

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Creatura, J. Richard	2. Court or Organization District Court - Western District of Washington	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 1717 Pacific Ave. Tacoma, WA 98402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Manager (Family corporation)	JJC & LMC, L.L.C.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2010	Gordon Thomas Honeywell Malanca Peterson and Daheim, LLP retirement payments
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Columbia Bank Accounts (cash)	A	Interest	L	T					
3. IRA #1 (H)									
4. BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A. (cash)	A	Interest	L	T					
5. IShares Tips Bond ETF (TIP)	C	Dividend	N	T					
6. Vanguard Sm Cap Growth ETF (VBK)	A	Dividend	K	T					
7. Vanguard Sm Cap ETF (VB)	A	Dividend	K	T					
8. American Europacific Growth A. Mutual fund (AEPFX)	C	Dividend	L	T					
9. American Growth Fd of America Mutual Fund (GFFFX)	E	Dividend	N	T	Sold (part)	07/09/19	L	C	
10. Blackrock Equity Dividend A Common (MADVX)	E	Dividend	N	T	Sold (part)	07/09/19	K		
11. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	L	T	Buy	07/09/19	L		
12. First Eagle Overseas A (SGOIX)	B	Dividend	L	T					
13. Franklin Income Adv (FRIAX)	D	Dividend	M	T					
14. Invesco Opp Intl Bd Y (OIBYX)	A	Dividend	L	T	Buy	11/25/19	L		
15. JP Morgan Mid Cap Value A Common (JMVX)	D	Dividend	M	T					
16. Pimco Total Return A Mutual Fund (PTTPX)	D	Dividend	M	T					
17. Templeton Global Bd FD A Mutual Fund (TGBAX)	D	Dividend			Sold	11/25/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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18. Thornburg Inv Inc Builder A Mutual fund (TIBIX)	D	Dividend	M	T					
19. Virtus Multi-Sector S/T Bd A Common (PIMSX)	D	Dividend	N	T					
20. IRA #2 (H)									
21. Blackstone REIT		None	M	T	Buy	11/26/19	M		
22. Vanguard Sm Cap Growth ETF (VBK)	A	Dividend			Sold	11/05/19	J	C	
23. American Europacific Growth A. Mutual fund (AEPFX)	A	Dividend			Sold	11/05/19	J	B	
24. Blackrock Equity Dividend A Common (MADVX)	B	Dividend			Sold	11/05/19	K	C	
25. Delaware Small Cap Value A Mutual Fund (DEVIX)		None			Sold	11/05/19	J	B	
26. DWS Real Est Secs (RRREX)	A	Dividend			Sold	11/05/19	J	A	
27. First Eagle Overseas A (SGOIX)		None			Sold	11/05/19	J	B	
28. JP Morgan Mid Cap Value A Common (JMV SX)		None			Sold	11/05/19	K	C	
29. T Rowe Price Growth Stock (PRGFX)		None			Sold	11/05/19	K	D	
30. Thornburg Inv Inc Builder A Mutual fund (TIBIX)	A	Dividend			Sold	11/05/19	J	A	
31. IRA #3 (H)									
32. Blackstone REIT		None	L	T	Buy	11/26/19	L		
33. SPDR Gold Tr Gold Shs (GLD)		None			Buy	07/09/19	J		
34.					Sold	11/05/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Vanguard Sm Cap Growth ETF (VBK)	A	Dividend			Sold	11/05/19	J	B	
36. American Europacific Growth A. Mutual fund (AEPFX)	A	Dividend			Sold	11/05/19	J	A	
37. Blackrock Equity Dividend A Common (MADVX)	B	Dividend			Sold	11/05/19	J	B	
38. Calamos Market Neutral Inc (CMNIX)	A	Dividend			Buy	07/09/19	J		
39.					Sold	11/05/19	J	A	
40. Delaware Small Cap Value A Mutual Fund (DEVIX)		None			Sold	11/05/19	J	B	
41. DWS Real Est Secs (RRREX)	A	Dividend			Sold	11/05/19	J	A	
42. First Eagle Overseas A (SGOIX)		None			Sold	11/05/19	J	B	
43. JP Morgan Mid Cap Value A Common (JMV SX)		None			Sold	11/05/19	J	B	
44. T Rowe Price Growth Stock (PRGFX)		None			Sold (part)	07/09/19	J	C	
45.					Sold	11/05/19	J	C	
46. Thornburg Inv Inc Builder A Mutual fund (TIBIX)	A	Dividend			Sold	11/06/19	J		
47. IRA #4 (H)									
48. IShares Tips Bond ETF (TIP)	A	Dividend			Sold	07/10/19	J	A	
49. SPDR Gold TR Gold SHS (GLD)		None	J	T	Buy	07/10/19	J		
50. Vanguard Sm Cap ETF (VB)	A	Dividend	J	T					
51. American Europacific Growth A. Mutual fund (AEPFX)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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52. American Growth Fd of America Mutual Fund (GFFFX)	B	Dividend	J	T					
53. Blackrock High Eq Inc (BMCSX)	A	Dividend	J	T					
54. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	J	T	Buy	07/10/19	J		
55. Diamond Hill Large Cap I (DHLRX)	A	Dividend	J	T					
56. Invesco Opp Intl Bd Y (OIBYX)	A	Dividend	J	T	Buy	11/25/19	J		
57. PGIM SHORT TRM CORP BD A (PIFZX)	A	Dividend	J	T					
58. Pimco Total Return A Mutual Fund (PTTPX)	A	Dividend	J	T					
59. Templeton Global Bd FD A (TGBAX)	A	Dividend			Sold	11/25/19	J		
60. Thornburg Intl Value I (TGVIX)	A	Dividend	J	T					
61. Thornburg Inv Inc Builder A Mutual fund (TIBIX)	A	Dividend	J	T					
62. Voya Global Real Estate A (IGLAX)	A	Dividend	J	T					
63. BROKERAGE #1 (H)									
64. BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A. (cash)	A	Interest	J	T					
65. American Europacific Growth A. Mutual fund (AEPGX)	A	Dividend	J	T					
66. American Smallcap World A (SMCWX)	A	Dividend	J	T					
67. American Washington Mutual A Common (AWSHX)	B	Dividend	K	T					
68. Putnam Equity Income A (PEYAX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. BROKERAGE #2 (H)									
70. American Europacific Growth A. Mutual Fund (AEPGX)	A	Dividend	K	T					
71. American Smallcap World A (SMCWX)	A	Dividend	J	T					
72. American Washington Mutual A Common (AWSHX)	C	Dividend	K	T					
73. Putnam Equity Income A (PEYAX)	B	Dividend	K	T					
74. BROKERAGE #3 (H)									
75. BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A. (cash)	A	Interest	L	T					
76. American AmCAP A Common (AMCPX)	D	Dividend	M	T					
77. Invesco Equal Wghtd S&P 500 Mutual Fund (VADAX)	D	Dividend	M	T					
78. Invesco Equity and Income A (ACEIX)	C	Dividend	K	T					
79. Invesco Global Healthcare A Mutual Fund (GGHCX)	D	Dividend	M	T	Sold (part)	04/16/19	J		
80. Invesco VK Technology A Mutual Fund (ITYAX)		None			Sold	04/16/19	J	A	
81. MS Multi Cap Growth TR A (CPOAX)	D	Dividend	L	T					
82. MSIF Growth A (MSEGX)		None	K	T					
83. BROKERAGE #4 (H)									
84. BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A. (cash)	A	Interest	J	T					
85. SPDR Gold TR Gold SHS (GLD)		None	J	T	Buy	07/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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86. IShares Tips Bond ETF (TIP)	A	Dividend			Sold	07/10/19	K	A	
87. Vanguard Real Estate ETF (VNQ)	A	Dividend	K	T					
88. Vanguard Sm Cap Growth ETF (VBK)	A	Dividend	K	T					
89. Vanguard Sm Cap Value ETF (VB)	A	Dividend	K	T					
90. Vanguard Value ETF Index (VTV)	B	Dividend	L	T					
91. American Balanced F2 (AMBFX)	B	Dividend	K	T					
92. American Europacific Growth A. Mutual fund (AEPFX)	A	Dividend	K	T					
93. American Growth Fd of America Mutual Fund (GFFFX)	C	Dividend	L	T					
94. BNY Mellon GLB Fixed Inc I (SDGIX)	A	Dividend	K	T					
95. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	K	T	Buy	07/10/19	J		
96. First Eagle Overseas A (SGOIX)	B	Dividend	K	T					
97. JP Morgan Mid Cap Value A Common (JMVSX)	B	Dividend	L	T					
98. Virtus Multi-Sector S/T Bd A Common (PIMSX)	B	Dividend	K	T					
99. BROKERAGE #5 (H)									
100. BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A. (cash)	A	Interest	M	T					
101. Capitol One Bank, N.A. (cash)	B	Interest	M	T	Redeemed (part)	12/30/19	L		
102. MSILF GOVT PTF INST (MVRXX)	C	Dividend	L	T	Buy	01/02/19	L		

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103.					Sold (part)	05/13/19	J		
104.					Sold (part)	05/24/19	J		
105.					Sold (part)	07/01/19	L		
106.					Sold (part)	10/29/19	K		
107. BROKERAGE #6 (H)									
108. ISHARES CORE AGGRESSIVE ALLO (AOA)	A	Dividend			Sold	06/27/19	J	A	
109. Invesco Equal Wghtd S&P 500 Mutual Fund (VADAX)	C	Int./Div.	L	T	Sold (part)	06/27/19	J	A	
110. JJC & LMC, LLP (H)									
111. BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A. (cash)	A	Interest	K	T					
112. IShares Tips Bond ETF (TIP)	A	Dividend			Sold	07/10/19	L	B	
113. SPDR Gold TR Gold SHS (GLD)		None	K	T	Buy	07/10/19	K		
114. Vanguard FTSE Developed Mkts ETF (VEA)		None	L	T					
115. Vanguard Sm Cap ETF (VB)	C	Dividend	L	T					
116. Vanguard Value ETF Index (VTV)	A	Dividend	L	T					
117. American Balanced F2 (AMBFX)	C	Dividend	M	T					
118. American Europacific Growth A. Mutual fund (AEPFX)	C	Dividend	M	T					
119. BNY Mellon GLB Fixed Inc I (SDGIX)	B	Dividend	L	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	K	T	Buy	07/10/19	K		
121. Fidelity Adv New Insights I (FINSX)	D	Dividend	M	T					
122. Lord Abbett Sht Duration Inc F (LDLFX)	C	Dividend	L	T					
123. Metropolitan West TOT RET BD (MWTIX)	C	Dividend	L	T					
124. Thornburg Inv Inc Builder A Mutual fund (TIBIX)	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Creatura, J. Richard

Date of Report

05/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

BNY Mellon GLB Fixed Inc I (SDGIX) was Dreyfus Standish GLB Fix Inc. (SDGIX).

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Creatura, J. Richard

Date of Report

05/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Richard Creatura**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544