

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cleary, Paul J.	2. Court or Organization NDOK	3. Date of Report 05/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) PT Magistrate Judge -- Recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address US District Court 333 West Fourth St. Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Cleary, Paul J.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America stock	A	Dividend	L	T	Sold (part)	07/11/19	K	A	
2. EMC Corp. stock	A	Dividend	K	T					
3. John Hancock Regional Bank Fund FRBAX	A	Dividend	K	T	Sold (part)	07/11/19	K	A	
4. Keycorp	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
5. JP Morgan Chase stock	A	Dividend	N	T	Sold (part)	07/11/19	M	A	
6. Halliburton stock	A	Dividend	J	T	Sold (part)	07/11/19	K	A	
7. Hewlitt Packard stock	A	Dividend	K	T	Sold (part)	07/11/19	J	A	
8. DXC Technology Inc.	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
9. AT&T Inc. (X)	A	Dividend	K	T	Sold (part)	07/11/19	J	A	
10. BONY Mellon	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
11. Motorola Solutions (X)	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
12. Dell Technologies (X)	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
13. Walt Disney Co. (X)	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
14. General Elec. (X)	A	Dividend	K	T	Sold (part)	07/11/19	K	A	
15. Halliburton (X)	A	Dividend	L	T	Sold (part)	07/11/19	K	A	
16. Hewlett Packard Enterprise (X)	A	Dividend	K	T	Sold (part)	07/11/19	J	A	
17. Morgan Stanley (X)	A	Dividend	K	T	Sold (part)	07/11/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Micro Focus Intl (X)	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
19. Perspecta Inc. (X)	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
20. Pfizer Inc. (X)	A	Dividend	L	T	Sold (part)	07/11/19	K	A	
21. AIM Growth CNSDX	A	Dividend	J	T					
22. Dell Technologies DVMT	C	Dividend	J	T					
23. Ameriprise	D	Dividend	N	T					
24. MFS Intl MINIX	A	Dividend	L	T					
25. Mainstay Cushing CSZHX	A	Dividend	K	T	Buy (add'l)	02/08/19	J		
26. Oppenheimer Dev Mrkt ODMAX/ODMYX	A	Dividend	K	T					
27. Neuberger & Berman Eq Assets Genesis NGBIX	A	Dividend	J	T					
28. Wells Fargo SGRNX	A	Dividend	K	T	Sold (part)	10/04/19	J	A	
29. Blkrk Glb MALOX	A	Dividend	K	T	Buy (add'l)	01/22/19	J		
30. Columbia Strat LSIZX	A	Dividend	J	T					
31. Calamos CMNIX	A	Dividend	J	T					
32. Fed MDT QISCX	A	Dividend	J	T					
33. MFS Intl MDUX	A	Dividend	J	T					
34. Principal Tax Exempt PITEX	A	Dividend	J	T	Buy	07/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Calamos CMNIX	A	Dividend	J	T					
36. W P Carey Inc. (formerly, Corp Prop Assoc 17 REIT)	A	Dividend			Sold	02/05/19	K	B	
37. OK Svgs 529 Eq Opp (DAC) mut fund	A	Dividend	J	T					
38. OK Svgs 529 Managed Allocation (DAC) mut fund	A	Dividend	J	T					
39. OK Svgs 529 Bal Op (DAC)	B	Dividend	J	T					
40. Bank of America cash acct	A	Interest	J	T					
41. BH Media 401(k) [Fidelity], IRA 1 (H)									
42. -- SPTN 500 Index Inst (FID500 Index)					Sold	12/23/19	L	E	
43. -- TRP Eq. Inc					Sold	12/23/19	K	D	
44. -- TRP Blue Chp Gwth					Sold	12/23/19	K	B	
45. -- FID EXT Mkt Idx					Sold	12/23/19	K	C	
46. -- Fid Sm Cap Discovery					Sold	12/23/19	K	D	
47. -- Oakmark Intl I					Sold	12/23/19	K	D	
48. -- WF Stable Value					Sold	12/23/19	K	C	
49. -- Baird Core Plus					Sold	12/23/19	J	C	
50. IRA-2 (H) Rollover to Edw. Jones. (H)									
51. -- Invesco Dev. Mrkts	A	Dividend	J	T	Buy	12/30/19	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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52. -- Trp Large Cap Growth	A	Dividend	K	T	Buy	12/30/19	K		
53. -- JPM Mtge backed secs	A	Dividend	K	T	Buy	12/30/19	K		
54. -- JP Morgan Eq Inc	A	Dividend	K	T	Buy	12/30/19	K		
55. -- JPMorgan Small Cap	A	Dividend	K	T	Buy	12/30/19	K		
56. -- Lord Abbett Short Dur	A	Dividend	K	T	Buy	12/30/19	K		
57. -- MFS Growth	A	Dividend	K	T	Buy	12/30/19	K		
58. -- Am New Perspective	A	Dividend	K	T	Buy	12/30/19	K		
59. -- Principal Midcap	A	Dividend	K	T	Buy	12/30/19	K		
60. Nuveen FLTRX	A	Dividend	K	T					
61. Nuveen NIMEX	A	Dividend	K	T	Buy	02/05/19	K		
62. Eaton Vance EIBLX	A	Dividend	K	T	Sold (part)	02/05/19	K	A	
63. Invesco Devel Mkts GTSDX	A	Dividend	J	T					
64. Deutsche Global Infrastrucure TOLSX / TOLIX	A	Dividend	J	T					
65. Neuberger Berman Genesis NBGIX	A	Dividend	J	T					
66. Goldman Sachs Multi Mgr GIMMX	A	Distribution	K	T	Sold (part)	02/05/19	K	A	
67. Mainstay Epoch Global EPSYX	A	Dividend	J	T					
68. Virtus Multi Sector Short Term Bond PIMSX	A	Interest	K	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Lord Abbot LDLFX	B	Dividend	K	T	Buy	02/05/19	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 1-22: Stock held in JTWROS by [REDACTED]. This joint tenancy was created in 2006. Increased value is due to a significant addition to the JTWROS in 2019.

Upon [REDACTED] death in May 2019, the holdings in the JTWROS passed to relative.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul J. Cleary**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544