

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Robart, James L.	2. Court or Organization U.S. Dist Court, W.D. of WA	3. Date of Report 04/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Judge (senior status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 700 Stewart Street, 14th Floor Seattle, WA 98101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee Emeritus	Whitman College
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Paintings sold for cash.
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Southern California	March 18-19, 2019	Los Angeles, California	2019 Intellectual Property Institute	Airfare, Lodging, Food, Transportation, and Parking
2. Sedona Conference	March 27-29, 2019	Philadelphia, Pennsylvania	WG9/WG10 Patent Annual Meeting	Airfare, Lodging, Food, Transportation, and Parking
3. Ludwig Maximilian University	April 3-6, 2019	Munich, Germany	Injunctions & Fleibility in Patent Law	Airfare, Lodging, Food, Transportation, and Parking
4. Rikkyo University, Chiba University, & Japan American Society	June 17-24, 2019	Tokyo and Kyoto, Japan	Presidential Power and the Rule of Law	Airfare, Lodging, Food, Transportation, and Parking
5. National Judicial College	August 9, 2019	San Francisco, California	Judicial Independence Roundtable	Airfare, Lodging, Food, Transportation, and Parking

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6.	E.D. of Texas Bar Association	September 22-25, 2019	Plano, Texas	2019 E.D. of Texas Bench/Bar Conference	Airfare, Lodging, Food, Transportation, and Parking
7.	Tarleton State University	October 17-18, 2019	Fort Worth, Texas	Police Consent decree Conference	Airfare, Lodging, Food, Transportation, and Parking
8.	California Judges Association	November 6, 2019	Los Angeles, California	Preserving Free Courts	Airfare, Lodging, Transportation, and Parking
9.					
10.					
11.					
12.					
13.					
14.					
15.					
16.					
17.					
18.					
19.					
20.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Bank of America	Credit Card	J
2. Bank of America	Credit Card	J
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank	A	Interest	K	T					
2. Key Bank	A	Interest	J	T					
3. Oak Harbor, WA Island Cnty Rental Property (2019 - \$214,363)	B	Rent	M	S					
4. Bank of Washington Common Stock		None			Sold	03/26/19	K	E	
5. BROKERAGE ACCOUNT #1									
6. -- Starbucks Common Stock	E	Dividend	P1	T					
7. -- Schwab Money Fund	A	Interest	O	T					
8. -- MSCI Em. Mkts I-Shares	E	Dividend	O	T					
9. -- MSCI EAFE Index Fund	D	Dividend	N	T					
10. -- S&P 600 I-Shares	E	Dividend	P1	T					
11. -- Vanguard REIT Fund	E	Dividend	O	T					
12. -- S&P 500 IShares	E	Dividend	P1	T					
13. -- Boston Priv. Fin. Hldg.	C	Dividend	L	T					
14. Chevron Common Stock	D	Dividend	N	T					
15. BROKERAGE IRA #1									
16. -- MSCI EAFE Index I-Shares	E	Dividend	P1	T					
17. -- S&P Small Cap 600 IShares	E	Dividend	P1	T	Sold (part)	12/09/19	O	G	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -- S&P 500 I-Shares	E	Dividend	O	T					
19. -- Schwab Money Fund	E	Interest	O	T	Donated (part)				
20. -- U.S. TIPS	B	Interest	O	T	Matured (part)	04/15/19	M		
21.		None			Buy (add'l)	04/18/19	M		
22. -- Vanguard REIT	E	Dividend	O	T					
23. -- Emrg. Mkt. I-Share	D	Dividend	N	T					
24. -- Bank of America Notes	D	Interest	M	T					
25. -- J.P. Morgan Chase	D	Interest	L	T					
26. -- Amercan Express Bank CD	B	Interest	M	T					
27. -- Amgen Notes	C	Interest	M	T					
28. -- BP Capt. Mks.	C	Interest	M	T					
29. -- General Electric Note	D	Interest	M	T					
30. -- Toyota Auto Receiv.	C	Interest	M	T					
31. --Verizon Comm. Note	C	Interest	M	T					
32. -- Merck Bond	C	Interest	M	T					
33. -- Franklin Resources Note	C	Interest	M	T					
34. -- Morgan Stanley C.D.	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	C	Interest	M	T	Buy (add'l)	01/30/19	M		
36. -- Bank Leumi C.D.	B	Interest			Matured	01/31/19	M		
37. -- Safra Bank C.D.	C	Interest	M	T					
38. -- Grand Savings Bank C.D.	C	Interest			Matured	01/31/19	M		
39. -- Bank of Hapoalim C.D.	C	Interest	M	T					
40. -- Bank of India C.D.	C	Interest			Matured	02/14/19	M		
41. -- Bank United C.D.	C	Interest			Matured	01/31/19	M		
42. -- Comenity Capital Bank C.D.	A	Interest	M	T					
43. -- Royal Bank CDA notes	B	Interest	M	T					
44. --U.S. Tresury Bills	B	Interest	N	T	Buy	04/02/19	N		
45. --Wells Fargo	C	Interest	M	T	Buy	07/08/19	M		
46. --Cathy General	C	Interest	M	T	Buy	01/30/19	M		
47. --Citibank C.O.	C	Interest	M	T	Buy	01/02/19	M		
48. BROKERAGE IRA #2									
49. -- Janus Balanced Mut. Fund	B	Dividend	K	T					
50. -- T.R. Price New Asia Mut. Fund	B	Dividend	K	T					
51. -- Schwab Money Fund	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -- MSCI EAFE Index Fund	B	Dividend	L	T					
53. -- S&P 500 I-Shares	C	Dividend	N	T					
54. -- MSCI Em. Mkts I Share	C	Dividend	L	T					
55. -- S&P Small Cap 600 I Shares	C	Dividend	M	T					
56. -- IBM	A	Dividend	J	T					
57. -- Vanguard REIT	B	Dividend	L	T					
58. Vanguard Intl. Tax Managed Fund	E	Dividend	P1	T					
59. 7MB Tech. Corp.		None	K	T					
60. Builders Capital Part.	E	Dividend	N	T					
61. Boeing Employees Credit Union	A	Interest	J	T					

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(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James L. Robart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544