

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oravec, Scott A.	2. Court or Organization U.S. District Court, District of Alaska	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, part-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court Federal Building and U.S. Courthouse 101 12th Avenue, Room 332 Fairbanks, AK 99701-6283		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	Oravec Law Group, LLC
2. President	Tanana Valley Bar Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Oravec, Scott A.

Date of Report

08/13/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Oct 2019	State of Alaska, Permanent Fund Distribution	\$1,606.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Oct 2019	State of Alaska, Permanent Fund Distribution
2. 2019	Doyon Utilities, Inc., Salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Oravec, Scott A.	08/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Navient (formerly Sallie Mae Servicing Corp)	Student Loans	None
2. MOHELA SoFi	Student Loans	M
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Oravec, Scott A.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ABA Retirement Funds, 401(k); Small-Mid Cap Equity Fund R1	B	Dividend	J	T					
2. ABA Retirement Funds 401(k); Bond Core Plus Fund R1	B	Dividend	J	T					
3. ABA Retirement Funds, 401(k); Bond Index Fund R1	A	Dividend	J	T					
4. ABA Retirement Funds, 401(k); Stable Asset Return Fund R1	A	Dividend	J	T					
5. ABA Retirement Funds, 401(k); Large-Cap Equity Fund R1	D	Dividend	K	T					
6. ABA Retirement Funds, 401(k); All Cap Equity Fund R1	B	Dividend	J	T					
7. Two 529 Plans, T. Rowe Price, Univ. of Alaska College Savings Plan	A	Dividend	K	T					
8. JP Morgan Chase; JP Morgan SmartRetirement 2035 R6,	A	Dividend			Sold	03/01/19	M		
9. Doyon Utilities, LLC 401(k); The Standard Stable Asset A	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
10. Doyon Utilities, LLC 401(k); Voya Intermediate Bond I	D	Dividend	L	T	Buy (add'l)	03/19/19	K		
11. Doyon Utilities, LLC 401(k); Vanguard 500 Index Admiral	D	Dividend	L	T	Buy (add'l)	03/19/19	K		
12. Doyon Utilities, LLC 401(k); Mainstay Large Cap Growth I	D	Dividend	K	T	Buy (add'l)	03/19/19	K		
13. Doyon Utilities, LLC 401(k); DFA US Large Cap Value I - see comment	C	Dividend			Buy (add'l)	03/19/19	J		
14. Doyon Utilities, LLC 401(k); DFA US Large Cap Value I - see comment	C	Dividend			Sold	11/08/19	K		
15. Doyon Utilities, LLC 401(k); Vanguard Equity Income Adm	B	Dividend	K	T	Buy	11/08/19	K		
16. Doyon Utilities, LLC 401(k); Hartford Midcap Y	B	Dividend	J	T	Buy (add'l)	03/19/19	J		
17. Doyon Utilities, LLC 401(k); T.Rowe Price New Horizons	C	Dividend	K	T	Buy (add'l)	03/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Oravec, Scott A.

Date of Report

08/13/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Doyon Utilities, LLC 401(k); Oppenheimer Intern Growth Y	B	Dividend			Buy (add'l)	03/19/19	J		
19. Doyon Utilities, LLC 401(k); Oppenheimer Intern Growth Y	B	Dividend			Sold	03/29/19	K		
20. Doyon Utilities, LLC 401(k); Federated Intl Equity Is	C	Dividend	L	T	Buy	03/29/19	K		
21. Doyon Utilities, LLC 401(K); Wells Fargo Spec Mdcprval Ins	B	Dividend	J	T	Buy (add'l)	03/19/19	J		
22. USAA Federal Savings Bank; Cash Accounts	A	Interest	K	T					
23. ABA Retirement Funds, 401(k); 2035 Retirement Date Fund R1	D	Dividend	J	T					
24. ABA Retirement Funds, 401(k); Moderate Risk Fund R1	B	Dividend	J	T					
25. ABA Retirement Funds, 401(k); Small Mid Cap Index Equity Fund R1	B	Dividend	J	T					
26. LPL Financial, U.S. Bank N.A.; Cash Account	A	Interest	J	T	Buy	09/18/19	J		
27. Alaska USA Federal Credit Union; Cash Accounts	A	Interest	K	T					
28. LPL Financial IRA; Optimum Large Cap Growth Instl CL	A	Dividend	J	T	Buy	09/26/19	J		
29. LPL Financial IRA; Optimum Large Cap Value Inst	A	Dividend	J	T	Buy	09/26/19	J		
30. LPL Financial IRA; Optimum Small Mid Cap Grow	A	Dividend	J	T	Buy	09/26/19	J		
31. LPL Financial IRA; Optimum Small Mid Cap Value	A	Dividend	J	T	Buy	09/26/19	J		
32. LPL Financial IRA; Optimum Instl Instl CL	A	Dividend	J	T	Buy	09/26/19	J		
33. LPL Financial IRA; Optimum Fixed Income Instl C	A	Dividend	J	T	Buy	09/26/19	J		
34.									

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Oravec, Scott A.

Date of Report

08/13/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts.

1. Fund renamed from "ABA Retirement Funds, 401(k); Small-Mid Cap Equity Fund" to "ABA Retirement Funds, 401(k); Small-Mid Cap Equity Fund R1"
2. Fund renamed from "ABA Retirement Funds, 401(k); Bond Core Plus Fund" to "ABA Retirement Funds, 401(k); Bond Core Plus Fund R1"
3. Fund renamed from "ABA Retirement Funds, 401(k); Bond Index Fund" to "ABA Retirement Funds, 401(k); Bond Index Fund R1"
4. Fund renamed from "ABA Retirement Funds, 401(k); Stable Asset Return Fund" to "ABA Retirement Funds, 401(k); Stable Asset Return Fund R1"
5. Fund renamed from "ABA Retirement Funds, 401(k); Large-Cap Equity Fund" to "ABA Retirement Funds, 401(k); Large-Cap Equity Fund R1"
6. Fund renamed from "ABA Retirement Funds, 401(k); All Cap Equity Fund" to "ABA Retirement Funds, 401(k); All Cap Equity Fund R1"
7. "University of Alaska College Savings Plan", ACT Portfolio.
8. JP Morgan Chase, JP Morgan smartretirement 2035 R6, JPMCB stable asset income F; This asset 'rolled over' from JP Morgan on March 1, 2019. Rollover contribution credited on March 19, 2019 distributed to items 9, 10, 11, 12, 13, 16, 17, 18, 21.
13. Fund renamed from "Doyon Utilities, LLC 401(k); DFA US Large Cap Growth I" to "Doyon Utilities, LLC 401(k); DFA US Large Cap Value I"
14. Fund renamed from "Doyon Utilities, LLC 401(k); DFA US Large Cap Growth I" to "Doyon Utilities, LLC 401(k); DFA US Large Cap Value I"
23. Fund renamed from "ABA Retirement Funds, 401(k); 2035 Retirement Date Fund" to "ABA Retirement Funds, 401(k); 2035 Retirement Date Fund R1"
24. Fund renamed from "ABA Retirement Funds, 401(k); Moderate Risk Fund" to "ABA Retirement Funds, 401(k); Moderate Risk Fund R1"
25. Fund renamed from "ABA Retirement Funds, 401(k); Small Mid Cap Index Equity Fund" to "ABA Retirement Funds, 401(k); Small Mid Cap Index Equity Fund R1"

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Oravec, Scott A.

Date of Report

08/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Scott A. Oravec**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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