

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marrero, Victor	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 09/01/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court, SDNY 500 Pearl Street New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	New York Public Library
2. Trustee	American Museum of Natural History
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marrero, Victor

Date of Report

09/01/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Federal Employees Retirement System-vested pension	\$11,904.00
2. 2019	Verizon-vested pension	\$13,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	salary, Bloomberg, LP
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Marrero, Victor	09/01/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. JPMORGAN CHASE MONEY MARKET and CHECKING ACCOUNTS	B	Interest	M	T					
2.									
3. FIDELITY NY MUN. INC. FD.	B	Dividend	M	T					
4.									
5.									
6.									
7. FIDELITY ROLLOVER IRA; (See Lines 8 through 90)									
8. Strategic Advs. Value Fund	D	Dividend	L	T	Sold (part)	01/30/19	J	B	
9.					Sold (part)	03/07/19	J	C	
10.					Buy (add'l)	04/16/19	K		
11.					Sold (part)	06/27/19	J	A	
12.					Sold (part)	09/26/19	J	A	
13.					Sold (part)	12/04/19	J	A	
14.					Sold (part)	12/05/19	J	A	
15. Strategic Advs. Small Mid-Cap Fd	C	Dividend	L	T	Sold (part)	01/30/19	J	B	
16.					Sold (part)	04/03/19	J	A	
17.					Buy (add'l)	04/16/19	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	09/26/19	J		
19.					Sold (part)	12/05/19	J	A	
20. Strategic Adv. International Fund	D	Dividend	M	T	Sold (part)	03/07/19	J	A	
21.					Buy (add'l)	04/16/19	K		
22.					Buy (add'l)	08/14/19	J		
23.					Sold (part)	09/26/19	J	A	
24.					Sold (part)	12/04/19	J	A	
25. Strategic Adv. Core Fund	E	Dividend	N	T	Sold (part)	01/30/19	J	A	
26.					Sold (part)	04/03/19	J	A	
27.					Buy (add'l)	04/16/19	K		
28.					Buy (add'l)	04/01/19	K		
29.					Sold (part)	06/27/19	J	A	
30.					Buy (add'l)	09/26/19	J		
31.					Sold (part)	12/04/19	K	A	
32.					Buy (add'l)	12/05/19	J		
33. Strategic Adv. Growth Fund	D	Dividend	L	T	Sold (part)	01/30/19	J	A	
34.					Sold (part)	03/07/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/16/19	K		
36.					Sold (part)	05/30/19	J	A	
37.					Sold (part)	09/26/19	J	A	
38.					Sold (part)	12/04/19	J	A	
39.					Sold (part)	12/05/19	J	A	
40. Strategic Advs. Emerging Markets	B	Dividend	K	T	Buy (add'l)	04/16/19	J		
41.					Buy (add'l)	04/16/19	J		
42. Strategic Advs. Core Income Fund	E	Dividend	O	T	Buy (add'l)	01/30/19	J		
43.					Buy (add'l)	03/07/19	J		
44.					Buy (add'l)	04/03/19	J		
45.					Sold (part)	04/16/19	L	C	
46.					Sold (part)	05/30/19	K	A	
47.					Sold (part)	08/14/19	J	A	
48.					Buy (add'l)	09/26/19	J		
49.					Sold (part)	12/04/19	K	A	
50.					Buy (add'l)	12/05/19	J		
51. Strategic Advs. Income Opportunities	B	Dividend	K	T	Sold (part)	04/03/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold (part)	09/26/19	J	A	
53. Fidelity Gov't Cash Reserves	A	Interest	J	T	Buy (add'l)	01/04/19	J		
54.					Sold (part)	01/07/19	J	A	
55.					Buy (add'l)	12/04/19	L		
56.					Sold (part)	12/04/19	L	A	
57. FIMM Gov't Portfolio Inst Cl	A	Dividend	K	T	Sold (part)	02/11/19	J	A	
58.					Buy (add'l)	03/07/19	J		
59.					Sold (part)	05/13/19	J	A	
60.					Buy (add'l)	06/27/19	J		
61.					Sold (part)	08/27/19	J	A	
62.					Buy (add'l)	09/26/19	J		
63.					Sold (part)	11/18/19	J	A	
64.					Sold (part)	12/04/19	J	A	
65.					Buy (add'l)	12/05/19	J		
66. Strategic Advs. Short Duration Fund	C	Dividend	M	T	Buy (add'l)	01/30/19	J		
67.					Buy (add'l)	03/07/19	J		
68.					Buy (add'l)	04/03/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
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G =\$100,001 - \$1,000,000
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C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
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Name of Person Reporting

Marrero, Victor

Date of Report

09/01/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	04/16/19	L	C	
70. Fidelity SAI U.S. Large Cap. Index	A	Dividend	K	T	Sold (part)	04/03/19	J	A	
71.					Buy (add'l)	04/16/19	J		
72. Fidelity SAI Int'l Index	A	Dividend	K	T	Sold (part)	01/04/19	J	A	
73.					Buy (add'l)	04/16/19	J		
74.					Sold (part)	06/27/19	J	A	
75. Fidelity SAI Emerg Mkts Index	A	Dividend	J	T	Buy (add'l)	04/16/19	J		
76.					Sold (part)	09/26/19	J	A	
77. AQR Managed Futures Fund CL N	A	Dividend	K	T	Buy (add'l)	04/03/19	J		
78.					Sold (part)	12/04/19	J	A	
79.					Buy (add'l)	12/03/19	J		
80. AQR Equity Mkt Neutral Fd	A	Dividend			Sold (part)	04/03/19	J	A	
81.					Sold (part)	04/16/19	J	A	
82. ASG Managed Futures Strat. Fd	A	Dividend			Sold (part)	04/03/19	J	A	
83.					Sold (part)	12/04/19	J	A	
84.					Sold	12/05/19	J	A	
85. Eaton Vance Global Macro Absolute Rtrn Advt A	A	Dividend	J	T	Buy (add'l)	04/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Eaton Vance Global Macro Absolute Rtrn CL I	A	Dividend			Sold	04/03/19	J	A	
87. Eaton Vance Global Macro Absolute Rtrn CLA	A	Dividend			Buy	04/03/19	J		
88.					Sold	04/16/19	J	A	
89. Pimco Commodity Real Retrn Inst	A	Dividend	J	T	Buy (add'l)	04/16/19	J		
90.					Sold (part)	09/26/19	J	A	
91. BLOOMBERG LP. 401(K) PLAN. (See Lines 92 through 99)									
92. LifePath Index 2025 NL-G	D	Dividend	M	T	Buy (add'l)	03/31/19	J		
93.					Buy (add'l)	06/30/19	J		
94.					Buy (add'l)	09/30/19	J		
95.					Buy (add'l)	12/29/19	J		
96. Fidelity Contrafund	D	Dividend	M	T	Buy (add'l)	03/31/19	J		
97.					Buy (add'l)	06/30/19	J		
98.					Buy (add'l)	09/30/19	J		
99.					Buy (add'l)	12/29/19	J		
100.									
101. FIDELITY SEP IRA: (See Lines 102 through 147)									
102. Strategic Advs. Value Fund	C	Dividend	K	T	Sold (part)	03/07/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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S =Assessment

W =Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	04/16/19	J		
104.					Sold (part)	09/16/19	J	A	
105. Strategic Adv. Small-Mid Cap Fd	A	Dividend	K	T	Sold (part)	01/30/19	J	A	
106.					Buy (add'l)	04/16/19	J		
107. Strategic Adv. International Fund	B	Dividend	L	T	Sold (part)	03/07/19	J	A	
108.					Buy (add'l)	04/16/19	J		
109.					Buy (add'l)	08/14/19	J		
110. Strategic Adv. Core Fund	D	Dividend	L	T	Sold (part)	04/03/19	J	A	
111.					Buy (add'l)	04/16/19	J		
112.					Buy (add'l)	05/30/19	J		
113.					Sold (part)	06/27/19	J	A	
114.					Buy (add'l)	09/26/19	J		
115. Strategic Adv. Growth Fund	C	Dividend	K	T	Sold (part)	03/07/19	J	A	
116.					Buy (add'l)	04/16/19	J		
117.					Sold (part)	05/30/19	J	A	
118.					Sold (part)	09/26/19	J	A	
119.					Sold (part)	12/06/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Marrero, Victor

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Strategic Advs. Emerging Markets	A	Dividend	K	T	Buy (add'l)	04/16/19	J		
121.					Buy (add'l)	05/30/19	J		
122. Strategic Advs. Core Income Fund	D	Dividend	M	T	Buy (add'l)	01/30/19	J		
123.					Buy (add'l)	03/07/19	J		
124.					Buy (add'l)	04/03/19	J		
125.					Sold (part)	04/16/19	K	A	
126.					Sold (part)	05/30/19	J	A	
127.					Buy (add'l)	06/27/19	J		
128.					Sold (part)	08/14/19	J	A	
129.					Buy (add'l)	09/26/19	J		
130.					Buy (add'l)	12/06/19	J		
131. Strategic Advs. Income Opportunities Fund	A	Dividend	J	T					
132. Strategic Advs. Short Duration Fund	A	Dividend	J	T	Buy (add'l)	03/07/19	J		
133.					Buy (add'l)	04/03/19	J		
134.					Sold (part)	04/16/19	K	A	
135. Pimco Commodity Real Return Inst.	A	Dividend	J	T	Buy (add'l)	04/16/19	J		
136. FIMM Gov't Port Inst CL	A	Dividend	J	T	Buy (add'l)	03/07/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Marrero, Victor

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	09/26/19	J		
138. Fidelity Gov't Cash Reserves	A	Interest	J	T					
139. Fidelity SAI U.S. Large Cap. Index	A	Dividend	J	T	Sold (part)	03/07/19	J	A	
140.					Buy (add'l)	06/27/19	J		
141. Fidelity SAI Emerg. Mkts Index	A	Dividend	J	T					
142. Fidelity SAI Internat'l Index	A	Dividend	J	T	Sold (part)	01/30/19	J	A	
143.					Sold (part)	06/27/19	J	A	
144. AQR Multi Strgy Altern. Class N	A	Dividend			Sold	04/03/19	J	A	
145. AQR Managed Futures Fund CL N	A	Dividend	J	T					
146. Eaton Vance Global Macro Abslte Rtrn Adv I	A	Dividend			Sold	04/03/19	J	A	
147. Eaton Vance Global Macro Absolute Rtrn Advt A	A	Dividend	J	T	Buy (add'l)	04/03/19	J		
148.									
149. FIDELITY ROLLOVER IRA: (See Lines 150 through 183)									
150. Strategic Advs. Value Fund	B	Dividend	J	T	Buy (add'l)	04/16/19	J		
151.					Sold (part)	09/26/19	J	A	
152. Strategic Advs. Small-Mid Cap Fund	A	Dividend	K	T	Buy (add'l)	04/16/19	J		
153. Strategic Advs. International Fund	B	Dividend	K	T	Buy (add'l)	04/16/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	08/14/19	J		
155. Strategic Advs. Core Fund	C	Dividend	L	T	Buy (add'l)	04/16/19	J		
156.					Buy (add'l)	05/30/19	J		
157.					Sold (part)	06/27/19	J	A	
158. Strategic Advs. Growth Fund	B	Dividend	K	T	Sold (part)	03/07/19	J	A	
159.					Buy (add'l)	04/16/19	J		
160.					Sold (part)	09/26/19	J	A	
161.					Sold (part)	12/06/19	J	A	
162. Strategic Advs. Emerging Markets	A	Dividend	J	T	Buy (add'l)	04/16/19	J		
163. Strategic Advs. Short Duration Fund	A	Dividend	J	T	Buy (add'l)	04/03/19	J		
164.					Sold (part)	04/16/19	J	A	
165. Strategic Advs. Core Income Fund	C	Dividend	L	T	Buy (add'l)	01/30/19	J		
166.					Buy (add'l)	03/07/19	J		
167.					Buy (add'l)	04/03/19	J		
168.					Sold (part)	04/16/19	J	B	
169.					Sold (part)	05/30/19	J	A	
170.					Buy (add'l)	06/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	09/26/19	J		
172.					Buy (add'l)	12/06/19	J		
173. Strategic Advs. Income Opportunities Fd	A	Dividend	J	T					
174. Fidelity Gov't Cash Reserves	A	Dividend	J	T					
175. FIMM Gov't Portfolio Inst. CI	A	Dividend	J	T					
176. Fidelity SAI U.S. Large Cap Index	A	Dividend	J	T					
177. Fidelity SAI Internat'l Index	A	Dividend	J	T	Sold (part)	01/30/19	J	A	
178. Fidelity SAI Emerg. Mkts. Index	A	Dividend	J	T					
179. AQR Multi Strgy Alternative Class N	A	Dividend			Sold	04/03/19	J	A	
180. AQR Managed Futures Fund CI N	A	Dividend	J	T					
181. Eaton Vance Global Macro Abslte Rtrn Adv I	A	Dividend			Sold	04/03/19	J	A	
182. Eaton Vance GI Macro Absolute Rtrn Advt A	A	Dividend	J	T	Buy	04/03/19	J		
183. Pimco Commodity Real Retrn Inst.	A	Dividend	J	T					
184.									
185. FIDELITY JOINT MUTUAL FUNDS 3330 Acct (See Lines 186 through 197)									
186. Fidelity New Markets Income	D	Dividend			Sold	05/31/19	J	A	
187. Fidelity Floating Rate High Income	C	Dividend			Sold	05/03/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Fidelity High Income	C	Dividend			Sold	05/31/19	J	A	
189. Fidelity Global High Income	C	Dividend			Sold	05/31/19	J	A	
190. Fidelity Gov't Money Market	A	Dividend	K	T					
191.									
192. FIDELITY DEFERRED ANNUITY (see Lines 193 through 197)									
193. Fidelity VIP Govt Money Market	A	Dividend	N	T	Buy (add'l)	05/09/19	N		
194.					Sold (part)	05/23/19	L	A	
195. Fidelity VIP Strategic Income	A	Dividend	N	T	Buy (add'l)	05/23/19	L		
196. Morgan Stanley Emerg Mkt Debt	A	Dividend	M	T	Buy (add'l)	05/23/19	L		
197. Fidelity VIP Floating Rt High Inc.	A	Dividend	N	T	Buy (add'l)	05/23/19	L		
198. FIDELITY JOINT MUTUAL FUNDS 7048 ACCT (See lines 199 through 244)									
199. Fidelity Intl Small ap Opp Fund	A	Dividend	J	T	Buy	05/24/19	J		
200. Fidelity Growth Company	A	Dividend	J	T	Buy	05/24/19	J		
201. Fidelity Overseas	A	Dividend	J	T	Buy	05/24/19	J		
202. Fidelity Value Discovery	A	Dividend	J	T	Buy	05/24/19	J		
203. Aberdeen Emerg Mrkts Fd Instl SV CL	A	Dividend	J	T	Buy	05/24/19	J		
204. LSV Value Equity Fund Investor	A	Dividend	J	T	Buy	05/24/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Causeway Emerg Mrkts Fd Inv CL	A	Dividend	J	T	Buy	05/24/19	J		
206. Dodge & Cox Intl Stock Fund	A	Dividend	J	T	Buy	05/24/19	J		
207. JPMorgan Mid CapValue Fund CL I	A	Dividend	J	T	Buy	05/24/19	J		
208. Oakmark Fund Investor Class	A	Dividend	J	T	Buy	05/24/19	J		
209. WCM Focused Intl Growth Fund Instl	A	Dividend	J	T	Buy	05/24/19	J		
210. Janus Henderson Enterprise T	A	Dividend	J	T	Buy	05/24/19	J		
211. Loomis Sayles Growth CL A	A	Dividend	J	T	Buy	05/24/19	J		
212. MFS Intl Intrinsic Value CL A	A	Dividend	J	T	Buy	05/24/19	J		
213. TRowe Price Emerg Mrkts Stock Fund I	A	Dividend	J	T	Buy	05/24/19	J		
214. TRowe Price Intl Stk I	A	Dividend	J	T	Buy	05/24/19	J		
215. Transamerica Intl Equity CL I	A	Dividend	J	T	Buy	05/24/19	J		
216. Fidelity New York Muni Income	A	Dividend	J	T	Buy	05/24/19	J		
217. Strategic Adv Tax Sensitive Short Duration	A	Dividend	J	T	Buy	05/24/19	J		
218. Fidelity Sai Tax Free Bond Fund	A	Dividend	J	T	Buy	05/24/19	J		
219. Blackrock National Muni Fund CL A	A	Dividend	J	T	Buy	05/24/19	J		
220. Western Asset Managed Muni CL A	A	Dividend	J	T	Buy	05/24/19	J		
221. TRowe Price Tax Free High Yield	A	Dividend	J	T	Buy	05/24/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Wells Fargo Muni Bond Fd-Admin CL	A	Dividend	J	T	Buy	05/24/19	J		
223. Fidelity Govt Cash Reserves	A	Dividend	J	T	Buy	05/17/19	K		
224.					Sold (part)	05/24/19	K	A	
225.					Buy (add'l)	08/12/19	J		
226.					Buy (add'l)	12/20/19	J		
227.					Buy (add'l)	12/23/19	J		
228.					Buy (add'l)	12/24/19	J		
229. Flexshares Trust Morningstar Global	A	Dividend	J	T	Buy	05/28/19	J		
230. Ishares Core S&P 500 ETF	A	Dividend	J	T	Buy	05/28/19	J		
231.					Buy (add'l)	08/13/19	J		
232. Ishares Russell MSCI EAFE ETF	A	Dividend	J	T	Buy	05/28/19	J		
233. Ishares Russell 1000 Value ETF	A	Dividend	J	T	Buy	05/28/19	J		
234. Ishares Russell 1000 Growth ETF	A	Dividend	J	T	Buy	05/28/19	J		
235. Ishares S&P Small Cap 600 Value	A	Dividend	J	T	Buy	05/28/19	J		
236. Ishares TR S&P Small Cap 600 Growth ETF	A	Dividend	J	T	Buy	05/28/19	J		
237. Ishares Edge MSCI USA Quality	A	Dividend	J	T	Buy	05/28/19	J		
238. Ishares Edge MSCI USA Momentum	A	Dividend	J	T	Buy	05/28/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Ishares Inc Core MSCI Emerg Mkts	A	Dividend	J	T	Buy	05/28/19	J		
240. Ishares TR US Treas Bd ETF	A	Dividend	J	T	Buy	06/25/19	J		
241. JPMorgan US Lg Cap Core Plus Class I	A	Dividend			Buy	05/24/19	J		
242.					Sold	08/12/19	J	A	
243. Templeton Global Bond Class A	A	Dividend			Buy	05/24/19	J		
244.					Sold	07/24/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marrero, Victor

Date of Report

09/01/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please note that lines 7, 91, 101, 149, 185, 192 and 198 are headers, as indicated by the notation identifying the specific lines following immediately below the header which are included within it.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Marrero, Victor

Date of Report

09/01/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Victor Marrero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544