

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nichols, Carl J.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 10/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
5b. ☒ Amended Report		
7. Chambers or Office Address 333 Constitution Ave., NW Washington DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Wilmer Cutler Pickering Hale & Dorr LLP ("WilmerHale")
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010	WilmerHale Defined Benefit Plan; I received a lump-sum distribution from this defined benefit plan after my departure from the firm
2. 2010	WilmerHale Capital Account; I received my contributed capital, together with accrued interest, at the time of my departure from the firm
3. 2010	WilmerHale Partnership Share; I received payments representing my partnership shares at the time of my departure

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Nichols, Carl J.

Date of Report

10/15/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	WilmerHale Partnership Income (earned for tax year 2019)	\$494,257.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Ashoka (Employee)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Nichols, Carl J.	10/15/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2. Bank of America	Mortgage on Rental Property	O
3. Citibank	Loan for WilmerHale Capital Account	K
4. Citibank	Loan for WilmerHale Capital Account	K
5. Citibank	Loan for WilmerHale Capital Account	M

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. 4511 Q Place NW, DC 20007 (Rental) [Appraised 5/15/18]	F	Rent	P1	Q					
2. Citibank Checking Account	A	Interest	L	T					
3. Citibank Savings Account	D	Interest	N	T					
4. Bank of America Checking Account		None	J	T					
5. Merrill Lynch Money Market Account	A	Interest	L	T					
6. AXA Equitable Large Cap Value Volatility		None	K	T					
7. AXA Equitable International Core Volatility		None	K	T					
8. AXA Equitable Large Cap Growth		None	K	T					
9. AXA Equitable Moderate Allocation		None	K	T					
10. AXA Equitable 2000 Managed Volatility		None	K	T					
11. ACAP Strategic Fund	D	Dividend	N	T					
12. Altegris KKR Commitments Fund	D	Dividend	M	T					
13. CPG Carlyle Commitments Fund	E	Dividend	M	T					
14. Partners Group Private Equity (Master Fund)	E	Dividend	N	T					
15. PMF TEI Fund	B	Dividend	K	T					
16. Owl Rock Fund	B	Dividend	N	T					
17. WilmerHale Capital Account	E	Interest			Redeemed	06/01/19	P1		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Nichols, Carl J.

Date of Report

10/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. WilmerHale Defined Benefit Plan		None	N	T					
19. Templeton Global Bond Fund	C	Interest	J	T					
20. Lord Abbett Short Duration Income Fund	A	Dividend	J	T					
21. Eaton Vance Floating Rate Fund	A	Dividend	J	T					
22. Oppenheimer Developing Markets Fund	A	Dividend	J	T					
23. American New Perspective	A	Dividend	K	T					
24. MFS Value Fund	A	Dividend	L	T					
25. Blackrock Age 18 Fund		None	L	T					
26. Franklin Templeton Age 18 Fund		None	M	T					
27. MFS Age 18 Years Fund		None	L	T					
28. Blackrock Age 16 Fund		None	L	T					
29. Franklin Templeton Age 16 Fund		None	M	T					
30. MFS Age 16 Fund		None	L	T					
31. Blackrock Age 8-11 Years Fund		None	K	T					
32. Franklin Templeton Age 8-11 Years Fund		None	K	T					
33. MFS Age 8-11 Years Fund		None	K	T					
34. MainStay MK HY Corp Bond I	A	Dividend			Sold	09/12/19	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Nichols, Carl J.

Date of Report

10/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Franklin Emerg Mrkt Debt Opp	A	Dividend			Sold	09/12/19	K	D	
36. Dodge & Cox Income Fund	B	Dividend			Sold	09/12/19	L	E	
37. Harbor Large Cap Value Inst	A	Dividend			Sold	09/12/19	L	E	
38. MFS Value Fund R3	A	Dividend			Sold	09/12/19	L	E	
39. BlackRock Equ Inv Non-Lend M	A	Dividend			Sold	09/12/19	L	E	
40. Loomis Sayles Growth Fund N	A	Dividend			Sold	09/12/19	L	E	
41. T Rowe Price Real Estate Fund	A	Dividend			Sold	09/12/19	K	D	
42. Acadian Emerging Mtk's P't Inst	A	Dividend			Sold	09/12/19	K	D	
43. Oakmark International Inv	A	Dividend			Sold	09/12/19	K	D	
44. TIAA CRE Intl Equity Idx Inst	B	Dividend			Sold	09/12/19	K	D	
45. Altria Group Inc. (MO)	A	Dividend			Sold	01/03/19	J	A	
46. Amgen Inc. Common (AMGN)	A	Dividend			Sold	07/26/19	J	A	
47. American Elec. Power Co. (AEP)	A	Dividend			Sold	09/26/19	J	B	
48. Astrazeneca PLC Spnd ADR (AZP)		None			Sold	01/22/19	J	A	
49. AT&T Inc. (T)	A	Dividend			Sold	03/21/19	J	A	
50. BB&T Corp (BBT)		None			Sold	01/10/19	J	A	
51. Boeing Company (BA)	A	Dividend			Sold	03/21/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. C.H. Robinson Worldwide (CHRW)		None			Sold	01/03/19	J	A	
53. Carnival Corp Paired SHS (CCL)	A	Dividend			Sold	05/02/19	J	A	
54. Cisco Systems Inc. Common (CSCO)	A	Dividend			Sold	05/02/19	J	B	
55. CME Group Inc. (CME)		None			Sold	01/09/19	J	B	
56. Coca Cola Common (KO)		None			Sold	01/09/19	J	A	
57. Digital Rlty Tr Inc. (DLR)		None			Sold	01/22/19	J	A	
58. Emerson Elec Co (EMR)	A	Dividend			Sold	10/14/19	J	A	
59. JP Morgan Chase & Co. (JPM)	A	Dividend			Sold	07/26/19	J	B	
60. Lockheed Martin Corp. (LMT)	A	Dividend			Sold	08/15/19	J	A	
61. McDonalds Corp. Common (MCD)		None			Sold	03/18/19	J	B	
62. Merck and Co. Inc. (MRK)	A	Dividend			Sold	07/26/19	J	B	
63. Paychex Inc. (PAYX)		None			Sold	03/21/19	J	A	
64. Pfizer Inc.(PFE)		None			Sold	03/21/19	J	A	
65. Procter & Gamble (PG)		None			Sold	03/21/19	J	B	
66. Royal Dutch Shell PLC (RDSB)	A	Dividend			Sold	10/14/19	J	A	
67. Target Corp. (TGT)	A	Dividend			Sold	09/26/19	J	B	
68. Texas Instruments (TXN)		None			Sold	01/03/19	J	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Nichols, Carl J.

Date of Report

10/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Tiffany & Co. (TIF)	A	Dividend			Sold	10/14/19	J	A	
70. Toronto Dominion Bank (TD)	A	Dividend			Sold	08/14/19	J	A	
71. Union Pacific Corp. (UNP)		None			Sold	01/03/19	J	A	
72. United Techs Corp.(UTX)	A	Dividend			Sold	10/14/19	J	A	
73. Verizon Communications (VZ)	A	Dividend			Sold	10/14/19	J	A	
74. American Express Credit(AXP21)	A	Interest	J	T					
75. Apple Inc. (AAPL 24)	A	Interest	J	T					
76. AT&T Inc. (T21E)	A	Interest			Sold	03/27/19	J	A	
77. AT&T Inc. (T27)	A	Interest	J	T					
78. Cisco Systems Inc. (CSCO19)	A	Interest			Sold	02/15/19	J		
79. Citigroup Inc. (C25E)	A	Interest	J	T					
80. CVS Health Corp. (CVS20A)	A	Interest	K	T					
81. Enterprise Products Oper (EPD20A)	A	Interest	J	T					
82. Goldman Sachs Group Inc. (GS26O)	A	Interest	J	T					
83. Home Depot Inc. (HD21A)	A	Interest	J	T					
84. John Deere Capital Corp. (DE19)	A	Interest			Sold	04/17/19	J		
85. Lockheed Martin Corp. (LMT20)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Microsoft Corp. (MSFT45)	A	Interest	J	T					
87. Morgan Stanley (MS24R)	A	Interest	J	T					
88. Oracle Corp. (ORCL25F)	A	Interest	J	T					
89. Shire Acq Inv. Ireland DA (SHPG21)	A	Interest	J	T					
90. Southern Co. (SO26)	A	Interest	J	T					
91. Verizon Communications (VZ23)	A	Interest	J	T					
92. Visa Inc. (V45)	A	Interest	J	T					
93. Walt Disney Company (DIS22)	A	Interest	J	T					
94. Wells Fargo & Company (WFC24)	A	Interest			Sold	01/09/19	J		
95. BP Capital Markets PLC (BP27B)	A	Interest	J	T					
96. USD Shell Intl Fin (RDS 18)	A	Interest	J	T					
97. Berkshire Hathaway Bonds		None	J	T	Buy	12/17/19	J		
98. PSRP Special Situations Enhanced-Liquidity	A	Dividend	L	T					
99. PSRP Passive US Equities	A	Dividend	K	T					
100. PSRP Passive Non-US Equities	A	Dividend	J	T					
101. PSRP Passive US Bonds	A	Dividend	J	T					
102. MPPP Special Situations Enhanced-Liquidity	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Nichols, Carl J.

Date of Report

10/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. MPPP Passive US Equities	A	Dividend	J	T					
104. MPPP Passive Non-US Equities	A	Dividend	J	T					
105. MPPP Passive US Bonds	A	Dividend	J	T					
106. Principal LifeTime 2035 R5 Fund	A	Dividend	K	T					
107. Marsh & McLennan Bonds	A	Interest	J	T	Buy	01/09/19	J		
108. Invesco Emerging Mtk. Debt ETF	A	Dividend	J	T	Buy	10/14/19	J		
109. Invesco Preferred ETF	A	Dividend	J	T	Buy	10/14/19	J		
110. IShares IBOXX Bond ETF	A	Dividend	K	T	Buy	10/14/19	K		
111. IShares TIPS (Bond) ETF	A	Dividend	J	T	Buy	10/14/19	J		
112. IShares 3-7 year Treasury ETF	A	Dividend	K	T	Buy	10/14/19	K		
113. IShares MBS (Bond) ETF	A	Dividend	L	T	Buy	10/14/19	L		
114. IShares IBOXX High Yield ETF	A	Dividend	J	T	Buy	10/14/19	J		
115. IShares Core MSCI (Stock) ETF	A	Dividend	K	T	Buy	10/14/19	K		
116. IShares TR Core MSCI EAFE ETF	A	Dividend	L	T	Buy	10/14/19	L		
117. Vaneck Vectors EM Bond ETF	A	Dividend	J	T	Buy	10/14/19	J		
118. Vaneck Small Cap Value ETF	A	Dividend	J	T	Buy	10/14/19	J		
119. Vaneck Small Cap Growth ETF	A	Dividend	K	T	Buy	10/14/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Vanguard Value ETF	A	Dividend	M	T	Buy	10/14/19	M		
121. Vangaurd Growth ETF	A	Dividend	M	T	Buy	10/14/19	M		
122. Vanguard Intermediate Bond ETF	A	Dividend	K	T	Buy	10/14/19	K		
123. Vanguard Short-Term Bond ETF	A	Dividend	K	T	Buy	10/14/19	K		
124. Vanguard Intermediate Corp ETF	A	Dividend	J	T	Buy	10/14/19	J		
125. XTrackers USD High Yield ETF	A	Dividend	J	T	Buy	10/14/19	J		
126.									
127.									
128.									
129.									
130.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Nichols, Carl J.

Date of Report

10/15/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Nichols, Carl J.

Date of Report

10/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carl J. Nichols**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544