

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sheridan, Peter G.	2. Court or Organization U. S. District Court New Jersey	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Clarkson S. Fisher, Jr Courthouse 402 East State Street Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Sheridan, Peter G.

Date of Report

08/13/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	AIG Retirement FKA VALIC Retirement
2. 2019	NJ Division of Pensions Retirement
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Sheridan, Peter G.	08/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Sheridan, Peter G.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AIG Retirement Services Stock Index Fd FKA Valic Retirement	E	Int./Div.	M	T					
2.									
3. AIG Retirement Services Fixed Account Plus FKA Valic Retirement	D	Int./Div.	M	T					
4.									
5. Mercer County Teachers Credit Union Account	A	Interest	J	T					
6.									
7. Wells Fargo Bank Accounts	B	Interest	M	T					
8.									
9. Bank of America NA	A	Interest	K	T					
10.									
11. First Eagle Global Fund CL C	B	Dividend	K	T	Sold (part)	04/25/19	J	A	
12.					Buy (add'l)	04/30/19	J		
13.									
14. Hartford Balanced Fd fka Hartford Mut Fds Inc	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
15.									
16. Ishares MSCI EAFE	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Ishares TR Russell 2000	A	Dividend	J	T					
19.									
20. Pimco Income Fd CL P	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
21.					Sold (part)	04/25/19	J	A	
22.									
23. The Oakmark Equity & Income Fd Inv VL	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
24.					Sold (part)	04/25/19	J	A	
25.									
26. Vanguard Total Bond Fd	A	Dividend	J	T					
27.									
28. Vanguard 500 Index Fd	A	Dividend	K	T	Sold (part)	04/24/19	J	A	
29.									
30. Guggenheim Total Return Bd Fd Instl CL	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
31.									
32. Guggenheim Floating Rate Strategies Fd Instl CL	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
33.									
34. Ishares Russell 1000 Growth	A	Dividend	J	T	Buy (add'l)	04/25/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Sheridan, Peter G.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.									
36. Ishares Russell 1000 Value	A	Dividend	K	T	Buy (add'l)	04/26/19	J		
37.									
38. Ishares Iboxx \$ Invt Grade Corp Bd	A	Dividend	J	T					
39.									
40. Ishares TIPS Bd ETF	A	Dividend			Sold	04/26/19	J	A	
41.									
42. JP Morgan Equity Inc Fd I	A	Dividend	K	T	Buy (add'l)	04/25/19	J		
43.					Buy (add'l)	04/30/19	J		
44.					Buy (add'l)	05/09/19	J		
45.									
46. JP Mogan Midcap Value Fd CL i	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
47.									
48. Loomis Sayles Global	A	Dividend	J	T	Buy	04/25/19	J		
49.									
50. Mainstay High Yield Corp Bd Fd CL I	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
51.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Oppenheimer Developing Markets Fd CL Y NKA Invesco	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
53.					Sold (part)	04/25/19	J	A	
54.									
55. Pimco Income Fd CL P	A	Dividend	J	T					
56.									
57. Invesco EM Sovereign Debt ETF FKA Powershares	A	Dividend			Sold (part)	04/26/19	J	A	
58.					Sold	05/01/19	J	A	
59.									
60. T Rowe Price Blue Chip Growth Fd	A	Dividend	K	T	Buy (add'l)	04/25/19	J		
61.					Buy (add'l)	04/30/19	J		
62.									
63. TCW Total Return Bd Fd CL i	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
64.									
65. Templeton Emerging Mrkts Sm Cap Fd CL ADV	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
66.									
67. The Oakmark Intl Fd Inv Cl	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
68.					Sold (part)	05/07/19	J	A	

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69.									
70. T Rowe Price Intl Discovery Fd Inv Cl	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
71.									
72. Teton Westwood Mighty Mites Fd Cl I	A	Dividend			Sold	04/25/19	J	A	
73.									
74. Eventide Gilead Fd Cl I	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
75.									
76. Wisdomtree EM Cap	A	Dividend	J	T	Buy (add'l)	04/26/19	J		
77.									
78. Ishares Inc Core Msci Emerging Mkts ETF	A	Dividend	J	T	Buy (add'l)	04/26/19	J		
79.									
80. Voya Intermediate Bond Fd CL 1	A	Dividend	J	T	Buy	04/25/19	J		
81.									
82. Vanguard Small Cap	A	Dividend	J	T	Buy	04/26/19	J		
83.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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Name of Person Reporting	Date of Report
Sheridan, Peter G.	08/13/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Sheridan, Peter G.

Date of Report

08/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Peter G. Sheridan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544