

Judiciary Branch Personnel

Financial Disclosure Report (AO Form 10)

Filer's Information

Benitez, Roger T

Report Year: 2020

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Benitez, Roger T [electronically signed on 04/11/2021 by Benitez, Roger T in JEPS]

I. Positions

#	ORGANIZATION NAME	ORGANIZATION TYPE	POSITION HELD
1	Thomas Jefferson School of Law	University/College	Board of Trustees- Corporate Secretary
2	Association of Business Trial Lawyers of San Diego	Non-Profit	Board of Trustees
3	Family Trust	Trust	Trustee

II. Agreements

None

III A. Filer's Non-Investment Income

None

III B. Spouse's Non-Investment Income

#	SOURCE	DESCRIPTION
1	California State Teachers Retirement	

IV. Reimbursements

#	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1	George Mason Law School	08/07/2020 - 08/16/2020	Pennsylvania	Education al Seminar	Lodging, Travel, Meals,

V. Gifts

None

VI. Liabilities

#	CREDITOR	TYPE	VALUE
1	Quicken Home Loans	Mortgage on rental property	\$100,001 - \$250,000

VII. Investments and Trusts

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
1	Parcel 4 Salton City, Ca		None	\$1 - \$15,000	Estimated			
2	Parcel 5 San Diego, CA	\$15,001 - \$50,000	Rent	\$500,001 - \$1,000,000	Estimated			
3	Note (X)- Steve and Anna Seibert	\$15,001 - \$50,000	Interest	\$100,001 - \$250,000	Cash Market			
4	Northern Life Annuity/ING now VOYA TSA group1	\$1,001 - \$2,500	Interest, Gain	\$50,001 - \$100,000	Cash Market			
5	Ameritrade Account 1-84 (H)							
5.1	Sweep Account - TD Bank	\$1,000 or less	Interest	\$50,001 - \$100,000	Cash Market			
5.2	Annaly Capital- NLY	\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			
5.2.1	Annaly Capital- NLY					Sold	03/18/2020	\$15,000 or less
5.2.2	Annaly Capital- NLY					Sold	04/07/2020	\$15,000 or less
5.2.3	Annaly Capital- NLY					Sold	04/16/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.2.4	Annaly Capital- NLY					Sold	04/29/2020	\$15,000 or less
5.2.5	Annaly Capital- NLY					Sold	05/12/2020	\$15,001 - \$50,000
5.2.6	Annaly Capital- NLY					Purchased	02/13/2020	\$15,000 or less
5.2.7	Annaly Capital- NLY					Purchased	03/25/2020	\$15,000 or less
5.2.8	Annaly Capital- NLY					Purchased	04/27/2020	\$15,000 or less
5.3	Alibaba Group Holding LTD-common-BABA	\$50,001 - \$100,000	Gain	\$100,001 - \$250,000	Cash Market			
5.3.1	Alibaba Group Holding LTD-common-BABA					Sold	04/23/2020	\$100,001 - \$250,000
5.3.2	Alibaba Group Holding LTD-common-BABA					Purchased	04/27/2020	\$100,001 - \$250,000
5.3.3	Alibaba Group Holding LTD-common-BABA					Purchased	05/04/2020	\$15,001 - \$50,000
5.3.4	Alibaba Group Holding LTD-common-BABA					Purchased	05/08/2020	\$15,001 - \$50,000
5.3.5	Alibaba Group Holding LTD-common-BABA					Purchased	06/04/2020	\$15,001 - \$50,000
5.3.6	Alibaba Group Holding LTD-common-BABA					Purchased	06/04/2020	\$15,001 - \$50,000
5.3.7	Alibaba Group Holding LTD-common-BABA					Sold	07/22/2020	\$250,001 - \$500,000
5.3.8	Alibaba Group Holding LTD-common-BABA					Purchased	07/22/2020	\$50,001 - \$100,000
5.3.9	Alibaba Group Holding LTD-common-BABA					Sold	11/17/2020	\$50,001 - \$100,000
5.4	United Technologies Corp	None	None	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.4.1	United Technologies Corp					Sold	03/20/2020	\$15,001 - \$50,000
5.5	Honeywell Intl Inc	\$1,000 or less	Dividend	None	Cash Market			
5.5.1	Honeywell Intl Inc					Sold	01/06/2020	\$50,001 - \$100,000
5.6	Pacific Premier Bancorp Inc	\$1,000 or less	Dividend	None	Cash Market			
5.6.1	Pacific Premier Bancorp Inc					Sold	01/17/2020	\$15,001 - \$50,000
5.6.2	Pacific Premier Bancorp Inc					Purchased	02/19/2020	\$15,000 or less
5.6.3	Pacific Premier Bancorp Inc					Sold	04/07/2020	\$15,000 or less
5.6.4	Pacific Premier Bancorp Inc					Purchased	04/21/2020	\$15,000 or less
5.6.5	Pacific Premier Bancorp Inc					Purchased	04/23/2020	\$15,000 or less
5.6.6	Pacific Premier Bancorp Inc					Purchased	05/04/2020	\$15,000 or less
5.6.7	Pacific Premier Bancorp Inc					Purchased	05/07/2020	\$15,000 or less
5.6.8	Pacific Premier Bancorp Inc					Purchased	06/04/2020	\$15,000 or less
5.6.9	Pacific Premier Bancorp Inc					Purchased	06/23/2020	\$15,000 or less
5.6.10	Pacific Premier Bancorp Inc					Purchased	07/01/2020	\$15,000 or less
5.6.11	Pacific Premier Bancorp Inc					Purchased	07/07/2020	\$15,000 or less
5.6.12	Pacific Premier Bancorp Inc					Sold	07/10/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.6.13	Pacific Premier Bancorp Inc					Sold	07/21/2020	\$15,001 - \$50,000
5.7	Under Armor	None	None	None	Cash Market			
5.7.1	Under Armor					Purchased	01/10/2020	\$15,000 or less
5.7.2	Under Armor					Purchased	01/23/2020	\$15,000 or less
5.7.3	Under Armor					Sold	02/12/2020	\$15,001 - \$50,000
5.8	Lululemon Athletica Inc	None	None	None	Cash Market			
5.8.1	Lululemon Athletica Inc					Sold	03/11/2020	\$15,001 - \$50,000
5.9	American Airlines Group, Inc. - Common Stock	None	None	None	Cash Market			
5.9.1	American Airlines Group, Inc. - Common Stock					Purchased	06/10/2020	\$15,000 or less
5.9.2	American Airlines Group, Inc. - Common Stock					Sold	06/18/2020	\$15,000 or less
5.10	Apple Inc.	None	None	None	Cash Market			
5.10.1	Apple Inc.					Purchased	08/27/2020	\$50,001 - \$100,000
5.10.2	Apple Inc.					Purchased	08/28/2020	\$50,001 - \$100,000
5.10.3	Apple Inc.					Sold	09/30/2020	\$15,001 - \$50,000
5.10.4	Apple Inc.					Sold	10/27/2020	\$50,001 - \$100,000
5.11	ALLIANCE BRENSTEIN HOLDING	None	None	None	Cash Market			
5.11.1	ALLIANCE BRENSTEIN HOLDING					Purchased	03/03/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.11.2	ALLIANCE BRENSTEIN HOLDING					Sold	03/30/2020	\$15,000 or less
5.12	ADVANCED MICRO DEVICES	\$15,001 - \$50,000	Gain	None	Cash Market			
5.12.1	ADVANCED MICRO DEVICES					Purchased	01/23/2020	\$15,000 or less
5.12.2	ADVANCED MICRO DEVICES					Purchased	02/06/2020	\$15,000 or less
5.12.3	ADVANCED MICRO DEVICES					Purchased	02/10/2020	\$15,000 or less
5.12.4	ADVANCED MICRO DEVICES					Purchased	03/03/2020	\$15,000 or less
5.12.5	ADVANCED MICRO DEVICES					Purchased	04/23/2020	\$15,000 or less
5.12.6	ADVANCED MICRO DEVICES					Sold	04/30/2020	\$15,001 - \$50,000
5.12.7	ADVANCED MICRO DEVICES					Purchased	07/01/2020	\$15,000 or less
5.12.8	ADVANCED MICRO DEVICES					Purchased	07/07/2020	\$15,000 or less
5.12.9	ADVANCED MICRO DEVICES					Purchased	07/28/2020	\$15,000 or less
5.12.10	ADVANCED MICRO DEVICES					Purchased	08/03/2020	\$15,000 or less
5.12.11	ADVANCED MICRO DEVICES					Purchased	08/05/2020	\$15,000 or less
5.12.12	ADVANCED MICRO DEVICES					Sold	08/06/2020	\$50,001 - \$100,000
5.12.13	ADVANCED MICRO DEVICES					Purchased	08/11/2020	\$15,001 - \$50,000
5.12.14	ADVANCED MICRO DEVICES					Purchased	08/13/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.12.15	ADVANCED MICRO DEVICES					Purchased	10/26/2020	\$15,000 or less
5.12.16	ADVANCED MICRO DEVICES					Sold	12/15/2020	\$15,001 - \$50,000
5.13	ABID TECH	None	None	None	Cash Market			
5.13.1	ABID TECH					Purchased	04/14/2020	\$15,000 or less
5.13.2	ABID TECH					Sold	04/16/2020	\$15,000 or less
5.14	BERKSHIRE HATHAWAY INC CLB	\$1,001 - \$2,500	Gain	None	Cash Market			
5.14.1	BERKSHIRE HATHAWAY INC CLB					Purchased	03/16/2020	\$15,001 - \$50,000
5.14.2	BERKSHIRE HATHAWAY INC CLB					Purchased	03/18/2020	\$15,001 - \$50,000
5.14.3	BERKSHIRE HATHAWAY INC CLB					Purchased	03/25/2020	\$15,001 - \$50,000
5.14.4	BERKSHIRE HATHAWAY INC CLB					Purchased	04/16/2020	\$15,001 - \$50,000
5.14.5	BERKSHIRE HATHAWAY INC CLB					Sold	05/07/2020	\$50,001 - \$100,000
5.15	Cadence Design Systems, Inc. - Common Stock	None	None	None	Cash Market			
5.15.1	Cadence Design Systems, Inc. - Common Stock					Purchased	01/27/2020	\$15,000 or less
5.15.2	Cadence Design Systems, Inc. - Common Stock					Purchased	02/07/2020	\$15,000 or less
5.15.3	Cadence Design Systems, Inc. - Common Stock					Sold	03/11/2020	\$15,000 or less
5.16	DOLLAR GENERAL CORP	None	None	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.16.1	DOLLAR GENERAL CORP					Purchased	10/27/2020	\$15,001 - \$50,000
5.16.2	DOLLAR GENERAL CORP					Sold	10/29/2020	\$15,001 - \$50,000
5.17	WALT DISNEY CO	\$2,501 - \$5,000	Gain	None	Cash Market			
5.17.1	WALT DISNEY CO					Purchased	03/16/2020	\$15,000 or less
5.17.2	WALT DISNEY CO					Purchased	03/18/2020	\$15,000 or less
5.17.3	WALT DISNEY CO					Sold	04/16/2020	\$15,001 - \$50,000
5.18	DAQO NEW ENERGY CORP	\$1,001 - \$2,500	Gain	None	Cash Market			
5.18.1	DAQO NEW ENERGY CORP					Purchased	07/27/2020	\$15,000 or less
5.18.2	DAQO NEW ENERGY CORP					Purchased	08/26/2020	\$15,000 or less
5.18.3	DAQO NEW ENERGY CORP					Sold	09/15/2020	\$15,001 - \$50,000
5.19	FLUOR CORP	None	None	None	Cash Market			
5.19.1	FLUOR CORP					Purchased	03/03/2020	\$15,000 or less
5.19.2	FLUOR CORP					Sold	03/25/2020	\$15,000 or less
5.20	FATHOM HOLDINGS INC	None	None	None	Cash Market			
5.20.1	FATHOM HOLDINGS INC					Purchased	08/24/2020	\$15,000 or less
5.20.2	FATHOM HOLDINGS INC					Sold	08/31/2020	\$15,000 or less
5.21	GILEAD SCIENCES INC	\$1,000 or less	Gain	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.21.1	GILEAD SCIENCES INC					Purchased	03/23/2020	\$15,000 or less
5.21.2	GILEAD SCIENCES INC					Sold	04/07/2020	\$15,000 or less
5.22	General Mills Inc	\$1,001 - \$2,500	Gain, Dividend	None	Cash Market			
5.22.1	General Mills Inc					Purchased	03/16/2020	\$15,000 or less
5.22.2	General Mills Inc					Purchased	04/16/2020	\$15,000 or less
5.22.3	General Mills Inc					Purchased	04/23/2020	\$15,000 or less
5.22.4	General Mills Inc					Purchased	04/28/2020	\$15,000 or less
5.22.5	General Mills Inc					Sold	04/26/2020	\$15,001 - \$50,000
5.23	HILTON INC	\$2,501 - \$5,000	Gain	None	Cash Market			
5.23.1	HILTON INC					Purchased	05/19/2020	\$15,000 or less
5.23.2	HILTON INC					Purchased	05/26/2020	\$15,000 or less
5.23.3	HILTON INC					Purchased	06/04/2020	\$15,000 or less
5.23.4	HILTON INC					Purchased	06/05/2020	\$15,000 or less
5.23.5	HILTON INC					Sold	06/18/2020	\$15,001 - \$50,000
5.23.6	HILTON INC					Sold	06/19/2020	\$15,001 - \$50,000
5.23.7	HILTON INC					Purchased	07/29/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.23.8	HILTON INC					Purchased	08/05/2020	\$15,000 or less
5.23.9	HILTON INC					Purchased	08/11/2020	\$15,000 or less
5.23.10	HILTON INC					Purchased	09/08/2020	\$15,000 or less
5.23.11	HILTON INC					Sold	10/27/2020	\$15,001 - \$50,000
5.24	LOCKHEED MARTIN CORP	\$2,501 - \$5,000	Gain	None	Cash Market			
5.24.1	LOCKHEED MARTIN CORP					Purchased	12/30/2019	\$15,001 - \$50,000
5.24.2	LOCKHEED MARTIN CORP					Purchased	01/07/2020	\$15,001 - \$50,000
5.24.3	LOCKHEED MARTIN CORP					Sold	02/13/2020	\$15,001 - \$50,000
5.25	Marriott International - Class A Common Stock	\$1,000 or less	Gain	None	Cash Market			
5.25.1	Marriott International - Class A Common Stock					Purchased	05/19/2020	\$15,000 or less
5.25.2	Marriott International - Class A Common Stock					Sold	05/28/2020	\$15,000 or less
5.26	Microsoft Corp	\$5,001 - \$15,000	Gain, Dividend	None	Cash Market			
5.26.1	Microsoft Corp					Purchased	07/24/2020	\$15,001 - \$50,000
5.26.2	Microsoft Corp					Purchased	07/27/2020	\$15,001 - \$50,000
5.26.3	Microsoft Corp					Purchased	08/05/2020	\$15,001 - \$50,000
5.26.4	Microsoft Corp					Sold	08/27/2020	\$50,001 - \$100,000

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.27	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares	None	None	None	Cash Market			
5.27.1	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Purchased	06/04/2020	\$15,000 or less
5.27.2	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Sold	07/02/2020	\$15,000 or less
5.28	NOKIA CORP ADR	\$2,501 - \$5,000	Gain	None	Cash Market			
5.28.1	NOKIA CORP ADR					Purchased	03/25/2020	\$15,000 or less
5.28.2	NOKIA CORP ADR					Purchased	04/06/2020	\$15,000 or less
5.28.3	NOKIA CORP ADR					Purchased	04/23/2020	\$15,000 or less
5.28.4	NOKIA CORP ADR					Purchased	05/07/2020	\$15,000 or less
5.28.5	NOKIA CORP ADR					Purchased	06/08/2020	\$15,000 or less
5.28.6	NOKIA CORP ADR					Purchased	07/01/2020	\$15,000 or less
5.28.7	NOKIA CORP ADR					Purchased	07/24/2020	\$15,000 or less
5.28.8	NOKIA CORP ADR					Sold	07/07/2020	\$15,000 or less
5.28.9	NOKIA CORP ADR					Sold	08/21/2020	\$15,000 or less
5.29	DIREXION DAILY GOLD MINERS	\$1,001 - \$2,500	Gain	None	Cash Market			
5.29.1	DIREXION DAILY GOLD MINERS					Purchased	03/25/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.29.2	DIREXION DAILY GOLD MINERS					Sold	03/30/2020	\$15,000 or less
5.29.3	DIREXION DAILY GOLD MINERS					Purchased	07/29/2020	\$15,000 or less
5.29.4	DIREXION DAILY GOLD MINERS					Purchased	08/04/2020	\$15,000 or less
5.29.5	DIREXION DAILY GOLD MINERS					Sold	08/13/2020	\$15,001 - \$50,000
5.29.6	DIREXION DAILY GOLD MINERS					Purchased	08/17/2020	\$15,001 - \$50,000
5.29.7	DIREXION DAILY GOLD MINERS					Purchased	08/31/2020	\$15,000 or less
5.29.8	DIREXION DAILY GOLD MINERS					Sold	09/08/2020	\$15,001 - \$50,000
5.29.9	DIREXION DAILY GOLD MINERS					Purchased	09/25/2020	\$15,001 - \$50,000
5.29.10	DIREXION DAILY GOLD MINERS					Sold	10/19/2020	\$15,001 - \$50,000
5.30	Nvidia Corp	\$5,001 - \$15,000	Gain, Dividend	None	Cash Market			
5.30.1	Nvidia Corp					Purchased	04/27/2020	\$15,001 - \$50,000
5.30.2	Nvidia Corp					Purchased	05/07/2020	\$15,001 - \$50,000
5.30.3	Nvidia Corp					Sold	06/04/2020	\$50,001 - \$100,000
5.31	Regions Financial Corp	\$1,000 or less	Gain, Dividend	None	Cash Market			
5.31.1	Regions Financial Corp					Purchased	03/03/2020	\$15,000 or less
5.31.2	Regions Financial Corp					Purchased	03/16/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.31.3	Regions Financial Corp					Purchased	03/26/2020	\$15,000 or less
5.31.4	Regions Financial Corp					Sold	04/16/2020	\$15,000 or less
5.31.5	Regions Financial Corp					Purchased	06/03/2020	\$15,000 or less
5.31.6	Regions Financial Corp					Purchased	07/01/2020	\$15,000 or less
5.31.7	Regions Financial Corp					Sold	07/07/2020	\$15,000 or less
5.32	TESLA INC	\$5,001 - \$15,000	Gain	None	Cash Market			
5.32.1	TESLA INC					Purchased	08/18/2020	\$15,001 - \$50,000
5.32.2	TESLA INC					Purchased	09/09/2020	\$15,000 or less
5.32.3	TESLA INC					Purchased	11/09/2020	\$15,000 or less
5.32.4	TESLA INC					Sold	11/20/2020	\$15,001 - \$50,000
5.33	Tyson Foods Inc A	None	None	None	Cash Market			
5.33.1	Tyson Foods Inc A					Purchased	05/04/2020	\$15,000 or less
5.33.2	Tyson Foods Inc A					Sold	05/07/2020	\$15,000 or less
5.34	UNITED AIRLINES HOLDING	None	None	None	Cash Market			
5.34.1	UNITED AIRLINES HOLDING					Purchased	06/10/2020	\$15,000 or less
5.34.2	UNITED AIRLINES HOLDING					Sold	06/15/2020	\$15,000 or less
5.35	Unitedhealth Group Inc	None	None	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.35.1	Unitedhealth Group Inc					Purchased	01/15/2020	\$15,001 - \$50,000
5.35.2	Unitedhealth Group Inc					Sold	02/04/2020	\$15,001 - \$50,000
5.36	US STEEL CORP	\$1,000 or less	Gain, Dividend	None	Cash Market			
5.36.1	US STEEL CORP					Purchased	01/09/2020	\$15,000 or less
5.36.2	US STEEL CORP					Sold	02/04/2020	\$15,000 or less
5.36.3	US STEEL CORP					Purchased	05/08/2020	\$15,000 or less
5.36.4	US STEEL CORP					Purchased	05/11/2020	\$15,000 or less
5.36.5	US STEEL CORP					Purchased	07/07/2020	\$15,000 or less
5.36.6	US STEEL CORP					Purchased	07/09/2020	\$15,000 or less
5.36.7	US STEEL CORP					Purchased	07/14/2020	\$15,000 or less
5.36.8	US STEEL CORP					Purchased	07/21/2020	\$15,000 or less
5.36.9	US STEEL CORP					Sold	05/19/2020	\$15,000 or less
5.36.10	US STEEL CORP					Sold	07/30/2020	\$15,000 or less
5.37	ZOOM VIDEO COMMUNICATIONS	None	None	None	Cash Market			
5.37.1	ZOOM VIDEO COMMUNICATIONS					Purchased	03/19/2020	\$15,000 or less
5.37.2	ZOOM VIDEO COMMUNICATIONS					Sold	04/07/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
5.38	Carnival Corp	\$1,001 - \$2,500	Gain	None	Cash Market			
5.38.1	Carnival Corp					Purchased	05/19/2020	\$15,000 or less
5.38.2	Carnival Corp					Purchased	05/26/2020	\$15,000 or less
5.38.3	Carnival Corp					Purchased	05/27/2020	\$15,000 or less
5.38.4	Carnival Corp					Purchased	06/04/2020	\$15,000 or less
5.38.5	Carnival Corp					Sold	06/23/2020	\$15,001 - \$50,000
6	AmeriTrade Brokerage Account - 2 63 (H)							
6.1	Sweep account- TD Bank	\$1,000 or less	Interest	\$50,001 - \$100,000	Cash Market			
6.2	Alibaba Group Holding	None	None	None	Cash Market			
6.2.1	Alibaba Group Holding					Purchased	02/19/2020	\$15,000 or less
6.2.2	Alibaba Group Holding					Purchased	04/27/2020	\$15,001 - \$50,000
6.2.3	Alibaba Group Holding					Sold	04/23/2020	\$15,001 - \$50,000
6.2.4	Alibaba Group Holding					Sold	05/27/2020	\$15,001 - \$50,000
6.3	Annaly Capital Management	None	None	\$15,000 or less	Cash Market			
6.3.1	Annaly Capital Management					Sold	02/06/2020	\$15,000 or less
6.4	Fluor Corp	\$1,000 or less	Dividend	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
6.4.1	Fluor Corp					Sold	01/06/2020	\$15,000 or less
6.5	Pacific Premier Bancorp Inc	\$1,000 or less	Dividend	None	Cash Market			
6.5.1	Pacific Premier Bancorp Inc					Sold	01/16/2020	\$15,000 or less
6.5.2	Pacific Premier Bancorp Inc					Purchased	04/27/2020	\$15,000 or less
6.5.3	Pacific Premier Bancorp Inc					Purchased	07/01/2020	\$15,000 or less
6.5.4	Pacific Premier Bancorp Inc					Sold	07/10/2020	\$15,000 or less
6.6	The Azek Co Inc	\$1,000 or less	Gain	None	Cash Market			
6.6.1	The Azek Co Inc					Purchased	08/13/2020	\$15,000 or less
6.6.2	The Azek Co Inc					Sold	08/27/2020	\$15,000 or less
6.7	CALIX NETWORKS INC	None	None	None	Cash Market			
6.7.1	CALIX NETWORKS INC					Purchased	11/05/2020	\$15,000 or less
6.7.2	CALIX NETWORKS INC					Sold	11/13/2020	\$15,000 or less
6.8	DAQO NEW ENERGY CORP	\$1,001 - \$2,500	Gain	None	Cash Market			
6.8.1	DAQO NEW ENERGY CORP					Purchased	07/15/2020	\$15,000 or less
6.8.2	DAQO NEW ENERGY CORP					Purchased	10/08/2020	\$15,001 - \$50,000
6.8.3	DAQO NEW ENERGY CORP					Sold	10/26/2020	\$15,001 - \$50,000
6.9	Enphase Energy, Inc. - Common Stock	None	None	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
6.9.1	Enphase Energy, Inc. - Common Stock					Purchased	01/23/2020	\$15,000 or less
6.9.2	Enphase Energy, Inc. - Common Stock					Purchased	02/07/2020	\$15,000 or less
6.9.3	Enphase Energy, Inc. - Common Stock					Sold	03/30/2020	\$15,000 or less
6.10	ESTY INC	\$1,000 or less	Gain	None	Cash Market			
6.10.1	ESTY INC					Purchased	12/08/2020	\$15,001 - \$50,000
6.10.2	ESTY INC					Sold	12/15/2020	\$15,001 - \$50,000
6.11	FATHOM HOLDINGS INC	\$1,000 or less	Gain	None	Cash Market			
6.11.1	FATHOM HOLDINGS INC					Purchased	10/29/2020	\$15,000 or less
6.11.2	FATHOM HOLDINGS INC					Sold	11/06/2020	\$15,000 or less
6.12	General Mills Inc	\$1,000 or less	Gain, Dividend	None	Cash Market			
6.12.1	General Mills Inc					Purchased	04/06/2020	\$15,000 or less
6.12.2	General Mills Inc					Purchased	04/27/2020	\$15,000 or less
6.12.3	General Mills Inc					Sold	05/27/2020	\$15,001 - \$50,000
6.13	Inovio Pharmaceuticals, Inc. - Common Stock	None	None	None	Cash Market			
6.13.1	Inovio Pharmaceuticals, Inc. - Common Stock					Purchased	04/08/2020	\$15,000 or less
6.13.2	Inovio Pharmaceuticals, Inc. - Common Stock					Sold	04/13/2020	\$15,000 or less
6.14	JP Morgan Chase & Co	None	None	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
6.14.1	JP Morgan Chase & Co					Purchased	06/10/2020	\$15,000 or less
6.14.2	JP Morgan Chase & Co					Sold	06/12/2020	\$15,000 or less
6.15	Lowe's Cos Inc	None	None	None	Cash Market			
6.15.1	Lowe's Cos Inc					Purchased	11/03/2020	\$15,001 - \$50,000
6.15.2	Lowe's Cos Inc					Sold	11/17/2020	\$15,001 - \$50,000
6.16	Microsoft Corp	\$1,000 or less	Dividend	None	Cash Market			
6.16.1	Microsoft Corp					Purchased	08/05/2020	\$15,001 - \$50,000
6.16.2	Microsoft Corp					Sold	10/01/2020	\$15,001 - \$50,000
6.17	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares	None	None	None	Cash Market			
6.17.1	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Purchased	06/04/2020	\$15,000 or less
6.17.2	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Sold	07/01/2020	\$15,000 or less
6.18	NOKIA CORP ADR	\$1,000 or less	Gain	None	Cash Market			
6.18.1	NOKIA CORP ADR					Purchased	06/17/2020	\$15,000 or less
6.18.2	NOKIA CORP ADR					Sold	08/13/2020	\$15,000 or less
6.18.3	NOKIA CORP ADR					Purchased	09/25/2020	\$15,000 or less
6.18.4	NOKIA CORP ADR					Purchased	09/28/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
6.18.5	NOKIA CORP ADR					Sold	10/07/2020	\$15,000 or less
6.18.6	NOKIA CORP ADR					Purchased	10/29/2020	\$15,000 or less
6.18.7	NOKIA CORP ADR					Sold	12/15/2020	\$15,000 or less
6.19	DIREXION DAILY GOLD MINERS	\$5,001 - \$15,000	Gain	None	Cash Market			
6.19.1	DIREXION DAILY GOLD MINERS					Purchased	05/28/2020	\$15,000 or less
6.19.2	DIREXION DAILY GOLD MINERS					Sold	06/04/2020	\$15,000 or less
6.19.3	DIREXION DAILY GOLD MINERS					Purchased	06/16/2020	\$15,000 or less
6.19.4	DIREXION DAILY GOLD MINERS					Sold	07/02/2020	\$15,001 - \$50,000
6.19.5	DIREXION DAILY GOLD MINERS					Purchased	07/06/2020	\$15,001 - \$50,000
6.19.6	DIREXION DAILY GOLD MINERS					Purchased	07/14/2020	\$15,000 or less
6.19.7	DIREXION DAILY GOLD MINERS					Sold	08/03/2020	\$15,001 - \$50,000
6.19.8	DIREXION DAILY GOLD MINERS					Purchased	12/08/2020	\$15,000 or less
6.19.9	DIREXION DAILY GOLD MINERS					Sold	12/15/2020	\$15,000 or less
6.20	Regions Financial Corp	\$1,000 or less	Dividend	None	Cash Market			
6.20.1	Regions Financial Corp					Purchased	03/26/2020	\$15,000 or less
6.20.2	Regions Financial Corp					Sold	04/16/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
6.20.3	Regions Financial Corp					Purchased	05/26/2020	\$15,000 or less
6.20.4	Regions Financial Corp					Purchased	05/28/2020	\$15,000 or less
6.20.5	Regions Financial Corp					Purchased	07/01/2020	\$15,000 or less
6.20.6	Regions Financial Corp					Sold	07/02/2020	\$15,000 or less
6.21	TARGET CORP	\$1,001 - \$2,500	Gain	None	Cash Market			
6.21.1	TARGET CORP					Purchased	10/29/2020	\$15,001 - \$50,000
6.21.2	TARGET CORP					Sold	11/20/2020	\$15,001 - \$50,000
6.22	US STEEL CORP	\$1,000 or less	Gain, Dividend	None	Cash Market			
6.22.1	US STEEL CORP					Purchased	05/07/2020	\$15,000 or less
6.22.2	US STEEL CORP					Sold	05/19/2020	\$15,000 or less
6.22.3	US STEEL CORP					Purchased	08/17/2020	\$15,000 or less
6.22.4	US STEEL CORP					Sold	08/24/2020	\$15,000 or less
6.22.5	US STEEL CORP					Purchased	08/25/2020	\$15,000 or less
6.22.6	US STEEL CORP					Purchased	09/09/2020	\$15,000 or less
6.22.7	US STEEL CORP					Sold	09/15/2020	\$15,000 or less
7	ETRADE Brokerage Account (H) - ETrasde Bank							

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
7.1	JP Morgan Chase previously Etrade BankEtrade Bank	\$2,501 - \$5,000	Interest	\$100,001 - \$250,000	Cash Market			
7.2	Advanced Micro Devices INC	\$2,501 - \$5,000	Gain	None	Cash Market			
7.2.1	Advanced Micro Devices INC					Purchased	12/26/2019	\$15,000 or less
7.2.2	Advanced Micro Devices INC					Sold	01/02/2020	\$15,000 or less
7.2.3	Advanced Micro Devices INC					Purchased	06/10/2020	\$15,000 or less
7.2.4	Advanced Micro Devices INC					Sold	06/12/2020	\$15,000 or less
7.2.5	Advanced Micro Devices INC					Purchased	05/11/2020	\$15,000 or less
7.2.6	Advanced Micro Devices INC					Sold	05/12/2020	\$15,000 or less
7.2.7	Advanced Micro Devices INC					Purchased	05/21/2020	\$15,000 or less
7.2.8	Advanced Micro Devices INC					Sold	06/10/2020	\$15,000 or less
7.3	Hanesbrands INC	None	None	None	Cash Market			
7.3.1	Hanesbrands INC					Sold	01/06/2020	\$15,000 or less
7.4	American Airlines Group, Inc. - Common Stock	None	None	None	Cash Market			
7.4.1	American Airlines Group, Inc. - Common Stock					Purchased	03/25/2020	\$15,000 or less
7.4.2	American Airlines Group, Inc. - Common Stock					Sold	04/06/2020	\$15,000 or less
7.5	Apple Inc.	\$1,000 or less	Gain	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
7.5.1	Apple Inc.					Purchased	08/27/2020	\$50,001 - \$100,000
7.5.2	Apple Inc.					Sold	10/06/2020	\$15,001 - \$50,000
7.6	Advanced Micro Devices	\$2,501 - \$5,000	Gain	None	Cash Market			
7.6.1	Advanced Micro Devices					Sold	01/02/2020	\$15,000 or less
7.6.2	Advanced Micro Devices					Purchased	06/10/2020	\$15,000 or less
7.6.3	Advanced Micro Devices					Sold	06/12/2020	\$15,000 or less
7.7	Amazon	\$1,001 - \$2,500	Gain	None	Cash Market			
7.7.1	Amazon					Purchased	04/02/2020	\$15,000 or less
7.7.2	Amazon					Sold	04/28/2020	\$15,000 or less
7.8	Esty	\$1,000 or less	Gain	None	Cash Market			
7.8.1	Esty					Purchased	12/08/2020	\$15,000 or less
7.8.2	Esty					Sold	12/15/2020	\$15,000 or less
7.9	Gold	None	None	None	Cash Market			
7.9.1	Gold					Purchased	01/07/2020	\$15,000 or less
7.9.2	Gold					Sold	01/28/2020	\$15,000 or less
7.10	Hilton Worldwide Holdings Inc	None	None	None	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
7.10.1	Hilton Worldwide Holdings Inc					Purchased	06/10/2020	\$15,000 or less
7.10.2	Hilton Worldwide Holdings Inc					Sold	06/17/2020	\$15,000 or less
7.11	Eastman Kodak Co	\$1,000 or less	Gain	None	Cash Market			
7.11.1	Eastman Kodak Co					Purchased	07/29/2020	\$15,000 or less
7.11.2	Eastman Kodak Co					Sold	07/31/2020	\$15,000 or less
7.12	Lockheed Martin Corp	\$1,000 or less	Gain	None	Cash Market			
7.12.1	Lockheed Martin Corp					Purchased	01/06/2020	\$15,000 or less
7.12.2	Lockheed Martin Corp					Sold	01/09/2020	\$15,000 or less
7.13	Marriott International - Class A Common Stock	\$1,001 - \$2,500	Gain	None	Cash Market			
7.13.1	Marriott International - Class A Common Stock					Purchased	05/19/2020	\$15,000 or less
7.13.2	Marriott International - Class A Common Stock					Sold	06/04/2020	\$15,000 or less
7.14	Microsoft Corporation	\$2,501 - \$5,000	Gain	None	Cash Market			
7.14.1	Microsoft Corporation					Purchased	08/11/2020	\$15,000 or less
7.14.2	Microsoft Corporation					Sold	08/17/2020	\$15,000 or less
7.14.3	Microsoft Corporation					Purchased	06/12/2020	\$15,000 or less
7.14.4	Microsoft Corporation					Sold	06/17/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
7.14.5	Microsoft Corporation					Purchased	06/17/2020	\$15,000 or less
7.14.6	Microsoft Corporation					Sold	06/23/2020	\$15,000 or less
7.15	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares	\$1,000 or less	Gain	None	Cash Market			
7.15.1	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Purchased	06/05/2020	\$15,000 or less
7.15.2	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Sold	06/16/2020	\$15,000 or less
7.15.3	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Purchased	06/04/2020	\$15,000 or less
7.15.4	Norwegian Cruise Line Holdings Ltd. - Ordinary Shares					Sold	07/06/2020	\$15,000 or less
7.16	Annaly Capital	\$1,000 or less	Gain	None	Cash Market			
7.16.1	Annaly Capital					Purchased	02/06/2020	\$15,000 or less
7.16.2	Annaly Capital					Sold	05/06/2020	\$15,000 or less
7.16.3	Annaly Capital					Purchased	02/06/2020	\$15,000 or less
7.16.4	Annaly Capital					Sold	09/13/2020	\$15,000 or less
7.17	Nokia Corporation	None	None	None	Cash Market			
7.17.1	Nokia Corporation					Purchased	10/07/2020	\$15,000 or less
7.17.2	Nokia Corporation					Sold	09/30/2020	\$15,000 or less

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
7.18	Direxion Daily Gold Miners Inde	None	None	None	Cash Market			
7.18.1	Direxion Daily Gold Miners Inde					Purchased	08/19/2020	\$15,000 or less
7.18.2	Direxion Daily Gold Miners Inde					Sold	08/24/2020	\$15,000 or less
7.19	Nvidia Corp	\$2,501 - \$5,000	Gain	None	Cash Market			
7.19.1	Nvidia Corp					Purchased	06/18/2020	\$15,000 or less
7.19.2	Nvidia Corp					Sold	06/23/2020	\$15,000 or less
7.19.3	Nvidia Corp					Purchased	09/14/2020	\$15,000 or less
7.19.4	Nvidia Corp					Sold	09/25/2020	\$15,000 or less
7.19.5	Nvidia Corp					Purchased	02/21/2020	\$100,001 - \$250,000
7.19.6	Nvidia Corp					Sold	02/25/2020	\$50,001 - \$100,000
7.19.7	Nvidia Corp					Sold	04/07/2020	\$50,001 - \$100,000
7.20	Tesla Inc	\$1,000 or less	Gain	None	Cash Market			
7.20.1	Tesla Inc					Purchased	01/02/2020	\$15,000 or less
7.20.2	Tesla Inc					Sold	01/03/2020	\$15,000 or less
7.21	Under Armour Inc	\$1,000 or less	Gain	None	Cash Market			
7.21.1	Under Armour Inc					Purchased	02/07/2020	\$15,001 - \$50,000

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
7.21.2	Under Armour Inc					Sold	02/10/2020	\$15,000 or less
7.21.3	Under Armour Inc					Sold	02/12/2020	\$15,000 or less
7.22	United States Steel Corp	\$1,001 - \$2,500	Gain	None	Cash Market			
7.22.1	United States Steel Corp					Purchased	08/07/2020	\$15,000 or less
7.22.2	United States Steel Corp					Sold	08/18/2020	\$15,000 or less
7.22.3	United States Steel Corp					Purchased	08/25/2020	\$15,000 or less
7.22.4	United States Steel Corp					Sold	08/27/2020	\$15,000 or less
7.22.5	United States Steel Corp					Purchased	08/28/2020	\$15,000 or less
7.22.6	United States Steel Corp					Sold	09/04/2020	\$15,000 or less
7.22.7	United States Steel Corp					Purchased	10/27/2020	\$15,000 or less
7.22.8	United States Steel Corp					Sold	11/05/2020	\$15,000 or less
8	Trust	See Note						
9	Chase Bank-Aggregate	\$1,000 or less	Interest	\$100,001 - \$250,000	Cash Market			
10	Bank of America-Aggregate	\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			
11	San Diego County Credit Union-Aggregate	\$1,000 or less	Interest	\$100,001 - \$250,000	Cash Market			
12	Valic							

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
12.1	Valic-Fixed Account Plus	None	None	\$15,000 or less	Cash Market			
12.2	Valic-Midcap Strat	None	None	\$15,001 - \$50,000	Cash Market			
12.3	Valic-Small Cap Special Value-Evergreen/Putnam	None	None	\$15,001 - \$50,000	Cash Market			
12.4	AIG Valic-Mid Cap Fund (Wellington)	None	None	\$15,001 - \$50,000	Cash Market			
12.5	Valic International Small Cap Equity/AIG	None	None	\$5,000,001 - \$25,000,000	Cash Market			
13	California State Employee 401K-Savings Plus Mid Cap Fund	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
14	Citi Bank Aggregate	\$2,501 - \$5,000	Interest	\$250,001 - \$500,000	Cash Market			
15	Parcel 6- San Diego	\$15,001 - \$50,000	Rent	\$500,001 - \$1,000,000	Cash Market			
16	Pacific Premier Bancorp					Sold	01/17/2020	\$15,001 - \$50,000
17	Pacific Premier Bancorp					Purchased	04/21/2020	\$15,000 or less
18	Pacific Premier Bancorp					Purchased	04/23/2020	\$15,000 or less
19	Pacific Premier Bancorp					Purchased	05/04/2020	\$15,000 or less
20	Wells Fargo Bank checking	\$1,000 or less	Interest	\$15,000 or less	Cash Market			

Additional Information or Explanation

PART	#	NOTE
VII.	8	this trust was closed and assets were distributed to beneficiaries.