

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Catliota, Thomas J.	2. Court or Organization US Bankruptcy Court for Maryland	3. Date of Report 12/17/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
5b. ☒ Amended Report		
7. Chambers or Office Address US Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	LPL, LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Meadows Foundation, Inc. - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Conry Development Corp	E	Distribution	N	W					
2. LPL, LLC		None	O	W					
3. Sun Trust		None	J	T	Distributed (part)	08/07/19	O		
4. Hermes Federated Prime Cash	B	Dividend	M	T	Open	08/07/19	O		
5. Self directed IRA									
6. -Growth Fund of America	D	Int./Div.			Sold	08/26/19	N		
7. -Fundamental Investors	D	Int./Div.	M	T	Sold (part)	08/26/19	N		
8. -AmCap Fund A	D	Int./Div.	M	T	Sold (part)	08/26/19	K		
9. -New Economy Fund A	D	Int./Div.	M	T	Sold (part)	08/26/19	J		
10. -Investment Company of America	D	Int./Div.			Sold	08/26/19	N		
11. -Washinton Mutual Inv	D	Int./Div.	M	T	Sold (part)	08/26/19	M		
12. -Franklin High Income	A	Int./Div.			Sold	08/14/19	K		
13. -Franklin Low	A	Int./Div.			Sold	08/14/19	K		
14. -Franklin Daily Access	A	Int./Div.			Sold	08/14/19	K		
15. -American Balanced A	D	Int./Div.	M	T	Buy	08/26/19	M		
16. -Amerian Inc Fund of Amer A	D	Int./Div.	M	T	Buy	08/26/19	M		
17. -American New World A	C	Int./Div.	L	T	Buy	08/26/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -American SMALLCAP World A	D	Int./Div.	M	T	Buy	08/26/19	M		
19. -Franklin IncomeA	D	Int./Div.	M	T	Buy	08/26/19	M		
20. -JPM Emerging Markets Equity A	C	Int./Div.	L	T	Buy	08/30/19	J		
21. -JPMorgan Equity Income A	B	Int./Div.	M	T	Buy	08/30/19	M		
22. -JPMorgan Global Allocation A	B	Int./Div.	M	T	Buy	08/30/19	M		
23. -JPMorgan Global Bond Opp A	B	Int./Div.	L	T	Buy	08/30/19	L		
24. -JPMorgan Growth Advantage A	C	Int./Div.	L	T	Buy	08/30/19	L		
25. -JPMorgan Income Builder A	D	Int./Div.	M	T	Buy	08/26/19	M		
26. -JPMorgan Income A	B	Int./Div.	L	T	Buy	08/30/19	L		
27. -JPMorgan Small Cap Blend A	C	Int./Div.	M	T	Buy	08/30/19	M		
28. -JPMorgan Value Advantage A	B	Int./Div.	L	T	Buy	08/30/19	M		
29. Hermes Federated Prime Cash	A	Int./Div.	M	T	Redeemed (part)	10/07/19	N		
30. Ally Bk Sandy Utah CD	A	Int./Div.	J	T	Buy	10/09/19	J		
31. Chicago IL O Hare INTL Rev C MUNI	A	Int./Div.	J	T	Buy	10/07/19	J		
32. Metropolitan Transn Auth N Y MUNI	A	Int./Div.	K	T	Buy	10/07/19	K		
33. Erie PA Wtr Auth Wtr Rev 2018 A MUNI	A	Int./Div.	J	T	Buy	10/07/19	J		
34. Accenture PLC Ireland		None	J	T	Buy	10/07/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Amazon.Com Inc		None	J	T	Buy	10/07/19	J		
36. CVS Health Corporation		None	J	T	Buy	10/07/19	J		
37. Enbridge Inc		None	J	T	Buy	10/07/19	J		
38. JPMorgan Chase & Co		None	J	T	Buy	10/07/19	J		
39. Philip Morris INTL Inc		None	J	T	Buy	10/07/19	J		
40. Walt Disney Co		None	J	T	Buy	10/07/19	J		
41. 3M Co		None	J	T	Buy	10/07/19	J		
42. iShares INTL Select Div ETF	A	Int./Div.	K	T	Buy	10/07/19	K		
43. iShares MSCI EAFE Growth ETF	A	Int./Div.	K	T	Buy	10/07/19	K		
44. Vanguard Mid Cap Value ETF	A	Int./Div.	K	T	Buy	10/07/19	J		
45. Vanguard Mid Cap Growth ETF	A	Int./Div.	K	T	Buy	10/07/19	J		
46. Vanguard Small Cap Growth ETF	A	Int./Div.	J	T	Buy	10/07/19	J		
47. Vanguard Small Cap Value ETF	A	Int./Div.	K	T	Buy	10/07/19	J		
48. Vanguard Intl EquiyInd All-world	A	Int./Div.	J	T	Buy	10/07/19	J		
49. Vanguard Int Equity Emerging Markets	A	Int./Div.	J	T	Buy	10/07/19	J		
50. JP Morgan High Yield	A	Int./Div.	J	T	Buy	10/07/19	K		
51. Goldman Sachs Bk USA New York CD	A	Int./Div.	J	T	Buy	10/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Wells Fargo Bk N A Sioux Falls CD	A	Int./Div.	J	T	Buy	10/16/19	J		
53. Wells Fargo Bk N A Sioux Falls CD	A	Int./Div.	J	T	Buy	10/16/19	J		
54. Hermes Federated Prime Cash	B	Int./Div.	M	T	Redeemed (part)	12/09/19	M		
55. Evanston IL MUNI	A	Int./Div.	K	T	Buy	12/09/19	K		
56. West Virginia St MUNI	B	Int./Div.	J	T	Buy	12/09/19	J		
57. New York N Y MUNI	A	Int./Div.	J	T	Buy	12/09/19	J		
58. Abbvie Inc		None	J	T	Buy	12/09/19	J		
59. Berkshire Hathaway Inc Cl B		None	J	T	Buy	12/09/19	J		
60. Blackrock Inc Cl A		None	J	T	Buy	12/09/19	J		
61. General Electric Co		None	J	T	Buy	12/09/19	J		
62. Linde PLC		None	J	T	Buy	12/09/19	J		
63. Microsoft Corp		None	J	T	Buy	12/09/19	J		
64. Pepsico Inc		None	J	T	Buy	12/09/19	J		
65. Starbucks Corp		None	J	T	Buy	12/09/19	J		
66. Vanguard FTSE All-World Ex US		None	J	T	Buy	12/09/19	J		
67. Vanguard FTSE Emerging Mkt ETF		None	J	T	Buy	12/09/19	J		
68. JP Morgan High Yield Muni		None	K	T	Buy	12/09/19	K		

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69. Vanguard Index Small Cap Value ETF	A	Int./Div.	K	T	Buy	12/09/19	J		
70. Vanguard Index FDS Small Cap Growth ETF	A	Int./Div.	K	T	Buy	12/09/19	J		
71. Vanguard Mid Cap Value ETF	A	Int./Div.	K	T	Buy	12/09/19	J		
72. Vanguard Mid Cap Growth ETF	A	Int./Div.	K	T	Buy	12/09/19	J		
73. iShares INTL Select Div ETF	A	Int./Div.	K	T	Buy	12/09/19	K		
74. iShares MSCI EAFE Growth ETF	A	Int./Div.	K	T	Buy	12/09/19	K		
75. American Funds - Guardian									
76. -Capital World Growth	A	Int./Div.	K	T	Sold (part)	08/22/19	J		
77. -EuroPacific Growth Fund	A	Int./Div.	J	T	Sold (part)	08/22/19	J		
78. -Fundamental Investors	A	Int./Div.	J	T	Sold (part)	03/26/19	J		
79. -Growth Fund of America	B	Int./Div.	K	T	Sold (part)	08/14/19	J		
80. -Investment Company of America	A	Int./Div.	K	T					
81. -New Economy Fund	A	Int./Div.	J	T	Sold (part)	08/14/19	J		
82. -New Persepctive Fund	A	Int./Div.	J	T	Sold (part)	08/22/19	J		
83. -SMALLCAP world Fund	A	Int./Div.	K	T					
84. -Bond Fund of America	A	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas J. Catliota**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544