

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cooper, Christopher R.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 04/21/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 333 Constitution Ave. NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	1208 Broad Street LLC
2. Director	Christian Benevolent Funeral Home, Inc.
3. Trustee	St. Albans School
4. Board of Directors/Trustee	Trinity Preparatory School
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed (attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. TADS	Tuition	K
2. UBS Bank USA	Line of credit	M
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Justice Federal Credit Union (cash) (X)		None	N	T					
3. NatWest Bank Current Account (cash)		None	J	T					
4. Commonwealth National Bank stock		None	N	U					
5. Christian Benevolent Funeral Home stock		None	O	U					
6. 1208 Broad Street LLC (LLC interest)	D	Distribution	L	U					
7. Arnold & Porter Capital Account		None	N	T					
8. Arnold & Porter cash balance pension plan (no control)	E	Interest	N	T					
9. Xenimax		None	O	T					
10. Account #1 (H)									
11. UBS Bank USA Dep Acct (cash)	A	Interest	K	T					
12. iShares S&P SmallCap 600 (IJT)	A	Dividend	K	T					
13. iShares Core MSCI EAFE (IEFA)	B	Dividend	M	T					
14. AQR U.S. Defense Equity Fund Class 1 (AUEIX)	B	Dividend	L	T					
15. Brown Advisory Sm Cap Val (BAUUX)	A	Dividend	K	T					
16. Cambiar Intl Equity Instl (CAMYX)	A	Dividend	L	T					
17. Causeway Emerg Mkts (CEMIX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Clearbridge Large Cap Growth Fund Class 1 (SBLIX)	C	Dividend	L	T					
19. Harding Loevner Intl Equity (HLMIX)	A	Dividend	L	T					
20. Hartford Schroeders Emerging Mkts Equity Fund Class 1 (SEMNX)	D	Dividend	L	T					
21. JP Morgan Large Cap Growth CI I (SEEGX)	C	Dividend	L	T					
22. LSV Value Equity Fund Class NL (LSVEX)	C	Dividend	L	T					
23. Hartford Midcap Fund I (HFMIX)		None	K	T					
24. Transamerica Midcap Value Opps Fd (MVTIX)	A	Dividend	K	T					
25. iShares US Treasury Bond ETF (GOVT)	B	Dividend	L	T					
26. Pimco Enhanced Short Mat Active Exchange-Traded Fd (MINT)	A	Dividend	K	T					
27. SPDR Bloomberg Barclays 1-3 Mo T-Bill (BIL)	A	Dividend	K	T					
28. Ashmore Emerging Markets Total Return Fund Class 1 (EMKIX)	B	Dividend	K	T					
29. Lord Abnett High Yield Fund (LHYFX)	B	Dividend	K	T					
30. Pimco Invest Grade Credit Bond (PBDPX)	C	Dividend	M	T					
31. Account #2 (H)									
32. Wells Fargo Bank Deposit Acct. (cash)	A	Interest	N	T					
33. Advanced Micro Devices, Inc. (AMD)		None			Buy	03/18/20	J		
34.					Sold	07/15/20	J	C	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. AT&T Inc. (T)	A	Dividend	J	T	Buy	04/28/20	J		
36. Bank of America Corp. (BAC)	A	Dividend	K	T	Buy	03/18/20	J		
37. General Motors Co. (GM)		None			Buy	04/28/20	J		
38.					Sold	07/15/20	J	A	
39. Prudential Financial Inc. (PRU)	A	Dividend	K	T	Buy	04/27/20	J		
40. Schwab Charles Corp New (SCHW)	A	Dividend	K	T	Buy	03/18/20	J		
41. Square Inc-A (SQ)		None			Buy	03/19/20	J		
42.					Sold	04/27/20	K	D	
43. Teva Pharmaceutical Adr Inds LTD (TEVA)		None	J	T	Buy	04/27/20	J		
44. United Parcel Service-B (UPS)	A	Dividend	K	T	Buy	04/27/20	J		
45. Carillon Ser Tr Eagle Mid Cap Growth (HAGIX)	B	Dividend	M	T					
46. American Funds Growth Fund of America Cl F2 (GFFFX)	D	Dividend	M	T	Sold (part)	07/15/20	M	D	
47. American Funds New World Fund Cl F2 (NFFFX)	A	Dividend	M	T					
48. American Funds Washington Mutual Fd F2 (WMFFX)	D	Dividend	M	T					
49. Legg Mason Funds Clearbridge Small Cap Fund Class 1 (LMNSX)	A	Dividend	L	T					
50. American Funds Europacific Growth Fund Class F-2 (AEPFX)	A	Dividend	M	T					
51. PGIM Total Return Bond Class Z (PDBZX)	D	Dividend	M	T	Sold (part)	03/19/20	M		

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52. PGIM Absolute Return Bond Class Z (PADZX)	D	Dividend	N	T					
53. PGIM Core Bond Cl Z (TAIBX)	C	Dividend	L	T	Sold (part)	03/19/20	L		
54. Teton Westwood Equity Mighty Mites Fund Class 1 (WEIMX)	D	Dividend	M	T					
55. Account #3 (H)									
56. Credit Suisse Fl. Rate High Income Fund A (CHIAX)	C	Dividend	M	T					
57. Account #4 (H)									
58. Wells Fargo Deposit Account (cash)	A	Interest	J	T					
59. Abbott (ABT)	A	Dividend	K	T					
60. Accenture (ACN)	A	Dividend	J	T					
61. Aflac (AFL)	A	Dividend	J	T	Sold (part)	03/17/20	J		
62.					Buy (add'l)	04/17/20	J		
63. Air Products & Chemicals (APD)	A	Dividend	J	T					
64. American Tower Corp Reit (AMT)	A	Dividend	J	T	Buy	04/08/20	J		
65.					Buy (add'l)	09/04/20	J		
66. Amgen Inc (AMGN)	A	Dividend	J	T					
67. Analog Devices (ADI)	A	Dividend	J	T					
68. Apple Inc (AAPL)	A	Dividend	K	T					

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69. AT&T (T)	A	Dividend	J	T					
70. ADP (ADP)	A	Dividend	J	T					
71. Becton Dickinson (BDX)	A	Dividend	J	T					
72. Blackrock (BLK)	A	Dividend	J	T					
73. Chevron (CVX)	A	Dividend	J	T	Sold	03/17/20	J		
74.					Buy	04/17/20	J		
75. Chubb (CB)	A	Dividend	J	T	Sold	03/17/20	J		
76.					Buy	04/17/20	J		
77. Cisco Systems Inc (CSCO)	A	Dividend	J	T					
78. Clorox (CLX)	A	Dividend	J	T	Sold (part)	03/25/20	J		
79.					Buy (add'l)	04/17/20	J		
80. Colgate Palmolive (CL)	A	Dividend	J	T					
81. Comcast Corp (CMCSA)	A	Dividend	J	T	Sold	03/17/20	J		
82.					Buy	04/17/20	J		
83. Eaton Vance (EV)	A	Dividend	J	T	Sold	03/17/20	J		
84.					Buy	04/17/20	J		
85. Emerson Electric (EMR)	A	Dividend	J	T	Sold	03/17/20	J		

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86.					Buy	04/17/20	J		
87. Eversource Energy (ES)	A	Dividend	J	T					
88. ExxonMobil (XOM)	A	Dividend			Sold	03/17/20	J		
89.					Buy	04/17/20	J		
90.					Sold	08/07/20	J		
91. Factset Research (FDS)	A	Dividend	J	T					
92. General Dynamics (GD)	A	Dividend	J	T					
93. Illinois Tool Works (ITW)	A	Dividend	J	T					
94. Johnson & Johnson (JNJ)	A	Dividend	K	T	Sold (part)	03/17/20	J		
95.					Buy (add'l)	04/17/20	J		
96. JP Morgan Chase & Co (JPM)	A	Dividend	J	T	Sold	03/17/20	J		
97.					Buy	04/17/20	J		
98. Linde PLC (LIN)	A	Dividend	J	T	Sold	03/17/20	J		
99.					Buy	04/17/20	J		
100. Lowes Companies (LOW)	A	Dividend	K	T					
101. L3Harris Technologies (LHX)	A	Dividend	J	T					
102. McDonalds (MCD)	A	Dividend	J	T					

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. Medtronic (MDT)	A	Dividend	J	T					
104. Microsoft (MSFT)	A	Dividend	K	T					
105. Nextera Energy (NEE)	A	Dividend	K	T					
106. Nike (NKE)	A	Dividend	J	T					
107. Norfolk Southern (NSC)	A	Dividend	J	T					
108. Novartis (NVS)	A	Dividend	J	T					
109. Paychex Inc (PAYX)	A	Dividend	J	T					
110. PepsiCo (PEP)	A	Dividend	J	T					
111. Phillips 66 (PSX)	A	Dividend	J	T	Sold (part)	03/17/20	J		
112.					Buy (add'l)	04/17/20	J		
113.					Buy (add'l)	12/18/20	J		
114. Polaris Indus. (PII)	A	Dividend			Sold	04/08/20	J		
115. P&G (PG)	A	Dividend	J	T					
116. Raytheon Technologies Corp (RTX) (Frmly United Technologies (UTX))	A	Dividend	J	T	Sold (part)	03/17/20	J		
117.					Buy (add'l)	04/17/20	J		
118. Sysco Corp. (SYX)	A	Dividend			Sold	06/10/20	J		
119. Target (TGT)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. UnitedHealth Group Inc (UNH) (X)	A	Dividend	J	T	Buy (add'l)	04/17/20	J		
121.					Buy (add'l)	09/03/20	J		
122. US Bancorp New (USB)	A	Dividend	J	T	Sold	03/17/20	J		
123.					Buy	04/17/20	J		
124. VF Corp. (VFC)	A	Dividend	J	T					
125. Wal-Mart (WMT)	A	Dividend	J	T					
126. Wisconsin Energy (WEC)	A	Dividend	J	T					
127. 3M (MMM)	A	Dividend	J	T					
128. Account #5 (H)									
129. Wells Fargo Cash Management and Sweep (cash)	A	Interest	J	T					
130. Allegion PLC (ALLE)	A	Dividend	K	T	Buy	03/11/20	J		
131.					Buy (add'l)	03/12/20	J		
132.					Sold	03/17/20	J		
133.					Buy	04/17/20	J		
134.					Buy (add'l)	09/14/20	J		
135.					Buy (add'l)	09/15/20	J		
136.					Buy (add'l)	09/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Amn Healthcare Services Inc (AMN)		None			Sold (part)	06/25/20	J		
138.					Sold (part)	06/26/20	J		
139.					Sold	06/29/20	J		
140. Ansys Inc. (ANSS)		None	K	T					
141. Aspen Technology (AZPN)		None	K	T	Sold (part)	03/11/20	J		
142.					Sold (part)	03/12/20	J		
143. Bentley Systems Inc (BSY)	A	Dividend	K	T	Buy	11/18/20	J		
144.					Buy (add'l)	11/19/20	J		
145.					Buy (add'l)	11/20/20	J		
146.					Buy (add'l)	11/23/20	J		
147.					Buy (add'l)	11/24/20	J		
148.					Buy (add'l)	11/30/20	J		
149.					Buy (add'l)	12/01/20	J		
150. Berkeley WR Corp (WRB)	A	Dividend	K	T	Sold (part)	04/17/20	J		
151.					Buy (add'l)	09/14/20	J		
152.					Buy (add'l)	09/15/20	J		
153.					Buy (add'l)	09/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	09/17/20	J		
155. Bright Horizons Family Solutions (BFAM)		None	K	T	Buy	06/08/20	J		
156.					Buy (add'l)	06/09/20	J		
157. CDW Corp. (CDW)	A	Dividend	K	T	Sold (part)	04/17/20	J		
158. Charles River Laboratories (CRL)		None	K	T	Sold	03/17/20	J		
159.					Buy	04/17/20	J		
160. CheMed Corp (CHE)	A	Dividend	K	T	Buy	06/25/20	J		
161.					Buy (add'l)	06/26/20	J		
162.					Buy (add'l)	06/29/20	J		
163.					Buy (add'l)	06/30/20	J		
164. Cooper Cos (COO)	A	Dividend	K	T	Sold (part)	04/17/20	J		
165.					Buy (add'l)	09/16/20	J		
166.					Buy (add'l)	09/17/20	J		
167. Copart Inc (CPRT)		None	K	T	Sold (part)	04/17/20	J		
168. DocuSign Inc (DOCU)		None	K	T	Sold (part)	05/21/20	J		
169.					Sold (part)	07/09/20	J		
170.					Sold (part)	09/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Elanco Animal Health Inc (ELAN)		None	K	T	Sold	03/17/20	J		
172.					Buy	04/17/20	J		
173.					Buy (add'l)	12/01/20	J		
174. Equifax Inc (EFX)	A	Dividend	K	T	Buy	03/11/20	J		
175.					Buy (add'l)	03/12/20	J		
176.					Sold	03/17/20	J		
177.					Buy	04/17/20	J		
178.					Buy (add'l)	09/03/20	J		
179.					Buy (add'l)	09/04/20	J		
180. Exponent Inc (EFX)	A	Dividend	J	T	Buy	06/16/20	J		
181.					Buy (add'l)	06/17/20	J		
182.					Buy (add'l)	06/18/20	J		
183.					Buy (add'l)	06/19/20	J		
184.					Buy (add'l)	06/22/20	J		
185.					Buy (add'l)	06/23/20	J		
186. Expeditors Intl. (EXPD)		None			Sold (part)	03/11/20	J		
187.					Sold	03/13/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Fair Isaac Inc (FICO)		None	J	T	Sold	03/17/20	J		
189.					Buy	04/17/20	J		
190. Flir Systems Inc (FLIR)	A	Dividend	J	T	Sold	03/17/20	J		
191.					Buy	04/17/20	J		
192. Interactive Brokers Grp (IBKR)	A	Dividend	J	T	Sold	03/17/20	J		
193.					Buy	04/17/20	J		
194. Jack Henry & Assoc. (JKHY)	A	Dividend	K	T	Sold (part)	04/17/20	J		
195. Lennox International (LII)	A	Dividend	K	T					
196. MSCI Inc. (MSCI)	A	Dividend	K	T	Sold (part)	03/11/20	J		
197.					Sold (part)	04/17/20	J		
198.					Sold (part)	06/03/20	J		
199. Nordson Corp. (NDSN)	A	Dividend	K	T	Sold (part)	04/17/20	J		
200. nVent Electric PLC (NVT)	A	Dividend			Sold	03/17/20	J		
201.					Buy	04/17/20	J		
202.					Sold (part)	09/14/20	J		
203.					Sold (part)	09/15/20	J		
204.					Sold (part)	09/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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205.					Sold	09/17/20	J		
206. Pool Corp (POOL)	A	Dividend	K	T					
207. Primerica (PRI)	A	Dividend			Sold (part)	04/17/20	J		
208.					Sold (part)	10/01/20	J		
209.					Sold (part)	10/02/20	J		
210.					Sold (part)	10/05/20	J		
211.					Sold (part)	10/06/20	J		
212.					Sold	10/07/20	J		
213. RBC Bearings (ROLL)		None			Sold (part)	04/14/20	J		
214.					Buy (add'l)	04/17/20	J		
215.					Sold (part)	10/01/20	J		
216.					Sold (part)	10/02/20	J		
217.					Sold (part)	10/05/20	J		
218.					Sold (part)	10/06/20	J		
219.					Sold (part)	10/07/20	J		
220.					Sold	10/08/20	J		
221. Rollins Inc (ROL)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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222. Scotts Miracle-Gro Co (SMG)	B	Dividend	K	T	Sold (part)	04/17/20	J		
223. Siteone Landscape Supply (SITE)		None	K	T	Sold	03/17/20	J		
224.					Buy	04/17/20	J		
225. Teradyne (TER)	A	Dividend	K	T	Sold (part)	04/17/20	J		
226. Thor Industries (THO)	A	Dividend	K	T	Sold	03/17/20	J		
227.					Buy	04/17/20	J		
228. Tractor Supply Co (TSCO)	A	Dividend			Sold (part)	03/17/20	J		
229.					Buy (add'l)	04/17/20	J		
230.					Sold	05/21/20	J		
231. Zebra Technologies (ZBRA)		None	K	T	Buy (add'l)	03/11/20	J		
232.					Sold	03/17/20	J		
233.					Buy	04/17/20	J		
234. Account #6 (H)									
235. Wells Fargo (cash)	A	Interest	N	T					
236. Amazon (AMZN)		None	M	T	Sold (part)	07/15/20	M		
237. American Express (AXP)	A	Dividend	K	T					
238. Ameriprise (AMP)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Cisco (CSCO)	B	Dividend	K	T					
240. Coca-Cola Company (KO)	A	Dividend	K	T					
241. Eli Lilly (LLY)	A	Dividend	K	T					
242. GE (GE)	A	Dividend			Sold	07/15/20	J		
243. Intel (INTC)	B	Dividend	L	T					
244. Invesco QQQ TR (QQQ)	A	Dividend	L	T	Sold (part)	07/15/20	K		
245. iShares MSCI Russell 2000 ETF (IWM)	A	Dividend	L	T					
246. iShares MSCI Russell MidCap ETF (IWR)	A	Dividend	L	T					
247. Marathon Petroleum Corp (MPC)	B	Dividend	K	T					
248. Microsoft (MSFT)	B	Dividend	M	T	Sold (part)	07/15/20	L		
249. SPDR Dow Jones Ind. ETF (DIA)	A	Dividend	K	T					
250. SPDR S&P 500 ETF (SPY)	B	Dividend	L	T					
251. Walker & Dunlop (WD)	B	Dividend	M	T					
252. Waste Management (WM)	A	Dividend	K	T					
253. Account #7 (H)									
254. UBS Bank USA Deposit Acct. (cash)	A	Interest	K	T					
255. Abbott Labs (ABT)	A	Dividend	K	T	Buy (add'l)	01/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	03/13/20	J		
257.					Sold (part)	03/26/20	J		
258. Accenture PLC (ACN)	A	Dividend	K	T	Sold (part)	03/26/20	J	A	
259. Adobe Systems Inc (ADBE)		None	L	T	Sold (part)	03/26/20	J	C	
260. Align Technology (ALGN)		None	K	T	Sold (part)	03/26/20	J	A	
261.					Sold (part)	08/27/20	J	C	
262. Alphabet Inc Cl A (GOOGL)		None	K	T	Sold (part)	03/26/20	J	A	
263. Alphabet Inc Cl C (GOOG)		None	L	T	Sold (part)	03/26/20	J	B	
264. Autodesk Inc (ADSK)		None	K	T	Buy	03/20/20	J		
265.					Sold (part)	03/26/20	J	A	
266.					Buy (add'l)	06/03/20	J		
267. Automatic Data Processing (ADP)	A	Dividend			Sold (part)	03/26/20	J	A	
268.					Sold	08/13/20	K	C	
269. Booking Hldgs Inc (BKNG)		None			Sold	03/20/20	J		
270. Dollar General Corp (DG)	A	Dividend	K	T	Sold (part)	01/09/20	J	C	
271.					Sold (part)	03/26/20	J	B	
272. Facebook Inc Cl A (FB)		None	L	T	Buy (add'l)	03/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Sold (part)	03/26/20	J	A	
274. Gartner Inc (IT)		None	K	T	Sold (part)	03/26/20	J		
275. Illumina Inc (ILMN)		None	K	T	Buy	09/28/20	J		
276.					Buy (add'l)	09/29/20	J		
277. Intuitive Surgical Inc New (ISRG)		None	K	T	Buy	09/09/20	K		
278. Mastercard Inc (MA)	A	Dividend	K	T	Sold (part)	03/26/20	J	B	
279. Microsoft Inc (MSFT)	A	Dividend	L	T	Sold (part)	03/26/20	J	C	
280.					Sold (part)	09/30/20	K	D	
281. MSCI Inc. (MSCI)	A	Dividend	K	T	Sold (part)	03/26/20	J	A	
282.					Sold (part)	08/11/20	J	B	
283. Nestle SA Spon ADR (NSRGY)		None			Sold (part)	01/15/20	J	B	
284.					Sold	03/11/20	K	C	
285. Nike Inc Cl B (NKE)	A	Dividend	K	T	Sold (part)	01/09/20	J	C	
286.					Sold (part)	03/26/20	J	A	
287.					Sold (part)	06/12/20	J	B	
288. O Reilly Automotive (ORLY)		None			Sold (part)	01/22/20	J	C	
289.					Sold	03/26/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. PayPal Holdings Inc (PYPL)		None	K	T	Sold (part)	03/26/20	J	A	
291.					Sold (part)	08/19/20	J	C	
292. Regeneron Pharmaceuticals (REGN)		None	K	T	Sold (part)	03/26/20	J	A	
293. Salesforce.com (CRM)		None	K	T	Buy (add'l)	01/13/20	J		
294.					Sold (part)	03/26/20	J		
295. Servicenow Inc (NOW)		None	K	T	Buy (add'l)	01/24/20	J		
296.					Sold (part)	03/26/20	J	A	
297.					Sold (part)	08/19/20	J	B	
298. Starbucks Corp (SBUX)	A	Dividend	K	T	Sold (part)	03/26/20	J	A	
299.					Buy (add'l)	06/16/20	J		
300. United Health Group Inc (UNH)	A	Dividend	K	T	Buy	08/17/20	K		
301. Visa Inc Cl A (V)	A	Dividend	K	T	Sold (part)	03/17/20	J	C	
302.					Sold (part)	03/26/20	J	B	
303. Zoetis Inc (ZTS)	A	Dividend	K	T	Sold (part)	03/18/20	J	B	
304.					Sold (part)	03/26/20	J	B	
305. First TR North American Energy (EMLP)	C	Dividend	L	T	Buy (add'l)	03/27/20	J		
306. First Trust Dow Jones Internet Index Fund ETF (FDN)		None	L	T	Buy	03/31/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. iShares Edge MSCI Min Vol USA (USMV)	B	Dividend	L	T	Sold (part)	03/25/20	L	D	
308. iShares Core MSCI Emerging Mkts (IEMG)	A	Dividend	K	T	Sold (part)	03/25/20	J		
309. SPDR S&P 500 ETF (SPY)	B	Dividend	M	T	Sold (part)	03/25/20	K	B	
310. Vanguard Value ETF (VTV)		None			Sold	03/25/20	L		
311. Diamond Hill Large Cap (DHLRX)		None	L	T	Sold (part)	03/25/20	J		
312. Harding Loevner Intl Equity (HLMIX)		None	N	T	Sold (part)	03/25/20	M		
313. Matthews Asia Dividend (MAPIX)	A	Dividend	L	T	Buy	03/26/20	K		
314. Virtus Kar Small-Cap Core Fund (PKSFX)	D	Dividend	M	T	Sold (part)	03/25/20	L	D	
315. WCM Focused Intl Growth (WCMIX)	D	Dividend	N	T	Buy (add'l)	03/26/20	L		
316. Los Angeles CA Hbr Ami Sa A Rv (544552VC3)	A	Interest			Sold	08/01/20	K		
317. WI St Health & Ed Sr B (97710B4L3)	A	Interest			Redeemed	08/17/20	K		
318. CA Infrastructure 2017 (13034ART9)	A	Interest			Redeemed	10/01/20	J		
319. Grossmont CA Healthca Re Sr (399223BV7)	A	Interest	K	T					
320. Walnut Valley CA USD (932889UP1)	A	Interest	K	T					
321. AR St Water & Waste (041042VC3)		None			Sold	03/26/20	L		
322. Dallas Ft Worth TX In Amt Sr (235036D49)	A	Interest	K	T					
323. Columbus OH (199492VF3)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Washington Subn San Dist (940157WQ9)	B	Interest	K	T					
325. Chandler AZ Gen Oblig (158843WN8)	A	Interest	J	T					
326. Las Cruces NM (517534XP3)	A	Interest	J	T	Buy	05/07/20	J		
327. Denton TX Indpt Sch T FO (249002GR1)		None	K	T	Buy	09/10/20	K		
328. Fairfax Cnty VA For Prev Sr (B30382ACG5)		None			Sold	03/26/20	L		
329. Chesterfield Co VA Wtr & RV (166409GE6)	B	Interest	L	T					
330. MO St Hwy Trans Sr A Rv (60636WRK3)	B	Interest	L	T					
331. Prince Georges Cnty Md S B Be/R/5 (741701489)	A	Interest	J	T					
332. NY St Dorm Auth Sr A Rv (64990CQD6)	B	Interest	L	T					
333. Met Govt Nashville & David (592112RM0)	A	Interest	K	T					
334. Honolulu HI City Cnty-B (438701H31)	B	Interest	K	T					
335. Kansas St Dept Transn (485424SF3)	A	Interest	J	T					
336. Hamilton County TN (4073247G1)		None	K	T	Buy	09/24/20	K		
337. North Tex TWY Auth Rev (66285WZL3)	A	Interest	J	T					
338. Washington St For Issues (93974D2G2)	A	Interest	J	T					
339. University KY Gen RCPTS (914378MB7)	A	Interest	K	T	Buy (add'l)	03/12/20	J		
340. Washington St Health Car Sr B (93978HGE9)	B	Interest	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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341. TCW Emerging Markets Income Fund Class I (TGEIX)	B	Dividend	L	T	Buy	03/26/20	K		
342.					Buy (add'l)	03/30/20	K		
343. Vanguard High Yield Tax Exempt Fd Adm (VWALX)	C	Dividend	M	T	Sold (part)	03/25/20	L	A	
344. Blackrock Event Driven Equity Fund Class Institutional (BILPX)	B	Dividend	L	T	Buy	03/26/20	K		
345. Calamos Market Neutral Income Fund (CMNIX)	A	Dividend	K	T	Buy	03/26/20	K		
346. iShares Gold Trust ETF (IAU)		None	L	T	Buy	03/31/20	L		
347. Account #8 (H)									
348. AllianceBernstein CBF-Age-Based Agg Grth 1999-2001		None	K	T					
349. DC College Savings Plan – Ascensus Intermediate-Term Bond Portfolio		None	J	T					
350. DC College Savings Plan – Ascensus U.S. Large Cap Equity Portfolio		None	L	T					
351. DC College Savings Plan – Ascensus U.S. Total Stock Market Index Portf		None	L	T					
352. Account #9 (H)									
353. JPMCB Smart Ret PB 2040 C		None	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 7-9 and 353 were inadvertently omitted from prior reports.

Part VII, lines 349-351: Corporate exchanges from lines 250-252, respectively, of the prior report. No corresponding reportable transactions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher R. Cooper**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544