

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crenshaw, Jr., Waverly D.	2. Court or Organization US District Court - Middle District of Tennessee	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 801 Broadway Room A-845 Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Nashville School of Law
2. Life Member	NAACP
3. Fellow	American Bar Foundation
4. Fellow	Nashville Bar Foundation
5. Fellow	Tennessee Bar Foundation
6. Fellow	Napier-Looby Bar Foundation
7. Member	Belle Meade Country Club
8. Board Member	Community Foundation Middle Tennessee

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Crenshaw, Jr., Waverly D.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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Crenshaw, Jr., Waverly D.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Crenshaw, Jr., Waverly D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage (H)									
2. Federated Capital Reserve	A	Dividend	M	T					
3. ALPS ETFF Tr Alerian MLP ETF	B	Distribution			Buy	02/08/19	K		
4.					Sold	12/02/19	K		
5. American Short-Term Tax-Exempt Bond	A	Interest	L	T	Sold (part)	02/08/19	J		
6. Brandes Emerging Markets Value Fund	C	Dividend	M	T					
7. Davis New York Venture	D	Dividend	M	T	Buy	02/05/19	M		
8.					Sold (part)	04/22/19	M	D	
9. Davis Appreciation & Income Fund Class A		None			Sold	02/05/19	M	C	
10. Fidelity Advisor Total Bond Fund Class C		None	N	T					
11. Fidelity Advisor Strategic Income Fund Class C	D	Dividend	N	T					
12. Fidelity Advisor Growth Opportunities Fund Class C	E	Dividend	N	T					
13. Fidelity Advisor New Insights Fund Class A	D	Dividend	M	T					
14. Fidelity Advisor New Insights Fund Class C	C	Dividend	N	T					
15. Fidelity Advisor Total Bond Fund Class C	D	Dividend	N	T	Buy	02/05/19	N		
16.					Sold (part)	04/10/19	J	A	
17.					Sold (part)	07/08/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Crenshaw, Jr., Waverly D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	10/08/19	J	A	
19. Fidelity Municipal Income Fund Class C	B	Int./Div.	M	T					
20. Fidelity Advisor Short-term Bond Fund	A	Dividend			Sold	02/05/19	N	B	
21. Fundamental Investors Class C	D	Dividend	L	T					
22. Fundamental Investors Class F-1	D	Dividend	M	T					
23. The Growth Fund of America Class C	D	Dividend	M	T					
24. Ishares Tr US Oil & Gas Expl & Prod	A	Dividend			Sold	12/02/19	K		
25. JP Morgan Chase & Co Alerian MLP Index ETN		None			Sold	12/02/19	L		
26. Nuveen Municipal Income Fund Inc	C	Int./Div.	L	T					
27. Pimco All Asset Fund Class C	D	Dividend	N	T					
28. Pimco Income Fund Class C	D	Dividend	N	T	Buy	02/05/19	N		
29. Pimco Commodities Plus Strategy Fund Class C	B	Dividend	M	T	Buy	02/05/19	M		
30. Small Cap World Fund Class C	D	Dividend	M	T					
31. Pimco Low Duration Class C	A	Dividend			Sold	02/05/19	N	B	
32. Pimco Muni Income Fund II	B	Int./Div.			Sold	02/05/19	K	D	
33. Pimco Real Return Fund Class C		None			Sold	02/05/19	M	B	
34. Select Sector SPDR TR Energy	C	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Date of Report

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Traditional IRA (H)									
36. Pershing Government Account	A	Int./Div.	J	T					
37. Brandes Emerging Mkts Value Fund Class C	B	Dividend	L	T					
38. Davis New York Venture Class A	C	Dividend	N	T					
39. Europacific Growth Fund Class A	A	Dividend	L	T					
40. Europacific Growth Fund Class C	A	Dividend	K	T					
41. Fidelity Advisor Strategic Income Fund Class C	C	Dividend	M	T					
42. Fidelity Advisor Growth Opportunities Fund Class C	E	Dividend	M	T					
43. Fidelity Advisor International Discovery Fund Class A	A	Dividend	L	T					
44. Fidelity Adivsor New Insights Fund Class M	D	Dividend	L	T					
45. Fidelity Advisor Total Bond Fund Class M		None	L	T					
46. Fidelity Advisor Total Bond Fund Class C	B	Dividend	L	T					
47. Fundamental Investors Class C	A	Dividend	K	T					
48. The Growth Fund of America Class C	A	Dividend	L	T					
49. Pimco Total Return Class C	B	Dividend	L	T					
50. Pimco All Asset Fund Class C	D	Dividend	M	T					
51. Pimco All Asset Fund Class A	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Pimco Income Fund Class C	D	Dividend	M	T					
53. Pimco Commodities Plus Strategy Fund	A	Dividend	L	T					
54. Washington Mutual Investors Fund Class C	A	Dividend	L	T					
55. ROTH Ira (H)									
56. Pershing Government Account	A	Dividend	J	T					
57. Europacific Growth Fund Class F-2	A	Dividend	K	T					
58. Fidelity Advisor Strategic Income Fund Class I	B	Dividend	K	T					
59. Fidelity Advisor New Insights Fund Class I	A	Dividend	K	T					
60. Fundamental Investors Class F-3	A	Dividend	K	T					
61. The Growth Fund of America	A	Dividend	K	T					
62. New World Fund Class F-2	A	Dividend	J	T					
63. Pimco Total Return Fund Institutional Class	A	Dividend	K	T					
64. Pimco All Asset Fund Institutional Class	B	Dividend	K	T					
65. Pimco Income Fund Institutional Class	C	Dividend	K	T					
66.					Sold (part)	01/02/19	J		
67.					Sold (part)	02/01/19	J		
68.					Sold (part)	03/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	04/01/19	J		
70.					Sold (part)	05/01/19	J		
71.					Sold (part)	06/03/19	J		
72.					Sold (part)	07/01/19	J		
73.					Sold (part)	08/01/19	J		
74.					Sold (part)	09/03/19	J		
75.					Sold (part)	10/01/19	J		
76.					Sold (part)	11/04/19	J		
77.					Sold (part)	12/02/19	J		
78. Van Eck Constant Maturity Commodity Index Fund	A	Dividend	J	T					
79. Washington Mutual Investors Fund Class F-2	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Waverly D. Crenshaw, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544