

Judiciary Branch Personnel

Financial Disclosure Report (AO Form 10)

Filer's Information

Phillips, Mary Elizabeth

Report Year: 2019

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Phillips, Mary Elizabeth [electronically signed on 08/07/2020 by Phillips, Mary Elizabeth in JEPS]

Public Annotations:

COMMENT

All stocks and bonds are held in a UBS account. In previous reports I combined all shares of a particular asset into one line. This year I separated out the assets by subaccount with UBS. Therefore, some assets are repeated based on the subaccount in which they are held.

I. Positions

#	ORGANIZATION NAME	ORGANIZATION TYPE	POSITION HELD
1	Family Trust	Trust	Trustee

II. Agreements

None

III A. Filer's Non-Investment Income

None

III B. Spouse's Non-Investment Income

#	SOURCE	DESCRIPTION
1	State of Missouri	Salary

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

None

VII. Investments and Trusts

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
1	Adorn, Inc.	See Note	None	None	\$15,000 or less	Estimated			
2	Family Trust								
2.1	Country Club Bank		\$1,000 or less	Interest	\$100,001 - \$250,000	Cash Market			
2.2	UBS 1								
2.2.1	Vanguard Select Value - VASVX		\$5,001 - \$15,000	Dividend	\$50,001 - \$100,000	Cash Market			
2.2.2	Osage Sch Lake Ozark - bond		\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			
2.2.3	Greene Co Reorg school - bond		\$1,000 or less	Interest	\$50,001 - \$100,000	Cash Market			
2.2.4	Missouri St. Health and EDL - bond		\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			
2.2.5	Cash Account - UBS		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
2.2.6	Bayless Mo Cons - bond		None	None	None	Cash Market			
2.2.6.1	Bayless Mo Cons - bond						Sold	03/20/2019	\$15,001 - \$50,000
2.2.7	Kansas City MO IDA SR A RV - bond		None	None	None	Cash Market			
2.2.7.1	Kansas City MO IDA SR A RV - bond						Sold	03/20/2019	\$15,001 - \$50,000

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.2.8	Kansas City MO San SWR S - Bond	None	None	None	Cash Market			
2.2.8.1	Kansas City MO San SWR S - Bond					Sold	03/20/2019	\$50,001 - \$100,000
2.2.9	Missouri Enviro Imp Engy - bond	None	None	None	Cash Market			
2.2.9.1	Misskouri Enviro Imp Engy - bond					Sold	03/20/2019	\$15,000 or less
2.2.10	St. Louis MO MFC Leasehld Assur RV - Bond	None	None	None	Cash Market			
2.2.10.1	St. Louis MO MFC Leasehld Assur RV - Bond					Sold	03/20/2019	\$15,001 - \$50,000
2.3	UBS 2							
2.3.1	California Resource Corp - CRC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.2	Colgate Palmolive (CL)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.3	Diageo plc - DEO	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.4	Intel Corp - INTC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.5	Johnson & Johnson - JNJ	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.6	Linde PCL - LIN	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.7	Medtronic - MDT	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.8	PepsiCo Inc	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.9	Rockwell Automation - ROK	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.3.10	Suncor Energy - SU	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.11	Union Pacific - UNP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.12	IShares Trust Real Estate - IYR	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.13	iShares Russell 2000 Value Index	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.14	iShares MSCI Emerging Markets Index	\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.15	ISHARES Core MSCI Emerging - IEMG	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.16	Vanguard Midcap Growth - VOT	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.17	Vanguard Mid Cap Value - VOE	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.18	Vanguard FTSE Emerging Markets - VWO	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.18.1	Vanguard FTSE Emerging Markets - VWO					Purchased	03/20/2019	\$15,001 - \$50,000
2.3.19	Vanguard Russell 1000 Growth - VONG	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.19.1	Vanguaryd Russell 1000 Growth - VONG					Purchased	03/20/2019	\$15,001 - \$50,000
2.3.19.2	Vanguaryd Russell 1000 Growth - VONG					Purchased	12/20/2019	\$15,000 or less
2.3.20	Vanguard Russell 1000 Value - VONV	\$1,001 - \$2,500	Dividend	\$100,001 - \$250,000	Cash Market			
2.3.20.1	Vanguard Russell 1000 Value					Purchased	03/20/2019	\$15,001 - \$50,000
2.3.20.2	Vanguard Russell 1000 Value					Purchased	07/25/2019	\$50,001 - \$100,000

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.3.21	Vanguard Russell 2000 Growth - VTWG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.21.1	Vanguard Russell 2000 Growth - VTWG					Purchased	12/20/2019	\$15,001 - \$50,000
2.3.22	Vanguard FTSE Developed Market - VEA	\$1,001 - \$2,500	Dividend	\$100,001 - \$250,000	Cash Market			
2.3.22.1	Vanguard FTSE Developed Market - VEA					Purchased	03/20/2019	\$50,001 - \$100,000
2.3.23	Matthews Pacific Tiger - MAPTX	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.24	Vanguard Primecap Core - VPCCX	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.25	Vanguard Tax-Managed Small Cap - VTMSX	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.26	Direxion IDX - DXCIX	\$1,000 or less	Dividend	None	Cash Market			
2.3.26.1	Direxion IDX - DXCIX					Sold	12/23/2019	\$15,001 - \$50,000
2.3.27	Cash Account	\$1,000 or less	Interest	\$15,000 or less	Cash Market			
2.4	UBS 3							
2.4.1	T. Rowe Price Overseas TROSX	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.4.2	UNTS Gugg Flah Crum PRFD	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.4.2.1	UNTS Gugg Flah Crum PRFD					Purchased	05/16/2019	\$15,001 - \$50,000
2.4.2.2	UNTS Gugg Flah Crum PRFD					Sold	05/07/2019	\$15,001 - \$50,000
2.4.3	Cash Account - UBS	\$1,000 or less	Interest	\$15,000 or less	Cash Market			
2.5	UBS 4							

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.5.1	California Resources Corp - CRC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.2	Colgate Palmolive - CL	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.3	Diageo PLC - DEO	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.4	Intel Corp - INTC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.5	Johnson & Johnson - JNJ	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.6	Linde PLC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.7	Medtronic - MDT	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.8	Pepsico - PEP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.9	QUALCOMM Inc - QCOM	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.10	Rockwell Automation - ROK	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.11	Suncor - SU	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.12	Union Pacif Corp - UNP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.13	Ishared US Real Estate - IYR	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.13.1	Ishared US Real Estate - IYR					Purchased	12/20/2019	\$15,000 or less
2.5.14	Vanguard Mid-Cap Growth - VOT	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.15	Vanguard Mid-cap Value	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.5.16	Vanguard Emerging Markets - VWO	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.17	Vanguard Russell 1000 Growth - VONG	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.5.18	Vanguard Russell 2000 Value	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.18.1	Vangaurd Russell 2000 Value					Purchased	12/20/2019	\$15,000 or less
2.5.19	Vanguard russell 2000 Growth - VTWG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.20	Vanguard Developed MKT - VEA	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.5.21	Verizon Comm Int. NTS B/E - bond	None	None	\$15,001 - \$50,000	Cash Market			
2.5.22	Invesco DB Commodity Index -	None	None	None	Cash Market			
2.5.22.1	Invesco DB Commodity Index -					Sold	12/20/2019	\$15,000 or less
2.6	UBS 5							
2.6.1	Loomis Sayles Growth - LGRCX	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.6.2	Oakmark Intl Fund - OAKIX	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7	UBS 6							
2.7.1	California Resources Corp - CRC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.2	Colgate Palmolive - CL	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.3	Diageo - DEO	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.7.4	Intel Corp - INTC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.5	Johnson & Johnson - JNJ	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.6	Medtronic - MDT	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.7	Pepsico - PEP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.8	Qualcomm - QCOM	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.9	Rockwell Automation - ROK	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.10	Suncor - SU	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.11	Union Pacitic Corp - UNP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.12	IShares US Real Estate - IYR	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.12.1	IShares US Real Estate - IYR					Purchased	12/20/2019	\$15,000 or less
2.7.13	Vanguard Mid-Cap Growth - VOT	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.14	Vanguard Mid-Cap Value - VOE	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.15	Vanguard Emerging Markets - VWO	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.16	Vanguard Russell 1000 Growth - VONG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.17	Vanguard Russell 2000 Value - VTWV	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.17.1	Vanguard Russell 2000 Value - VTWV					Purchased	12/20/2019	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.7.18	Vanguard Russell 2000 Growth - VTWG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.19	Vanguard Developed MKT - VEA	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.20	Vanguard Total Stock - VTSAX	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.21	Invesco DB Commodity Index - DBC	None	None	None	Cash Market			
2.7.21.1	Invesco DB Commodity Index - DBC					Sold	12/24/2019	\$15,000 or less
2.7.22	Cash Account - UBS	\$1,000 or less	Interest	\$15,000 or less	Cash Market			
3	457(b) Plan							
3.1	MO2035 Fund	\$1,001 - \$2,500	Interest	\$250,001 - \$500,000	Cash Market			

Additional Information or Explanation

PART	#	NOTE
VII.	1	Adorn is a closely held company that [REDACTED] I invested \$20,000 in 2007. It is not doing well so I am being generous when estimating the value. It might not be worth anything.