

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Owens, Karen B.	2. Court or Organization United States Bankruptcy Court, District of Delaware	3. Date of Report 4/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Bankruptcy Court, District of Delaware 824 N. Market Street, 6th Floor Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Ashby & Geddes, P.A. (former law firm)
2. Attorney Volunteer	Delaware Volunteer Legal Services
3. Member	Civics Committee, Federal Bar Association (Delaware Chapter)
4. NexGen Liason	Education Committee, National Conference of Bankruptcy Judges
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Ashby & Geddes, P.A. (former law firm); Earnings/distributions	\$91,666.74
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	TAMKO Building Products LLC; Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Delaware Bankruptcy American Inn of Court	2019-2020 Honorary Membership (dues, like privileges)	\$395.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Capital One Cash Account	B	Interest	M	T					
2. Wells Fargo Cash Account	A	Interest	M	T					
3. BOA HSA Cash Account	A	Interest	J	T					
4. Vanguard IRA #1 (H)									
5. -Vanguard LifeStrategy Growth Fund Investor Shares	B	Dividend	L	T					
6. Vanguard IRA #2 (H)									
7. -Vanguard Target Retirement 2045 Fund	B	Dividend	L	T					
8. Vanguard Brokerage Account #1 (H)									
9. -Vanguard LifeStrategy Moderate Growth Fund Investor Shares	C	Dividend	M	T					
10. Vanguard Brokerage Account #2 (H)									
11. -Vanguard Intermediate-Term Bond Index Fund Admiral Shares	A	Dividend	K	T	Buy	04/25/19	K		
12.					Sold (part)	05/01/19	J		
13.					Sold (part)	05/08/19	J		
14.					Sold (part)	05/24/19	J		
15.					Sold (part)	06/07/19	J		
16.					Sold (part)	07/05/19	J		
17.					Sold (part)	08/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18.					Sold (part)	09/04/19	J		
19.					Sold (part)	10/07/19	J		
20.					Sold (part)	11/04/19	J		
21.					Sold (part)	12/10/19	J		
22. -Vanguard Money Market Fund	A	Dividend	J	T					
23. Vanguard Individual 529 College Savings Account (H)									
24. -Vanguard Moderate Age-Based Option: Vanguard 80% Stock 20% Bond Port		None	M	T	Buy	03/04/19	L		
25.					Buy (add'l)	05/06/19	J		
26.					Buy (add'l)	05/13/19	J		
27.					Buy (add'l)	06/03/19	J		
28.					Buy (add'l)	06/13/19	J		
29.					Buy (add'l)	07/11/19	J		
30.					Buy (add'l)	08/07/19	J		
31.					Buy (add'l)	09/10/19	J		
32.					Buy (add'l)	10/11/19	J		
33.					Buy (add'l)	11/12/19	J		
34.					Buy (add'l)	12/17/19	J		

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35. -Vanguard Moderate Age-Based Option: Vanguard 90% Stock 10% Bond		None			Buy (add'l)	01/17/19	J		
36.					Sold	03/04/19	L		
37. Ashby & Geddes Retirement Plan (H)									
38. -Vanguard Institutional Target Retirement 2045 Fund	D	Dividend	N	T	Buy (add'l)	01/14/19	J		
39.					Buy (add'l)	01/29/19	J		
40.					Buy (add'l)	02/13/19	J		
41.					Buy (add'l)	02/26/19	J		
42.					Buy (add'l)	03/14/19	J		
43.					Buy (add'l)	03/27/19	J		
44.					Buy (add'l)	04/12/19	J		
45.					Buy (add'l)	04/29/19	J		
46.					Buy (add'l)	05/14/19	J		
47.					Buy (add'l)	05/29/19	J		
48.					Buy (add'l)	06/13/19	J		
49. Wells Fargo Retirement Plan (H)									
50. -Vanguard Institutional Target Retirement 2045 Fund	B	Dividend	L	T	Buy (add'l)	01/15/19	J		
51.					Buy (add'l)	02/01/19	J		

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52.					Buy (add'l)	02/15/19	J		
53.					Buy (add'l)	02/28/19	J		
54.					Buy (add'l)	03/15/19	J		
55.					Buy (add'l)	03/29/19	J		
56.					Buy (add'l)	04/15/19	J		
57.					Buy (add'l)	04/30/19	J		
58.					Buy (add'l)	05/15/19	J		
59.					Buy (add'l)	05/31/19	J		
60.					Buy (add'l)	06/14/19	J		
61.					Buy (add'l)	06/28/19	J		
62.					Buy (add'l)	07/15/19	J		
63.					Buy (add'l)	07/31/19	J		
64.					Buy (add'l)	08/15/19	J		
65.					Buy (add'l)	08/30/19	J		
66.					Buy (add'l)	09/13/19	J		
67. TAMKO Profit Sharing Retirement Plan (H)									
68. -Vanguard Institutional Target Retirement 2045 Fund	A	Dividend	K	T	Buy (add'l)	03/22/19	J		

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69. Ameriprise Strategic Portfolio Service Advantage IRA (H)									
70. -Blackrock Event Driven Equity Fund (BILPX)	A	Dividend	J	T	Buy	06/14/19	J		
71. -Blackrock Mid Cap Growth Equity Portfolio Institutional (CMGIX)	A	Dividend	K	T	Buy	06/14/19	K		
72. -Federated Kaufmann Small Cap Fund Institution (FKAIX)	A	Dividend	J	T	Buy	06/14/19	J		
73. -Columbia Dividend Income Fund Institutional Class (GSFTX)	B	Dividend	L	T	Buy	06/14/19	L		
74. -John Hancock Funds II Emerging Markets Fund Class 1 (JEVIX)	A	Dividend	J	T	Buy	06/14/19	J		
75. -MFS Intl. Growth Fund Class 1 (MQGIX)	A	Dividend	K	T	Buy	06/14/19	K		
76. -MFS New Discovery Value Fund Class 1 (NDVIX)	A	Dividend	K	T	Buy	06/14/19	J		
77. -ClearBridge Large Cap Growth Fund Class 1 (SBLYX)	C	Dividend	L	T	Buy	06/14/19	L		
78. -Wells Fargo Special Mid Cap Value Fund (WFMIX)	B	Dividend	K	T	Buy	06/14/19	K		
79. Ameriprise Insured Money Market Cash Account (AIMMA) (H)									
80. -Morgan Stanley	A	Interest	J	T					
81. Ameriprise Brokerage Account (all sold in April or June 2019) (H)									
82. -Apple Inc. (APPL) common stock	A	Dividend			Sold	06/14/19	J		
83. -Adobe Systems Inc. (ADBE) common stock		None			Sold	06/14/19	J	A	
84. -Allegion Public Ltd (ALLE) common stock	A	Dividend			Buy	04/09/19	J		
85.					Sold	06/14/19	J	A	

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86. -Applied Materials Inc. (AMAT) common stock	A	Dividend			Sold (part)	04/09/19	J	A	
87.					Sold	06/14/19	J	A	
88. -Ametek Inc. (AME) common stock	A	Dividend			Sold	06/14/19	J	A	
89. -Artisan Partners Asset Mgmt. Inc. (APAM) common stock	A	Dividend			Buy	04/09/19	J		
90.					Sold	06/14/19	J		
91. -Credicorp Ltd. (BAP) common stock	A	Dividend			Buy	04/09/19	J		
92.					Sold	06/14/19	J		
93. -Brown Forman Corp. (BF/B) common stock	A	Dividend			Sold	06/14/19	J	A	
94. -Biogen Inc. (BIIB) common stock		None			Sold	04/09/19	J		
95. -Bank of Hawaii Corp. (BOH) common stock	A	Dividend			Buy	04/09/19	J		
96.					Sold (part)	06/14/19	J	A	
97. -Credit Acceptance Crp. MI (CACC) common stock		None			Sold	06/14/19	J	A	
98. -Celanese Corp. (CE) common stock	A	Dividend			Sold	04/09/19	J		
99. -Church & Dwight Company Inc. (CHD) common stock	A	Dividend			Sold	04/09/19	J	A	
100. -Check Point Software Tech. (CHKP) common stock		None			Sold	06/14/19	J		
101. -Comcast (CMCSA) common stock	A	Dividend			Sold	04/09/19	J	A	
102. -Canadian National Railway Co. (CNI) common stock	A	Dividend			Sold	06/14/19	J	A	

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103. -Canadian Pacific Railway Ltd. (CP) common stock	A	Dividend			Sold	06/14/19	J	A	
104. -Copart Inc. (CPRT) common stock		None			Sold	06/14/19	J	A	
105. -CSX Corp. (CSX) common stock	A	Dividend			Buy	04/09/19	J		
106.					Sold (part)	06/14/19	J	A	
107. -Walt Disney Co. (DIS) common stock	A	Dividend			Sold	04/09/19	J	A	
108. -Electronic Arts Inc. (EA) common stock		None			Buy	04/09/19	J		
109.					Sold	06/14/19	J		
110. -EOG Resources Inc. (EOG) common stock	A	Dividend			Buy	04/09/19	J		
111.					Sold	06/14/19	J		
112. -Edwards Lifesciences (EW) common stock		None			Sold	04/09/19	J	B	
113. -Eagle Materials (EXP) common stock	A	Dividend			Sold	04/09/19	J		
114. -Fastenal Co. (FAST) common stock	A	Dividend			Buy	04/09/19	J		
115.					Sold	06/14/19	J		
116. -Facebook, Inc. (FB) common stock		None			Sold	06/14/19	J		
117. -Factset Research Systems Inc. (FDS) common stock	A	Dividend			Sold	06/14/19	J	B	
118. -F5 Networks Inc. (FFIV) common stock		None			Sold	06/14/19	J		
119. -Fiserv Inc. (FISV) common stock		None			Sold	06/14/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Owens, Karen B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Fleetcor Technologies Inc. (FLT) common stock		None			Sold (part)	04/09/19	J	A	
121.					Sold	06/14/19	J	A	
122. -Fox Corp. (FOXA) common stock	A	Dividend			Buy	04/09/19	J		
123.					Sold	06/14/19	J		
124. -Graco Inc. (GGG) common stock	A	Dividend			Buy	04/09/19	J		
125.					Sold	06/14/19	J		
126. -Gentex Corp. (GNTX) common stock	A	Dividend			Sold	06/14/19	J		
127. -Grifols (GRFS) common stock		None			Sold	04/09/19	J		
128. -Garmin Ltd. (GRMN) common stock	A	Dividend			Sold (part)	04/09/19	J	A	
129.					Sold	06/14/19	J	A	
130. -Idex Corp. (IEX) common stock	A	Dividend			Sold	06/14/19	J	A	
131. -Illumina Inc. (ILMN) common stock		None			Sold	06/14/19	J		
132. -Infosys. Ltd. (INFY) common stock	A	Dividend			Sold	06/14/19	J	A	
133. -Intuit Inc. (INTU) common stock		None			Buy	04/09/19	J		
134.					Sold	06/14/19	J		
135. -IPG Photonics Corp. (IPGP) common stock		None			Sold	04/09/19	J	A	
136. -Illinois Tool Works Inc. (ITW) common stock		None			Buy	04/09/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	06/14/19	J		
138. -Jack Henry & Assoc. Inc. (JKHY) common stock	A	Dividend			Sold	06/14/19	J		
139. -KLA Tencor Corp. (KLAC) common stock	A	Dividend			Sold (part)	04/09/19	J	A	
140.					Sold	06/14/19	J	A	
141. -LAM Research Corp. (LRCX) common stock	A	Dividend			Sold (part)	04/09/19	J	A	
142.					Sold	06/14/19	J	A	
143. -Southwest Airlines Co. (LUV) common stock	A	Dividend			Sold	04/09/19	J		
144. -Mastercard Inc. (MA) common stock	A	Dividend			Sold	06/14/19	J	A	
145. -Marriott Intl. Inc. (MAR) common stock	A	Dividend			Sold	06/14/19	J	A	
146. -McCormick & Co. (MKC) common stock	A	Dividend			Sold	06/14/19	J	A	
147. -MKS Instruments Inc. (MKSI) common stock	A	Dividend			Buy	04/09/19	J		
148.					Sold	06/14/19	J		
149. -3M Co. (MMM) common stock	A	Dividend			Buy	04/09/19	J		
150.					Sold	06/14/19	J		
151. -Monster Bev. Corp. (MNST) common stock		None			Sold	06/14/19	J	A	
152. -Altria Group Inc. (MO) common stock	A	Dividend			Sold	06/14/19	J		
153. -MSCI Inc. (MSCI) common stock	A	Dividend			Sold (part)	04/09/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold	06/14/19	J	A	
155. -Microsoft Corp. (MSFT) common stock	A	Dividend			Sold	06/14/19	J	A	
156. -Mettler Toledo Intl. Inc. (MTD) common stock		None			Buy	04/09/19	J		
157.					Sold	06/14/19	J	A	
158. -Vail Resorts Inc. (MTN) common stock	A	Dividend			Sold	04/09/19	J		
159. -Maxim Integrated Products Inc. (MXIM) common stock	A	Dividend			Sold (part)	06/14/19	J		
160. -Nordson Corp. (NDSN) common stock	A	Dividend			Sold	04/09/19	J		
161. -Norfolk Southern Corp. (NSC) common stock	A	Dividend			Buy	04/09/19	J		
162.					Sold	06/14/19	J	A	
163. -NVIDIA Corp. (NVDA) common stock	A	Dividend			Sold	06/14/19	J		
164. -Novo Nordisk (NVO) common stock	A	Dividend			Sold	04/09/19	J	A	
165. -Old Dominion Freight Lines Inc. (ODFL) common stock	A	Dividend			Sold	04/09/19	J		
166. -Paychex Inc. (PAYX) common stock	A	Dividend			Sold	06/14/19	J	A	
167. -Proctor & Gamble Co. (PG) common stock	A	Dividend			Buy	04/09/19	J		
168.					Sold	06/14/19	J	A	
169. -Resmed Inc. (RMD) common stock	A	Dividend			Buy	04/09/19	J		
170.					Sold	06/14/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -SAP (SAP) common stock	A	Dividend			Buy	04/09/19	J		
172.					Sold	06/14/19	J	A	
173. -Starbucks Corp. (SBUX) common stock	A	Dividend			Sold	04/09/19	J	B	
174. -SEI Investments Co. (SEIC) common stock	A	Dividend			Sold	06/14/19	J		
175. -SNAP (SNA) common stock	A	Dividend			Buy	04/09/19	J		
176.					Sold	06/14/19	J	A	
177. -S&P Global (SPGI) common stock	A	Dividend			Sold	06/14/19	J	A	
178. -Sociedad Quimica (SQM) common stock	A	Dividend			Buy	04/09/19	J		
179.					Sold	06/14/19	J		
180. -Constellation Brands Inc. (STZ) common stock	A	Dividend			Sold	04/09/19	J		
181. -Skyworks Solutions Inc. (SWKS) common stock	A	Dividend			Sold	06/14/19	J		
182. -Price T Rowe Group Inc. (TROW) common stock	A	Dividend			Sold	06/14/19	J		
183. -Texas Instruments Inc. (TXN) common stock	A	Dividend			Sold	06/14/19	J	A	
184. -Ubiquiti Networks Inc. (UBNT) common stock	A	Dividend			Sold (part)	04/09/19	J	B	
185.					Sold	06/14/19	J	A	
186. -Unilever PLC (UL) common stock	A	Dividend			Buy	04/09/19	J		
187.					Sold	06/14/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

188. -Unilever (UN) common stock	A	Dividend			Buy	04/09/19	J		
189.					Sold	06/14/19	J	A	
190. -Union Pacific Corp. (UNP) common stock	A	Dividend			Sold	06/14/19	J	A	
191. -Visa (V) common stock	A	Dividend			Sold	06/14/19	J	A	
192. -Veeva Sys Inc. (VEEV) common stock		None			Buy (add'l)	04/09/19	J		
193.					Sold	06/14/19	J	B	
194. -Verisk Analytics Inc. (VRSK) common stock	A	Dividend			Sold	06/14/19	J	A	
195. -Xilinx Inc. (XLNX) common stock	A	Dividend			Sold (part)	04/09/19	J	B	
196.					Sold	06/14/19	J	A	
197. -Zoetis Inc. (ZTS) common stock	A	Dividend			Buy	04/09/19	J		
198.					Sold	06/14/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The UMB HSA Cash Account formerly listed on line 3 of my prior report (Initial Report) has been removed as it was closed in 2018 and, as such, it falls beyond the reporting time period covered by this report.

The shares associated with the Ameriprise Brokerage Account (now beginning on line 81) formerly listed on lines 42 (AMG), 49 (CBOE), 51 (CERN), 68 (FII), 76 (IDCC), 83 (JBLUE), 90 (MASI), 106 (ORCL), 112 (SEE), 115 (SNN), and 129 (WYND) of my prior report (Initial Report) have been removed as they were sold in 2018 and, as such, they fall beyond the reporting time period covered by this report.

All shares associated with the Ameriprise Brokerage Account beginning on line 81 were sold in 2019. A full disposition of the Bank of Hawaii (BOH), CSX Corp. (CSX), and Maxim Integrated (MXIM) shares occurred on 6/19/19 but such transactions were below the reporting threshold.

The Lincoln Retirement 401(k) formerly listed on lines 132-134 of my prior report (Initial Report) has been removed as it was sold and rolled over to a new IRA account in 2018 and, as such, it falls beyond the reporting time period covered by this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen B. Owens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544