

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>FELDMAN, MARTIN L.C.                                                                                                                 | <b>2. Court or Organization</b><br><br>EASTERN DISTRICT OF LOUISIANA                                                                                                                                                                                                                     | <b>3. Date of Report</b><br><br>08/01/2015                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Active U S DISTRICT JUDGE                                                     | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2014<br>to<br>12/31/2014 |
| <b>7. Chambers or Office Address</b><br><br>500 POYDRAS STREET, Rm. 555<br>NEW ORLEANS, LA 70130-3313                                                                                                     |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

FELDMAN, MARTIN L.C.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u>  | <u>SOURCE AND TYPE</u>    | <u>INCOME</u><br>(yours, not spouse's) |
|--------------|---------------------------|----------------------------------------|
| 1. 1/07/2014 | Rollover IRA Distribution | \$842.84                               |
| 2.           |                           |                                        |
| 3.           |                           |                                        |
| 4.           |                           |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Home Life Ins. Co.                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. FHLMC CMO SER 1505-CL Q                                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. FHLMC #1505 CL-Q, [REDACTED]                                                                                             | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Helios Strategic Income                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/14/14                | J                               |                                |                                                                   |
| 5. Senior Housing Properties 0403                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. NexPoint Credit Strategies                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Equity Commonwealth Com. Sh<br>Ben.Int.,formerly Commonwealth REIT                                                       | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. GNMA #1924 JUMBO                                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Ocean Energy Notes                                                                                                       | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Virtus Total Return Fd                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. FNMA CMO SER 1990-78                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. FNMA CMO SER 1992-195                                                                                                   | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Alliance Bernstein, [REDACTED]                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. FNMA #1990-78 CL-J, [REDACTED]                                                                                          | B                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Citigroup                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. GNMA #2005-5 ea                                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Blackrock FLTG Rate Inc. Strategies Fd II                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                     |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A –\$1,000 or less<br>F –\$50,001 - \$100,000                                       | B –\$1,001 - \$2,500<br>G –\$100,001 - \$1,000,000   | C –\$2,501 - \$5,000<br>H1 –\$1,000,001 - \$5,000,000                                  | D –\$5,001 - \$15,000<br>H2 –More than \$5,000,000         | E –\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J –\$15,000 or less<br>N –\$250,001 - \$500,000<br>P3 –\$250,000,001 - \$50,000,000 | K –\$15,001 - \$50,000<br>O –\$500,001 - \$1,000,000 | L –\$50,001 - \$100,000<br>P1 –\$1,000,001 - \$5,000,000<br>P4 –More than \$50,000,000 | M –\$100,001 - \$250,000<br>P2 –\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q –Appraisal<br>U –Book Value                                                       | R –Cost (Real Estate Only)<br>V –Other               | S –Assessment<br>W –Estimated                                                          | T –Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                           |   |              |   |   |      |          |   |   |  |
|-------------------------------------------|---|--------------|---|---|------|----------|---|---|--|
| 18. Blackrock Ltd Duration Income Trust   | B | Dividend     | K | T |      |          |   |   |  |
| 19. Shoretel, Inc.                        | A | Dividend     | J | T |      |          |   |   |  |
| 20. Archer, Ltd                           | A | Dividend     | J | T |      |          |   |   |  |
| 21. Helios Advantage Income Fd            | A | Dividend     |   |   | Sold | 08/14/14 | J |   |  |
| 22. Bank of America                       | A | Dividend     | J | T |      |          |   |   |  |
| 23. RAIT Financial Trust -                | A | Int./Div.    | J | T |      |          |   |   |  |
| 24. Chase Bank                            | A | Interest     | K | T |      |          |   |   |  |
| 25. Eaton Vance Ltd. Duration Income Fund | B | Dividend     | K | T |      |          |   |   |  |
| 26. Colonial Bancgroup Inc.               | A | Dividend     |   |   | Sold | 04/22/14 | J | A |  |
| 27. FNMA1993-82H,                         | A | Interest     | J | T |      |          |   |   |  |
| 28. Proshares Ultra Financials-IRA        | A | Distribution | J | T |      |          |   |   |  |
| 29. Healthways, Inc.-IRA                  | A | Dividend     | J | T |      |          |   |   |  |
| 30. Enterprise Product Partners LP        | A | Distribution | J | T |      |          |   |   |  |
| 31. Energy Transfer Equity (X)            | A | Distribution | J | T | Open | 01/27/14 | J |   |  |
| 32. Bunge LTD Finance Co. 8.5%            | B | Interest     |   |   | Sold | 05/30/14 | K | C |  |
| 33. Triangle Capital Corporation          | B | Dividend     | K | T |      |          |   |   |  |
| 34. Partnerre Fin A LLC 6.75%             | A | Interest     |   |   | Sold | 05/30/14 | J | B |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. GNMA CMO Series 2005-51 CL-MC 0403                                                                                      | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/22/14                | J                               | A                              |                                                                   |
| 36. Blackrock Enhanced Equity Trust, formerly<br>Blackrock Dividend Achievers                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. Five Star Quality Care                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 38. Quicksilver Resources                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. Frontier Communications                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 40. Surburban Propane Partnership LP                                                                                        | A                                       | Distribution                                  | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. FHLMC CMO Series 3699 LA                                                                                                | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. Eaton Vance Insured Municipal Bond Fund                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. FHLMC CMO Series 3772 NA                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. Medical Properties Trust Inc.                                                                                           | B                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 45. GNMA CMO SERIES 2011-21 BA                                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. Fidus Investment Corporation                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Blackrock Corporate High Yield Fund VI                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 48. Nuveen Preferred Income                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Lexington Corporate Properties Trust REIT<br>0403                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. Aegon NV 8% Note                                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. Heska                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/18/14                | K                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
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| 52. FNMA CMO Series 2012-120QA                                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Endurance Specialty                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/18/14                | J                               | C                              |                                                                   |
| 54. FHLMC CMO 3997 BE - [REDACTED]                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. FNMA CMO 2012-152 TA [REDACTED]                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. Walgreens Boots Alliance Inc., formerly<br>Walgreen Co. # [REDACTED]                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 12/31/14                | J                               | C                              |                                                                   |
| 57. Spyglass Res Corporation [REDACTED]                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Fifth Street Finance Corp. [REDACTED]                                                                                   | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Blackstone Group LP [REDACTED]                                                                                          | A                                       | Distribution                                  |                                                 |                                           | Sold<br>(part)                                  | 05/30/14                | J                               | B                              |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/02/14                | J                               | B                              |                                                                   |
| 61. Banco Santander SA ADR [REDACTED]                                                                                       | A                                       | Int./Div.                                     |                                                 |                                           | Open                                            | 02/14/14                | J                               |                                |                                                                   |
| 62.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/14/14                | J                               | A                              |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/29/14                | K                               | C                              |                                                                   |
| 64. Eagle Class-JPMorgan Prime MM [REDACTED]                                                                                | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. Raymond James Bank Deposit Program,<br>IRA                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. Verizon                                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/29/14                | J                               | A                              |                                                                   |
| 67. MSC Indl. Direct Inc. Class A [REDACTED]                                                                                | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/29/14                | K                               | A                              |                                                                   |
| 68. ABBVIE, Inc.                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 09/19/14                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

FELDMAN, MARTIN L.C.

Date of Report

08/01/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/14                | J                               | C                              |                                                                   |
| 70. Abbott Labs                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. Banco Santander SA ADR                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Open                                            | 02/14/14                | J                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/14/14                | J                               | A                              |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/24/14                | J                               | A                              |                                                                   |
| 74. Baxter Intl. Inc.                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 10/01/14                | J                               |                                |                                                                   |
| 75. Catamaran Corporation                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/04/14                | J                               | A                              |                                                                   |
| 76. Fifth Street Finance                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. Genesee & WYP Inc.                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/10/14                | K                               | A                              |                                                                   |
| 78. Sonoco Products Company                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/03/14                | J                               | A                              |                                                                   |
| 79. Blackstone Group LP                                                                                                     | A                                       | Distribution                                  | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. Campus Crest Comntys. Inc. REIT                                                                                         | A                                       | Distribution                                  | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. GNMA Remic Trust 3.5% 2013-104                                                                                          | B                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. Sabra Healthcare REIT                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. Eagle Class-JPMorgan Prime Money Market                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. Dean Foods Company New                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/13/14                | J                               | A                              |                                                                   |
| 85. GNMA 2013-103                                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

FELDMAN, MARTIN L.C.

Date of Report

08/01/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                      |   |              |   |   |                |          |   |   |  |
|--------------------------------------|---|--------------|---|---|----------------|----------|---|---|--|
| 86. Crestwood Equity Partners LP     | A | Distribution | J | T |                |          |   |   |  |
| 87. Crestwood Midstream Partners LP  | A | Distribution | J | T |                |          |   |   |  |
| 88. Fannie Mae 8.25% Pfd. [REDACTED] | A | Int./Div.    | J | T |                |          |   |   |  |
| 89. Omega Healthcare Invs. Inc. REIT | A | Dividend     | J | T | Buy            | 01/27/14 | J |   |  |
| 90.                                  |   |              |   |   | Buy<br>(add'l) | 03/14/14 | J |   |  |
| 91. Analog Devices Inc.              | A | Dividend     |   |   | Buy            | 02/14/14 | J |   |  |
| 92.                                  |   |              |   |   | Sold           | 08/28/14 | J | A |  |
| 93. KKR & Company LP                 | A | Distribution | J | T | Buy            | 03/19/14 | J |   |  |
| 94.                                  |   |              |   |   | Buy<br>(add'l) | 07/14/14 | K |   |  |
| 95. Cisco Systems Inc.               | A | Dividend     |   |   | Buy            | 03/21/14 | J |   |  |
| 96.                                  |   |              |   |   | Sold           | 05/05/14 | J | A |  |
| 97. CM FIN Inc.                      | A | Dividend     |   |   | Buy            | 05/15/14 | J |   |  |
| 98.                                  |   |              |   |   | Sold           | 12/16/14 | J | A |  |
| 99. Memorial Production Partners LP  | A | Distribution | J | T | Buy            | 06/04/14 | J |   |  |
| 100. Markwest Energy Group LP        | A | Distribution |   |   | Buy            | 06/05/14 | J |   |  |
| 101.                                 |   |              |   |   | Sold           | 09/02/14 | J | C |  |
| 102. Principal Financial Group       | A | Dividend     |   |   | Buy            | 06/09/14 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FELDMAN, MARTIN L.C.

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/01/14                | J                               | A                              |                                                                   |
| 104. Blue CAP Reins. Holdings Ltd. Bermuda                                                                                  | A                                       | Distribution                                  |                                                 |                                           | Buy                                             | 06/23/14                | J                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 07/30/14                | J                               | A                              |                                                                   |
| 106. Alcentra CAP Corp.                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/02/14                | J                               |                                |                                                                   |
| 107. Monmouth Real Estate Inv. Corp. Class A REIT                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/21/14                | J                               |                                |                                                                   |
| 108. GNMA Remic Trust 2013-131 KQ 3.5%                                                                                      | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 07/22/14                | J                               |                                |                                                                   |
| 109. FNMA Remic Trust 2014-49 PU 3.0%                                                                                       | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 07/30/14                | K                               |                                |                                                                   |
| 110. Brookfield High Income Fund Inc.                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Spinoff<br>(from line 4)                        | 08/14/14                | J                               |                                |                                                                   |
| 111.                                                                                                                        |                                         |                                               |                                                 |                                           | Spinoff<br>(from line 21)                       | 08/14/14                | J                               |                                |                                                                   |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/20/14                | J                               | A                              |                                                                   |
| 113. B&G Foods Inc.                                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 08/21/14                | J                               |                                |                                                                   |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/07/14                | J                               | A                              |                                                                   |
| 115. General Electric Company                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/04/14                | J                               |                                |                                                                   |
| 116. Blackrock Muni Income TR II                                                                                            | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 10/09/14                | J                               |                                |                                                                   |
| 117. Apartment Invt. & Management Company Class A REIT                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/16/14                | J                               |                                |                                                                   |
| 118. Stellus Capital Invt. Corporation                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/31/14                | J                               |                                |                                                                   |
| 119.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/15/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. HCP Inc. REIT                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/04/14                | J                               |                                |                                                                   |
| 121. Agree Realty Corporation                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/09/14                | J                               |                                |                                                                   |
| 122. Alcentra Cap. Corporation                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/23/14                | J                               |                                |                                                                   |
| 123. Eagle Class-JPMorgan Prime MM                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/01/14                | J                               |                                |                                                                   |
| 124. Columbia Bkg. Systems Inc.                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/07/14                | J                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 04/02/14                | J                               | A                              |                                                                   |
| 126. Manning & Napier Inc. Class A                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/07/14                | J                               |                                |                                                                   |
| 127.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/11/14                | J                               | A                              |                                                                   |
| 128. Calumet Specialty Products Partners<br>Ltd                                                                             | A                                       | Distribution                                  |                                                 |                                           | Buy                                             | 04/14/14                | J                               |                                |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/28/14                | J                               |                                |                                                                   |
| 130.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/11/14                | K                               | A                              |                                                                   |
| 131. Two HBRS Inv. Corporation REIT                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/27/14                | K                               |                                |                                                                   |
| 132. Catchmark Timber Trust Inc. Class A REIT                                                                               | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 07/16/14                | J                               |                                |                                                                   |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 07/31/14                | J                               | A                              |                                                                   |
| 134. Sandridge Permian Tr. Com. Unit Ben.<br>Int                                                                            | A                                       | Distribution                                  | J                                               | T                                         | Buy                                             | 08/29/14                | J                               |                                |                                                                   |
| 135. Babson Cap Glb. DURHGH Yield                                                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/09/14                | K                               |                                |                                                                   |
| 136. Brookfield Mtge Opp. Income Fund                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/14/14                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 137. HCP Inc. REIT                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 11/06/14                | J                               |                                |                                                                   |
| 138. Huntington Bancshares Inc.                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/11/14                | J                               |                                |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 04/17/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FELDMAN, MARTIN L.C.

Date of Report

08/01/2015

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 31 - There was a transfer in of an additional 150 units received as a distribution from the limited partnership.

Lines 61 and 71 - Two different investment accounts held this same security. Each received additional shares as a dividend distribution and fractional shares were sold.

Line 81 - added language to asset description that was not available on prior statement.

Line 65 - Cash account in IRA investment account changed from Money Market to a sweep account.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FELDMAN, MARTIN L.C.

Date of Report

08/01/2015

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARTIN L.C. FELDMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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One Columbus Circle, N.E.  
Washington, D.C. 20544