

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fenner, Gary A.	2. Court or Organization US Court Western District of Missouri	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Charles Evans Whittaker U.S. Courthouse 400 E. 9th Street 400 E. 9th Street		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2019	State of Missouri retirement benefits . I have no control in regard to this agreement.
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	2019	State of Missouri retirement	\$79,033.32
2.			
3.			
4.			

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2019	State of Missouri retirement
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Herzog Contracting Corp.	transportation and lodging on fishing trip to Ontario, Canada	\$2,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AFFILIATED MANAGERS GROUP	A	Div.			Sold	9/27/2019	J		
2. ALCON INC		None			Sold	6/11/2019	J		
3. ALPHABET INC CL C		None	K	T					
4. AMAZON COM INC		None	M	T	Sell	6/21/2019	K	E	
5. AMCOR INC	A	Div.			Sell	7/12/2019	J	A	
6. AMCOR INC		None	See Above	See Above	Sold	10/4/2019	J	A	
7. AMERICAN FUNDS NEW ECONOMY A	D	Div.	M	T					
8. AMGEN INC COM	B	Div.	K	T	Sell	1/22/2019	J	A	
9. AMGEN INC COM		None	See Above	See Above	Sell	7/23/2019	J	A	
10. APPLIED MATERIALS INC 3.900% Due 10-01-25	B	Int.	L	T					
11. ARCHER DANIELS MIDLAND CO	A	Div.	K	T	Buy (Addl.)	7/23/2019	J		
12. ARCHER DANIELS MIDLAND CO		None	See Above	See Above	Sell	10/4/2019	J		
13. AT&T INC COM	B	Div.	K	T					
14. BANK HAWAII CORP	A	Div.	J	T					
15. BANK NEW YORK MELLON CORP COM	A	Div.	K	T					
16. BEMIS INC COM	A	Div.							
17. BERKSHIRE HATHAWAY INC DEL CL B NEW		None	J	T	Buy (Addl.)	7/23/2019	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. BOEING CO COM	A	Div.	J	T	Sell	1/22/2019	J	B	
19. BOEING CO COM		None	See Above	See Above	Sell	3/12/2019	J	C	
20. BROADCOM LTD	A	Div.	K	T	Buy	2/4/2019	J		
21. BROADCOM LTD		None	See Above	See Above	Buy (Addl.)	8/9/2019	J		
22. CAPITAL ONE FINL CORP COM	A	Div.	K	T	Sell	9/27/2019	J	A	
23. CENTERPOINT ENERGY INC COM	A	Div.	J	T	Buy	2/25/2019	J		
24. CENTERPOINT ENERGY INC CONV PFD 7%	A	Div.	J	T	Buy	2/4/2019	J		
25. CENTERPOINT ENERGY INC CONV PFD 7%		None	See Above	See Above	Buy (Addl.)	2/5/2019	J		
26. CHEVRON CORP	A	Div.	J	T					
27. CISCO SYS INC COM	A	Div.	K	T	Sell	2/4/2019	J	B	
28. CISCO SYS INC COM		None	See Above	See Above	Sell	2/25/2019	J	B	
29. COLUMBIA MO WTR & ELEC REV REF & IMPT SYS 3.000% Due 10-01-24	A	Int.	K	T					
30. CONOCOPHILLIPS	A	Div.	J	T					
31. CORTEVA INC	A	Div.	J	T	Sell	6/7/2019	J	B	
32. CORTEVA INC		None	See Above	See Above	Sold	6/28/2019	J	A	
33. CROSSINGBRIDGE LOW DUR HI YLD INSTL	B	Div.	L	T	Buy	7/16/2019	L		
34. CROSSINGBRIDGE LOW DUR HI YLD INSTL		None	See Above	See Above	Buy (Addl.)	10/4/2019	J		

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35. CVS CAREMARK CORP COM	A	Div.	K	T	Buy (Addl.)	6/7/2019	J		
36. CVS CAREMARK CORP COM		None	See Above	See Above	Buy (Addl.)	8/9/2019	J		
37. CVS CAREMARK CORP COM		None	See Above	See Above	Buy (Addl.)	9/20/2019	J		
38. DIAGEO PLC ADR	A	Div.	K	T					
39. DOW DU PONT INC	A	Div.			Buy (Addl.)	1/22/2019	J		
40. DOW DU PONT INC		None	See Above	See Above	Buy (Addl.)	2/25/2019	J		
41. DOW INC	A	Div.	J	T	Sell	4/30/2019	J	A	
42. DOW INC		None	See Above	See Above	Buy (Addl.)	6/7/2019	J		
43. DOW INC		None	See Above	See Above	Sell	6/11/2019	J		
44. DU PONT DE NEMOURS & CO SR NT 4.625% DTD 11/09/2009 DUE 01/15/2020	A	Int.			Sold	4/22/2019	K		
45. DUPONT DE NEMOURS INC	A	Div.	J	T	Buy	6/7/2019	J		
46. DUPONT DE NEMOURS INC		None	See Above	See Above	Buy (Addl.)	6/11/2019	J		
47. DUPONT DE NEMOURS INC		None	See Above	See Above	Sell	6/21/2019	J		
48. DUPONT DE NEMOURS INC		None	See Above	See Above	Sell	6/28/2019	J		
49. EATON CORP COM	A	Div.	J	T	Buy	2/4/2019	J		
50. ENERGY SELECT SECTOR SPDR ETF		None			Sold	2/4/2019	K	C	
51. EXXON MOBIL CORP	B	Div.	K	T	Buy (Addl.)	2/4/2019	J		

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54. EXXON MOBIL CORP		None	See Above	See Above	Buy (Addl.)	7/23/2019	J		
53. FEDERATED INVESTORS INC	A	Div.	K	T	Buy (Addl.)	6/11/2019	J		
54. FEDEX CORP COM	A	Div.	J	T	Buy (Addl.)	1/22/2019	J		
55. FEDEX CORP COM		None	See Above	See Above	Buy (Addl.)	6/11/2019	J		
56. FIRST EAGLE HIGH YIELD I	A	Div.			Sell	3/27/2019	J		
57. FIRST EAGLE HIGH YIELD I		None	See Above	See Above	Sold	10/4/2019	J		
58. FIRST HORIZON NATL CORP COM	A	Div.	J	T	Sell	10/4/2019	J		
59. GENERAL DYNAMICS CORP 3.875% Due 07-15-21	A	Int.	K	T					
60. HENKEL AG & CO KGAA SPONSORED ADR		None	J	T	Buy	10/7/2019	J		
61. HENKEL AG & CO KGAA SPONSORED ADR		None	See Above	See Above	Buy (Addl.)	10/8/2019	J		
62. IBM	B	Div.	K	T	Buy (Addl.)	2/4/2019	J		
63. INTEL CORP COM	A	Div.	K	T					
64. ISHARES MSCI ACWI ETF	A	Div.			Sold	2/4/2019	M	D	
65. ISHARES MSCI EAFE ETF	C	Div.	L	T	Buy (Addl.)	2/4/2019	K		
66. ISHARES MSCI EAFE ETF		None	See Above	See Above	Sell	7/23/2019	J		
67. ISHARES MSCI EAFE ETF		None	See Above	See Above	Sell	9/27/2019	J		
68. ISHARES MSCI EAFE GROWTH ETF	C	Div.	M	T	Buy (Addl.)	2/4/2019	L		

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69. ISHARES MSCI EAFE GROWTH ETF		None	See Above	See Above	Buy (Addl.)	9/27/2019	J		
70. ISHARES RUSSELL 1000 VALUE ETF		None			Sold	2/4/2019	L	D	
71. ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	A	Div.	K	T	Buy	9/27/2019	K		
72. ISHARES TR BARCLAYS 7-10 YR TREAS BD FD		None	See Above	See Above	Buy (Addl.)	10/4/2019	J		
73. JACKSON CNTY MO CONS SCH DIST #2 RAYTOWN REF MO DIR DEP PROGRAM 4.000% Due 03-01-21	B	Int.	K	T					
74. JACOBS ENGINEERING GROUP INC	A	Div.	J	T	Sell	6/11/2019	J	B	
75. JACOBS ENGINEERING GROUP INC		None	See Above	See Above	Sell	7/23/2019	J	A	
76. JACOBS ENGINEERING GROUP INC		None	See Above	See Above	Sell	9/27/2019	J	B	
77. JOHNSON & JOHNSON	A	Div.	J	T	Sell	1/22/2019	J	A	
78. JOHNSON & JOHNSON		None	See Above	See Above	Sell	2/4/2019	J	C	
79. JOHNSON & JOHNSON		None	See Above	See Above	Sell	2/25/2019	J	B	
80. JOHNSON & JOHNSON		None	See Above	See Above	Sell	7/23/2019	J	A	
81. JPMORGAN CHASE & CO COM	B	Div.	L	T					
82. KEYCORP NEW COM	A	Div.	K	T	Buy (Addl.)	2/4/2019	J		
83. KEYCORP NEW COM		None	See Above	See Above	Buy (Addl.)	2/25/2019	J		
84. KEYCORP NEW COM		None	See Above	See Above	Buy (Addl.)	6/7/2019	J		
85. KLA CORP	A	Div.	K	T					

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86. KOHLS CORP COM	A	Div.	J	T					
87. LABORATORY CORP AMER HLDGS		None	J	T	Buy (Addl.)	1/22/2019	J		
88. MACYS INC COM	A	Div.			Sold	2/4/2019	J	B	
89. MEDTRONIC PLC	A	Div.	K	T					
90. MERCK & CO INC	A	Div.	K	T	Sell	7/23/2019	J	A	
91. MICROSOFT CORP COM	A	Div.	K	T	Sell	6/7/2019	J	B	
92. MICROSOFT CORP COM		None	See Above	See Above	Sell	8/9/2019	J	C	
93. MICROSOFT CORP COM		None	See Above	See Above	Sell	9/27/2019	J	B	
94. MOLSON COORS BEVERAGE CO	A	Div.	K	T	Buy	7/23/2019	J		
95. MOLSON COORS BEVERAGE CO		None	See Above	See Above	Buy (Addl.)	8/9/2019	K		
96. MOLSON COORS BEVERAGE CO		None	See Above	See Above	Buy (Addl.)	9/20/2019	J		
97. MOLSON COORS BEVERAGE CO		None	See Above	See Above	Buy (Addl.)	9/27/2019	J		
98. MONSANTO 2.750% Due 07-15-21	B	Int.	L	T					
99. MUNICH RE GROUP ADR	A	Div.	K	T	Sell	6/7/2019	J	A	
100. NBC UNIVERSAL MEDIA LLC 2.875% Due 01-15-23	A	Int.	K	T					
101. NCR CORP NEW COM		None	J	T					
102. NEXTERA ENERGY INC COM	A	Div.	J	T	Sell	9/27/2019	J	B	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. NEXTERA ENERGY INC COM		None	See Above	See Above	Sell	10/4/2019	J	B	
104. NOVARTIS AG SPONSORED ADR	A	Div.	J	T	Sell	7/23/2019	J	A	
105. NUCOR CORP 4.000% Due 08-01-23	B	Int.	L	T					
106. OMNICOM GROUP	A	Div.	K	T	Buy (Addl.)	1/22/2019	J		
107. OMNICOM GROUP		None	See Above	See Above	Buy (Addl.)	2/4/2019	J		
108. ORACLE CORP 2.950% Due 11-15-24	A	Int.	K	T	Buy	4/22/2019	K		
109. PAYCHEX INC COM	A	Div.	J	T	Sell	8/9/2019	J	A	
110. PFIZER INC 2.200% Due 12-15-21	B	Int.	L	T	Buy	1/22/2019	K		
111. PFIZER INC COM	A	Div.	K	T	Buy (Addl.)	2/4/2019	J		
112. PHILLIPS 66 COM	A	Div.	K	T	Buy (Addl.)	2/4/2019	J		
113. PPL CORP COM	A	Div.	J	T					
114. PROCTER & GAMBLE CO COM	A	Div.	K	T	Sell	8/9/2019	J	B	
115. PROCTER & GAMBLE CO COM		None	See Above	See Above	Sell	10/4/2019	J	A	
116. PRUDENTIAL FINANCIAL INC	B	Div.	K	T	Sell	6/7/2019	J	A	
117. QUALCOMM INC 3.000% Due 05-20-22	A	Int.	K	T					
118. QUEST DIAGNOSTICS INC	A	Div.	K	T	Buy (Addl.)	2/4/2019	J		
119. RAYTHEON COM NEW	A	Div.	K	T	Buy (Addl.)	6/7/2019	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. REGIONS FINL CORP NEW COM	A	Div.	K	T	Buy (Addl.)	2/4/2019	J		
121. REGIONS FINL CORP NEW COM		None	See Above	See Above	Buy (Addl.)	2/25/2019	J		
122. REGIONS FINL CORP NEW COM		None	See Above	See Above	Buy (Addl.)	6/7/2019	J		
123. REGIONS FINL CORP NEW COM		None	See Above	See Above	Buy (Addl.)	7/23/2019	J		
124. RIVERPARK STRATEGIC INCOME INSTITUTIONAL	B	Div.	K	T					
125. ROYAL BK CDA MONTREAL QUE ADR	A	Div.	K	T	Sell	6/7/2019	J		
126. SCHLUMBERGER LTD COM	A	Div.	K	T	Buy (Addl.)	2/4/2019	J		
127. SCHLUMBERGER LTD COM		None	See Above	See Above	Buy (Addl.)	2/25/2019	J		
128. SCHLUMBERGER LTD COM		None	See Above	See Above	Sell	6/21/2019	J		
129. SCHLUMBERGER LTD COM		None	See Above	See Above	Buy (Addl.)	7/23/2019	J		
130. SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STAN	A	Div.	L	T					
131. SPDR S&P REGIONAL BANKING ETF		None			Buy	1/22/2019	J		
132. SPDR S&P REGIONAL BANKING ETF		None	See Above	See Above	Sold	2/25/2019	J	A	
133. ST CHARLES MO NEIGHBORHOOD IMPT DIST 2.500% Due 03-01-23	A	Int.	K	T					
134. STORE CAPITAL CORP	A	Div.	J	T	Buy	3/12/2019	J		
135. TARGET CORP COM	A	Div.	K	T	Buy (Addl.)	1/22/2019	J		
136. TARGET CORP COM		None	See Above	See Above	Sell	9/20/2019	J	B	

1 Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment T = Cash Market	
			W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TARGET CORP COM		None	See Above	See Above	Sell	9/27/2019	J	A	
138. TECHNOLOGY SELECT SECTOR SPDR ETF		None			Sold	2/4/2019	K	A	
139. THERMO FISHER CORP COM	A	Div.	K	T	Sell	1/22/2019	J	B	
140. THORNBURG INTERNATIONAL VALUE I	A	Div.	J	T					
141. TOTAL SA ADR	A	Div.	J	T	Sell	2/4/2019	J	A	
142. TWEEDY BROWNE GLOBAL VALUE	A	Div.	K	T	Sell	3/27/2019	J		
143. TWENTY-FIRST CENTURY FOX INC B		None			Sold	1/22/2019	K	D	
144. UNDISCOVERED MGRS BEHAVIORAL VALUE INST	B	Div.	K	T	Buy (Addl.)	2/4/2019	K		
145. UNITED PARCEL SERVICES CL B COM	A	Div.	J	T	Sold	6/21/2019	K		
146. UNITED PARCEL SERVICES CL B COM		None	See Above	See Above	Buy	7/23/2019	J		
147. UNITED TECHNOLOGIES CORP COM	A	Div.	J	T					
148. VANECK VECTORS OIL SERVICES ETF		None			Buy	1/22/2019	J		
149. VANECK VECTORS OIL SERVICES ETF		None	See Above	See Above	Sold	2/25/2019	J	A	
150. VODAFONE GROUP PLC ADR	A	Div.	J	T	Buy	2/4/2019	J		
151. VODAFONE GROUP PLC ADR		None	See Above	See Above	Buy (Addl.)	6/7/2019	J		
152. VODAFONE GROUP PLC ADR		None	See Above	See Above	Sold	6/21/2019	J		
153. VODAFONE GROUP PLC ADR		None	See Above	See Above	Buy	7/23/2019	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. WALMART INC	A	Div.	K	T	Sell	3/27/2019	J	A	
155. WALMART INC		None	See Above	See Above	Sell	8/9/2019	J	A	
156. WALT DISNEY CO	A	Div.	J	T	Buy (Addl.)	1/22/2019	J		
157. WALT DISNEY CO		None	See Above	See Above	Sell	7/23/2019	J	A	
158. WALT DISNEY CO		None	See Above	See Above	Sell	9/27/2019	J	A	
159. WELLS FARGO & CO	A	Div.	J	T	Buy (Addl.)	7/23/2019	J		
160. WELLS FARGO SHORT-TERM HI YLD BD INST	C	Div.	L	T	Sell	7/23/2019	J		
161. WELLS FARGO SHORT-TERM HI YLD BD INST		None	See Above	See Above	Sell	7/25/2019	J		
162. WELLS FARGO SHORT-TERM HI YLD BD INST		None	See Above	See Above	Sell	8/9/2019	J		
163. WENTZVILLE MO SCH DIST #R-4 REF MO DIRECT DEP PROGRAM 4.000% Due 03- 01-25	B	Int.	K	T					
164. ZURICH INSURANCE GROUP AG ADR	A	Div.	K	T	Sell	2/4/2019	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following information all relates to VII. INVESTMENTS AND TRUSTS:

1. Asset #2 - ALCON INC was spun off from NOVARTIS (asset #104) on 4/9/19.
2. Asset #5 - AMCOR INC is a company that was acquired as a subsidiary by BEMIS INC COM (asset #16) on 6/11/19.
3. Asset #31 - CORTEVA INC was spun off from DOW DU PONT INC (asset #39) on 6/13/19.
4. Asset #41 - DOW INC was spun off from DOW DU PONT INC (asset #39) on 4/2/19.
5. Asset #85 - KLA CORP was formerly KLA TENOR CORP COM (asset #38 on my 2018 FDR). The corporate name changed to KLA CORP on 7/15/19.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gary A. Fenner**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544