

Annual Report 2020

Judiciary Branch Personnel

Financial Disclosure Report (AO Form 10)

Filer's Information

Phillips, Mary Elizabeth

Report Year: 2020

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Phillips, Mary Elizabeth [electronically signed on 05/11/2021 by Phillips, Mary Elizabeth in JEPS]

I. Positions

#	ORGANIZATION NAME	ORGANIZATION TYPE	POSITION HELD
1	Family Trust	Trust	Trustee

II. Agreements

None

III A. Filer's Non-Investment Income

None

III B. Spouse's Non-Investment Income

#	SOURCE	DESCRIPTION
1	State of Missouri	Salary

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

None

VII. Investments and Trusts

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
1	Adorn, Inc.		None	None	\$15,000 or less	Estimated			
2	Family Trust								
2.1	Country Club Bank		\$1,000 or less	Interest	\$100,001 - \$250,000	Cash Market			
2.2	Schwab 1	See Note							
2.2.1	Vanguard Select Value - VASVX		\$5,001 - \$15,000	Dividend	\$50,001 - \$100,000	Cash Market			
2.2.2	Osage Sch Lake Ozark - bond		\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			
2.2.3	Greene Co Reorg school - bond		\$1,001 - \$2,500	Interest	\$50,001 - \$100,000	Cash Market			
2.2.4	Missouri St. Health and EDL - bond		\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			
2.3	Schwab 1								
2.3.1	California Resource Corp - CRC		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.1.1	California Resource Corp - CRC	See Note					Closed	06/28/2019	None
2.3.2	Colgate Palmolive (CL)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.3	Diageo plc - DEO		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.3.4	Intel Corp - INTC	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.4.1	Intel Corp - INTC					Purchased	12/02/2020	\$15,000 or less
2.3.5	Johnson & Johnson - JNJ	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.6	Linde PCL - LIN	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.6.1	Linde PCL - LIN					Sold	12/02/2020	\$15,000 or less
2.3.7	Medtronic - MDT	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.7.1	Medtronic - MDT					Purchased	12/02/2020	\$15,000 or less
2.3.8	PepsiCo Inc	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.9	Rockwell Automation - ROK	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.10	Suncor Energy - SU	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.10.1	Suncor Energy - SU					Sold	12/02/2020	\$15,000 or less
2.3.11	Union Pacific - UNP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.12	iShares Trust Real Estate - IYR	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.13	iShares Russell 2000 Value Index	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.13.1	iShares Russell 2000 Value Index					Sold	12/02/2020	\$15,001 - \$50,000
2.3.14	iShares MSCI Emerging Markets Index EEM	\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.3.15	ISHARES Core MSCI Emerging - IEMG	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.3.15.1	ISHARES Core MSCI Emerging - IEMG					Sold	12/02/2020	\$15,000 or less
2.3.16	Vanguard Midcap Growth - VOT	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.17	Vanguard Mid Cap Value - VOE	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.18	Vanguard FTSE Emerging Markets - VWO	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.19	Vanguard Russell 1000 Growth - VONG	\$1,000 or less	Dividend	\$100,001 - \$250,000	Cash Market			
2.3.20	Vanguard Russell 1000 Value - VONV	\$1,001 - \$2,500	Dividend	\$100,001 - \$250,000	Cash Market			
2.3.21	Vanguard Russell 2000 Growth - VTWG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.22	Vanguard FTSE Developed Market - VEA	\$2,501 - \$5,000	Dividend	\$100,001 - \$250,000	Cash Market			
2.3.23	Matthews Pacific Tiger - MAPTX	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.24	Vanguard Primecap Core - VPCCX	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.3.25	Vanguard Tax-Managed Small Cap - VTMSX	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3.26	Cash Account Schwab	\$1,000 or less	Interest	\$100,001 - \$250,000	Cash Market			
2.4	Schwab 2 (Roth)	See Note						
2.4.1	T. Rowe Price Overseas TROIX	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.4.2	UNTS Gugg Flah Crum PRFD	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.4.3	Cash Account Schwab		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
2.5	Schwab 3 (Rollover)	See Note							
2.5.1	California Resources Corp - CRC		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.1.1	California Resources Corp - CRC	See Note					Closed	08/31/2020	None
2.5.2	Colgate Palmolive - CL		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.2.1	Colgate Palmolive - CL						Sold	12/02/2020	\$15,000 or less
2.5.3	Diageo PLC - DEO		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.3.1	Diageo PLC - DEO						Sold	12/02/2020	\$15,000 or less
2.5.4	Intel Corp - INTC		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.5	Johnson & Johnson - JNJ		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.6	Linde PLC		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.6.1	Linde PLC						Sold	12/02/2020	\$15,000 or less
2.5.7	Medtronic - MDT		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.8	Pepsico - PEP		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.9	QUALCOMM Inc - QCOM		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.10	Rockwell Automation - ROK		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.5.11	Suncor - SU	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.11.1	Suncor - SU					Sold	12/02/2020	\$15,000 or less
2.5.12	Union Pacif Corp - UNP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.13	Ishared US Real Estate - IYR	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.14	Vanguard Mid-Cap Growth - VOT	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.15	Vanguard Mid-cap Value	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.5.16	Vanguard Emerging Markets - VWO	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.17	Vanguard Russell 1000 Growth - VONG	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.5.18	Vanguard Russell 2000 Value VTWV	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.19	Vanguard russell 2000 Growth - VTWG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.20	Vanguard Developed MKT - VEA	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.5.21	Verizon Comm Int. NTS B/E - bond	None	None	\$15,001 - \$50,000	Cash Market			
2.5.22	Matthews Asian Divident MIPIX	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.22.1	Matthews Asian Divident MIPIX					Purchased	12/04/2020	\$15,001 - \$50,000
2.5.23	Schwab US Divident SCHD	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5.23.1	Schwab US Divident SCHD					Purchased	12/02/2020	\$15,001 - \$50,000

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.5.24	Cash Account Schwab		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
2.6	Schwab 4 (Rollover)	See Note							
2.6.1	Loomis Sayles Growth - LGRCX		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.6.1.1	Loomis Sayles Growth - LGRCX						Sold	12/02/2020	\$15,000 or less
2.6.2	Oakmark Intl Fund - OAKIX		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.6.2.1	Oakmark Intl Fund - OAKIX						Sold	12/02/2020	\$15,000 or less
2.6.3	T. Rowe Price Asia Opportunities TRASX		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.6.3.1	T. Rowe Price Asia Opportunities TRASX						Purchased	12/04/2020	\$15,001 - \$50,000
2.6.4	Cash Account Schwab		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
2.7	Schwab 5 (Roth)	See Note							
2.7.1	California Resources Corp - CRC		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.1.1	California Resources Corp - CRC	See Note					Closed	12/01/2020	None
2.7.2	Colgate Palmolive - CL		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.2.1	Colgate Palmolive - CL						Sold	12/02/2020	\$15,000 or less
2.7.3	Diageo - DEO		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.3.1	Diageo - DEO						Sold	12/02/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.7.4	Intel Corp - INTC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.5	Johnson & Johnson - JNJ	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.6	Medtronic - MDT	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.7	Pepsico - PEP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.8	Qualcomm - QCOM	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.9	Rockwell Automation - ROK	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.10	Suncor - SU	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.10.1	Suncor - SU					Sold	12/02/2020	\$15,000 or less
2.7.11	Union Pacitic Corp - UNP	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.7.12	IShares US Real Estate - IYR	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.13	Vanguard Mid-Cap Growth - VOT	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.14	Vanguard Mid-Cap Value - VOE	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.15	Vanguard Emerging Markets - VWO	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.7.16	Vanguard Russell 1000 Growth - VONG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.17	Vanguard Russell 2000 Value - VTWV	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.18	Vanguard Russell 2000 Growth - VTWG	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.7.19	Vanguard Developed MKT - VEA	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.20	Vanguard Total Stock - VTSAX	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.21	Schwab US Dividend SCHD	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
2.7.21.1	Schwab US Divident SCHD					Purchased	12/04/2020	\$50,001 - \$100,000
2.7.22	T Rowe Price Asia Opportunities TRASX	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7.22.1	T Rowe Price Asia Opportunities TRASX					Purchased	12/04/2020	\$15,001 - \$50,000
2.7.23	Cash Account Schwab	\$1,000 or less	Interest	\$15,000 or less	Cash Market			
3	457(b) Plan							
3.1	MO2035 Fund	\$1,001 - \$2,500	Interest	\$250,001 - \$500,000	Cash Market			

Additional Information or Explanation

PART	#	NOTE
VII.	2.2	In October 2020 all assets in our UBS account were transferred to a new account with Charles Schwab. Further, assets reported in USB 1 and UBS 2 were combined into one account now entitled Schwab 1
VII.	2.3.1.1	This company declared bankruptcy and the asset no longer has any value.

PART	#	NOTE
VII.	2.4	In October 2020, all assets in our UBS account were transferred to a new account with Charles Schwab. USB accounts 1 and 2 were combined into one account. Thus, this account was previously referred to as UBS 3.
VII.	2.5	n October 2020, all assets in our UBS account were transferred to a new account with Charles Schwab. USB accounts 1 and 2 were combined into one account. Thus, this account was previously referred to as UBS 4.
VII.	2.5.1.1	The company declared bankruptcy and the asset has no value.
VII.	2.6	n October 2020, all assets in our UBS account were transferred to a new account with Charles Schwab. USB accounts 1 and 2 were combined into one account. Thus, this account was previously referred to as UBS 5.
VII.	2.7	n October 2020, all assets in our UBS account were transferred to a new account with Charles Schwab. USB accounts 1 and 2 were combined into one account. Thus, this account was previously referred to as UBS 6.
VII.	2.7.1.1	The company declared bankruptcy and the asset has no value.