

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenaway, Joseph A.	2. Court or Organization U.S. Court of Appeals for the Third Circuit	3. Date of Report 8/5/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. P.O. & Federal Courthouse Federal Square and Walnut St. Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Associate Editor	ABA Litigation Magazine
2. Trustee	
3. Trustee	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 94-96	Johnson & Johnson Employee Savings Plan
2. 95-96	Johnson & Johnson Pension Plan
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	- teaching	\$17,475.00
2. 2019	- teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	
2. 2019	self employed
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Tulane Law School	January 28-29, 2019	New Orleans, LA	Judge moot court competitino	transportation, lodging, meals
2.	February 28-March 2, 2019	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals
3. Birmingham City University	March 20-22, 2019	Birmingham, UK	Speak at law school	transportation, lodging, meals
4.	June 6-8, 2019	New York, NY	Attend Board of Trustees meeting	transportation, meals
5.	September 26-27, 2019		Teach course	transportation, lodging

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6.		October 3-6, 2019	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals
7.		October 10-11, 2019		Teach course	transportation, lodging
8.		October 31- November 1, 2019		Teach course	transportation, lodging
9.		November 7-8, 2019		Teach course	transportation, lodging
10.		November 14-15, 2019		Teach course	transportation, lodging
11.		November 21-22, 2019		Teach course	transportation, lodging
12.		\December 5-8, 2019	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.	Citibank Mastercard	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merck common stock	A	Dividend	K	T					
2. Microsoft	A	Dividend	J	T	Buy (add'l)	02/20/19	J		
3. McDonalds common stock	A	Dividend	K	T					
4. Johnson & Johnson common stock	E	Dividend	O	T	Sold (part)	01/18/19	J		
5. U.S. Savings Bonds	A	Interest	J	T					
6. Citibank (checking & savings)	A	Interest	J	T					
7. Disney common stock	A	Dividend	K	T					
8. Intel common stock	A	Dividend	J	T					
9. Johnson & Johnson 401(k) Diversified Equity Fund	A	Dividend	O	T					
10. GE common stock	A	Dividend	J	T					
11. Pfizer common stock	A	Dividend	J	T					
12. Comcast common stock	A	Dividend	J	T					
13. Wells Fargo Securities IRA money market cash sweeps account (Y)									
14. Northwestern Mutual Life Insurance (whole life)		None	N	W					
15. Alphabet common stock		None	K	T					
16. Apple common stock	A	Dividend	K	T	Sold (part)	09/23/19	J	A	
17. ADP common stock (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. Facebook		None	J	T	Buy (add'l)	11/20/19	J		
19. Chase Bank	A	Interest	K	T					
20. Amazon		None	J	T	Buy (add'l)	03/29/19	J		
21. [REDACTED] Ret. Plan for Offs. Vanguard Target Retirement 2035	C	Dividend	M	T					
22.					Buy (add'l)	01/01/19	J		
23.					Buy (add'l)	01/15/19	J		
24.					Buy (add'l)	02/01/19	J		
25.					Buy (add'l)	02/15/19	J		
26.					Buy (add'l)	03/01/19	J		
27.					Buy (add'l)	03/15/19	J		
28.					Buy (add'l)	04/01/19	J		
29.					Buy (add'l)	04/15/19	J		
30.					Buy (add'l)	05/01/19	J		
31.					Buy (add'l)	05/15/19	J		
32.					Buy (add'l)	06/03/19	J		
33.					Buy (add'l)	06/17/19	J		
34.					Buy (add'l)	07/01/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/15/19	J		
36.					Buy (add'l)	08/01/19	J		
37.					Buy (add'l)	08/15/19	J		
38.					Buy (add'l)	09/02/19	J		
39.					Buy (add'l)	09/16/19	J		
40.					Buy (add'l)	10/01/19	J		
41.					Buy (add'l)	10/15/19	J		
42.					Buy (add'l)	11/01/19	J		
43.					Buy (add'l)	11/15/19	J		
44.					Buy (add'l)	12/02/19	J		
45.					Buy (add'l)	12/16/19	J		
46. Vol. Ret. Sav. Plan Vanguard Target Retirement 2035	D	Dividend	N	T					
47.					Buy (add'l)	01/01/19	J		
48.					Buy (add'l)	01/15/19	J		
49.					Buy (add'l)	02/01/19	J		
50.					Buy (add'l)	02/15/19	J		
51.					Buy (add'l)	03/01/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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52.					Buy (add'l)	03/15/19	J		
53.					Buy (add'l)	04/01/19	J		
54.					Buy (add'l)	04/15/19	J		
55.					Buy (add'l)	05/01/19	J		
56.					Buy (add'l)	05/15/19	J		
57.					Buy (add'l)	06/03/19	J		
58.					Buy (add'l)	06/17/19	J		
59.					Buy (add'l)	07/01/19	J		
60.					Buy (add'l)	07/15/19	J		
61.					Buy (add'l)	08/01/19	J		
62.					Buy (add'l)	08/15/19	J		
63.					Buy (add'l)	09/02/19	J		
64.					Buy (add'l)	09/16/19	J		
65.					Buy (add'l)	10/01/19	J		
66.					Buy (add'l)	10/15/19	J		
67.					Buy (add'l)	11/01/19	J		
68.					Buy (add'l)	11/15/19	J		

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69.					Buy (add'l)	12/02/19	J		
70.					Buy (add'l)	12/16/19	J		
71. Accenture		None			Sold	02/04/19	J	A	
72. Amgen, Inc.	A	Dividend			Sold	05/22/19	J		
73. Applied Materials Inc.	A	Dividend	J	T	Buy (add'l)	08/20/19	J		
74. AXA Spons ADR		None			Sold	02/04/19	J		
75. Bank of New York Mellon Corp.	A	Dividend			Sold (part)	01/31/19	J		
76.					Sold	03/29/19	J		
77. Becton Dickinson	A	Dividend	J	T					
78. Berkshire Hathaway		None	J	T					
79. Biogen Inc.		None			Sold	03/29/19	J		
80. Blackrock, Inc. common stock	A	Dividend	J	T					
81. Broadcom LTD	A	Dividend	J	T	Sold (part)	11/20/19	J	A	
82. California St. Dept. 3.127% 12/01/29	A	Interest	J	T					
83. Canadian National Railway		None			Sold	02/04/19	J	A	
84. Charles Schwab Corp. common stock	A	Dividend			Sold (part)	07/15/19	J		
85.					Sold	09/23/19	J		

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86. Chevron Corp.	A	Dividend	J	T					
87. Clorox	A	Dividend			Sold	08/20/19	J	A	
88. Cognizant Technology Solutions Corp.		None			Sold	02/20/19	J	A	
89. Danaher Corp.	A	Dividend	J	T	Sold (part)	11/20/19	J	A	
90. Danone Spons ADR		None			Sold	02/04/19	J		
91. Deere & Co.	A	Dividend	J	T					
92. Discover Bank CD 2.35% 9/13/22	A	Interest	J	T					
93. Durham NC LTD 3.3% 10/1/27	A	Interest	K	T					
94. Emerson Electric Co.	A	Dividend			Sold	05/22/19	J		
95. Federated Prime Cash Obligations	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
96.					Buy (add'l)	01/03/19	J		
97.					Buy (add'l)	01/04/19	J		
98.					Buy (add'l)	01/07/19	J		
99.					Buy (add'l)	01/08/19	J		
100.					Buy (add'l)	01/11/19	J		
101.					Buy (add'l)	01/15/19	J		
102.					Sold (part)	01/18/19	J		

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103.					Sold (part)	01/22/19	J		
104.					Buy (add'l)	01/23/19	J		
105.					Buy (add'l)	01/25/19	J		
106.					Sold (part)	01/31/19	J		
107.					Buy (add'l)	02/01/19	J		
108.					Buy (add'l)	02/04/19	K		
109.					Sold (part)	02/05/19	K		
110.					Sold (part)	02/06/19	J		
111.					Buy (add'l)	02/08/19	J		
112.					Buy (add'l)	02/11/19	J		
113.					Buy (add'l)	02/14/19	J		
114.					Buy (add'l)	02/15/19	J		
115.					Sold (part)	02/20/19	J		
116.					Sold (part)	02/21/19	J		
117.					Buy (add'l)	02/22/19	J		
118.					Buy (add'l)	02/27/19	J		
119.					Buy (add'l)	02/28/19	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/01/19	J		
121.					Buy (add'l)	03/04/19	J		
122.					Buy (add'l)	03/07/19	J		
123.					Buy (add'l)	03/08/19	J		
124.					Buy (add'l)	03/11/19	J		
125.					Buy (add'l)	03/13/19	J		
126.					Buy (add'l)	03/14/19	J		
127.					Buy (add'l)	03/15/19	J		
128.					Buy (add'l)	03/18/19	J		
129.					Buy (add'l)	03/19/19	J		
130.					Sold (part)	03/20/19	J		
131.					Buy (add'l)	03/21/19	J		
132.					Buy (add'l)	03/22/19	J		
133.					Buy (add'l)	03/25/19	L		
134.					Buy (add'l)	03/26/19	J		
135.					Buy (add'l)	03/28/19	J		
136.					Buy (add'l)	03/29/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Greenaway, Joseph A.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/01/19	J		
138.					Buy (add'l)	04/02/19	J		
139.					Buy (add'l)	04/03/19	L		
140.					Sold (part)	04/05/19	M		
141.					Sold (part)	04/08/19	J		
142.					Buy (add'l)	04/10/19	J		
143.					Buy (add'l)	04/11/19	J		
144.					Buy (add'l)	04/12/19	J		
145.					Buy (add'l)	04/15/19	J		
146.					Buy (add'l)	04/16/19	J		
147.					Sold (part)	04/22/19	J		
148.					Buy (add'l)	04/24/19	J		
149.					Sold (part)	04/25/19	J		
150.					Sold (part)	04/26/19	J		
151.					Buy (add'l)	04/30/19	J		
152.					Buy (add'l)	05/01/19	J		
153.					Buy (add'l)	05/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Greenaway, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/09/19	J		
155.					Sold (part)	05/10/19	J		
156.					Buy (add'l)	05/13/19	J		
157.					Buy (add'l)	05/15/19	J		
158.					Buy (add'l)	05/16/19	J		
159.					Sold (part)	05/20/19	J		
160.					Buy (add'l)	05/22/19	J		
161.					Buy (add'l)	05/24/19	J		
162.					Buy (add'l)	05/28/19	J		
163.					Buy (add'l)	05/29/19	J		
164.					Buy (add'l)	06/03/19	J		
165.					Buy (add'l)	06/04/19	J		
166.					Buy (add'l)	06/05/19	K		
167.					Buy (add'l)	06/06/19	J		
168.					Buy (add'l)	06/07/19	J		
169.					Buy (add'l)	06/10/19	J		
170.					Buy (add'l)	06/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	06/14/19	J		
172.					Buy (add'l)	06/17/19	J		
173.					Buy (add'l)	06/18/19	K		
174.					Buy (add'l)	06/20/19	J		
175.					Buy (add'l)	06/21/19	J		
176.					Buy (add'l)	06/25/19	J		
177.					Buy (add'l)	06/26/19	J		
178.					Buy (add'l)	06/27/19	J		
179.					Sold (part)	06/28/19	J		
180.					Buy (add'l)	07/01/19	J		
181.					Buy (add'l)	07/02/19	J		
182.					Buy (add'l)	07/03/19	J		
183.					Buy (add'l)	07/08/19	J		
184.					Buy (add'l)	07/10/19	J		
185.					Buy (add'l)	07/11/19	J		
186.					Buy (add'l)	07/12/19	J		
187.					Sold (part)	07/15/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	07/16/19	J		
189.					Sold (part)	07/18/19	J		
190.					Sold (part)	07/22/19	J		
191.					Buy (add'l)	07/24/19	J		
192.					Sold (part)	07/25/19	J		
193.					Buy (add'l)	07/26/19	J		
194.					Buy (add'l)	07/31/19	J		
195.					Buy (add'l)	08/01/19	J		
196.					Buy (add'l)	08/02/19	J		
197.					Buy (add'l)	08/05/19	J		
198.					Buy (add'l)	08/09/19	J		
199.					Buy (add'l)	08/12/19	J		
200.					Buy (add'l)	08/14/19	K		
201.					Buy (add'l)	08/15/19	J		
202.					Buy (add'l)	08/16/19	J		
203.					Sold (part)	08/20/19	J		
204.					Buy (add'l)	08/23/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Greenaway, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	08/26/19	J		
206.					Buy (add'l)	09/03/19	J		
207.					Buy (add'l)	09/04/19	J		
208.					Buy (add'l)	09/06/19	J		
209.					Buy (add'l)	09/09/19	J		
210.					Buy (add'l)	09/10/19	J		
211.					Buy (add'l)	09/12/19	J		
212.					Buy (add'l)	09/13/19	J		
213.					Buy (add'l)	09/16/19	J		
214.					Buy (add'l)	09/19/19	J		
215.					Sold (part)	09/20/19	J		
216.					Buy (add'l)	09/23/19	J		
217.					Buy (add'l)	09/24/19	J		
218.					Buy (add'l)	09/25/19	J		
219.					Buy (add'l)	09/26/19	J		
220.					Buy (add'l)	09/27/19	J		
221.					Buy (add'l)	09/30/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	10/01/19	M		
223.					Buy (add'l)	10/02/19	J		
224.					Buy (add'l)	10/07/19	J		
225.					Buy (add'l)	10/10/19	J		
226.					Buy (add'l)	10/11/19	J		
227.					Buy (add'l)	10/15/19	J		
228.					Buy (add'l)	10/16/19	J		
229.					Sold (part)	10/21/19	J		
230.					Buy (add'l)	10/23/19	J		
231.					Buy (add'l)	10/25/19	J		
232.					Buy (add'l)	10/31/19	J		
233.					Buy (add'l)	11/01/19	J		
234.					Buy (add'l)	11/04/19	J		
235.					Buy (add'l)	11/08/19	J		
236.					Sold (part)	11/13/19	J		
237.					Sold (part)	11/14/19	J		
238.					Buy (add'l)	11/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	11/18/19	J		
240.					Buy (add'l)	11/20/19	J		
241.					Buy (add'l)	11/22/19	J		
242.					Buy (add'l)	11/25/19	K		
243.					Buy (add'l)	11/27/19	J		
244.					Buy (add'l)	11/29/19	J		
245.					Buy (add'l)	12/02/19	J		
246.					Buy (add'l)	12/03/19	J		
247.					Buy (add'l)	12/04/19	J		
248.					Buy (add'l)	12/06/19	J		
249.					Buy (add'l)	12/10/19	J		
250.					Buy (add'l)	12/11/19	J		
251.					Buy (add'l)	12/12/19	J		
252.					Buy (add'l)	12/17/19	J		
253.					Buy (add'l)	12/18/19	J		
254.					Buy (add'l)	12/19/19	K		
255.					Sold (part)	12/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Greenaway, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	12/23/19	J		
257.					Buy (add'l)	12/24/19	J		
258.					Buy (add'l)	12/26/19	J		
259.					Buy (add'l)	12/27/19	J		
260.					Buy (add'l)	12/30/19	J		
261.					Buy (add'l)	12/31/19	J		
262. FedEx Corp.	A	Dividend	J	T					
263. FHLB 2.8% 11/27/24	A	Interest			Sold	06/18/19	J	A	
264. Honeywell International Inc.	A	Dividend	J	T	Sold (part)	11/20/19	J	A	
265. Intercontinental Exchange	A	Dividend	J	T					
266. iShares Core S&P 500 ETF	A	Dividend	K	T					
267. iShares Core S&P Mid Cap ETF	A	Dividend	K	T					
268. iShares Core S&P Small Cap ETF	A	Dividend	L	T	Buy (add'l)	08/20/19	K		
269.					Buy (add'l)	11/27/19	J		
270. iShares MSCI EAFE Small Cap ETF		None			Sold	02/04/19	K		
271. iShares S&P Midcap 400 Growth ETF	A	Dividend	K	T	Buy (add'l)	09/12/19	J		
272. JP Morgan Chase common stock	A	Dividend	J	T	Buy (add'l)	01/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. Las Vegas Sands	A	Dividend	J	T	Buy (add'l)	07/15/19	J		
274. Mastercard, Inc.	A	Dividend	J	T	Sold (part)	09/23/19	J	A	
275. Matthews Pacific Tiger Inst.	A	Dividend	K	T	Buy (add'l)	11/13/19	J		
276.	B	Distribution							
277. Medtronic	A	Dividend			Sold	02/04/19	J	A	
278. New York, NY 3.05% 10/01/29	A	Interest	J	T					
279. Nike Inc.	A	Dividend	J	T					
280. Pepsico Inc.	A	Dividend	J	T					
281. Pioneer Natural Resources Co.	A	Dividend	J	T					
282. Priceline Group Inc. (name changed to Booking Holdings)		None			Sold	11/20/19	J	A	
283. Procter & Gamble Co.	A	Dividend	J	T					
284. Progressive Corp. Ohio	A	Dividend	J	T					
285. Salesforce.com		None	J	T	Buy (add'l)	07/15/19	J		
286. SAP SE SPONS ADR		None			Sold	02/04/19	J		
287. Sony		None			Sold	02/04/19	J	A	
288. Starbucks Corp.	A	Dividend	J	T	Buy (add'l)	11/20/19	J		
289. Sysco Corp.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Texas Instruments	A	Dividend	J	T	Sold (part)	09/23/19	J	A	
291. Union Pacific Corp	A	Dividend	J	T					
292. United Health Group Inc.	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
293. Univ. of Hawaii 3.28% 10/1/27	A	Interest	K	T					
294. Univ. of Hawaii 3.38% 10/1/28	A	Interest	K	T					
295. Vanguard FTSE Developed Markets ETF	C	Dividend	M	T	Buy (add'l)	04/08/19	J		
296.					Sold (part)	08/20/19	J		
297. Vanguard FTSE Emerging Markets ETF	B	Dividend	L	T	Buy (add'l)	11/14/19	J		
298. Vanguard REIT ETF	A	Dividend	J	T	Sold (part)	11/27/19	J	A	
299. Vanguard S/T Investment Grade FD-ADM	A	Dividend	J	T	Buy (add'l)	04/05/19	M		
300.					Sold (part)	04/11/19	K	A	
301.					Sold (part)	04/22/19	K		
302.					Sold (part)	04/25/19	K	A	
303.					Sold (part)	04/26/19	K		
304.					Sold (part)	05/10/19	K	A	
305.					Sold (part)	05/10/19	K	A	
306.					Buy (add'l)	07/15/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Greenaway, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	07/18/19	K	A	
308.					Sold (part)	07/25/19	K	A	
309.					Buy (add'l)	11/13/19	J		
310.					Sold (part)	11/25/19	K	A	
311. Activision Blizzard Inc.	A	Dividend	J	T	Buy (add'l)	08/20/19	J		
312. Adobe Systems 3.25% 2/1/25	A	Interest	K	T					
313. American Express	A	Dividend	J	T					
314. Apple, Inc. 3.35% 2/09/27	A	Interest	J	T					
315. Boeing	A	Dividend	J	T	Buy (add'l)	02/06/19	J		
316.					Buy (add'l)	11/20/19	J		
317. Boston Scientific		None	J	T	Buy (add'l)	01/18/19	J		
318.					Sold (part)	08/20/19	J	A	
319. BP Capital Markets PLC 3.588%	A	Interest	K	T					
320. Carillon Scout Mid Cap-I		None			Sold	02/21/19	J		
321. Citigroup Inc.	A	Dividend	J	T	Buy (add'l)	01/31/19	J		
322. Concho Resources	A	Dividend			Sold	08/20/19	J		
323. DWS Enhanced Commodity Strat Instl	A	Dividend	K	T	Buy (add'l)	04/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Greenaway, Joseph A.

Date of Report

8/5/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. FFCB 2.6% 3/8/21	A	Interest			Sold	06/05/19	K		
325. FFCB 2.95% 8/9/27	A	Interest			Sold	08/14/19	K	A	
326. FFCB 3.15% 2/14/25	A	Interest			Sold	04/03/19	K	A	
327. FFCB 3.30% 2/20/26	A	Interest			Sold	04/03/19	K		
328. FFCB 3.36% 2/27/25	A	Interest			Sold	03/25/19	K		
329. FFCB 3.37% 3/13/25	A	Interest			Sold	03/25/19	K		
330. FFCB 2.33% 9/14/29	A	Interest	J	T					
331. FHLB 3.45% 3/22/28	A	Interest	J	T					
332. FNMA 1.4% 6/13/19	A	Interest			Matured	06/13/19	J	A	
333. Home Depot	A	Dividend	J	T					
334. LAM Research Corp	A	Dividend	J	T	Buy (add'l)	08/20/19	J		
335. Lockheed Martin	A	Dividend	J	T					
336. Microchip Technology	A	Dividend			Sold	03/29/19	J		
337. Morgan Stanley CD 2.4%	A	Interest	K	T					
338. Morgan Stanley CD 2.7%	A	Interest	K	T					
339. PIMCO Commodity PL Strat-P	A	Dividend	K	T	Buy (add'l)	04/05/19	J		
340. Royal Caribbean Cruises LTD	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Greenaway, Joseph A.

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8/5/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Thermofisher Scientific	A	Dividend	J	T	Sold (part)	08/20/19	J	A	
342. US Treasury Notes 2.25% 3/31/20	A	Interest	M	T	Sold	06/07/19	L	A	
343.					Buy	10/01/19	M		
344. US Treasury Notes 2.375% 3/15/21	B	Interest			Sold	06/07/19	L	A	
345. US Treasury Notes 2.5% 3/31/23	B	Interest			Sold	06/07/19	L	B	
346. US Treasury Notes 2.625% 3/31/25	B	Interest			Sold	06/07/19	L	C	
347. Vanguard Materials ETF	A	Dividend	J	T					
348. Vanguard Utilities ETF	A	Dividend	J	T	Sold (part)	07/15/19	J	A	
349.					Sold (part)	11/20/19	J	A	
350. Abbott Labs	A	Dividend	J	T	Buy	01/18/19	J		
351.					Buy (add'l)	03/29/19	J		
352. Abilene, TX 2.872% 2/15/31		None	K	T	Buy	12/19/19	K		
353. Adobe Inc.		None	J	T	Buy	03/29/19	J		
354.					Buy (add'l)	08/20/19	J		
355. AMG MG Fairpt MC-I		None			Buy	02/21/19	J		
356.					Sold	09/12/19	J		
357. Charles Schwab 3.2% 3/2/27	A	Interest	K	T	Buy	05/10/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Greenaway, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. CME Group Inc.	A	Dividend	J	T	Buy	11/20/19	J		
359. Delta Airlines	A	Dividend	J	T	Buy	09/23/19	J		
360.					Buy (add'l)	11/20/19	J		
361. FFCB 3.03% 1/12/28	A	Interest	K	T	Buy	04/10/19	K		
362. FMI International Fund	B	Dividend	K	T	Buy	02/05/19	K		
363. Fort Collins, CO 3.859% 12/1/30	B	Interest	K	T	Buy	05/10/19	K		
364. Goldman Sachs Group 3.75% 2/25/26	A	Interest	K	T	Buy	07/18/19	K		
365. GS International Small Cap Insights	A	Dividend	K	T	Buy	02/05/19	K		
366. Home Depot 3.35% 9/15/25	A	Interest	K	T	Buy	04/26/19	K		
367. IQVIA Holdings		None	J	T	Buy	05/22/19	J		
368. JP Morgan Chase V-Q 3.54% 5/1/28	A	Interest	K	T	Buy	04/22/19	K		
369. Miami-Dade County FL 3.656% 10/1/34	A	Interest	K	T	Buy	07/25/19	K		
370. Monster Beverage		None	J	T	Buy	05/22/19	J		
371. NVIDIA	A	Dividend	J	T	Buy	02/20/19	J		
372. Shell International 3.875% 11/13/28	B	Interest	K	T	Buy	04/25/19	K		
373. US Treasury Notes 1.75% 9/30/19	B	Interest			Buy	06/07/19	M		
374.					Matured	09/30/19	M	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. US Treasury Notes 3.125% 11/15/28	B	Interest	M	T	Buy	06/07/19	M		
376. Valero Energy Corp.	A	Dividend	J	T	Buy	08/20/19	J		
377. Vanguard Federal Money Market Fund	A	Dividend	J	T	Buy	09/30/19	J		
378.					Buy (add'l)	12/16/19	J		
379. VISA Inc. CL A	A	Dividend	J	T	Buy	09/23/19	J		
380.					Buy (add'l)	11/20/19	J		
381.									
382.									
383.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Greenaway, Joseph A.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Comments to Section VII.

On line 14, I list Northwestern Mutual Life Insurance. This policy is a whole life policy.

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Greenaway, Joseph A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph A. Greenaway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544