

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCCALLA, JON P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 167 N. MAIN STREET 11TH FLOOR MEMPHIS, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
MCCALLA, JON P.	08/12/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1ST TN BANK - CHECKING/ SAVING, MEMPHIS, TN	A	Interest	J	T					
2. MCCALLA FARMS, SHELBY CO, TN, APPRAISED 2/9/2017	C	Rent	K	Q					
3. FARM LAND #1, SHELBY COUNTY, TN 12/16/94, APPRAISED 2/9/2017	C	Rent	K	Q					
4. FARM LAND #2, SHELBY COUNTY, TN 11/28/94, APPRAISED 2/9/17	C	Rent	K	Q					
5. FARM LAND #3, SHELBY COUNTY, TN 11/28/94, APPRAISED 2/9/2017	C	Rent	K	Q					
6. IRA-MORGAN STANLEY-AMERICAN FUNDS-NEW PERSPECTIVE	C	Dividend	M	T					
7. AMERICAN FUNDS-INVESTMENT CO OF AMERICA	C	Dividend	L	T					
8. AMERICAN FUNDS-AMERICAN NEW PERSPECTIVES FUND	B	Dividend	L	T					
9. FRACTIONAL INTERESTS IN FARM LAND, SHELBY CO., TN, APPRAISED 2/9/2017	C	Rent	L	Q					
10. FRACTIONAL INT. FARM LAND, INHERITED, SHELBY CO TN, APPRIASED 2/9/2017	C	Rent	L	Q					
11. IRA-MORGAN STANLEY-VAN KAMPEN FD- VAN KAMPEN GROWTH & INCOME	D	Dividend	L	T					
12. IRA-MORGAN STANLEY-AMERICAN FUNDS-GROWTH FUND OF AMERICA	E	Dividend	M	T					
13. IRA-MORGAN STANLEY-GENERAL ELECTRIC	A	Dividend	J	T					
14. IRA-MORGAN STANLEY-HOTCHKIS & WILEY FD- LRG CAP VAL FDS CL C		None			Sold	03/22/19	L	B	
15. IRA-MORGAN STANLEY BANK-CASH	A	Interest	J	T					
16. AMERICAN FUNDS-TAX EXEMPT BOND FUND CL C	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. IRA-MORGAN STANLEY-CALAMOS NEW MARKET NEUTRAL CL I		None			Sold	03/22/19	L	D	
18. IRA-MORGAN STANLEY-GROWTH FUND OF AMERICA CL FI	D	Dividend	M	T					
19. IRA-MORGAN STANLEY-HOTCHKIS & WILEY LARGE CAP VAL CL I	C	Dividend	M	T					
20. FIDELITY-CASH	A	Interest	K	T					
21. IRA-INVESTMENT CO OF AMERICA	B	Dividend	L	T					
22. IRA-FIDELITY ADV NEW INSIGHTS	D	Dividend	M	T					
23. IRA-FIDELITY ADV STRATEGIC INCOME	A	Dividend	L	T					
24. IRA-DOUBLELINE TOTAL RETURN BOND	C	Dividend	M	T					
25. IRA-AT&T	B	Dividend	K	T					
26. IRA-CASH MORGAN STANLEY	A	Interest	J	T					
27. IRA-TEMPLETON GLOBAL BOND	B	Dividend	K	T					
28. FIDELITY-ISHARES S&P 500 GROWTH ETF	B	Dividend	L	T					
29. FIDELITY-ISHARES S&P 500 VALUE ETF	A	Dividend	K	T					
30. FIDELITY-ISHARES CORE S&P 500 ETF	C	Dividend	M	T					
31. FIDELITY-SECTOR SPDR TR SHS BEN INT CONSUMER STAPLES	A	Dividend	J	T					
32. IRA-MORGAN STANLEY- FPA FDS TR CRESCENT PORTFOLIO		None			Sold	03/22/19	M	B	
33. SCHWAB-ASHFORD HOSPITAL 8.45% PFD	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. SCHWAB-MUNI MONEY FUND	A	Interest	J	T					
35. SCHWAB-COLONY CAPITAL 8.25%	A	Interest	J	T					
36. IRREVBL TRUST U/A DTD 12/29/1999 DOD 8/23/2014 INCOME BENEFICIARY (H)									
37. -AT&T X	D	Dividend	M	T					
38. -CHEVRON X	A	Dividend	J	T					
39. -EXXON MOBIL X	A	Dividend	J	T					
40. -WISDOMTREE TRUST EMERGING MKT EQT	B	Dividend	K	T					
41. -FIRST TRUST DJ GL SEL DVD	B	Dividend	K	T					
42. -SPDR S&P DIVIDEND	B	Dividend	L	T					
43. -BLACKROCK INC	A	Dividend	K	T					
44. -CORTEVA (FORMERLY DOWDUPONT)	B	Dividend	J	T					
45. -DOW INC (FORMERLY DOWDUPONT)	C	Dividend	J	T					
46. -DUPONT DE NEMOURS (FORMERLY DOWDUPONT)	A	Dividend	J	T					
47. -CORNING INC	A	Dividend	K	T					
48. -WALGREENS BOOTS ALLIANCE	A	Dividend	J	T					
49. -ABBVIE INC	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
50. -BOEING CO	A	Dividend	K	T					
51. -MORGAN STANLEY CASH ACCOUNT	A	Interest	K	T					
52. -OLIN CORPORATION	A	Dividend	J	T					
53. -ISHARES SMALL CAP 600G ETF	A	Dividend	K	T					
54. -VISA INC CL A	A	Dividend	J	T					
55. -VANGUARD MIDCAP GROWTH ETF	A	Dividend	K	T					
56. -FIRST TR EXCHANGE TRADED FD VI	A	Dividend	K	T					
57. -S&P 500 INDEX	A	Dividend	K	T					
58. -ISHARES CORE MSCI EAFE ETF	A	Dividend	J	T					
59. -ISHARES CORE MSCI EMERGING	A	Dividend	J	T					
60. -PACER GBL CASH COWS	A	Dividend	J	T					
61. IRA-MORGAN STANLEY-JOHNSON & JOHNSON	B	Dividend	L	T					
62. MORGAN STANLEY BANK N.A. - MONEY MARKET X	A	Interest	J	T					
63. FIRST TRUST MID CAP VALUE	A	Dividend	K	T					
64. ISHARES SMALL CAP 600 ETF	A	Dividend	M	T					
65. VANGUARD MID CAP VALUE ETF	A	Dividend	L	T					
66. VANGUARD MID CAP GROWTH ETF	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
67. MORGAN STANLEY-ADVISORSHARES WILSHIRE BUYBACK		None			Sold	03/22/19	L	D	
68. MORGAN STANLEY BANK-CASH EQUIVALENT	A	Interest	J	T					
69. MORGAN STANLEY BANK-CASH EQUIVALENT	A	Interest	J	T					
70. FIDELITY-PROSHARES TRUST S&P 500 ETF	B	Dividend	L	T					
71. FIDELITY-BERKSHIRE HATHAWAY INC DEL CL B NEW		None	K	T					
72. MORGAN STANLEY BANK-CASH EQUIVALENT	A	Interest	J	T					
73. MORGAN STANLEY-INVESCO S&P MIDCAP MOMENTUM	A	Dividend	L	T					
74. MORGAN STANLEY-VANGUARD SAMLL CAP ETF	A	Dividend	J	T					
75. MORGAN STANLEY-PALO ALTO NETWORKS INC		None	K	T					
76. MORGAN STANLEY-SALESFORCE COM INC	B	Dividend	K	T					
77. MORGAN STANLEY-SPDR S&P DIVIDEND	A	Dividend	K	T					
78. MORGAN STANLEY-CHEVRON CORP	A	Dividend	J	T					
79. MORGAN STANLEY-JOHNSON & JOHNSON PREF RATE	A	Dividend	J	T					
80. MORGAN STANLEY-APPLE INC PREF RATE	A	Dividend	J	T					
81. IRA-VANGUARD HEALTH CARE EFT	B	Dividend	L	T					
82. IRA-CONS DISCRET SEL SECT SPDR	A	Dividend	L	T					
83. IRA-THE FINANCIAL SEL SECT SPDR		None			Sold	04/18/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
84. IRA-THE TECHNOLOGY SEL SEC SPDR	A	Dividend	L	T	Sold (part)	04/18/19	J	D	
85. SCHWAB-EXANTAX CAP 8.625% PFD	B	Dividend	J	T					
86. SCHWAB-CAPSTEAD MTG C 7.5% PFD	A	Dividend	J	T					
87. SCHWAB-DFA US CORE EQUITY I PORT INSTL	D	Dividend	M	T	Buy (add'l)	02/06/19	J		
88. SCHWAB-CEDAR REALTY 7.25% PFD	A	Dividend	J	T					
89. IRA-MORGAN STANLEY-FIDELITY ADV ENERGY I	A	Dividend	K	T					
90. IRA-MORGAN STANLEY-VANGUARD HEALTH CARE ETF	B	Dividend	M	T					
91. IRA-MORGAN STANLEY-CONS DISCRET SEL SECT SPDR FD	A	Dividend	L	T	Sold (part)	07/01/19	K	D	
92. IRA-THE TECHNOLOGY SEL SEC SPDR	A	Dividend	K	T					
93. IRA-MORGAN STANLEY-FIRST TR EX TRADED FD VI	B	Dividend	L	T					
94. IRA-MORGAN STANLEY-KINDER MORGAN INCORP	A	Dividend	K	T					
95. IRA-MORGAN STANLEY-PIMCO INCOME		None			Sold	03/22/19	M	A	
96. MORGAN STANLEY-BLACKROCK MUNI HLDG	A	Interest			Sold	04/25/19	K	A	
97. MORGAN STANLEY-EATON VANCE MUNI INC 2028	A	Dividend	K	T	Sold (part)	12/31/19	J	B	
98. MORGAN STANLEY-EV TABS INTERM-TERM MUNI	B	Interest	L	T	Sold (part)	04/25/19	J	A	
99. IRA-MORGAN STANLEY-BRANDES INTL SMALL CAP	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
100. IRA-MORGAN STANLEY-UNDISCOVERED MNGR BHVRL VL SEL	B	Dividend	K	T					
101. IRA-MORGAN STANLEY-T ROWE PRICE INTL DISCOVERY	B	Dividend	M	T					
102. IRA-MORGAN STANLEY-HARDING LOEVNER INTL EQUITY		None			Sold	03/22/19	L	D	
103. IRA-MORGAN STANLEY-VANGUARD INDEX FDS S&P 500	B	Dividend	L	T					
104. IRA-MORGAN STANLEY-SELECT SECTOR SPDR TD ETF	A	Dividend	J	T					
105. SCHWAB-BLUEROCK RESI 8.25% PFD	A	Dividend	J	T					
106. SCHWAB-A G N C INVES 7.75% PFD		None			Sold	11/26/19	K	A	
107. SCHWAB-ANWORTH MTG 7.625% PFD	B	Dividend	K	T					
108. SCHWAB-COREENERGY INF 7.375% PFD	B	Dividend	K	T					
109. SCHWAB-ASHFORD HOSPITAL 7.375% PFD	B	Dividend			Sold	06/16/19	K	A	
110. SCHWAB-DRIVE SHACK INV 8.05% PFD	B	Dividend	J	T					
111. SCHWAB-DRIVE SHACK INV 8.375% PFD	B	Dividend	J	T					
112. SCHWAB-ANNALY CAPIT 7.625% PFD	A	Dividend			Sold	07/22/19	J	A	
113. SCHWAB-ISTAR INC 8% PFD	B	Dividend	K	T					
114. SCHWAB-ISTAR INC 7.5% PFD	B	Dividend	K	T					
115. SCHWAB-HERSHA HOSPITY 6.5% PFD	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
116. MORGAN STANLEY-ISHARES CORE S&P TOTAL US STOCK	A	Dividend	J	T					
117. MORGAN STANLEY-ISHARES CORE S&P TOTAL US STOCK	A	Dividend	J	T					
118. SCHWAB-LANDMARK INFRAST 8% PFD	A	Dividend	K	T					
119. SCHWAB-PEBBLEBROOK 6.375% PFD	A	Dividend			Sold	08/06/19	K	A	
120. SCHWAB-CEDAR REALTY 6.5% PFD	B	Dividend	J	T	Sold (part)	08/20/19	K	A	
121. SCHWAB-MONMOUTH REA 6.125% PFD	A	Dividend	K	T					
122. SCHWAB-TWO HARBORS INV 7.5% PFD	B	Dividend	K	T					
123. IRA-SCHWAB-CORENERTY INF 7.375% PFD	A	Dividend	J	T					
124. IRA-SCHWAB-PEBBLEBROOK 6.375% PFD	A	Dividend			Sold	08/08/19	K	B	
125. IRA-SCHWAB-UMH PROPERTIES 6.75% PFD	A	Dividend	K	T					
126. IRA-SCHWAB-MONMOUTH REA 6.125% PFD	B	Dividend	K	T					
127. FIDELITY-DODGE & COX	C	Dividend	K	T					
128. FIDELITY-ISHARES CORE S&P TOTAL US STOCK	B	Dividend	M	T					
129. FIDELITY-AMAZON		None	L	T					
130. IAR-MORGAN STANLEY-APPLE	A	Dividend	J	T					
131. IRA-MORGAN STANLEY-BLACKROCK STRATEGIC	A	Dividend			Sold	03/22/19	L	A	
132. IRA-MORGAN STANLEY-VANGUARD TOTAL STK MKT	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
133. IRA-MORGAN STANLEY-ISHARES CORE MSCI	A	Dividend	K	T					
134. IRA-MORGAN STANLEY-ISHARES CORE MSCI EMERGING	A	Dividend	K	T					
135. IRA-MORGAN STANLEY-APPLE	A	Dividend	J	T					
136. IRA-SCHWAB-SUMMIT HOTEL 6.45% PFD	B	Dividend	K	T					
137. IRA-SCHWAB-CITY OFFICE 6.625% PFD	A	Dividend	J	T	Buy (add'l)	12/18/19	J		
138. IRA-SCHWAB-COLONY CAPIT 7.125% PFD	A	Dividend	J	T					
139. SCHWAB-PLYMOUTH INDU 7.5%	A	Dividend	J	T					
140. SCHWAB-SUMMIT HOTEL 6.25% PFD	A	Dividend	J	T					
141. SCHWAB-GLOBAL MEDICAL 7.5%	B	Dividend	K	T					
142. SCHWAB-JERNIGAN CAPITAL 7% PFD	B	Dividend	K	T					
143. SCHWAB-CBL & ASSOC 6.625% PFD	A	Dividend			Sold	04/08/19	J	A	
144. SCHWAB-SUMMIT HOTEL-6.45%	B	Dividend	K	T					
145. SCHWAB-ASHFORD HOSP 7.375 SER G	A	Dividend	J	T					
146. IRA-SCHWAB-JERNIGAN CAPITAL 7% PFD	A	Dividend	J	T	Buy	02/01/19	J		
147. IRA-SCHWAB-ARMOUR RESID 7.875% PFD	A	Dividend	J	T	Buy	06/24/19	J		
148. IRA-SCHWAB-ARLINGTON ASS 8.25% PFD	A	Dividend	J	T	Buy	08/08/19	J		
149. IRA-SCHWAB-GLOBAL NET LEASE 7.25% PFD	A	Dividend	J	T	Buy	08/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
150. IRA-SCHWAB-PENNSYLVANIA R 7.2% PFD	A	Dividend	J	T	Buy	10/31/19	J		
151. IRA-SCHWAB-UMH PROPERTIES 6.375% PFD		None	J	T	Buy	12/16/19	J		
152. IRA-SCHWAB-BLUEROCK RES 7.125% PFD		None	J	T	Buy	12/16/19	J		
153. IRA-SCHWAB-ANNALY CAPITAL 6.5%		None	K	T	Buy	12/16/19	K		
154. IRA-SCHWAB-MONMOUTH REA 6.125% PFD		None			Buy	12/16/19	K		
155.					Sold	12/16/19	K	A	
156. IRA-SCHWAB-FARMLAND PARTNER 6% PFD		None	K	T	Buy	12/18/19	K		
157. IRA-SCHWAB-NEW RESIDENT 7.125% PFD		None	K	T	Buy	12/16/19	K		
158. SCHWAB-INVESTORS RE 6.625% PFD	A	Dividend	J	T	Buy	04/29/19	J		
159. SCHWAB-SOTHERLY HOT 7.875% PFD	A	Dividend	K	T	Buy	09/03/19	K		
160. SCHWAB-GLOBAL NET LEASE 7.25% PFD	A	Dividend	K	T	Buy	09/03/19	K		
161. SCHWAB-ARMOUR RESID 7.875% PFD	A	Dividend	K	T	Buy	09/03/19	K		
162. SCHWAB-CITY OFFICE 6.625% PFD	A	Dividend	K	T	Buy	09/04/19	K		
163. SCHWAB-ARLINGTON ASS 8.25% PFD		None	J	T	Buy	12/20/19	J		
164. SCHWAB-SCHWAB TOTAL STOCK MKT INDEX		None	J	T	Buy	12/20/19	J		
165. SCHWAB-SCHWAB INTL INDEX FUND		None	J	T	Buy	12/20/19	J		
166. SCHWAB-DFA INTL CORE EQUITY PORT INSTL	A	Dividend	K	T	Buy	08/06/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
167. IRA-MORGAN STANLEY-VANGUARD DIVIDEND APPRECIATION	B	Dividend	M	T	Buy	03/22/19	M		
168. IRA-MORGAN STANLEY- WISDOMTREE US MIDCAP	B	Dividend	L	T	Buy	03/22/19	L		
169. IRA-MORGAN STANLEY-ISHARES CORE 1-5 YEAR	D	Dividend	M	T	Buy	03/22/19	M		
170. IRA-MORGAN STANLEY-VANGUARD DIVIDEND APPRECIATION	B	Dividend	L	T	Buy	03/26/19	L		
171. IRA-MORGAN STANLEY-ISHARES CORE 1.5 YEAR	B	Dividend	L	T	Buy	03/26/19	L		
172. IRA-MORGAN STANLEY-WABTEC CORP (SPIN OFF FROM GENERAL ELECTRIC)		None	J	T	Spinoff (from line 13)	02/25/19	J		
173.					Sold (part)	02/25/19	J	A	
174. MORGAN STANLEY-BLACKROCK MUNI HOLDING QUALITY	A	Dividend	J	T	Buy	05/10/19	J		
175. MORGAN STANLEY-ISHARES CORE 1-5 YEAR	B	Dividend	K	T	Buy	03/26/19	K		
176. AXA (X)	A	Dividend	J	T					
177.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SCHEDULE VII, LINE 2

1/3 INTEREST IN MCCALLA FARMS, SHELBY COUNTY, TENNESSEE WAS PURCHASED ON JULY 20, 1982 FOR \$96,800. THIS LAND IS IN AGRICULTURAL USE; APPRAISAL 2/9/2017

SCHEDULE VII, LINE 3

INHERITED AN UNDIVIDED 1/12 INTEREST IN FARM LAND IN SHELBY COUNTY, TENNESSEE, DATED 12/16/94; APPRAISAL 2/9/2017

SCHEDULE VII, LINES 4 & 5

RECEIVED AN UNDIVIDED 1/6 INTEREST IN FARM LAND AND AN UNDIVIDED 1/12 INTEREST IN FARM LAND LOCATED IN SHELBY COUNTY, TENNESSEE, DATED 11/28/94; APPRAISAL 2/9/2017

.

SCHEDULE VII, LINE 9

FRACTIONAL INTERESTS IN FARM LAND IN SHELBY COUNTY, TENNESSEE GIFTED IN 2000; APPRAISAL 2/9/2017

SCHEDULE VII, LINE 10

FRACTIONAL INTEREST IN FARM LAND IN SHELBY COUNTY, TENNESSEE INHERITED IN 1992; APPRAISAL 2/9/2017

SCHEDULE VII, LINE 36

TRUST ESTABLISHED BY INHERITANCE FROM PARENT DOD 8/23/14

SCHEDULE VII, LINE 73

NAME CHANGE FROM INVESCO RUSSELL MIDCAP PURE DR

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

MCCALLA, JON P.

Date of Report

08/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JON P. MCCALLA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544