

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Jr., Stephen B.	2. Court or Organization United States District Court, Southern District of Iowa	3. Date of Report 11/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address United States Courthouse 131 E. 4th Street Davenport, IA 52801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010	State of Iowa Judicial Retirement System, defined benefit pension, no control
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed professional organizer
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. U.S. Bank	Mortgage on vacation/rental property, [REDACTED] (Part VII, Line 1)	M
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vacation/rental property, (2013 \$217,000)	D	Rent	M	R					
2. U.S. Bank Accounts	A	Interest	K	T					
3. IRA #1 (H)									
4. - iShares MSCI Equal Weighted ETF	A	Dividend	K	T	Buy (add'l)	10/03/19	J		
5. - iShares US Treasury Bond ETF	A	Dividend	L	T	Buy (add'l)	01/24/19	J		
6.					Buy (add'l)	04/11/19	K		
7.					Buy (add'l)	07/05/19	J		
8.					Buy (add'l)	10/03/19	J		
9.					Buy (add'l)	12/23/19	J		
10. - iShares Short Maturity Bond ETF	A	Dividend			Sold (part)	01/22/19	J		
11.					Sold (part)	04/11/19	J	A	
12.					Sold (part)	07/05/19	J	A	
13.					Sold	10/03/19	J	A	
14. - iShares MSCI USA Quality ETF	A	Dividend	K	T					
15. - iShares MSCI USA Value ETF	A	Dividend	K	T	Sold (part)	12/23/19	J	A	
16. - iShares MSCI USA Momentum ETF	A	Dividend	K	T	Sold (part)	04/11/19	J		
17. - iShares MSCI Emerging Markets ETF	A	Dividend	K	T	Buy (add'l)	12/23/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - iShares Investment Grade Bond ETF	B	Dividend	K	T	Buy (add'l)	01/23/19	J		
19.					Sold (part)	07/05/19	J	A	
20.					Sold (part)	10/03/19	J	A	
21. - iShares US Fixed Income ETF	A	Dividend			Sold	01/22/19	K		
22. - iShares JP Morgan Emerging Markets Bond ETF	A	Dividend			Sold	04/11/19	J	A	
23. - iShares MSCI International ETF	A	Distribution	K	T	Buy (add'l)	01/22/19	J		
24.					Buy (add'l)	07/05/19	J		
25. - iShares MSCI USA Small Cap ETF	A	Dividend	J	T	Sold (part)	04/11/19	J		
26. - iShares Trust Mortgage Backed Securities ETF	A	Dividend	K	T	Buy	04/11/19	J		
27.					Buy (add'l)	07/05/19	J		
28. - TD Ameritrade FDIC Insured Deposit Account - cash	A	Dividend	J	T					
29. IRA #2 (H)									
30. - iShares MSCI Equal Weighted ETF	A	Dividend	J	T	Buy (add'l)	10/03/19	J		
31. - iShares US Treasury Bond ETF	A	Dividend	K	T	Buy (add'l)	01/24/19	J		
32.					Buy (add'l)	04/11/19	J		
33.					Buy (add'l)	07/05/19	J		
34.					Buy (add'l)	10/03/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$500,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - iShares Short Maturity Bond ETF	A	Dividend			Sold (part)	01/22/19	J		
36.					Sold (part)	04/11/19	J	A	
37.					Sold (part)	07/05/19	J	A	
38.					Sold	10/03/19	J	A	
39. - iShares MSCI USA Quality ETF	A	Dividend	K	T					
40. - iShares MSCI USA Value ETF	A	Dividend	K	T					
41. - iShares MSCI USA Momentum ETF	A	Dividend	K	T	Sold (part)	04/11/19	J		
42. - iShares MSCI Emerging Markets ETF	A	Dividend	J	T					
43. - iShares Investment Grade Bond ETF	A	Dividend	J	T	Buy (add'l)	01/23/19	J		
44.					Sold (part)	07/05/19	J	A	
45.					Sold (part)	10/03/19	J	A	
46. - iShares US Fixed Income ETF	A	Dividend			Sold	01/22/19	J		
47. - iShares JP Morgan Emerging Markets Bond ETF	A	Dividend			Sold	04/11/19	J	A	
48. - iShares MSCI International ETF	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
49.					Buy (add'l)	07/05/19	J		
50. - iShares MSCI USA Small Cap ETF	A	Dividend	J	T	Sold (part)	04/11/19	J		
51. - iShares Trust Mortgage Backed Securities ETF	A	Dividend	J	T	Buy	04/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	07/05/19	J		
53. - TD Ameritrade FDIC Insured Deposit Account - cash	A	Dividend	J	T					
54. IRA #3 (H)									
55. - iShares MSCI Equal Weighted ETF	A	Dividend	J	T					
56. - iShares US Treasury Bond ETF	A	Dividend	J	T	Buy	01/24/19	J		
57.					Buy (add'l)	04/11/19	J		
58. - iShares Short Maturity Bond ETF	A	Dividend			Sold (part)	01/22/19	J		
59.					Sold	10/03/19	J	A	
60. - iShares MSCI USA Quality ETF	A	Dividend	J	T					
61. - iShares MSCI USA Value ETF	A	Dividend	J	T					
62. - iShares MSCI USA Momentum ETF	A	Dividend	J	T					
63. - iShares MSCI Emerging Markets ETF	A	Dividend	J	T					
64. - iShares Investment Grade Bond ETF	A	Dividend			Sold	07/05/19	J	A	
65. - iShares MSCI International ETF	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
66. - iShares MSCI USA Small Cap ETF	A	Dividend	J	T					
67. - iShares Trust Mortgage Backed Securities ETF	A	Dividend	J	T	Buy	07/05/19	J		
68. - TD Ameritrade FDIC Insured Deposit Account - cash (X)	A	Dividend	J	T					

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. TIAA CREF 457(b) (H)									
70. - TIAA CREF Lifecycle 2030	A	Dividend	J	T					
71. TIAA CREF 401(a) (H)									
72. - TIAA CREF S&P 500 Index	A	Dividend	J	T					
73. 529 Plan #1 (H)									
74. - BlackRock Aggressive Growth - A	A	Dividend	J	T					
75. - BlackRock College Enrollment - A	A	Dividend	J	T					
76. 529 Plan #2 (H)									
77. - American Funds U.S. Gov't Money Market	A	Dividend			Sold (part)	07/11/19	J		
78.					Sold (part)	07/11/19	K		
79.					Sold (part)	12/09/19	J		
80.					Sold	12/09/19	J		
81. 529 Plan #3 (H)									
82. -Ohio Tuition Trust Fifth Third Cash Account	A	Int./Div.			Sold	12/09/19	K		
83. Trust #1 (Beneficiary) (H)									
84. - Lincoln Universal Life Insurance	B	Interest	K	T					
85. Trust #2 (Beneficiary) (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - Transamerica Universal Life Insurance	C	Interest	M	T					
87. Investment Account (H)									
88. - DFA Global Alloc. 60/40	A	Dividend	J	T					
89. - iShares Russell 1000 ETF	A	Dividend	J	T	Buy (add'l)	12/05/19	J		
90.									
91.									
92.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII - Investments and Trusts:

ETF is abbreviation for Exchange Traded Funds

DFA is abbreviation for Dimensional Fund Advisors

MSCI is abbreviation for Morgan Stanley Composite Index

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Stephen B. Jackson, Jr.,**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544