	Dividend	J	T	Buy	08/23/19	J		
21. BFGIX	A	Dividend	J	T	Buy	08/14/19	J		
22. QLEIX	A	Dividend	J	T	Buy	12/12/19	J		
23. QLEIX	A	Dividend	J	T	Buy	11/26/19	J		
24. QLEIX	A	Dividend	J	T	Buy	10/22/19	J		
25. QLEIX	A	Dividend	J	T	Buy	10/02/19	J		
26. QLEIX	A	Dividend	J	T	Buy	09/24/19	J		
27. QLEIX	A	Dividend	J	T	Buy	08/23/19	J		
28. QLEIX	A	Dividend	J	T	Buy	08/14/19	J		
29. QSPIX	A	Dividend	J	T	Buy	12/12/19	J		
30. QSPIX	A	Dividend	J	T	Buy	11/26/19	J		
31. QSPIX	A	Dividend	J	T	Buy	10/22/19	J		
32. QSPIX	A	Dividend	J	T	Buy	10/02/19	J		
33. QSPIX	A	Dividend	J	T	Buy	09/24/19	J		
34. QSPIX	A	Dividend	J	T	Buy	08/23/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. QSPIX	A	Dividend	J	T	Buy	08/14/19	J		
36. BHYIX	A	Dividend	J	T	Buy	12/12/19	J		
37. BHYIX	A	Dividend	J	T	Buy	11/26/19	J		
38. BHYIX	A	Dividend	J	T	Buy	10/22/19	J		
39. BHYIX	A	Dividend	J	T	Buy	10/02/19	J		
40. BHYIX	A	Dividend	J	T	Buy	09/24/19	J		
41. BHYIX	A	Dividend	J	T	Buy	08/23/19	J		
42. BHYIX	A	Dividend	J	T	Buy	07/14/19	J		
43. CSDIX	A	Dividend	J	T	Buy	12/12/19	J		
44. CSDIX	A	Dividend	J	T	Buy	11/26/19	J		
45. CSDIX	A	Dividend	J	T	Buy	10/22/19	J		
46. CSDIX	A	Dividend	J	T	Buy	10/02/19	J		
47. CSDIX	A	Dividend	J	T	Buy	09/24/19	J		
48. CSDIX	A	Dividend	J	T	Buy	08/23/19	J		
49. CSDIX	A	Dividend	J	T	Buy	08/14/19	J		
50. DBLTX	A	Dividend	J	T	Buy	12/12/19	J		
51. DBLTX	A	Dividend	J	T	Buy	11/26/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. DBLTX	A	Dividend	J	T	Buy	10/22/19	J		
53. DBLTX	A	Dividend	J	T	Buy	10/02/19	J		
54. DBLTX	A	Dividend	J	T	Buy	09/24/19	J		
55. DBLTX	A	Dividend	J	T	Buy	08/23/19	J		
56. DBLTX	A	Dividend	J	T	Buy	08/14/19	J		
57. KLCIX	B	Dividend	K	T	Buy	12/12/19	J		
58. KLCIX	B	Dividend	K	T	Buy	11/26/19	J		
59. KLCIX	B	Dividend	K	T	Buy	10/22/19	J		
60. KLCIX	B	Dividend	K	T	Buy	10/02/19	J		
61. KLCIX	B	Dividend	K	T	Buy	09/24/19	J		
62. KLCIX	B	Dividend	K	T	Buy	08/23/19	J		
63. KLCIX	B	Dividend	K	T	Buy	08/14/19	J		
64. FMPOX	B	Dividend	K	T	Buy	08/14/19	J		
65. FMPOX	B	Dividend			Sold	08/20/19	J	A	
66. FTHSX	A	Dividend	J	T	Buy	12/12/19	J		
67. FTHSX	A	Dividend	J	T	Buy	11/26/19	J		
68. FTHSX	A	Dividend	J	T	Buy	10/22/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Duncan, Stuart K.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. FTHSX	A	Dividend	J	T	Buy	10/02/19	J		
70. FTHSX	A	Dividend	J	T	Buy	09/24/19	J		
71. FTHSX	A	Dividend	J	T	Buy	08/23/19	J		
72. FTHSX	A	Dividend	J	T	Buy	08/14/19	J		
73. IVV	A	Dividend	K	T	Buy	12/12/19	J		
74. IVV	A	Dividend	K	T	Buy	11/26/19	J		
75. IVV	A	Dividend	K	T	Buy	10/22/19	J		
76. IVV	A	Dividend	K	T	Buy	10/02/19	J		
77. IVV	A	Dividend	K	T	Buy	09/24/19	J		
78. IVV	A	Dividend	K	T	Buy	08/23/19	J		
79. IVV	A	Dividend	K	T	Buy	08/14/19	J		
80. AGG	A	Dividend	K	T	Buy	12/12/19	J		
81. AGG	A	Dividend	K	T	Buy	11/26/19	J		
82. AGG	A	Dividend	K	T	Buy	10/22/19	J		
83. AGG	A	Dividend	K	T	Buy	10/02/19	J		
84. AGG	A	Dividend	K	T	Buy	09/24/19	J		
85. AGG	A	Dividend	K	T	Buy	08/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Duncan, Stuart K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. AGG	A	Dividend	K	T	Buy	08/14/19	J		
87. ACWI	A	Dividend	J	T	Buy	12/12/19	J		
88. ACWI	A	Dividend	J	T	Buy	11/26/19	J		
89. ACWI	A	Dividend	J	T	Buy	10/22/19	J		
90. ACWI	A	Dividend	J	T	Buy	10/02/19	J		
91. ACWI	A	Dividend	J	T	Buy	09/24/19	J		
92. ACWI	A	Dividend	J	T	Buy	08/23/19	J		
93. ACWI	A	Dividend	J	T	Buy	08/14/19	J		
94. IPOIX	A	Dividend	K	T	Buy	12/12/19	J		
95. IPOIX	A	Dividend	K	T	Buy	11/26/19	J		
96. IPOIX	A	Dividend	K	T	Buy	10/22/19	J		
97. IPOIX	A	Dividend	K	T	Buy	10/02/19	J		
98. IPOIX	A	Dividend	K	T	Buy	09/24/19	J		
99. IPOIX	A	Dividend	K	T	Buy	08/23/19	J		
100. IPOIX	A	Dividend	K	T	Buy	08/14/19	J		
101. JHBIX	A	Dividend	J	T	Buy	12/12/19	J		
102. JHBIX	A	Dividend	J	T	Buy	11/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. JHBIX	A	Dividend	J	T	Buy	10/22/19	J		
104. JHBIX	A	Dividend	J	T	Buy	10/02/19	J		
105. JHBIX	A	Dividend	J	T	Buy	09/24/19	J		
106. JHBIX	A	Dividend	J	T	Buy	08/23/19	J		
107. JHBIX	A	Dividend	J	T	Buy	08/14/19	J		
108. GOGIX	A	Dividend	K	T	Buy	12/12/19	J		
109. GOGIX	A	Dividend	K	T	Buy	11/26/19	J		
110. GOGIX	A	Dividend	K	T	Buy	10/22/19	J		
111. GOGIX	A	Dividend	K	T	Buy	10/02/19	J		
112. GOGIX	A	Dividend	K	T	Buy	09/24/19	J		
113. GOGIX	A	Dividend	K	T	Buy	08/23/19	J		
114. GOGIX	A	Dividend	K	T	Buy	08/14/19	J		
115. IVMIX	A	Dividend	J	T	Buy	12/12/19	J		
116. JVMIX	A	Dividend	J	T	Buy	11/26/19	J		
117. JVMIX	A	Dividend	J	T	Buy	10/22/19	J		
118. JVMIX	A	Dividend	J	T	Buy	10/02/19	J		
119. JVMIX	A	Dividend	J	T	Buy	09/24/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. JVMIX	A	Dividend	J	T	Buy	08/23/19	J		
121. JVMIX	A	Dividend	J	T	Buy	08/14/19	J		
122. LHYFX	A	Dividend	J	T	Buy	12/12/19	J		
123. LHYFX	A	Dividend	J	T	Buy	11/26/19	J		
124. LHYFX	A	Dividend	J	T	Buy	10/22/19	J		
125. LHYFX	A	Dividend	J	T	Buy	10/02/19	J		
126. LHYFX	A	Dividend	J	T	Buy	09/24/19	J		
127. LHYFX	A	Dividend	J	T	Buy	08/23/19	J		
128. LHYFX	A	Dividend	J	T	Buy	08/14/19	J		
129. LDLFX	A	Dividend	J	T	Buy	12/12/19	J		
130. LDLFX	A	Dividend	J	T	Buy	11/26/19	J		
131. LDLFX	A	Dividend	J	T	Buy	10/22/19	J		
132. LDLFX	A	Dividend	J	T	Buy	10/02/19	J		
133. LDLFX	A	Dividend	J	T	Buy	09/24/19	J		
134. LDLFX	A	Dividend	J	T	Buy	08/23/19	J		
135. LDLFX	A	Dividend	J	T	Buy	08/14/19	J		
136. MWTIX	A	Dividend	J	T	Buy	12/12/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. MWTIX	A	Dividend	J	T	Buy	11/26/19	J		
138. MWTIX	A	Dividend	J	T	Buy	10/22/19	J		
139. MWTIX	A	Dividend	J	T	Buy	10/02/19	J		
140. MWTIX	A	Dividend	J	T	Buy	09/24/19	J		
141. MWTIX	A	Dividend	J	T	Buy	08/23/19	J		
142. MWTIX	A	Dividend	J	T	Buy	08/14/19	J		
143. MWCIX	A	Dividend	J	T	Buy	12/12/19	J		
144. MWCIX	A	Dividend	J	T	Buy	11/26/19	J		
145. MWCIX	A	Dividend	J	T	Buy	10/22/19	J		
146. MWCIX	A	Dividend	J	T	Buy	10/02/19	J		
147. MWCIX	A	Dividend	J	T	Buy	09/24/19	J		
148. MWCIX	A	Dividend	J	T	Buy	08/23/19	J		
149. MWCIX	A	Dividend	J	T	Buy	08/14/19	J		
150. MDIIX	A	Dividend	K	T	Buy	12/12/19	J		
151. MDIIX	A	Dividend	K	T	Buy	11/26/19	J		
152. MDIIX	A	Dividend	K	T	Buy	10/22/19	J		
153. MDIIX	A	Dividend	K	T	Buy	10/02/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Duncan, Stuart K.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. MDIIX	A	Dividend	K	T	Buy	09/24/19	J		
155. MDIIX	A	Dividend	K	T	Buy	08/23/19	J		
156. MDIIX	A	Dividend	K	T	Buy	01/14/19	J		
157. MCVIX	A	Dividend	J	T	Buy	12/12/19	J		
158. MCVIX	A	Dividend	J	T	Buy	11/26/19	J		
159. MCVIX	A	Dividend	J	T	Buy	10/22/19	J		
160. MCVIX	A	Dividend	J	T	Buy	10/02/19	J		
161. MCVIX	A	Dividend	J	T	Buy	09/24/19	J		
162. MCVIX	A	Dividend	J	T	Buy	08/23/19	J		
163. MCVIX	A	Dividend	J	T	Buy	08/14/19	J		
164. NDVIX	A	Dividend	J	T	Buy	12/12/19	J		
165. NDVIX	A	Dividend	J	T	Buy	11/26/19	J		
166. NDVIX	A	Dividend	J	T	Buy	10/22/19	J		
167. NDVIX	A	Dividend	J	T	Buy	10/02/19	J		
168. NDVIX	A	Dividend	J	T	Buy	09/24/19	J		
169. NDVIX	A	Dividend	J	T	Buy	08/23/19	J		
170. NDVIX	A	Dividend	J	T	Buy	08/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. MEIIX	B	Dividend	K	T	Buy	12/12/19	J		
172. MEIIX	B	Dividend	K	T	Buy	11/26/19	J		
173. MEIIX	B	Dividend	K	T	Buy	10/22/19	J		
174. MEIIX	B	Dividend	K	T	Buy	10/02/19	J		
175. MEIIX	B	Dividend	K	T	Buy	09/24/19	J		
176. MEIIX	B	Dividend	K	T	Buy	08/23/19	J		
177. MEIIX	B	Dividend	K	T	Buy	08/14/19	J		
178. BDPS	A	Dividend	J	T					
179. MVRXX	B	Dividend	J	T	Sold (part)	12/12/19	J	A	
180. MVRXX	B	Dividend	J	T	Sold (part)	11/26/19	J	A	
181. MVRXX	B	Dividend	J	T	Sold (part)	10/21/19	J	A	
182. MVRXX	B	Dividend	J	T	Sold (part)	10/02/19	J	A	
183. MVRXX	B	Dividend	J	T	Buy	08/22/19	J		
184. PONPX	A	Dividend	K	T	Buy	12/12/19	J		
185. PONPX	A	Dividend	K	T	Buy	11/26/19	J		
186. PONPX	A	Dividend	K	T	Buy	10/22/19	J		
187. PONPX	A	Dividend	K	T	Buy	10/02/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. PONPX	A	Dividend	K	T	Buy	09/24/19	J		
189. PONPX	A	Dividend	K	T	Buy	08/23/19	J		
190. PONPX	A	Dividend	K	T	Buy	08/14/19	J		
191. PFUPX	A	Dividend	J	T	Buy	12/12/19	J		
192. PFUPX	A	Dividend	J	T	Buy	11/26/19	J		
193. PFUPX	A	Dividend	J	T	Buy	10/22/19	J		
194. PFUPX	A	Dividend	J	T	Buy	10/02/19	J		
195. PFUPX	A	Dividend	J	T	Buy	09/24/19	J		
196. PFUPX	A	Dividend	J	T	Buy	08/23/19	J		
197. PFUPX	A	Dividend	J	T	Buy	08/14/19	J		
198. PFBPX	A	Dividend	J	T	Buy	12/12/19	J		
199. PFBPX	A	Dividend	J	T	Buy	11/26/19	J		
200. PFBPX	A	Dividend	J	T	Buy	10/22/19	J		
201. PFBPX	A	Dividend	J	T	Buy	10/02/19	J		
202. PFBPX	A	Dividend	J	T	Buy	09/24/19	J		
203. PFBPX	A	Dividend	J	T	Buy	08/23/19	J		
204. PFBPX	A	Dividend	J	T	Buy	08/14/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. POSIX	A	Dividend	J	T	Buy	12/12/19	J		
206. POSIX	A	Dividend	J	T	Buy	11/26/19	J		
207. POSIX	A	Dividend	J	T	Buy	10/22/19	J		
208. POSIX	A	Dividend	J	T	Buy	10/02/19	J		
209. POSIX	A	Dividend	J	T	Buy	09/24/19	J		
210. POSIX	A	Dividend	J	T	Buy	08/23/19	J		
211. POSIX	A	Dividend	J	T	Buy	08/14/19	J		
212. PCBIX	A	Dividend	J	T	Buy	12/12/19	J		
213. PCBIX	A	Dividend	J	T	Buy	11/26/19	J		
214. PCBIX	A	Dividend	J	T	Buy	10/22/19	J		
215. PCBIX	A	Dividend	J	T	Buy	10/02/19	J		
216. PCBIX	A	Dividend	J	T	Buy	09/24/19	J		
217. PCBIX	A	Dividend	J	T	Buy	08/23/19	J		
218. PCBIX	A	Dividend	J	T	Buy	08/14/19	J		
219. PRDSX	A	Dividend	J	T	Buy	12/12/19	J		
220. PRDSX	A	Dividend	J	T	Buy	11/26/19	J		
221. PRDSX	A	Dividend	J	T	Buy	10/22/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. PRDSX	A	Dividend	J	T	Buy	10/02/19	J		
223. PRDSX	A	Dividend	J	T	Buy	09/24/19	J		
224. PRDSX	A	Dividend	J	T	Buy	08/23/19	J		
225. PRDSX	A	Dividend	J	T	Buy	08/14/19	J		
226. TRVLX	A	Dividend	K	T	Buy	12/12/19	J		
227. TRVLX	A	Dividend	K	T	Buy	11/26/19	J		
228. TRVLX	A	Dividend	K	T	Buy	10/22/19	J		
229. TRVLX	A	Dividend	K	T	Buy	10/02/19	J		
230. TRVLX	A	Dividend	K	T	Buy	09/24/19	J		
231. TRVLX	A	Dividend	K	T	Buy	08/23/19	J		
232. TRVLX	A	Dividend	K	T	Buy	08/14/19	J		
233. TGEIX	A	Dividend	J	T	Buy	12/12/19	J		
234. TGEIX	A	Dividend	J	T	Buy	11/26/19	J		
235. TGEIX	A	Dividend	J	T	Buy	10/22/19	J		
236. TGEIX	A	Dividend	J	T	Buy	10/02/19	J		
237. TGEIX	A	Dividend	J	T	Buy	09/24/19	J		
238. TGEIX	A	Dividend	J	T	Buy	08/23/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. TGEIX	A	Dividend	J	T	Buy	08/14/19	J		
240. BND	A	Dividend	J	T	Buy	12/12/19	J		
241. BND	A	Dividend	J	T	Buy	11/26/19	J		
242. BND	A	Dividend	J	T	Buy	10/22/19	J		
243. BND	A	Dividend	J	T	Buy	10/02/19	J		
244. BND	A	Dividend	J	T	Buy	09/24/19	J		
245. BND	A	Dividend	J	T	Buy	08/23/19	J		
246. BND	A	Dividend	J	T	Buy	08/14/19	J		
247. QUAYX	A	Dividend	J	T	Sold (part)	02/08/19	J	A	
248. QUAYX	A	Dividend	J	T	Sold (part)	01/17/19	J	A	
249. ASPZX	A	Dividend	J	T	Sold (part)	02/08/19	J	A	
250. ASPZX	A	Dividend	J	T	Sold (part)	01/17/19	J	A	
251. QLEIX	A	Dividend	J	T	Sold (part)	06/28/19	J	A	
252. QLEIX	A	Dividend	J	T	Sold (part)	04/10/19	J	A	
253. QLEIX	A	Dividend	K	T	Buy	01/17/19	J		
254. QSPIX	A	Dividend	K	T	Sold (part)	06/28/19	J	A	
255. QSPIX	A	Dividend	K	T	Sold (part)	04/10/19	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Duncan, Stuart K.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. QSPIX	A	Dividend	J	T	Buy	01/17/19	J		
257. CSDIX	A	Dividend			Sold (part)	01/17/19	J	A	
258. CSDIX	A	Dividend	J	T	Buy	01/08/19	J		
259. KLCIX	B	Dividend	J	T	Sold (part)	02/08/19	J	A	
260. KLCIX	B	Dividend	J	T	Sold (part)	01/17/19	J	A	
261. FMPOX	B	Dividend			Sold	08/20/19	J	A	
262. FMPOX	B	Dividend			Sold (part)	02/08/19	J	A	
263. FMPOX	B	Dividend	K	T	Buy	01/17/19	J		
264. FTHSX	A	Dividend	J	T	Sold (part)	02/08/19	J	A	
265. FTHSX	A	Dividend	J	T	Buy	01/17/19	J		
266. SHY	A	Dividend	J	T	Buy	02/08/19	J		
267. IVV	A	Dividend	J	T	Buy	06/28/19	J		
268. IVV	A	Dividend	J	T	Buy	04/10/19	J		
269. AGG	A	Dividend	J	T	Buy	06/28/19	J		
270. AGG	A	Dividend	J	T	Buy	04/10/19	J		
271. ACWI	A	Dividend	J	T	Buy	04/10/19	J		
272. ACWI	A	Dividend	J	T	Buy	06/28/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Duncan, Stuart K.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. IWN	A	Dividend	J	T	Sold (part)	02/07/19	J	A	
274. IWN	A	Dividend	J	T	Buy	01/17/19	J		
275. IPOIX	A	Dividend	J	T	Buy	01/17/19	J		
276. GOGIX	A	Dividend	J	T	Sold (part)	02/08/19	J	A	
277. GOGIX	A	Dividend	J	T	Buy	01/17/19	J		
278. JVMIX	A	Dividend	J	T	Buy	08/20/19	J		
279. UBV SX	A	Dividend			Sold	01/17/19	J	A	
280. MINIX	A	Dividend	J	T	Buy	01/17/19	J		
281. MINIX	A	Dividend	J	T	Sold (part)	02/08/19	J	A	
282. MCVIX	A	Dividend	J	T	Sold (part)	02/08/19	J	A	
283. MCVIX	A	Dividend	J	T	Sold (part)	01/17/19	J	A	
284. NDVIX	A	Dividend	J	T	Buy	02/07/19	J		
285. MEIIX	A	Dividend	K	T	Sold (part)	02/08/19	J	A	
286. MEIIX	A	Dividend	K	T	Sold (part)	01/17/19	J	A	
287. BDPS	A	Dividend	J	T					
288. POSIX	A	Dividend	J	T	Sold (part)	01/17/19	J	A	
289. PCBIX	A	Dividend	J	T	Sold (part)	02/08/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. PCBIX	A	Dividend	J	T	Sold (part)	01/17/19	J	A	
291. PRDSX	A	Dividend	J	T	Redeemed (part)	02/08/19	J	A	
292. PRDSX	A	Dividend	J	T	Sold (part)	01/17/19	J	A	
293. TRVLX	A	Dividend	K	T					
294. PAVLX	A	Dividend	K	T	Sold (part)	01/17/19	J	A	
295. PAVLX	A	Dividend	K	T	Sold (part)	02/08/19	J	A	
296. BLRYX	A	Dividend			Sold	01/08/19	J	A	
297. VTWV	A	Dividend			Sold	01/17/19	J	A	
298. BSV	A	Dividend	J	T	Buy	02/08/19	J		
299. BND	A	Dividend	J	T	Buy	04/10/19	J		
300. BND	A	Dividend	J	T	Buy	06/28/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Duncan, Stuart K.

Date of Report

08/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Stuart K. Duncan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544