

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                               |                                                                                                                                                                                                                      |                                                           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br><br>Kocoras, Charles P.                                                                                                                             | 2. Court or Organization<br><br>U.S. District Court, Northern District of Illinois                                                                                                                                   | 3. Date of Report<br><br>08/18/2020                       |
| 4. Title (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br><br>U.S. District Court Judge - Senior Status                                             | 5a. Report Type (check appropriate type)<br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final | 6. Reporting Period<br><br>01/01/2019<br>to<br>12/31/2019 |
|                                                                                                                                                                                                               | 5b. <input checked="" type="checkbox"/> Amended Report                                                                                                                                                               |                                                           |
| 7. Chambers or Office Address<br><br>219 South Dearborn Street<br>Chambers 2560<br>Chicago, IL 60604                                                                                                          |                                                                                                                                                                                                                      |                                                           |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                      |                                                           |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. _____        | _____                              |
| 2. _____        | _____                              |
| 3. _____        | _____                              |
| 4. _____        | _____                              |
| 5. _____        | _____                              |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. _____    | _____                    |
| 2. _____    | _____                    |
| 3. _____    | _____                    |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|----------------------------------------------|----------------------------------------|
| 1. 2019     | Law Bulletin Publishing Co. Book Royalties   | \$45.38                                |
| 2. 2019     | Chicago's Neighborhoods, Inc. Book Royalties | \$11,453.00                            |
| 3.          |                                              |                                        |
| 4.          |                                              |                                        |

**B. Spouse's Non-Investment Income** – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

|    | <u>SOURCE</u>         | <u>DESCRIPTION</u>                          | <u>VALUE</u> |
|----|-----------------------|---------------------------------------------|--------------|
| 1. | Corboy & Demetrio PC  | Hosted a Book Signing for a Book I Authored | \$2,500      |
| 2. | Winston & Strawn LLP  | Hosted a Book Signing for a Book I Authored | \$1,500      |
| 3. | DePaul College of Law | Hosted a Book Signing for a Book I Authored | \$250        |
| 4. |                       |                                             |              |
| 5. |                       |                                             |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                                | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent ,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Bank of America - Checking                                                                                              |                                        | None                                           | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Bank of America - Savings                                                                                               | A                                      | Interest                                       | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Merrill Lynch account:                                                                                                  |                                        |                                                |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. Merrill Lynch Bank Money Market Account                                                                                 | A                                      | Interest                                       | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Abbvie Inc SHS                                                                                                          | A                                      | Dividend                                       |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 6. Abbvie Inc SHS                                                                                                          | A                                      | Dividend                                       |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 7. Abbvie Inc SHS                                                                                                          | A                                      | Dividend                                       |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 8. Air Products & Chemicals                                                                                                | A                                      | Dividend                                       |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 9. Air Products & Chemicals                                                                                                | A                                      | Dividend                                       |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 10. Air Products & Chemicals                                                                                               | A                                      | Dividend                                       |                                                |                                           | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 11. Astrazeneca PLC SPND ADR                                                                                               | A                                      | Dividend                                       |                                                |                                           | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 12. Astrazeneca PLC SPND ADR                                                                                               | A                                      | Dividend                                       | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Astrazeneca PLC SPND ADR                                                                                               | A                                      | Dividend                                       | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. AT&T                                                                                                                   | A                                      | Dividend                                       |                                                |                                           | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 15. AT&T                                                                                                                   | A                                      | Dividend                                       |                                                |                                           | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 16. AT&T                                                                                                                   | A                                      | Dividend                                       |                                                |                                           | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 17. AT&T                                                                                                                   | A                                      | Dividend                                       | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|-------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. AT&T                                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. AT&T                                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. AT&T                                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. American Electric Power Co.                                                                                            | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 22. American Electric Power Co.                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 23. American Electric Power Co.                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/22/19                | J                               |                                |                                                                   |
| 24. American Electric Power Co.                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 25. American Electric Power Co.                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. American Electric Power Co.                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. AMGEN Inc COM                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 28. AMGEN Inc COM                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 29. AMGEN Inc COM                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. AMGEN Inc COM                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. BB&T Corp                                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 32. BB&T Corp                                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 33. BB&T Corp                                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 34. BB&T Corp                                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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☐ NONE (No reportable income, assets, or transactions.)

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|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. BB&T Corp                                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 36. Boeing Co.                                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/22/19                | J                               | A                              |                                                                   |
| 37. Boeing Co.                                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/22/19                | J                               | A                              |                                                                   |
| 38. Boeing Co.                                                                                                             | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 39.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 03/22/19                | J                               |                                |                                                                   |
| 40. Broadcom LTD                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 41. Broadcom LTD                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 42. Broadcom LTD                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 43. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               | A                              |                                                                   |
| 44. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 45. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 46. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 48. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. Carnival Corp Paired SHS S                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. Chevron Corp                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Chevron Corp                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 53. Chevron Corp                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. CME Group Inc                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 55.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 05/02/19                | J                               |                                |                                                                   |
| 56. CME Group Inc                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               | A                              |                                                                   |
| 57. CME Group Inc                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/02/19                | J                               | A                              |                                                                   |
| 58. CME Group Inc                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/02/19                | J                               | A                              |                                                                   |
| 59. CME Group Inc                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/02/19                | J                               | A                              |                                                                   |
| 60. CME Group Inc                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/02/19                | J                               | A                              |                                                                   |
| 61. Chubb LTD                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 62. Chubb LTD                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 63. Chubb LTD                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 64. Chubb LTD                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 66. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 67. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 68. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/18/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. Cisco Systems Inc COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. Citigroup Inc COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/16/19                | J                               |                                |                                                                   |
| 74. Citigroup Inc COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 75. Citigroup Inc COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 76. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 77. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 78. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               | A                              |                                                                   |
| 79. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. Coca Cola Co. COM                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/18/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Crown Castle Reit Inc                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. Crown Castle Reit Inc                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 88. Crown Castle Reit Inc                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. Crown Castle Reit Inc                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 90. Crown Castle Reit Inc                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 91. Crown Castle Reit Inc                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 92. Digital Rlty Tr Inc                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 93. Digital Rlty Tr Inc                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 94. Digital Rlty Tr Inc                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 95. Digital Rlty Tr Inc                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 96. Digital Rlty Tr Inc                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. Digital Rlty Tr Inc                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 98. Duke Energy Corp NEW                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 99. Duke Energy Corp NEW                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 100. Duke Energy Corp NEW                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 101. Duke Energy Corp NEW                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 102. Emerson Elec. Co.                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/18/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Emerson Elec. Co.                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 104. Emerson Elec. Co.                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 105. Emerson Elec. Co.                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 106. Exxon Mobil Corp COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 107. Exxon Mobil Corp COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 108. Exxon Mobil Corp COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 109. Exxon Mobil Corp COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 110. Exxon Mobil Corp COM                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 111. Exelon Corp                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 112. Exelon Corp.                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 113. Exelon Corp.                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 114. Exelon Corp.                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 115. The Goldman Sachs Group                                                                                               | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. The Goldman Sachs Group                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 117. Johnson and Johnson COM                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 118. Johnson and Johnson COM                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 119. Johnson and Johnson COM                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kocoras, Charles P.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Johnson and Johnson COM                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 121. JP Morgan Chase & Co.                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 122. JP Morgan Chase & Co.                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 123. JP Morgan Chase & Co.                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 124. JP Morgan Chase & Co.                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 125. JP Morgan Chase & Co.                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 126. JP Morgan Chase & Co.                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 127. Lockheed Martin Corp                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. Lockheed Martin Corp                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. Lockheed Martin Corp                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 130. Lockheed Martin Corp                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 131. Lockheed Martin Corp                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 132. Lockheed Martin Corp                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 133. McDonalds Corp COM                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 134. McDonalds Corp COM                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. McDonalds Corp COM                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 136. McDonalds Corp COM                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. Merck and Co. Inc SHS                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 138. Merck and Co. Inc SHS                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 139. Merck and Co. Inc SHS                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 140. Merck and Co. Inc SHS                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 141. Merck and Co. Inc SHS                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 142. Merck and Co. Inc SHS                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 143. Microsoft Corp                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 144. Microsoft Corp                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 145. Microsoft Corp                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 146. Pepsico Inc.                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 147. Pepsico Inc.                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 148. Pepsico Inc.                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 149. Pepsico Inc.                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 150. Pfizer Inc                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 151. Pfizer Inc                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 152. Pfizer Inc                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 153. Pfizer Inc                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/18/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. Pfizer Inc                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 155. Royal Bank Canada PV\$1                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 156. Royal Bank Canada PV\$1                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/22/19                | J                               |                                |                                                                   |
| 157. Royal Bank Canada PV\$1                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 158. Royal Dutch Shel PLC                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 159. Royal Dutch Shel PLC                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 160. Royal Dutch Shel PLC                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 161. Simon Property Group DEL                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 162. Simon Property Group DEL                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 163. Simon Property Group DEL                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 164. Simon Property Group DEL                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 165. Simon Property Group DEL                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 166. Spectra Energy Corp SEP                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 167. Sysco Corp                                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 168. Sysco Corp                                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/02/19                | J                               |                                |                                                                   |
| 169. Sysco Corp                                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/02/19                | J                               |                                |                                                                   |
| 170. Target Corp COM                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/18/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. Target Corp COM                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 172. Target Corp COM                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 173. Target Corp COM                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 174. Target Corp COM                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 175. Target Corp COM                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 176. Toronto Dominion Bank                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 177. Toronto Dominion Bank                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 178. Toronto Dominion Bank                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 179. Toronto Dominion Bank                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 180. Toronto Dominion Bank                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 181. Toronto Dominion Bank                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 182. United Techs Corp COM                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 183. United Techs Corp COM                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 184. United Techs Corp COM                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 185. United Techs Corp COM                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 186. United Techs Corp COM                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 187. United Techs Corp COM                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
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| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
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| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/18/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. United Techs Corp COM                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 189. Verizon Communications COM                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 190. Verizon Communications COM                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 191. Verizon Communications COM                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 192. Verizon Communications COM                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 193. Verizon Communications COM                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |
| 194. Wells Fargo Co.                                                                                                       | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 195. Wells Fargo Co.                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 196. Wells Fargo & Co. NEW DEL                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/11/19                | J                               |                                |                                                                   |
| 197. Walmart Inc                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 198. Walmart Inc                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 199. Walmart Inc                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/05/19                | J                               |                                |                                                                   |
| 200. Walmart Inc                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/02/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/18/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Charles P. Kocoras**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544