

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harmon, Melinda	2. Court or Organization U. S. District Court	3. Date of Report 07/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 515 Rusk, Suite 9114 Houston Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/31/1987	Exxon Mobil Pension Plan
2. _____	_____
3. _____	_____

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Harmon, Melinda

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	ExxonMobil Employment Pension	\$15,825.48
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Partner, Crain, Caton & James Law Firm
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Harmon, Melinda	07/24/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Harmon, Melinda

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. ----ExxonMobil	G	Dividend	P1	T	Distributed (part)	10/16/19	L		
3. ----Invesco Amer Franchise A	F	Dividend	O	T	Sold (part)	12/16/19	L		
4.					Distributed (part)	12/16/19	L		
5. ---Morgan Stanley Bank Deposit Program	A	Interest	J	T					
6. IRA #2 (H)									
7. ----Morgan Stanley Bank Deposit Program	A	Interest	J	T					
8. ----Invesco Amer Franchise A	D	Dividend	M	T					
9. MORGAN STANLEY Active Assets Account #2 (H)									
10. ----Invesco Amer Franchise A	D	Dividend	M	T	Buy (add'l)	12/16/19	J		
11. ----ExxonMobil	A	Dividend	L	T	Open	10/16/19	L		
12. ----Morgan Stanley Private Bank Deposit	A	Interest	J	T	Open	12/10/19	J		
13. IRA #3 (H).									
14. ----Triumph Resources		None	J	T					
15. ----Invesco Amer Franchise A	D	Dividend	L	T	Sold (part)	02/21/19	J		
16.					Sold (part)	02/25/19	J		
17.					Sold (part)	03/15/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	05/07/19	J	B	
19.					Sold (part)	06/19/19	J	D	
20.					Sold (part)	08/09/19	J	C	
21.					Sold (part)	09/10/19	J	B	
22.					Sold (part)	09/18/19	J	C	
23.					Sold (part)	09/19/19	J	A	
24.					Sold (part)	10/20/19	K	D	
25.					Sold (part)	12/17/19	J	B	
26.					Distributed (part)	09/10/19	J		
27. ----Alternate Investment TCG BDC II		None	M	T					
28. ----Morgan Stanley Bank Deposit Program	A	Interest	J	T					
29. MORGAN STANLEY Active Assets Account #1 (H)									
30. ----Alliance Bernstein Large Cap Growth Fund, Class C	A	Dividend	J	T					
31. ----Invesco Amer Franchise A	A	Dividend	J	T	Open	09/10/19	J		
32. ----Morgan Stanley Pruvate Bank NA	A	Interest	J	T					
33. LAW FIRM 401 K PROFIT SHARING PLAN (H)	D	Int./Div.	P1	T					
34. ----Dreyfus Appreciation FD Inc 261970107	D	Dividend			Distributed	06/03/19	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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35. ----BNY MELLON APPRECIATION INVESTOR DGAGX	E	Dividend	N	T	Buy	06/03/19	N		
36. ----ALL CAP INDEX EQUITY FUND					Sold	06/30/19	P1		
37. ----ALL CAP INDEX EQUITY FUND R1					Buy	06/30/19	P1		
38. REAL ESTATE, DALLAS, DALLAS COUNTY, TX PARCEL 2	B	Rent	J	Q					
39. EXTRAORDINARY LIFE POLICY, NORTHWESTERN MUTUAL	B	Dividend	L	T					
40. NORTHWESTERN MUTUAL "65 LIFE" POLICY #1	A	Dividend	J	T					
41. NORTHWESTERN MUTUAL "65 LIFE" POLICY #2	A	Dividend	J	T					
42. NORTHWESTERN MUTUAL "65 LIFE" POLICY #3	A	Dividend	J	T					
43. Brokerage Account #1 (H)									
44. ----ABBV common	A	Dividend			Sold (part)	04/09/19	J		
45.					Sold	10/02/19	J		
46. ---CVX common	B	Dividend			Sold (part)	04/09/19	J		
47.					Sold	11/22/19	J		
48. ----XOM common	A	Dividend			Sold (part)	04/09/19	J		
49.					Sold	10/04/19	J		
50. ----JNJ common	A	Dividend	J	T	Sold (part)	11/22/19	J		
51. ----MSFT common	A	Dividend	J	T					

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52. ----PG common	A	Dividend			Sold (part)	04/09/19	J		
53.					Sold	11/22/19	J		
54. ----Raymond James Bank Deposit Program	A	Interest	J	T					
55. ----TXN common	A	Dividend	J	T					
56. ----MRK common	A	Dividend	J	T	Sold (part)	11/20/19	J		
57. ----UTX common	A	Dividend	J	T	Sold (part)	11/20/19	J		
58. ----GOOGL common		None	J	T	Sold (part)	11/20/19	J		
59. ----AAPL common	A	Dividend	J	T	Sold (part)	04/09/19	J		
60.					Sold (part)	11/20/19	J		
61. ----HD common	A	Dividend	J	T					
62. ----CSCO common	A	Dividend	J	T	Sold (part)	04/09/19	J		
63. ----BSM limited partership	A	Distribution			Sold	04/09/19	J		
64. ----JPM	A	Dividend	J	T	Sold (part)	11/22/19	J		
65. ----GIS	A	Dividend	J	T	Sold (part)	04/09/19	J		
66. ----BAC	A	Dividend			Sold	04/09/19	J		
67. ----MOT			J	T	Buy	10/04/19	J		
68.					Sold (part)	11/22/19	J		

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69. ----AMZN			J	T	Buy	10/04/19	J		
70.					Sold (part)	11/22/19	J		
71. ----PFE	A	Dividend	J	T	Buy (add'l)	10/04/19	J		
72.					Sold (part)	11/22/19	J		
73. CHASE CHECKING	A	Interest	K	T					
74. CHASE SAVINGS	A	Interest	K	T					
75. BROKERAGE ACCOUNT #2 (H)									
76. ----XOM common	A	Dividend	K	T					
77. ----Raymond James Bank Deposit Program	A	Interest	J	T					
78. BROKERAGE ACCOUNT # 3 (H)									
79. ----iShares MSCI EAFE Small Cap Et	A	Dividend	J	T					
80. ----iShaes Russ 2000 ETF	A	Dividend	J	T					
81. ----iShares 3000 ETF	A	Dividend	J	T					
82. ----iShares MSCI EAFE Value ETF	A	Dividend	J	T					
83. ----Vanguard FTSE Dev Mkts EIF	A	Dividend	J	T					
84. ----Vanguard Large Cap ETF	A	Dividend	J	T					
85. ----AB Interme Diversified Muni A	A	Dividend	J	T					

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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86. ----American Century T/F BD C11	A	Dividend	J	T					
87. ----Amern Tax-Ex Bond of Amer A	A	Dividend	J	T					
88. ----Artisan Partners Value Fund	A	Dividend	J	T					
89. ----Blackrock Natl Mun Fund CI A	A	Dividend	J	T					
90. ----Franklin Fed Tax-Free Income A	A	Dividend	J	T					
91. ----Invesco International Growth	A	Dividend	J	T					
92. ----John Hancock Disciplined Val A	A	Dividend	J	T					
93. ----MFS Municipal High Income A	A	Dividend	J	T					
94. ----T Rowe Price Blue Chip Grw I	A	Dividend	J	T					
95. ----Thornburg Ltd Term Muni Fund A	A	Dividend	J	T					
96. ----Victory Mun Mid-Cap Core GR A	A	Dividend	J	T					
97. ----Edward Jones Cash	A	Interest	K	T					
98.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part VII Investments and Trusts

Lines: 2 & 4: These entruess reflect distributions from IRA #1 to Active Assets Account #2, line 1, per Required Minimum Distribution.

Line 26: This entry reflects the transfer from IRA #3 to Active Assets Account #1, line 31, per Required Minimum Distributin.

Line 33-37: Share in ABA Retirement Profit Sharing Plan. On previous reports Participant's share in the fund was reported as a lump sum. In 2019 the funds invested in the All Cap Index Equity Fund were transfered to the All Cap Index Equity Fund R1,also a mutual fund.a The self-managed portion of the account was invested in Dreyfus Appreciation Mutual Fund. In 2019, however the investment in that a fund was changed to BNY Mellon. These changes in the account could only be recorded by breaking down the two parts of the plan.

Line 36: Undivided 1/8th interest in a piece of property in Dallas, Dallas County, Texas. Date of appraisal, December 6, 1994.

Line 84: Name corrected,

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Melinda Harmon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544