

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reyes, Jr., Ramon E.	2. Court or Organization US District Court, EDNY	3. Date of Report 8/2/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court, EDNY 225 Cadman Plaza East, Room N208 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Immediate Past President	Federal Magistrate Judges Association
2. Trustee	Brooklyn Law School
3. Board of Directors	Federal Bar Association - Eastern District of New York Chapter
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Legal Services of New York, salary
2. 2019	New York State Attorney General's Office, salary
3. 2019	Brooklyn Law School, adjunct teaching salary
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Magistrate Judge Association	3/24-28, 10/2-4	Washington, DC	Attend FMJA board meetings and meetings of AO Magistrate Judge Advisory Group	travel expenses, food and lodging
2. Federal Judges Association	4/15-16	Dallas, TX	Attend FJA board meeting	travel expenses, food and lodging
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Federal Bar Council	Annual Thanksgiving Lunch, Law Day Dinner, and other receptions	\$2,500.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. USAA	Home equity line of credit on rental property, Orange Co., New York (Pt. VII, line 1)	N
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property, Orange County, NY		None			Sold	05/20/19	N	A	Kara-Marie Reyes-Rinaldi
2. Vacant lot, Marion County, FL		None	J	W					
3. USAA S&P 500, Roth IRA (self)	A	Dividend	J	T					
4. USAA S&P 500, Roth Conversion IRA (self)	A	Dividend	J	T					
5. American Funds, New Perspectives (self)	A	Dividend	K	T	Redeemed (part)	02/25/19	K	E	
6. USAA S&P 500, Roth IRA	B	Dividend	K	T					
7. USAA Growth & Income, Conversion IRA	B	Dividend	K	T					
8. USAA Savings Accounts	A	Interest	J	T					
9. USAA Checking Accounts	A	Interest	J	T					
10. USAA Savings Account	A	Interest	K	T					
11. USAA Checking Account	A	Interest	K	T					
12. USAA Checking Account	A	Interest	M	T					
13. New York College Savings Program, 529 Plan (1) - age based	C	Interest	K	T	Sold (part)	01/14/19	J	B	
14.					Sold (part)	03/11/19	J	B	
15.					Sold (part)	07/15/19	K	C	
16. New York College Savings Program, 529 Plan (2), age-based	E	Interest	M	T					
17. USAA Whole Life Policy (self)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. USAA - Brokerage S/D IRA rollover, incl. lines 19-41 below below	A	Dividend	L	T					
19. USAA Money Market (USAXX) Y	A	Dividend	J	T					
20. USAA Treasury Market (UATXX) Y	A	Dividend	J	T					
21. American Beacon Intl Equity Y Class (ABEYX)	A	Dividend	J	T					
22. Delaware Value Fund Institutional (DDVIX)	A	Dividend	J	T					
23. First Eagle Overseas Class I (SGOIX)	A	Dividend	J	T					
24. Harding Loevner Intl Equity Port Institutional (HLMIX)	A	Dividend	J	T					
25. Hartford Schorders Emrg Mrkts Equity Cl 1 (SEMNX)	A	Dividend	J	T					
26. Heartland Value Plus Institutional (HNVIX)	A	Dividend	J	T					
27. Hotchkis & Wiley Small Cap Value (HWSIX)	A	Dividend	J	T					
28. Lazard Emerging Mrkts EQ Blend Institutional (EMBIX)	A	Dividend	J	T					
29. Lazard Intl Strategy Equity Port Intl (LISIX)	A	Dividend	J	T					
30. LKCM SMID Cap Equity Fund Institutional (LKSMX)	A	Dividend	J	T					
31. MFS Growth Fund Class I (MFEIX)	A	Dividend	J	T					
32. USAA Managed Allocation Fund Retail (UMAFX)	A	Dividend	J	T					
33. USAA Precious Metals and Minerals Retail (USAGX)	A	Dividend	J	T					
34. Baird Core Plus Bond Institutional (BCOIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. TCW Emerging Mkts Income (TGEIX)	A	Dividend	J	T					
36. USAA High Income Fund Retail (USHYX)	A	Dividend	J	T					
37. USAA Income Fund Retail (USAIX)	A	Dividend	J	T					
38. USAA Short Term Bond Fund Retail (USSBX)	A	Dividend	J	T					
39. Voya Intermediate Bond Class W (IIBWX)	A	Dividend	J	T					
40. Schroder Emerging Mrk Eq Inv (SEMNX)	A	Dividend	J	T					
41. USAA Real Return Fund Retail (USRRX)	A	Dividend	J	T					
42. USAA Federal Savings Bank Roth BDA, incl. 43-61 below	A	Dividend	K	T					
43. USAA IRA "bank deposit" Y		None	J	T					
44. American Beacon AHL MNDG Futrs Str Inst (AHLIX)	A	Dividend	J	T					
45. American Beacon Intl Equity Y Class (ABEYX)	A	Dividend	J	T					
46. AQR Small Cap Multi Style FD CL R6 (QSERY)	A	Dividend	J	T					
47. AQR Style Premia Alternative FD CL R6 (QSPRX)	A	Dividend	J	T					
48. Delaware Value Fund Institutional (DDVIX)	A	Dividend	J	T					
49. Harding Loevner Intl Equity Port Instl (HLMIX)	A	Dividend	J	T					
50. Hartford Schroders Emrg Mrkts Eqty CL1 (SEMNX) Y	A	Dividend	J	T					
51. Lazard Emerging Mrkts Eq Blend Instl (EMBIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Lazard Intl Strategy Equity Port Intl Cl (LISIX)	A	Dividend	J	T					
53. MFS Growth Fund Cl I (MFEIX)	A	Dividend	J	T					
54. USAA Managed Allocation FD Retail (UMAFX)	A	Dividend	J	T					
55. Victory Sycamore Established Value I (VEVIX)	A	Dividend	J	T					
56. Baird Core Plus Bond Institutional (BCOIX)	A	Dividend	J	T					
57. TCW Emerging Mkts Income CL I (TGEIX)	A	Dividend	J	T					
58. USAA High Income Fund Retail (USHYX)	A	Dividend	J	T					
59. USAA Income Fund Retail (USAIX)	A	Dividend	J	T					
60. USAA Short Term Bond Fund Retai (USSBX)l	A	Dividend	J	T					
61. Voya Intermediate Bond Class W (IIBWX)	A	Dividend	J	T					
62. Prudential, Legal Services NYC 403(b) Plan, incl. 63-74 below	E	Int./Div.	O	T					
63. Guaranteed Income Fund	B	Int./Div.	L	T					
64. iSh US Aggregate Bond Idx K	A	Int./Div.	K	T					
65. PGIM Total Return Bond R6	A	Int./Div.	L	T	Buy (add'l)	12/18/19	J		
66. Vanguard LifeStrategy Moderate Growth Fund	B	Int./Div.	M	T	Buy (add'l)	06/28/19	J		
67.					Buy (add'l)	12/27/19	J		
68. Janus Henderson Enterprise N	B	Int./Div.	K	T	Buy (add'l)	12/17/19	J		

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69. MFS Mid Cap Value R5	A	Int./Div.	L	T	Buy (add'l)	12/12/19	J		
70. American Beacon SC Value Inst.	A	Int./Div.	K	T					
71. American Funds New Perspectives	A	Int./Div.	L	T	Buy (add'l)	12/20/19	J		
72. Oppenheimer International Growth I		None			Sold	05/13/19	J		
73. iShares MSCI EAFE Int'l Idx K	A	Dividend	K	T	Buy (add'l)	12/12/19	J		
74. American Fund Europacific Growth	A	Int./Div.	K	T	Buy	05/13/19	J		
75. Mortgage held on formerly owned rental property listed in 1 above	D	Rent	N	U					
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.									
87.									
88.									
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									
99.									
100.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 19 to 41 have been reordered to match the order in which they appear on the account statements for USAA Brokerage S/D IRA rollover.

Part VII, lines 19 and 20. The funds USAXX and UATXX, which are part of USAA - Brokerage S/D IRA rollover (line 18), were not previously reported due to inadvertent error.

Part VII, lines 43 and 50. The funds USAA IRA "bank deposit" (line 43) and Hartford Schrodgers Emrg. Mrkts Eqty Cl1 (line 50), which are part of USAA Federal Savings Bank Roth BDA (Line 42), were not previously reported due to inadvertent error.

Part VII throughout. Trading symbols have been added where provided in account statements.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ramon E. Reyes, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544