

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwood, Bruce A.	2. Court or Organization United States Bankruptcy Court - District of New Hampshire	3. Date of Report 07/31/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Bankruptcy Court 1000 Elm Street Manchester, NH 03101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	American Bankruptcy Institute
2.	Trustee	Trust #1 (member sole beneficiary)
3.	Trustee	Trust #2 (member sole beneficiary)
4.	Trustee	Trust #3 (member sole beneficiary)
5.	Trustee	Trust #4 (member sole beneficiary)
6.	Trustee	Trust #5 (member sole beneficiary)
7.	Trustee	Trust #6 (member sole beneficiary)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bankruptcy Institute	February 28 - March 4, 2014	New York, NY	Judge in Moot Court Competition	Transportation, Lodging, Meals
2.	American College of Bankruptcy	March 13 - 15, 2014	Washington, DC	Induction Ceremony, Fellows Meeting and Educational Programs	Transportation, Lodging, Meals
3.	American Bankruptcy Institute	April 24 - 28, 2014	Washington, DC	Annual Spring Meeting (teach)(board of directors meeting)	Transportation, Lodging, Meals
4.	Turnaround Management Association	May 7-8, 2014	Chicago, IL	Educational Seminar (teach)	Transportation, Lodging, Meals
5.	Federal Judicial Center	June 16-18, 2014	Washington, DC	Chief Judges Training	Transportation, Lodging, Meals

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6.	American Bankruptcy Institute	July 16-18, 2014	Stowe, VT	Educational Seminar (teach)	Transportation, Lodging, Meals
7.	Federal Judicial Center	August 13-15, 2014	Boston, MA	Educational Seminar	Transportation, Lodging, Meals
8.	National Conference of Bankruptcy Judges	October 9 - 12, 2014	Chicago, IL	Educational Seminar and Meeting	Transportation, Lodging
9.	Massachusetts Continuing Legal Education	November 5, 2014	Boston, MA	Educational Seminar (teach)	Transportation, Meals
10.	American Bankruptcy Institute	December 4-6, 2014	La Quinta, CA	Educational Seminar and board of directors meeting	Transportation, Lodging, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Common ABT - ABBOT LABORATORIES	A	Dividend	J	T					
2. Common ABBV - ABBVIE INC COM	A	Dividend	J	T					
3. Common APD - AIR PROD 7 CHEM INC	A	Dividend	J	T					
4. Common ACE - ACE LTD	A	Dividend	J	T	Buy (add'l)	09/09/14	J		
5. Common MO - ALTRIA GROUP INC	A	Dividend	J	T					
6. Common BUD - ANHEUSER-BUSCH INBEV SA	A	Dividend	J	T	Buy	06/12/14	J		
7. Common AAPL - APPLE INC	A	Dividend	K	T					
8. Common - ASML - ASML HLDG NV NY REG NEW	A	Dividend	J	T					
9. Common ADP - AUTOMATIC DATA PROCESSING INC	A	Dividend	J	T					
10. Common BLK - BLACKROCK INC	A	Dividend	J	T					
11. CMNIX - CALAMOS MARKET NEUTRAL INC 1 FUND	A	Dividend	K	T					
12. Common CAT - CATERPILLAR INC	A	Dividend	J	T					
13. Common - CP CANADIAN PACIFIC RAILWAY	A	Dividend	J	T					
14. Common - CELG - CELGENE CORP		None	J	T	Buy	10/21/14	J		
15. Common CVX - CHEVRON CORP	A	Dividend	K	T	Buy	12/19/14	J		
16. Common EOG - EOG RESOURCES	A	Dividend	J	T					
17. Common FOXA - TWENTY-FIRST CENTURY FOX	A	Dividend	J	T	Buy	04/04/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Common COP - CONOCO PHILLIPS	A	Dividend	J	T					
19. Common DEO - DIAGEO PLC SPON ADR NEW	A	Dividend	J	T	Buy	12/19/14	J		
20. Common EL - ESTEE LAUDER CO INC CL A	A	Dividend	J	T					
21. Common - XOM EXXON MOBIL CORP	A	Dividend	J	T					
22. Common BEN - FRANKLIN RESOURCES	A	Dividend	J	T					
23. Common FCX - FREEPORT MCMORAN CP&GLD	A	Dividend			Sold	10/21/14	J		
24. Common GE - GENERAL ELECTRIC CO	A	Dividend	J	T	Sold (part)	02/14/14	J	A	
25. Common - GILD - GILEAD SCIENCE		None	J	T	Buy	02/11/14	J		
26. Common HSBC - HSBC HOLDING PLC SPON ADR NEW	A	Dividend			Sold (part)	04/04/14	J	A	
27.					Sold (part)	06/12/14	J	A	
28.					Sold	09/09/14	J	A	
29. Common INTC - INTEL CORP	A	Dividend	J	T					
30. Common IBM - INTL BUSINESS MACHINES CORP	A	Dividend	J	T	Buy (add'l)	12/19/14	J		
31. Common ISRG - INTUITIVE SURGICAL INC		None			Sold	01/29/14	J	B	
32. Common KO - COCA COLA CO	A	Dividend	J	T					
33. Common NVS - NOVARTIS AG	A	Dividend	J	T					
34. IQHX - IQ ALPHA HEDGE STRAT INST FUND	A	Dividend	K	T					

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35. Common TIP - ISHARES BARCLAYS TIPS BD ED	D	Dividend	N	T	Buy (add'l)	03/10/14	K		
36. Common SCZ - ISHARES TR MSCI SMALL CAP	B	Dividend	L	T					
37. Common JNJ - JOHNSON & JOHNSON	A	Dividend	J	T					
38. Common JPM - JPMORGAN & CHASE CO	A	Dividend	J	T					
39. Common KRFT - KRAFT FOODS GROUP INC COM	A	Dividend	J	T					
40. Common MCD - MCDONALDS CORP	A	Dividend	J	T	Sold (part)	09/09/14	J	A	
41. Common MRK - MERCK & CO INC NEW COM	A	Dividend	J	T					
42. Common MDLZ - MONDELEZ INTL INC COM	A	Dividend	J	T					
43. Common NSRGY - NESTLE SPON ADR REP REG SHR	A	Dividend	J	T					
44. Common NWSA - NEWS CORP LTD CL A DEL		None	J	T	Buy (add'l)	12/24/14	J		
45. Common NVO - NOVO NORDISK A/S ADR	A	Dividend	J	T					
46. Common OXY - OCCIDENTAL PETROLEUM CORP DE	A	Dividend	J	T	Buy (add'l)	12/19/14	J		
47. Common ORCL - ORACLE CORP	A	Dividend	J	T					
48. Common PEP - PEPSICO INCE NC	A	Dividend	J	T					
49. Common PM - PHILIP MORRIS INTL INC	A	Dividend	K	T	Buy (add'l)	02/14/14	J		
50. Common PSX - PHILLIPS 66 COM	A	Dividend	J	T					
51. PALPX - PIMCO ALL ASSET P FUND	B	Dividend	K	T	Buy (add'l)	12/19/14	J		

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52. PCRPX - PIMCO COMMOD REAL RET STRATP FUND	A	Dividend	L	T	Buy (add'l)	01/03/14	J		
53.					Buy (add'l)	02/14/14	J		
54.					Sold (part)	12/19/14	J		
55. PFBPX - PIMCO FOREIGN BD US \$ HEDGETD P FUND	E	Dividend	M	T					
56. Common PX - PRAXAIR INC	A	Dividend	J	T					
57. Common PG - PROCTOR & GAMBLE	A	Dividend	J	T					
58. Common QCOM - QUALCOMM INC	A	Dividend	J	T					
59. IPPXX - INVESCO PREMIER INST FUND	A	Distribution			Sold	03/10/14	K		
60. Common RHHBY - ROCHE HOLDINGS ADR	A	Dividend	J	T					
61. Common RDSA - ROYAL DUTCH SHELL PLC	A	Dividend	J	T	Sold (part)	04/04/14	J	B	
62. Common SBMRY - SABMILLER PLC SPONS ADR	A	Dividend	J	T	Buy (add'l)	04/04/14	J		
63. Common RWX - SPDR DJ WILSHIRE INTL REAL EST	B	Dividend	K	T					
64. Common TFI - SPDR NUVEEN BARCLAYS CAPITAL M	C	Int./Div.	M	T					
65. Common STT - STATE STREET CORP	A	Dividend	J	T	Buy (add'l)	09/09/14	J		
66. Common TGT - TARGET CORP	A	Dividend	J	T	Buy (add'l)	02/14/14	J		
67.					Sold (part)	06/12/14	J	A	
68. Common TXN - TEXAS INSTRUMENTS	A	Dividend	J	T					

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69. Common TWC - TIME WARNER CABLE INC NEW	A	Dividend	J	T					
70. Common TOT - TOTAL S A SPON ADR	A	Dividend	J	T					
71. Common UNP - UNION PACIFIC CORP	A	Dividend	J	T	Buy	02/03/14	J		
72. Common UTX - UNITED TECHNOLOGIES CORP	A	Dividend	J	T					
73. Common VWO - VANGUARD FTSE EMERGING MARKETS E	C	Dividend	M	T	Buy (add'l)	01/03/14	J		
74. Common VUG - VANGUARD GROWTH ETF	C	Dividend	N	T					
75. Common VEA - VANGUARD FTSE DEVELOPED MARKETS ETF	D	Dividend	M	T					
76. Common VNQ - VANGUARD REIT ETF	B	Dividend	L	T					
77. Common BSV - VANGUARD SHORT TERM BND	B	Dividend	M	T					
78. Common VBK - VANGUARD SM CAP GROWTH ETF	A	Dividend	L	T					
79. Common VBR - VANGUARD SM VALUE ETF	B	Dividend	L	T					
80. Common BND - VANGUARD TOTAL BOND MARKET	C	Dividend	L	T					
81. Common VTV - VANGUARD VALUE ETF INDEX	D	Dividend	N	T					
82. Common WMT - WAL MART STORES	A	Dividend	J	T					
83. Common WAG - WALGREEN CO	A	Dividend	J	T					
84. Common DIS - WALT DISNEY HOLDING CO		None	J	T					
85. Common XLNX - XILINX INC	A	Dividend	J	T					

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86. PEIXX - INVESCO PREMIER TX EXEMPT INST FUND		None	J	T					
87. CITIBANK N.A. Money Market Account (Bank Deposit Program)	A	Interest			Sold	06/30/14	J		
88. CITIZENS BANK - deposit accounts	A	Interest	M	T					
89. Common BENGUET CORP		None	J	T					
90. Common ENERGIZER HOLDINGS INC (Custodian)	A	Dividend	J	T					
91. Common BOEING COMPANY (Custodian)		None	J	T					
92. Trust #1 (H)									
93. - CITIZENS BANK - bank account	A	Interest	J	T					
94. - TD BANK - bank account	A	Interest	K	T					
95. - HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T					
96. Trust #2 (H)									
97. - TD BANK - bank account	A	Interest	K	T					
98. - HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T					
99. Trust #3 (H)									
100. - CITIZENS BANK - bank account	A	Interest	K	T					
101. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
102. Trust #4 (H)									

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
104. Trust #5 (H)									
105. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
106. Trust # 6 (H)									
107. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
108. Common PRINCIPAL FINANCIAL GROUP INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Harwood, Bruce A.

Date of Report

07/31/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Harwood, Bruce A.

Date of Report

07/31/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bruce A. Harwood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544