

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROBERTS, VICTORIA A.	2. Court or Organization EASTERN DISTRICT OF MICHIGAN	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address UNITED STATES DISTRICT COURT 231 W. LAFAYETTE BLVD ROOM 123 DETROIT, MI. 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	State Bar of Michigan Foundation
2. Member	Simone Road LLC
3. Member	Palmer Road LLC
4. Member	Judy Circle LLC
5. Member	Fairview Dr. LLC
6. Member	Dover Road LLC
7. Member	Dearborn Heights Property LLC
8. Member	Ann Arbor Trail LLC
9. Member	Wayne Enterprises Associates, LLC
10. Member	Mitten Mates, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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2.
-
3.
-

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Judicial Center	03/13/19 TO 03/16/19	Malibu, CA	FJC educational seminar or program	Food, Lodging, Airfare, Shuttle and Tips
2.	Department of Justice	03/19/19 to 03/31/19	Kathmandu, Nepal	Meeting or seminar with another government entity	Food, Lodging, Airfare, Taxi and Tips
3.	Patent & Trademark Office	04/21/19 to 04/28/19	Gaborone, Botswana	Meeting or seminar with another government entity	Food, Lodging, Airfare, Taxi and Tips
4.	U.S. Sentencing Commission	06/26/19 to 06/28/19	Chicago, IL	Meeting sponsored by the AO, FJC, USSC or JPMDL	Food, Lodging, Taxi and Tips
5.	Federal Judicial Center	10/14/19 to 10/17/19	Lake Tahoe, NV	FJC educational seminar or program	Food, Lodging, Airfare, Shuttle and Tips

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6.	Bar Group or Association	11/14/19 to 11/17/19	Washington, DC	Activity of professional association or civic organization	Food, Lodging, Transportation and Tips
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ROBERTS, VICTORIA A.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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ROBERTS, VICTORIA A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Simone Road LLC, Wayne County MI	C	Rent	L	W					
2. Judy Circle LLC, Wayne County MI	C	Rent	L	W					
3. Fairview Drive LLC, Wayne County MI	C	Rent	L	W					
4. Dover Road LLC , Wayne County MI	C	Rent	L	W					
5. Dearborn Heights Property LLC, Wayne County MI	C	Rent	L	W					
6. Palmer Road LLC, Wayne County MI	C	Rent	L	W					
7. Knolson Road, LLC, Wayne County MI	B	Rent			Sold	01/03/19	M	C	Cervi Investments, LLC
8. Ann Arbor Trail Assoc. LLC, Wayne County MI	C	Rent	L	W					
9. Wayne Enterprises Associates LLC, Wayne County MI	A	Rent	L	W					
10. Mitten Mates LLC, Wayne County MI	A	Distribution	K	W	Buy	10/01/19	K		
11. Daimler AG - Common Stock	A	Dividend	J	T					
12. JP Morgan Chase - Checking	A	Interest	J	T					
13. JP Morgan Chase - Savings	A	Interest	K	T					
14. Charles Schwab:									
15. -Charles Schwab Deposit Account	A	Dividend	K	T					
16. -Lear Corporation	A	Dividend			Sold	03/18/19	J	C	
17. -Invesco S&P Low Volatility Portfolio	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -DWS Enhanced Cmdy	A	Dividend	J	T					
19. -Vanguard Intl Explorer	A	Dividend			Sold	02/27/19	K	A	
20. -Invesco Optimum Yield	A	Dividend	J	T	Buy (add'l)	08/16/19	J		
21. -Ishares Core S&P Small	A	Dividend	J	T	Buy (add'l)	05/15/19	J		
22. -SPDR Index Shares Emerg	A	Dividend	K	T	Buy (add'l)	05/15/19	K		
23. -PGIM High Yield Fund Z	A	Dividend	J	T	Buy (add'l)	05/15/19	J		
24. -Pimco Incm Inst Cl	A	Dividend	K	T	Buy (add'l)	02/28/19	J		
25. -Vanguard Intl Growth Fd	A	Dividend	K	T					
26. -Wasatch Core Growth	A	Dividend	J	T					
27. -Ishares Core Dividend	A	Dividend	K	T	Buy	12/04/19	K		
28. -Ishares International	A	Dividend	K	T	Buy	05/15/19	K		
29. -Schwab US Dividend	A	Dividend	K	T	Buy	05/15/19	K		
30. -SPDR Nuveen Blmbrg	A	Dividend	J	T	Buy	02/29/19	J		
31. -Vanguard Health Care	A	Dividend	K	T	Buy	08/16/19	K		
32. -Blackrock National Muni	A	Dividend	K	T	Buy	05/15/19	K		
33. -Grandeur Peak Intl	A	Dividend	K	T	Buy	05/15/19	K		
34. Charles Schwab IRA:									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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08/11/2020

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35. -First Eagle Global Fund	A	Dividend	K	T					
36. -Invesco Oppenheimer Developing Markets Fund Class Y	A	Dividend	K	T					
37. -Vanguard Interm Term Bond	A	Dividend	K	T	Sold (part)	02/27/19	K	A	
38. -Invesco S&P 500 Equal Weight	A	Dividend	J	T					
39. -Ishares Trust MSCI EAFE Small Cap ETF	A	Dividend	L	T	Buy (add'l)	02/26/19	J		
40. -Vaneck Vectors Biotech	A	Dividend	J	T					
41. -Vanguared Growth	A	Dividend	M	T					
42. -Charles Schwab Deposit Account	A	Int./Div.	J	T					
43. -IShares JPMorgan USD Emg Mkts B ETF	A	Dividend	K	T					
44. -Janus Henderson High Yield	A	Dividend			Sold	02/26/19	K	A	
45. -Invesco FTSE RAFI Dvlp Mkts ETF	A	Dividend	K	T					
46. -IShares Core S&P Small Cap ETF	A	Dividend	K	T	Buy (add'l)	05/15/19	J		
47. -Invesco Variable Rate PRF ETF	A	Dividend	J	T					
48. -Schwab Emgerging Mkts	A	Dividend	K	T					
49. -SPDR S&P 600 Small Cap	A	Dividend	J	T					
50. -Vanguard Financials ETF	A	Dividend			Sold	12/09/19	K	C	
51. -Wisdomtree US Small Cap	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -Fidelity New Mkts Income	A	Dividend			Sold	12/02/19	K	A	
53. -Vanguard High Yield Corp	A	Dividend	K	T					
54. -Vanguard Inflation	A	Dividend	J	T					
55. -FMI Intl Fund	A	Dividend	K	T					
56. -Matthews Asia Dividend	A	Dividend			Sold	12/02/19	J	A	
57. -Ishares Asia 50 ETF	A	Dividend	K	T					
58. -Schwab Funda Emg Mkts	A	Dividend	J	T					
59. -Vanguard FTSE Develeoped Mkts	A	Dividend	K	T	Buy (add'l)	02/26/19	J		
60. -Vangurard Global EX US Real Estate	A	Dividend	J	T					
61. -PGIM High Yield Fund Z	A	Dividend	L	T					
62. -PGIM Total Return Bond Z	A	Dividend	L	T					
63. -USAA Income Fund Inst	A	Dividend	K	T					
64. -Virtus SEIX Float Rate High Income	A	Dividend			Sold	02/26/19	J	A	
65. -Primecap Odyssey Stock	A	Dividend	J	T					
66. -Vanguard Equity Income Fd	A	Dividend	K	T					
67. -Vanguard Intl Explorer	A	Dividend			Sold	05/15/19	J	A	
68. -Vanguard Emerging MKT	A	Dividend	K	T	Buy	12/02/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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69. -Wisdomtree Int'l Small Cap	A	Dividend	K	T	Buy	02/26/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Certain investments reported on VII. Investment & Trusts had name changes between the 2018 and 2019 reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ VICTORIA A. ROBERTS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544