

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gale, Joseph H.	2. Court or Organization U.S. Tax Court	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Tax Court 400 Second St., N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Gale, Joseph H.

Date of Report

08/13/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Gale, Joseph H.	08/13/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Gale, Joseph H.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vertex Pharmaceuticals, Inc. common stock		None	N	T					
2. Wells Fargo & Co. New common stock	A	Dividend	J	T					
3. Intel Corp. common stock	C	Dividend	M	T					
4. Cisco Systems, Inc. common stock	C	Dividend	L	T	Sold (part)	02/14/19	K	E	
5. Trust #1									
6. - Rental Property #1, Smithfield, VA \$225,600	E	Rent	M	S					
7. - Rental Property #2, Smithfield, VA \$220,000	E	Rent	M	S					
8. - CAIBX mutual fund	A	Dividend			Sold (part)	11/26/19	J	A	
9.					Sold	12/16/19	L	D	
10. - AMECX mutual fund	C	Dividend	L	T					
11. - Rental property #3, Smithfield, VA \$388,700	E	Rent	N	S					
12. Chevron Corp. common stock	C	Dividend	M	T					
13. Teradata Corp DEL common stock		None	J	T					
14. Invesco Van Kampen Hi Yield Mun. Fund Class A mut. fund	A	Int./Div.	J	T					
15. Achillion Pharma Inc. common stock		None	J	T					
16. Apple Inc. common stock	C	Dividend	N	T					
17. Exxon Mobil Corp. common stock	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Inherited IRA-Charles Schwab & Co., Inc.									
19. - Achillion Pharma Inc. common stock		None	J	T					
20. - Akamai Technologies common stock		None			Sold	06/10/19	K	E	.
21. - Alibaba Group Hldg FADR		None	L	T					
22. - Amazon Com. Inc. common stock		None	O	T	Buy	12/05/19	L		
23.					Buy	12/06/19	L		
24.					Buy	12/09/19	M		
25.					Buy	12/19/19	L		
26.					Buy	12/26/19	K		
27. - Chevron Corp. common stock		None	L	T	Buy	12/18/19	K		
28.					Buy	12/19/19	K		
29. - Cisco Systems Inc. common stock		None	N	T	Buy	12/05/19	K		
30.					Buy	12/06/19	L		
31.					Buy	12/09/19	L		
32.					Buy	12/17/19	M		
33.					Buy	12/24/19	K		
34. - Facebook Inc. Class A common stock		None	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Fire Eye Inc. common stock		None	K	T					
36. - Gilead Sciences Inc. common stock	A	Dividend	K	T					
37. - GoPro Inc. common stock		None	J	T					
38. - Sprint Corp. common stock		None	K	T	Buy	12/09/19	K		
39. - Schwab Value Advantage money market fund	D	Dividend	O	T	Buy	01/17/19	J		
40.					Buy	02/19/19	J		
41.					Buy	03/18/19	J		
42.					Buy	03/29/19	J		
43.					Buy	04/16/19	J		
44.					Buy	05/16/19	J		
45.					Buy	06/10/19	K		
46.					Buy	06/18/19	J		
47.					Buy	07/01/19	J		
48.					Buy	07/16/19	J		
49.					Buy	08/16/19	J		
50.					Buy	09/17/19	J		
51.					Buy	09/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy	10/16/19	J		
53.					Buy	11/20/19	J		
54.					Sold (part)	12/03/19	L		
55.					Sold (part)	12/05/19	L		
56.					Sold (part)	12/06/19	M		
57.					Sold (part)	12/17/19	L		
58.					Sold (part)	12/18/19	J		
59.					Sold (part)	12/19/19	K		
60.					Sold (part)	12/20/19	L		
61.					Sold	12/30/19	L		
62.	Truist Financial Corp. common stock	A	Dividend	K	T	12/09/19	J		See Note 1
63.	Gilead Sciences Inc. common stock	B	Dividend	K	T				
64.	Imperial TOB Gp PLC ADRF common stock	A	Dividend	J	T				
65.	Medtronic Inc. common stock	A	Dividend	K	T				
66.	Mondelez Int'l Inc. CLA common stock	A	Dividend		Sold	01/31/19	J	A	
67.	Sprint Corp common stock		None	J	T				
68.	SunTrust Banks Inc. common stock	A	Dividend		Merged (with line 62)	12/09/19	J	A	See Note 1

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Invesco High Yield Fund Class A mutual fund	A	Dividend	J	T					
70. American Fund Europacific mutual fund	A	Dividend	J	T					
71. American Fund Income Fund mutual fund	A	Dividend	J	T					
72. Charles Schwab & Co. Roth IRA									
73. - Amazon Com. Inc. common stock		None	J	T	Buy	12/30/19	J		
74. - Schwab Value Advantage money market fund	A	Dividend	J	T	Buy	01/15/19	J		
75.					Buy	02/15/19	J		
76.					Buy	03/15/19	J		
77.					Buy	04/15/19	J		
78.					Buy	05/15/19	J		
79.					Buy	06/17/19	J		
80.					Buy	07/15/19	J		
81.					Buy	08/15/19	J		
82.					Buy	09/16/19	J		
83.					Buy	10/15/19	J		
84.					Buy	11/15/19	J		
85.					Sold (part)	12/30/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy	12/31/19	J		
87. Charles Schwab & Co. Inherited IRA									
88. - Citigroup Inc. subordinated notes	A	Interest	J	T					
89. - AT&T Inc. common stock	A	Dividend	K	T	Buy	12/19/19	K		
90. - Bank of America Corp. common stock	A	Dividend	J	T					
91. - Facebook Inc. Class A common stock		None	K	T					
92. - Schwab Value Advantage money market fund	A	Dividend	J		Buy	02/05/19	J		
93.					Buy	02/19/19	J		
94.					Buy	03/18/19	J		
95.					Buy	04/01/19	J		
96.					Buy	05/01/19	J		
97.					Buy	05/02/19	J		
98.					Buy	05/16/19	J		
99.					Buy	06/18/19	J		
100.					Buy	07/01/19	J		
101.					Buy	07/16/19	J		
102.					Buy	08/02/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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P2 =\$5,000,001 - \$25,000,000

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103.					Buy	08/16/19	J		
104.					Buy	09/17/19	J		
105.					Buy	09/30/19	J		
106.					Buy	10/16/19	J		
107.					Buy	11/01/19	J		
108.					Buy	11/04/19	J		
109.					Buy	11/20/19	J		
110.					Sold (part)	12/05/19	J		
111.					Sold (part)	12/19/19	K		
112. Charles Schwab & Co. Rollover IRA									
113. - Fire Eye Inc. common stock		None	J	T					
114. - Amazon Com. Inc. common stock		None	L	T	Buy	12/30/19	L		
115. - Schwab Value Advantage money market fund	B	Dividend	L	T	Buy	01/10/19	J		
116.					Buy	01/17/19	J		
117.					Buy	02/19/19	J		
118.					Buy	03/18/19	J		
119.					Buy	04/17/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

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S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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120.					Buy	05/16/19	J		
121.					Buy	06/18/19	J		
122.					Buy	07/16/19	J		
123.					Buy	08/16/19	J		
124.					Buy	09/17/19	J		
125.					Buy	10/16/19	J		
126.					Buy	11/20/19	J		
127. British American Tobacco common stock	A	Dividend	J	T					
128. Dow Dupont common stock	A	Dividend			Redeemed	06/05/19	K		See Note 2
129. Corteva, Inc. common stock	A	Dividend	J	T	Distributed	06/03/19	J		See Note 2
130. Dow Inc. common stock	A	Dividend	J	T	Distributed	04/02/19	J		See Note 2
131. Dupont De Nemours common stock	A	Dividend	K	T	Distributed	06/03/19	K		See Note 2
132. FRDPX mutual fund	A	Dividend	K	T					
133. Schwab Value Advantage money market fund	D	Dividend	N	T	Sold (part)	01/07/19	J		
134.					Sold (part)	01/11/19	J		
135.					Buy	02/01/19	J		
136.					Sold (part)	02/06/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy	02/15/19	K		
138.					Sold (part)	03/06/19	J		
139.					Sold (part)	03/08/19	J		
140.					Sold (part)	03/13/19	J		
141.					Sold (part)	03/15/19	J		
142.					Buy	03/18/19	J		
143.					Sold (part)	03/19/19	K		
144.					Sold (part)	03/26/19	J		
145.					Sold (part)	03/27/19	J		
146.					Sold (part)	04/15/19	L		
147.					Sold (part)	05/10/19	K		
148.					Sold (part)	05/14/19	J		
149.					Sold (part)	05/15/19	J		
150.					Sold (part)	05/23/19	K		
151.					Sold (part)	06/07/19	J		
152.					Sold (part)	07/02/19	J		
153.					Sold (part)	07/08/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	07/09/19	J		
155.					Sold (part)	07/10/19	J		
156.					Sold (part)	07/11/19	J		
157.					Sold (part)	08/06/19	J		
158.					Sold (part)	08/22/19	J		
159.					Sold (part)	08/23/19	J		
160.					Sold (part)	08/28/19	J		
161.					Sold (part)	09/10/19	J		
162.					Sold (part)	09/13/19	J		
163.					Sold (part)	09/16/19	J		
164.					Sold (part)	09/20/19	J		
165.					Sold (part)	10/04/19	J		
166.					Sold (part)	10/09/19	J		
167.					Sold (part)	10/15/19	J		
168.					Sold (part)	10/22/19	J		
169.					Sold (part)	11/07/19	J		
170.					Sold (part)	11/22/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	11/25/19	J		
172.					Sold (part)	12/03/19	J		

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Name of Person Reporting

Gale, Joseph H.

Date of Report

08/13/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts.

Note 1: On 12/9/19, the name of BB&T Corp. was changed to Truist Financial Corp., and that corporation was merged with SunTrust Banks, Inc. In connection with the merger, cash in lieu of fractional shares equal to "J" was distributed, producing a gain of "A".

Note 2: On 4/2/19, Dow Dupont effected a spin off of shares of Dow, Inc. plus a distribution of cash in lieu of fractional shares in the amount of "J." On 6/3/19, Dow Dupont effected a reverse stock split, terminating itself and creating instead shares of Corteva, Inc. and Dupont De Nemours Inc. In connection with the reverse stock split, cash in lieu of fractional shares of Corteva, Inc. and Dupont De Nemours, Inc., equal to "J" and "J", respectively, was distributed, producing gains of "A" and "A", respectively.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gale, Joseph H.

Date of Report

08/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph H. Gale**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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