

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLLANDER, ELLEN L.	2. Court or Organization UNITED STATES DISTRICT COURT, DISTRICT OF MARYLAND	3. Date of Report 02/08/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address UNITED STATES COURTHOUSE CHAMBERS OF ELLEN LIPTON HOLLANDER 101 W. LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2. BOARD MEMBER	LIBRARY COMPANY OF THE BALTIMORE BAR
3. BOARD MEMBER	MARYLAND CHAPTER OF THE FEDERAL BAR ASSOCIATION
4. FIRST VICE PRESIDENT	DISTRICT JUDGES ASSOCIATION OF THE FOURTH CIRCUIT
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989-2019	RETIREMENT SYSTEM FOR JUDGES OF THE STATE OF MARYLAND
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	MARYLAND STATE RETIREMENT AGENCY	\$114,089.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	SELF-EMPLOYED-ADVERTISING/MARKETING
2. 2019	PENSION - THE HEARST CORP BROADCAST RETIREMENT PLAN
3. 2019	SELF EMPLOYED-ROYALTIES (AUTHOR)
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. NORTHWESTERN MUTUAL LIFE INSURANCE	LOAN AGAINST CASH VALUE OF POLICY	K
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. WHOLE LIFE INSURANCE POLICY: (H)									
2. NORTHWESTERN MUTUAL POLICY - CASH VALUE	C	Int./Div.	N	T					
3. CASH ACCOUNTS: (H)									
4. M&T BANK	A	Interest	J	T					
5. BANK OF AMERICA	A	Interest	J	T					
6. US BANK - MORTGAGE ESCROW ACCOUNT	A	Interest	J	T					
7. JP MORGAN CHASE BANK, N.A.	A	Interest	K	T					
8. BROKERAGE & PERSONAL HOLDINGS: (H)									
9. WELLS FARGO ADVANTAGE TREASURY PLUS MM FUND	A	Int./Div.	J	T					
10. INSURED DEPOSIT PROGRAM (Y)	A	Interest	J	T					
11. MERRILL LYNCH BANK DEPOSIT	A	Interest	J	T	Sold (part)	05/06/19	K		
12. AO SMITH CORP	A	Dividend			Sold	04/04/19	J	A	
13. ALPHABET INC-CLASS C		None	K	T					
14. AMAZON.COM INC.		None	K	T					
15. AMERICAN TOWER CORP	A	Dividend	K	T					
16. ANSYS INC.-COMMON		None	L	T					
17. BIO-TECHNE CORP	A	Dividend	J	T	Buy	01/09/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. BLACKBAUD, INC.	A	Dividend			Sold	04/30/19	J	C	
19. BLACKLINE, INC.		None	K	T	Buy (add'l)	07/22/19	J		
20. BROOKS AUTOMATION, INC.	A	Dividend	K	T	Buy (add'l)	08/02/19	J		
21.					Buy (add'l)	09/25/19	J		
22. CARMAX, INC.		None	K	T					
23. CBRE GROUP, INC.		None	K	T					
24. CELGENE CORP-COMMON		None			Sold (part)	03/29/19	K	C	
25.					Sold	04/01/19	J	C	
26. CISCO SYSTEMS, INC.-COMMON	A	Dividend	J	T					
27. COMCAST, INC-COMMON	A	Dividend	J	T					
28. CONSOLIDATED EDISON-COMMON	A	Dividend	J	T					
29. COSTAR GROUP, INC.		None	J	T					
30. DANAHER CORP DEL COM	A	Dividend	K	T	Buy (add'l)	04/18/19	J		
31.					Buy (add'l)	04/29/19	J		
32. ECOLAB, INC-COMMON	A	Dividend	K	T					
33. ENVESTNET, INC-COMMON		None	J	T					
34. FASTENAL CO - COMMON	A	Dividend	K	T					

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35. HEALTHCARE SERVICES GROUP, INC.	A	Dividend			Sold	11/08/19	J	A	
36. ILLUMINA, INC.		None	K	T	Buy (add'l)	08/13/19	J		
37. INTEL CORP - COMMON	A	Dividend	J	T	Sold (part)	10/11/19	J	B	
38. IBM - COMMON	D	Dividend	M	T					
39. INTUITIVE SURGICAL, INC.		None	K	T					
40. J&J - COMMON	A	Dividend	J	T					
41. LKQ CORP - COMMON		None			Sold (part)	03/11/19	J	B	
42.					Sold	03/15/19	J	C	
43. MARKEL CORP - COMMON		None	K	T	Buy (add'l)	04/30/19	J		
44. MASTERCARD, INC - CL A	A	Dividend	J	T					
45. MOODYS CORP	A	Dividend	K	T					
46. PAYCHEX, INC. - COMMON	A	Dividend	J	T					
47. PROS HOLDINGS, INC.		None	J	T					
48. QUALCOMM, INC. - COMMON	A	Dividend	K	T	Sold (part)	10/11/19	J	C	
49. QUALYS INC - COMMON		None	J	T					
50. RED HAT, INC.		None			Sold	07/10/19	K	E	
51. ROPER INDUSTRIES - COMMON	A	Dividend	K	T					

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52. S & P GLOBAL, INC.	A	Dividend	K	T					
53. SEI INVESTMENTS CO - COMMON	A	Dividend	J	T					
54. THERMO FISHER SCIENTIFIC INC.	A	Dividend	J	T	Buy	02/01/19	J		
55. TRANSDIGM GROUP, INC. - COMMON	A	Dividend	J	T					
56. TRIMBLE NAVIGATION - COMMON		None	J	T	Sold (part)	04/29/19	J	D	
57. TWILIO, INC.		None	J	T	Buy	11/08/19	J		
58. TYLER TECHNOLOGIES, INC.		None	K	T					
59. VERISK ANALYTICS	A	Dividend	K	T					
60. VISA, INC. - COMMON	A	Dividend	L	T					
61. VULCAN MATERIALS CO	A	Dividend	K	T	Buy	02/13/19	J		
62.					Buy (add'l)	04/30/19	J		
63. WASTE CONNECTIONS, INC.	A	Dividend	J	T					
64. WATSCO, INC. - COMMON	A	Dividend	J	T					
65. U.S.TREASURY BILLS	A	Interest			Buy	05/06/19	K		
66.					Matured	08/08/19	K	A	
67. U.S. TREASURY BILLS	A	Interest	K	T	Buy	08/05/19	K		
68. AMERICAN FUNDS -AMERICAN BALANCED FD INC SHS F-2CL	A	Dividend	J	T					

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69. AMERICAN FUNDS-GROWTH FUND OF AMERICA CL F-2	A	Dividend	J	T					
70. BLACKROCK STRATEGIC MUNI OPPORTUNITIES FND-INST CL	A	Dividend	J	T					
71. DODGE & COX GLOBAL STOCK FUND	A	Dividend	J	T					
72. FIRST EAGLE GLOBAL FUND, CLASS I (FORMERLY CL A)	A	Dividend	J	T					
73. HARTFORD MUTUAL FUNDS-HARTFORD MIDCAP I	A	Dividend	J	T					
74. IVY MID CAP INCOME OPPORTUNITIES FUND CLASS I	A	Dividend	J	T					
75. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
76. MFS SER TR I VALUE FD CL I	A	Dividend	J	T					
77. MORGAN STANLEY INSTL FD INC GLOBAL GRW I		None	J	T					
78. PRIMECAP ODYSSEY FUNDS ODYSSEY STK FD	A	Dividend	J	T					
79. VICTORY PORTFOLIOS SMALL CO OPP I	A	Dividend	J	T					
80. IRA ACCOUNT #1: (H)									
81. DEUTSCHE BANK INS DEPOSIT	A	Int./Div.	J	T					
82. ARTISAN GLOBAL VALUE FUND INSTITUTIONAL CLASS		None	K	T	Buy	12/30/19	K		
83. BLACKROCK MIDCAP GROWTH EQUITY INSTITUTIONAL FUND		None	J	T	Buy	12/30/19	J		
84. DODGE & COX GLOBAL STOCK FND	B	Dividend			Sold	12/30/19	K	C	
85. FIRST EAGLE GLOBAL FUND I	B	Dividend	K	T					

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86. HARBOR CAPITAL APPRECIATION FUND	B	Dividend			Sold	12/30/19	K	C	
87. IVY MID CAP INCOME OPPORTUNITIES FUND	A	Dividend	J	T	Sold (part)	12/30/19	J	A	
88. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
89. MFS VALUE FUND CLASS I	A	Dividend	K	T	Sold (part)	11/27/19	J	A	
90. MSIF GLOBAL GROWTH OPPORTUNITY PORTFOLIO CL I		None	K	T	Sold (part)	11/27/19	J	A	
91. PIMCO INCOME FUND INSTITUTIONAL CLASS	B	Dividend			Sold	12/30/19	K		
92. PRIMECAP ODYSSEY STOCK FUND	B	Dividend	K	T	Sold (part)	11/27/19	J	A	
93. PGIM STRATEGIC BOND FUND CLASS Z		None	K	T	Buy	12/30/19	K		
94. PRINCIPAL BLUE CHIP FUND CLASS I		None	K	T	Buy	12/30/19	K		
95. VIRTUS GLOBAL REAL ESTATE SECURITIES FD CL A (Y)									
96. VIRTUS DUFF & PHELPS GLOBAL REAL ESTATE SECURITY FUND CLASS I (x)	A	Dividend	J	T					
97. PRINCIPAL FDS INC MIDCAP GROWTH I (formerly Midcap Blend)	A	Dividend			Sold	12/30/19	J	C	
98. VICTORY PORTFOLIOS SMALL CO OPP I	A	Dividend	J	T					
99. IRA ACCOUNT #2: (H)									
100. DEUTSCHE BANK INS DEPOSIT	A	Int./Div.	J	T					
101. ARTISAN GLOBAL VALUE FUND INSTITUTIONAL CLASS	A	Dividend	J	T	Buy	06/26/19	J		

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102. BLACKROCK MIDCAP GROWTH EQUITY INSTITUTIONAL FUND	A	Dividend	J	T	Buy	06/26/19	J		
103. DODGE & COX GLOBAL STOCK FUND		None			Sold	06/26/19	J	A	
104. FIRST EAGLE FDS INC - GLOBAL FUND CL I	A	Dividend	J	T					
105. HARBOR CAPITAL APPRECIATION FD	A	Dividend			Sold	06/26/19	J	B	
106. IVY MID CAP INCOME OPPORTUNITIES FUND	A	Dividend	J	T					
107. MFS NEW DISCOVERY VALUE FUND CLASS I	A	Dividend	J	T					
108. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
109. MFS VALUE FUND CLASS I	A	Dividend	J	T					
110. MSIF GLOBAL GROWTH OPPORTUNITY PORTFOLIO FD CL I		None	J	T					
111. PIMCO INCOME FUND INSTITUTIONAL CLASS	A	Dividend	J	T					
112. PRIMECAP ODYSSEY STOCK FUND	A	Dividend	J	T					
113. PRINCIPAL BLUE CHIP FUND CLASS I	A	Dividend	J	T	Buy	06/26/19	J		
114. PRINCIPAL FDS INC MIDCAP GROWTH I (formerly Midcap Blend)		None			Sold	06/26/19	J	B	
115. VIRTUS GLOBAL REAL ESTATE SECURITIES (y)									
116. VIRTUS DUFF & PHELPS GLOBAL REAL ESTATE SECURITY FUND CLASS I (x)	A	Dividend	J	T					
117. EDUCATION SAVINGS 529 PLANS: (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
118. MD COLLEGE INVESTMENT PLANS- PORTFOLIO FOR COLLEGE 2030 (ACCT 1)		None	J	T	Buy (add'l)	12/05/19	J		
119. MD COLLEGE INVESTMENT PLANS- PORTFOLIO FOR COLLEGE 2030 (ACCT 2)		None	J	T	Buy (add'l)	12/05/19	J		
120. MD COLLEGE INVESTMENT PLANS- PORTFOLIO FOR COLLEGE 2030 (ACCT 3)		None	J	T	Buy (add'l)	12/05/19	J		
121. MD COLLEGE INVESTMENT PLANS- PORTFOLIO FOR COLLEGE 2036 (ACCT 4)		None	J	T	Buy (add'l)	12/05/19	J		
122. MD COLLEGE INVESTMENT PLANS- PORTFOLIO FOR COLLEGE 2033 (ACCT 5)		None	J	T	Buy (add'l)	12/05/19	J		
123. MD COLLEGE INVESTMENT PLANS- PORTFOLIO FOR COLLEGE 2033 (ACCOUNT 6)		None	J	T	Buy (add'l)	12/05/19	J		
124.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

02/08/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ORIGINAL FILING COMMENTS:

PART VII. INVESTMENTS AND TRUSTS:

ROWS 95 & 96, AND 115 & 116. VIRTUS GLOBAL REAL ESTATE SECURITIES FD CL A BECAME VIRTUS DUFF & PHELPS GLOBAL REAL ESTATE SECURITIES FUND CLASS I DURING 2019. NO OTHER REPORTABLE SALE/PURCHASE TRANSACTIONS.

AMENDED FILING COMMENTS:

PART VII. INVESTMENTS AND TRUSTS (AMENDMENTS):

ROW 10. ROW 10 ORIGINALLY REPORTED IN COLUMN A "DEUTSCHE BANK MONEY MARKET." UPON REVIEW, IT HAS BEEN DETERMINED THAT THE VALUE OF THE ACCOUNT WAS DE MINIMUS AND BELOW THE REPORTING THRESHOLD DURING THE REPORTING PERIOD. THEREFORE, "Y" HAS BEEN INSERTED AT THE END OF THIS ASSET DESCRIPTION IN COLUMN A. FURTHER, IT WAS DETERMINED ON REVIEW THAT THE ABBREVIATED DESCRIPTION PROVIDED ON BROKERAGE STATEMENTS ACTUALLY MEANT THAT THE ASSETS IN THIS ROW WERE COMPRISED OF CASH HELD IN AN FDIC-INSURED DEPOSIT SWEEP ACCOUNT PROGRAM ADMINISTERED BY A SUBSIDIARY OF DEUTSCHE BANK, RATHER THAN A DEUTSCHE BANK MONEY MARKET MUTUAL FUND. SUBSEQUENTLY, CASH DEPOSITS HELD IN SWEEP ACCOUNTS WERE TRANSITIONED BY THE BROKERAGE FIRM TO ANOTHER PROGRAM, THE "INSURED DEPOSIT PROGRAM," WITH A DIFFERENT ADMINISTRATOR, TOTAL BANK SOLUTIONS. INCOME EARNED ON THE BALANCE HAS ALSO BEEN UPDATED TO REFLECT OPTION "INTEREST" (COLUMN B.2), RATHER THAN INT/DIV. AS INDICATED, THE AMOUNT OF CASH HELD IN THESE FDIC-INSURED DEPOSIT SWEEP ACCOUNT PROGRAMS WAS BELOW THE REPORTING THRESHOLD DURING THIS REPORTING PERIOD.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ELLEN L. HOLLANDER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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