

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Heston, Mary J.	2. Court or Organization U.S. Bankruptcy Court, Western District of Washington	3. Date of Report 12/22/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address 1717 Pacific Ave. #2100 Tacoma, WA 98402		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Dministrator of Estate #1	Estate #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American College of Bankruptcy	March 13-16, 2019	San Diego, CA	Attend the American College of Bankruptcy as a Fellow,	Hotel and transportation up to \$1,000.00
2.	Turnaround Management Association	May 1-3, 2019	San Antonio, TX	Speak at a trade group for turnaround managers	Hotel, transportation and meals
3.	Federal Bar Ass'n, Eastern District of Washington, Bankruptcy Section	June 28-30, 2019	Winthrop, WA	Speak at bar association event	Hotel, 2 nights, \$200 in transportation and other costs
4.	Turnaround Management Association/IWIRC	September 24-26, 2019	Cleveland, OH	Speak at women's group of turnaround professionals	Hotel Transportation and meals

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5.	Northwest Turnaround Management Association	October 3, 2019	Portland, OR	Speak on international insolvency issues with Canadian judge	Hotel, transportation and meals
6.	National Conference of Bankruptcy Judges	October 28-November 2, 2019	Washington, DC	Attend and participate in national conference of bankruptcy judges	Hotel 3 nights
7.	American Bankruptcy Institute	December 4-6, 2019	Terranea, CA	Participate in Veterans/ Servicemen Meeting through ABI	Hotel, transportation

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Hilton Grand Vacation Club	Loan on vacation club ownership	K
2.	American Express	Revolving loan	K
3.	JP Morgan Chase	Revolving credit card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Merrill Lynch Cash Management Account	A	Int./Div.	J	T					
2. INVESCO EMERGING MARKETS (RA FUNDS (PYC)+	A	Int./Div.			Sold	01/18/19	J	A	
3. INVESCO PREFERRED ETF (PGX) IRA FUNDS+	A	Int./Div.	J	T	Sold (part)	01/18/19	J	A	
4.	A	Distribution			Sold	08/12/19	J	A	
5. I SHARES IBOXX \$(LQD) IRA FUNDS+	A	Int./Div.	J	T	Sold (part)	01/24/19	J	A	
6.	A	Distribution	J	T	Sold (part)	01/30/19	J	A	
7.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
8.	A	Distribution	J	T	Sold (part)	06/17/19	J	A	
9.	A	Distribution			Sold	08/14/19	J	A	
10. ISHARES TIPS BONDS (TIP)+	A	Int./Div.	J	T	Sold (part)	01/18/19	J	A	
11.	A	Distribution	J	T	Sold (part)	01/24/19	J	A	
12.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
13.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
14.	A	Distribution			Sold	08/14/19	J	A	
15. ISHARES 3-7 YEAR (ETF) IRA FUNDS+	A	Distribution	J	T	Sold (part)	01/18/19	J	A	
16.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
17.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18.	A	Distribution			Sold	08/14/19	J	A	
19. ISHARES MBF ETF (MBB) IRA FUNDS+	A	Int./Div.	J	T	Sold (part)	01/18/19	J	A	
20.	A	Distribution	J	T	Sold (part)	01/24/19	J	A	
21.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
22.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
23.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
24.	A	Distribution			Sold	08/14/19	J	A	
25. ISHARES IBOX\$ HIGH YIELD (HYG) IRA FUNDS+	A	Int./Div.	J	T	Sold (part)	01/24/19	J	A	
26.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
27.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
28.	A	Distribution			Sold	08/14/19	J	A	
29. ISHARES INC CORE MSCI (IEMG) IRA FUNDS+	A	Int./Div.	J	T	Sold (part)	01/24/19	J	A	
30.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
31.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
32.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
33.	A	Distribution	J	T	Sold (part)	06/17/19	J	A	
34.	A	Distribution			Sold	08/12/19	K	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. ISHARES INC CORE MSCI EAF (IEFA) IRA FUNDS+	B	Int./Div.	J	T	Sold (part)	01/18/19	J	A	
36.	A	Distribution	J	T	Sold (part)	01/24/19	J	A	
37.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
38.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
39.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
40.	A	Distribution	J	T	Sold (part)	06/17/19	J	A	
41.	A	Distribution			Sold	08/12/19	K	A	
42. VANEK VECTORS J.P. (EMLC) IRA FUNDS+	A	Int./Div.	J	T	Sold	01/18/19	J	A	
43. VANGUARD SMALL CAP VALUE (VBR) IRA FUNDS+	A	Int./Div.	J	T	Buy (add'l)	01/18/19	J		
44.	A	Distribution	J	T	Sold (part)	01/24/19	J	A	
45.	A	Distribution	J	T	Sold (part)	01/30/19	J	A	
46.			J	T	Buy (add'l)	02/25/19	J		
47.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
48.	A	Distribution			Sold	08/14/19	J	A	
49. VANGUARD SMALL CAP GROWTH (VBK) IRA FUNDS+	A	Int./Div.	J	T	Buy (add'l)	01/18/19	J		
50.	A	Distribution	J	T	Sold (part)	01/24/19	J	A	
51.	A	Distribution	J	T	Buy (add'l)	02/25/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.	A	Distribution	J	T	Buy (add'l)	03/01/19	J		
53.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
54.	A	Distribution			Sold	08/14/19	K	B	
55. VANGUARD VALUE ETF (VTV) IRA FUNDS+	B	Int./Div.	J	T	Buy (add'l)	01/18/19	J		
56.	A	Distribution	J	T	Sold (part)	01/24/19	K	B	
57.	A	Distribution	J	T	Sold (part)	01/30/19	J	A	
58.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
59.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
60.	A	Distribution	J	T	Sold (part)	04/04/19	J	B	
61.	A	Distribution	J	T	Sold (part)	06/17/19	J	A	
62.	D	Distribution			Sold	08/14/19	M	D	
63. VANGUARD GROWTH ETF (VUG) IRA FUNDS+	B	Int./Div.	J	T	Buy (add'l)	01/18/19	K		
64.	A	Distribution	J	T	Sold (part)	01/24/19	K	B	
65.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
66.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
67.	A	Distribution	J	T	Sold (part)	04/04/19	J	C	
68.	D	Distribution			Sold	08/14/19	M	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. VANGUARD INTERMEDIATE TERM BONDS (BIV) IRA FUNDS+	A	Int./Div.	J	T	Sold (part)	01/18/19	J	A	
70.	A	Distribution	J	T	Sold (part)	01/30/19	J	A	
71.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
72.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
73.	A	Distribution	J	T	Sold (part)	06/17/19	J	A	
74.	A	Distribution			Sold	08/14/19	K	A	
75. VANGARD SHORT TERM BONDS (BSV) IRA FUNDS+	A	Int./Div.	J	T	Sold	01/18/19	K	A	
76. ISHARES 3-7 YEAR TREASURY BOND ETF (IEI) IRA FUNDS+	A	Int./Div.	J	T	Sold (part)	01/18/19	J	A	
77.	A	Distribution	J	T	Sold (part)	04/05/19	J	A	
78.	A	Distribution			Sold	08/14/19	J	A	
79. VANGUARD SHORT TERM CORPORATE BOND (VCSH) IRA FUNDS+	A	Int./Div.	J	T	Buy	01/18/19	K		
80.	A	Distribution	J	T	Sold (part)	01/24/19	J	A	
81.	A	Distribution	J	T	Sold (part)	01/30/19	J	A	
82.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J	A	
83.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
84.	A	Distribution			Sold	08/14/19	K	A	

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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85. VANGUARD INTERMEDIATE TERM CORPORATE BOND (VCIT) IRA FUNDS +	A	Int./Div.	J	T	Buy	01/18/19	K		
86.	A	Distribution	J	T	Sold (part)	01/24/19	J	A	
87.	A	Int./Div.	J	T	Buy (add'l)	02/25/19	J		
88.	A	Distribution	J	T	Sold (part)	04/04/19	J	A	
89.	A	Distribution	J	T	Sold	08/14/19	J	A	
90. XTRACKERS USD HIGH YIELD CORP BONDS (HYLB) IRA FUNDS+	A	Int./Div.	J	T	Buy	01/18/19	J		
91.	A	Int./Div.	J	T	Sold (part)	01/24/19	J	A	
92.	A	Distribution			Sold	08/14/19	J	A	
93. Estate #F1 Bank Account	A	Int./Div.	J	T					
94. Estate#1 MET LIFE SHARES	A	Int./Div.	J	T					
95.									
96.									
97.									
98.									
99.									
100.									

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
101.									
102.									
103.									
104.									
105.									
106.									
107.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Heston, Mary J.

Date of Report

12/22/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

+ ALL OF THE IRA FUNDS WERE LIQUIDATED AND TRANSFERRED TO TSP ON OR BEFORE AUGUST 14, 2019.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Heston, Mary J.

Date of Report

12/22/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary J. Heston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544