

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nalbandian, John B.	2. Court or Organization Sixth Circuit	3. Date of Report 05/03/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Sixth Circuit Court of Appeals Potter Stewart US Courthouse 100 East Fifth Street, Room 606 Cincinnati, OH 45202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor/Jurist in residence	Northern Kentucky University Chase School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2020	Taft law firm partnership agreement -- entitles me to return of my capital account upon separation and possible payment of bonuses already earned
2. 2020	Taft law firm cash pension plan -- will be paid sometime in the future
3.	

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Name of Person Reporting

Nalbandian, John B.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Taft Law firm capital return	\$41,540.21
2. 2020	Northern Kentucky University compensation for teaching	\$15,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University	1/10-1/12/2020	Naples, FL	Educational Seminar	Travel, lodging, meals
2. UVA Federalist Society	1/28-1/29/2020	Charlottesville, VA	law school lecture	Travel, lodging, meals
3. Federalist Society	2/24-2/28/2020	Phoenix, AZ	educational seminar	Travel, lodging, meals
4.				
5.				
6.				
7.				

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Nalbandian, John B.	05/03/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Mohela	student loans	L
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Empower Retirement Accounts (H)									
2. T.Rowe Price/2035	G	Dividend	O	T					
3.									
4. Morgan Stanley Accounts (H)									
5. Morgan Stanley Account (H)									
6. TRAK CGCM- (H)									
7. TEMUX	A	Dividend	J	T					
8. TILUX	A	Dividend	J	T					
9. TIEUX	A	Dividend	J	T					
10. TIFUX	A	Dividend	J	T					
11. TLGUX	A	Dividend	J	T					
12. TMUUX	A	Dividend	J	T					
13. TSGUX	A	Dividend	J	T					
14. TSDUX	A	Dividend	J	T					
15.									
16. Morgan Stanley Rollover IRA (H)									
17. TRAK Fund Solution IRA - (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. MCGIX	A	Dividend	J	T					
19. APDQX	A	Dividend	J	T					
20. BGRIX	A	Dividend	J	T					
21. BHYIX	A	Dividend	J	T					
22. NBGIX	A	Dividend	J	T					
23. OAYMX	A	Dividend	J	T					
24. PEGZX	A	Dividend	J	T					
25. PLDPX	A	Dividend	J	T					
26. PTSPX	A	Dividend	J	T					
27. PTPPX	A	Dividend	J	T					
28. THDIX	A	Dividend	J	T					
29. TINGX	B	Dividend	J	T					
30. PLCPX	A	Dividend			Sold	3/25/20	J		
31.									
32.									
33. Morgan Stanley Rollover IRA (H)									
34. TRAK Fund Solution IRA - (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Nalbandian, John B.

Date of Report

05/03/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. MCGIX	A	Dividend	J	T					
36. APDQX	A	Dividend	J	T					
37. BPRIX	A	Dividend			Sold	04/08/20	J		
38. BGRIX	A	Dividend	J	T					
39. BHYIX	A	Dividend	J	T	Buy	04/08/20	J		
40. NBGIX	A	Dividend	J	T					
41. OAYMX	B	Dividend	J	T	Buy	03/25/20	J		
42. PEGZX	A	Dividend	J	T					
43. PLDPX	A	Dividend	J	T					
44. PTSPX	A	Dividend	J	T					
45. PTPPX	A	Dividend	J	T					
46. THDIX	A	Dividend	J	T					
47. TINGX	B	Dividend	J	T	Sold	05/20/20	J		
48. PLCPX	A	Dividend			Sold	03/25/20	J		
49.									
50. Cash Value Life Insurance (H)									
51. Northwestern Mutual Variable Comp Life JBN Insurance (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Nalbandian, John B.

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Gov't Money Market (MSA/Blackrock Advisors LLC)	A	Dividend	J	T					
53. Growth Stock (MSA/Mellon Investments Corp)	A	Dividend	J	T					
54. Index 500 Stock (MSA)	A	Dividend	K	T					
55. Large Cap Core Stock (MSA/Wellington Management)	A	Dividend	J	T					
56. Mid Cap Grown Stock (MSA/Wellington Management)	A	Dividend	J	T					
57. Balanced (MSA)	A	Dividend	J	T					
58.									
59. Northwestern Mutual 65 Life JBN	A	Dividend	J	T					
60. Northwestern Mutual 65 Life JBN	A	Dividend	J	T					
61. Northwestern Mutual 65 Life JBN	A	Dividend	J	T					
62. Northwestern Mutual 65 Life CFM	A	Dividend	K	T					
63. Northwestern Mutual 65 Life CFM	A	Dividend	K	T					
64. Northwestern Mutual 65 Life CFM	A	Dividend	K	T					
65.									
66. Learning Quest 529 Education Accounts (H)									
67. 14610 Short Term Portfolio	A	Dividend	K	T					
68. 12649 Moderate Track 30% Equity Portfolio	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69.									
70. U.S. Bank -- cash accounts	A	Interest	N	T					
71.									
72. Bitcoin cryptocurrency		None	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John B. Nalbandian**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544