

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murphy, Eric E.	2. Court or Organization United States Court of Appeals for the Sixth Circuit	3. Date of Report 06/28/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 121 U.S. Courthouse 85 Marconi Blvd. Columbus, OH 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. State Solicitor	Ohio Attorney General's Office (employment before judicial appointment)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	Ohio Public Employees Retirement System; defined-contribution retirement account
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Ohio Attorney General's Office; income before judicial appointment	\$53,824.99
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Murphy, Eric E.	06/28/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Jones Day	Investiture Reception	\$4,246.77
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Navient	Student Loan	K
2.	FACTS Management Co.	Tuition payment plan	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Fifth Third Bank (Cash Account)	A	Interest	K	T					
2. Discover Bank (Cash Account)	B	Interest	L	T					
3. Jones Day Supplemental Savings Plan (401k) (H)									
4. -Jones Day 2045 Fund	A	Int./Div.	M	T					
5. -American Funds Growth Fund of America R6	C	Int./Div.	L	T					
6. BlackRock College Advantage 529 Plan (H)									
7. -BR College 2027 Option - A		None	K	T					
8. Ameriprise Brokerage Account NH New Hampshire Advisor 529 Plan (H)					Closed				
9. -Fidelity Advisor 529 Portfolio 2028		None			Sold	06/27/19	K		
10. BlackRock College Advantage 529 Plan (H)					Open				
11. -BR College 2020 Option - A		None	K	T	Buy	07/08/19	K		
12. Ameriprise Trust Company Coverdell Education Savings Account (H)									
13. -Columbia Capital Allocation Moderate C	A	Dividend			Sold	04/26/19	J		
14. -Ameriprise Insured Money Market (Cash Account)	A	Interest	J	T	Open	04/26/19	J		
15. Ameriprise Trust Company Coverdell Education Savings Account (H)									
16. -Columbia Capital Allocation Moderate C	A	Dividend			Sold	04/26/19	J		
17. -Ameriprise Insured Money Market (Cash Account)	A	Interest	J	T	Open	04/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Ameriprise ONE Financial Account (H)					Closed				
19. -Ameriprise Insured Money Market (Cash Account)	A	Interest			Closed	12/16/19	J		
20. -Guggenheim Short Duration High Yield Trust, Series 28 (Invest. Trust)	A	Interest			Redeemed	09/10/19	J		
21. Ameriprise ONE Financial Account (H)									
22. -SPS Advantage One (Cash Account)	A	Interest	K	T					
23. -Deutsche Global Infrastructure (Mutual Fund)	A	Dividend			Sold	12/13/19	K	B	
24. -Federated Strategic Value Dividend (Mutual Fund)	D	Dividend			Sold	12/13/19	L	B	
25. -John Hancock Global Equity (Mutual Fund)		None			Sold	12/13/19	J	A	
26. -Prudential High-Yield (Mutual Fund)	B	Dividend			Sold	12/13/19	K		
27. -Xtrackers MSCI EAFE Hedged Equity (Exchange Traded Fund)	A	Dividend			Sold	12/16/19	J	B	
28. -SPDR S&P 500 (Exchange Traded Fund)	A	Dividend			Sold	12/16/19	K	D	
29. -Fidelity Advisor Balanced Fund Class M (Mutual Fund)		None	M	T	Buy	12/16/19	M		
30. Ameriprise Active Portfolios (H)					Closed				
31. -Ameriprise Insured Money Market (Cash Account)	A	Interest			Closed	12/18/19	J		
32. -Multi-Manager Value Strategies (Mutual Fund)	A	Dividend			Sold	12/16/19	J	B	
33. -Multi-Manager Small Cap Equity Strategies (Mutual Fund)	A	Dividend			Sold	12/16/19	J	A	
34. -JPMorgan Large Cap Growth (Mutual Fund)	A	Dividend			Sold	12/16/19	J	A	

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35. -JPMorgan Tax Aware Equity Fund (Mutual Fund)	A	Dividend			Sold	12/16/19	J	A	
36. -Loomis Sayles Growth (Mutual Fund)		None			Sold	12/16/19	J	A	
37. -MFS International Value Fund (Mutual Fund)	A	Dividend			Sold	12/16/19	J	B	
38. -MFS Value Fund (Mutual Fund)	A	Dividend			Sold	12/16/19	J	B	
39. -Oppenheimer International Growth (Mutual Fund)		None			Sold	02/15/19	J	A	
40. -Wells Fargo Emerging Markets Equity (Mutual Fund)		None			Sold	12/16/19	J	A	
41. -ISHARES Core S&P Mid Cap (Exchange Traded Fund)	A	Dividend			Sold	12/16/19	J	A	
42. -ISHARES MSCI EAFE Value (Exchange Traded Fund)	A	Dividend			Sold	12/16/19	J	A	
43. -ISHARES CORE MSCI Emerging Markets (Exchange Traded Fund)		None			Sold	05/15/19	J	A	
44. -Goldman Sachs GQG Partners International Opportunities (Mutual Fund)		None			Buy	02/15/19	J		
45.					Sold	12/16/19	J	A	
46. Ameriprise Brokerage Account (Roth IRA) (H)									
47. -Ameriprise Insured Money Market (Cash Account)	A	Interest	J	T					
48. -SPDR S&P 500 (Exchange Traded Fund)	A	Dividend	K	T					
49. -Healthcare Select Sector SPDR (Exchange Traded Fund)	A	Dividend	J	T					
50. -Vanguard Real Estate Index (Exchange Traded Fund)	A	Dividend	J	T					
51. Ameriprise Brokerage Account (Roth IRA) (H)									

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52. -JPMorgan Equity Income (Mutual Fund)	A	Dividend	K	T					
53. Riversource Variable Universal Life IV ES Insurance (H)									
54. -VP Moderate CL4		None	L	T					
55. -Fixed Account		None	J	T					
56. Ohio Public Employees Retirement System (H)									
57. -OPERS Stable Value Fund		None	K	T					
58. -OPERS Bond Index Fund		None	J	T					
59. -OPERS Target Payout Fund		None	J	T					
60. -OPERS Target 2020 Fund		None	K	T					
61. -OPERS Target 2035 Fund		None	J	T					
62. -OPERS Stock Index Fund		None	J	T					
63. -OPERS Large Cap Index Fund		None	J	T					
64. -OPERS Small Cap Index Fund		None	J	T					
65. -OPERS Non-US Stock Index Fund		None	J	T					
66. -OPERS Retiree Medical Account		None	K	T					
67. Ohio Public Employees Retirement System (H)		None							
68. -OPERS Stable Value Fund		None	K	T					

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69. -OPERS Stock Index Fund		None	K	T					
70. -OPERS Large Cap Index Fund		None	L	T					
71. -OPERS Small Cap Index Fund		None	K	T					
72. -OPERS Non-US Stock Index Fund		None	K	T					
73. -OPERS Retiree Medical Account		None	K	T					
74. Ohio Deferred Compensation (H)									
75. -LifePath 2020		None			Sold	10/4/19	J		
76. -LifePath Retirement		None	J	T	Buy	10/4/19	J		
77. -LifePath 2035		None	K	T					
78. -LifePath 2045		None	L	T					
79. -Ohio DC Stable Value Option		None	K	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII(B): The statements received from the BlackRock 529 Plans did not provide income information and instead listed "unit prices" or "units." In addition, the statements from the two Ohio Public Employees Retirement System accounts and the Ohio Deferred Compensation account identify only "gains/losses" and do not separately list income (such as dividends) apart from this "gains/losses" figure, which includes fluctuations in market value. So the income lines are left blank for these investments in Part VII(B) according to page 42 of the general filing instructions.

I am filing this amended report because I mistakenly failed to include the MFS Value Fund in Part VII of my annual report for 2019. This amended report includes the information for this mutual fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Eric E. Murphy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544