

Judiciary Branch Personnel

Financial Disclosure Report (AO Form 10)

Filer's Information

Steele, William H

Report Year: 2019

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Steele, William H [electronically signed on 05/15/2020 by Steele, William H in JEPS]

I. Positions

None

II. Agreements

None

III A. Filer's Non-Investment Income

#	SOURCE	INCOME TYPE	INCOME AMOUNT
1	Self-employed (musician)	Fees	\$980
2	First Church of Christ Scientist (reader)	Fees	\$1,070

III B. Spouse's Non-Investment Income

#	SOURCE	DESCRIPTION
1	Retirement Systems of Alabama	pension
2	Nationwide Retirement Solutions	deferred pay & retirement

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

#	CREDITOR	TYPE	VALUE
1	Wells Fargo Advisors	Line of Credit	\$1 - \$15,000

VII. Investments and Trusts

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
1	Regions Bank Account (cash)		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
2	American Express High Yield Savings (cash)		\$1,001 - \$2,500	Interest	\$100,001 - \$250,000	Cash Market			
3	Nationwide Retirement Solutions (457b)	See Note	\$15,001 - \$50,000	Distribution	\$100,001 - \$250,000	Cash Market			
4	Brokerage #1								
4.1	Abbott Laboratories (ABT)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.2	Accenture PLC (ACN)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.3	AFLAC Inc (AFL)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.4	Air Products & Chemicals Inc (APD)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.5	Amgen Inc (AMGN)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.6	Analog Devices, Inc. (ADI)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.7	AT&T (T)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
4.8	Automatic Data Processing (ADP)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.9	Becton Dickinson & Co (BDX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.10	BlackRock Inc (BLK)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.11	Chevron Corp (CVX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.12	Chubb LTD (CB)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.13	Cisco Systems Inc (CSCO)	\$1,000 or less	None	\$15,000 or less	Cash Market			
4.14	Clorox Co (CLX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.15	Colgate-Palmolive Co (CL)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.16	Eaton Vance Corp (EV)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.17	Emerson Electric Co (EMR)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.18	Eversource Energy (ES)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.19	Exxon Mobil (XOM)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.20	Factset Research Systems (FDS)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.21	General Mills Inc (GIS)	\$1,000 or less	Dividend	None	Cash Market			
4.21.1	General Mills Inc (GIS)					Sold	01/25/2019	\$15,000 or less
4.22	General Dynamics (GD)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
4.23	L3Harris Corp (HRS)	See Note	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.24	Illinois Tool Works Inc (ITW)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.25	International Business Machines Corp (IBM)		None	None	None	Cash Market			
4.25.1	International Business Machines Corp (IBM)						Sold	01/25/2019	\$15,000 or less
4.26	Johnson & Johnson (JNJ)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.27	JP Morgan Chase & Co (JPM)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.28	Linde PLC (LIN)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.29	Lowe's Companies (LOW)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.30	McDonald's Corp (MCD)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.31	Medtronic Inc (MDT)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.32	Microsoft Corp (MSFT)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.33	NextEra Energy Inc (NEE)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.34	Nike Inc (NKE)		\$1,000 or less	None	\$15,000 or less	Cash Market			
4.35	Norfolk Southern (NSC)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.36	Novartis AG (NVS)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.37	Paychex Inc (PAYX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
4.38	PepsiCo Inc (PEP)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.39	Phillips 66 (PSX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.40	Polaris Industries (PII)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.41	Procter & Gamble (PG)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.42	QUALCOMM Inc (QCOM)		\$1,000 or less	Dividend	None	Cash Market			
4.42.1	QUALCOMM Inc (QCOM)						Sold	01/25/2019	\$15,000 or less
4.43	Sysco Corp (SYI)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.44	Target Corp (TGT)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.45	United Technologies Corp (UTX)		\$1,000 or less	None	\$15,000 or less	Cash Market			
4.46	US Bancorp (USB)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.47	VF Corp (VFC)		\$1,000 or less	None	\$15,000 or less	Cash Market			
4.48	Walmart Inc (WMT)		\$1,000 or less	None	\$15,000 or less	Cash Market			
4.49	WEC Energy Group (WEC)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.50	3M Co (MMM)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.51	Wells Fargo Standard Bank Deposit (cash)	See Note	\$1,000 or less	Interest	\$15,000 or less	Cash Market			
4.52	Apple Inc.		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
4.52.1	Apple Inc.						Purchased	01/25/2019	\$15,000 or less
5	IRA #1								
5.1	Putnam International Growth Class A (PINOX)		None	None	\$15,000 or less	Cash Market			
5.2	AIG SunAmerica Focused Alpha Large Cap (SFLAX)		\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
6	IRA #2								
6.1	AB Cap Fd Inc Global REI (AREAX)	See Note	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
6.2	Alliance Bernstein Large-Cap Growth Class A (APGAX)		\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
6.3	Alliance Bernstein Sustainable Global Thematic Fund (ALTFX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
6.4	John Hancock Regional Bank (FRBAX)		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
6.5	PGIM Jennison Blend Fund Class A (PBQAX)	See Note	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
7	Brokerage #2								
7.1	Alliance Large Capital Growth (APGAX)		\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
7.2	Fidelity New Markets Income Fd CL A (FGVMX)		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
7.3	Fundamental Investors Inc. (American Funds) (ANCFX)		\$2,501 - \$5,000	Dividend	\$100,001 - \$250,000	Cash Market			
7.4	Growth Fund of America (American Funds) (AGTHX)		\$5,001 - \$15,000	Dividend	\$100,001 - \$250,000	Cash Market			
7.5	Franklin Mutual Shares (TESIX)		\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
7.6	New Economy Fund (American Funds) (ANEFX)	\$2,501 - \$5,000	Dividend	\$50,001 - \$100,000	Cash Market			
7.7	Putnam Inv't Fds Multi-Cap Value Fund (PMVAX)	\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
7.8	Washington Mutual (American Funds) (AWSHX)	\$5,001 - \$15,000	Dividend	\$50,001 - \$100,000	Cash Market			
8	Nationwide Destination B Variable Annuity							
8.1	FIDVIP Free 2020 SVC 2 (FFRTT)	None	None	\$100,001 - \$250,000	Cash Market			
8.2	NW AMFDS NVIT ASSTALLO C II	None	None	\$100,001 - \$250,000	Cash Market			
8.3	NW NVIT INV DEST CAPAPP II	None	None	\$100,001 - \$250,000	Cash Market			
9	IRA #3							
9.1	Standard Bank Deposit (cash)	None	None	None	Cash Market			
9.2	Deutsche Secs Tr Enhanced Comm Strat I (SKIRX)	\$1,000 or less	Dividend	None	Cash Market			
9.2.1	Deutsche Secs Tr Enhanced Comm Strat I (SKIRX)					Sold	08/08/2019	\$15,000 or less
9.3	Deutsche Secs Tr Global Real Estate Secs Fd I (RRGIX)	\$1,000 or less	Dividend	None	Cash Market			
9.3.1	Deutsche Secs Tr Global Real Estate Secs Fd I (RRGIX)					Sold	08/08/2019	\$15,000 or less
9.4	Euro Pacific Growth (American Funds) (AEPGX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.5	AMG Timesquare Mid Cap Grwth Fd I (TQMIX)	\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
9.6	American Funds The Bond Fund of America F2 (ABNFX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
9.7	Goldman Sachs Tr Finl Square Treas Instrs Fd (FTIXX)	None	None	None	Cash Market			
9.7.1	Goldman Sachs Tr Finl Square Treas Instrs Fd (FTIXX)					Purchased	05/22/2019	\$15,000 or less
9.8	Growth Fund of America (American Funds) (AGTHX)	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
9.9	American Funds Growth Fd of Amer F2 (GFFFX)	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
9.10	Harbor Fd Cap Apprec Fd (HACAX)	None	None	None	Cash Market			
9.10.1	Harbor Fd Cap Apprec Fd (HACAX)					Sold	05/21/2019	\$15,000 or less
9.11	Hotchkis & Wiley Fds Mid Cap Val Fd I (HWMIX)	None	None	None	Cash Market			
9.11.1	Hotchkis & Wiley Fds Mid Cap Val Fd I (HWMIX)					Sold	02/26/2019	\$15,000 or less
9.12	American Funds Invest Co of Amer F2 (ICAFX)	\$1,001 - \$2,500	Dividend	None	Cash Market			
9.12.1	American Funds Invest Co of Amer F2 (ICAFX)					Sold	05/21/2019	\$15,001 - \$50,000
9.13	American Funds New World Fd F2 (NFFFX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.14	American Funds Wash Mut Fd F2 (WMFFX)	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
9.14.1	American Funds Wash Mut Fd F2 (WMFFX)					Purchased	08/08/2019	\$15,000 or less
9.15	JPMorgan Tr II High Yield Fd I (OHYFX)	\$1,000 or less	Dividend	None	Cash Market			
9.15.1	JPMorgan Tr II High Yield Fd I (OHYFX)					Sold	10/07/2019	\$15,000 or less
9.16	Principal Fds Inc Midcap Fd I (PCBIX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
9.16.1	Principal Fds Inc Midcap Fd I (PCBIX)						Sold	05/21/2019	\$15,000 or less
9.17	American Funds Europac Grwth Fd F2 (AEPFX)		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
9.17.1	American Funds Europac Grwth Fd F2 (AEPFX)						Purchased	05/21/2019	\$15,000 or less
9.18	Invesco Oppenheimer Dev Mkts CI Y (ODVYX)	See Note	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.19	Pimco Fds Pac Invnt Mgmt Ser Emerg Mkts I (PEBIX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.20	Principal Inv Fd Real Estate Secs Fd I (PIREX)		\$1,000 or less	Dividend	None	Cash Market			
9.20.1	Principal Inv Fd Real Estate Secs Fd I (PIREX)						Sold	08/08/2019	\$15,000 or less
9.21	Putnam Intl. Growth Fund Class A (PINOX)		None	None	\$15,001 - \$50,000	Cash Market			
9.22	Putnam Growth Opportunities Fund Class A (POGAX)		\$5,001 - \$15,000	Dividend	\$100,001 - \$250,000	Cash Market			
9.22.1	Putnam Growth Opportunities Fund Class A (POGAX)						Sold	04/23/2019	\$15,001 - \$50,000
9.23	SmallCap World Fd Inc CI F2 (SMCFX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.24	Virtus Funds Virtus Vontobel Emg Mkts Opps I (HIEMX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.24.1	Virtus Funds Virtus Vontobel Emg Mkts Opps I (HIEMX)						Purchased	08/08/2019	\$15,000 or less
9.25	Victory Sycamore Small Co Opp Fd I (VSOIX)		\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
9.25.1	Victory Sycamore Small Co Opp Fd I (VSOIX)						Purchased	05/21/2019	\$15,000 or less

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
9.25.2	Victory Sycamore Small Co Opp Fd I (VSOIX)						Purchased	08/09/2019	\$15,000 or less
9.26	Victory Port Rs Sm Cap Growth Fd Y (RSYEX)		\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
9.26.1	Victory Port Rs Sm Cap Growth Fd Y (RSYEX)						Sold	05/21/2019	\$15,000 or less
9.26.2	Victory Port Rs Sm Cap Growth Fd Y (RSYEX)						Purchased	08/09/2019	\$15,000 or less
9.27	Delaware Group Equity FDS II-Value Fd (DDVIX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.27.1	Delaware Group Equity FDS II-Value Fd (DDVIX)						Purchased	05/21/2019	\$15,000 or less
9.28	Jensen Portfolio Inc Cl I Shs (JENIX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.28.1	Jensen Portfolio Inc Cl I Shs (JENIX)						Purchased	05/21/2019	\$15,000 or less
9.29	John Hancock Funds III Disc Val Mid Cap Fd (JVMIX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.29.1	John Hancock Funds III Disc Val Mid Cap Fd (JVMIX)						Purchased	02/26/2019	\$15,000 or less
9.29.2	John Hancock Funds III Disc Val Mid Cap Fd (JVMIX)						Purchased	05/21/2019	\$15,000 or less
9.29.3	John Hancock Funds III Disc Val Mid Cap Fd (JVMIX)						Purchased	08/08/2019	\$15,000 or less
9.30	PGIM High Yld Cl Z (PHYZX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
9.30.1	PGIM High Yld Cl Z (PHYZX)						Purchased	10/07/2019	\$15,000 or less
10	Other Holdings								
10.1	Rental property, Orange Beach, AL	See Note	\$15,001 - \$50,000	Rent	\$250,001 - \$500,000	Estimated			

Additional Information or Explanation

PART	#	NOTE
VII.	3	Level of risk only - no control over selection or transactions.
VII.	4.23	Formerly Harris Corp
VII.	4.51	This asset became reportable in 2019 without a corresponding reportable transaction.
VII.	6.1	Formerly All Bern Real Est Inv Cl A
VII.	6.5	Formerly Prudential
VII.	9.18	Formerly Oppenheimer
VII.	10.1	\$250,000 - \$500,000