

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Manion, Daniel A.	2. Court or Organization USCA-7	3. Date of Report 10/28/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address 301 Grant Federal Building 204 S. Main Street South Bend, IN 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Manion, Daniel A.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Manion, Daniel A.	10/28/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Key Bank Saving	B	Interest	M	T					
2. Key Bank checking accounts	A	Interest	M	T					
3. UBS deposit account	A	Distribution	M	T					
4. Barclay's Bank Delaware	B	Interest	K	T	Sold	07/23/19	K	A	
5. Bio Techne	A	Dividend	K	T	Sold	07/29/19	K	E	
6. Blackrock Hi Yield Bond Fund	B	Dividend	K	T	Buy	01/22/19	K		
7. Blackrock Hi Yield Bond Fund	B	Dividend	K	T	Buy	01/22/19	K		
8. Blackrock Hi Yield Bond Fund	A	Dividend	J	T	Sold	08/30/19	J	A	
9. Blackrock Muni (BTT)	B	Dividend	L	T					
10. Boeing Company common stock (BA)	C	Dividend	M	T					
11. Brownsville TX bond	A	Interest			Sold	02/15/18	J		
12. Corteva	A	Dividend	K	T	Sold	06/27/19	K	A	
13. Corteva Spin off from Dow Dupont	A	Distribution	K	T	Spinoff (from line 14)	06/03/19	K		
14. Dow Inc. Spin off from DOW DUPONT	A	Distribution	J	T	Open	04/02/19	J		
15. South Central Indiana Schoo Corp. Bond	B	Interest	K	T	Buy	04/05/19	K		
16. Flexshares Quality International Dividend Index	C	Dividend	K	T	Buy	02/01/19	K		
17. Elkhart Indiana School Corp. Bond	A	Interest	J	T	Buy	03/27/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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18. Fastenal Split 2 for 1	C	Distribution	M	T		05/23/19	L		
19. TD Ameritrade	A	Dividend	K	T	Buy	06/14/19	K		
20. Intuit	A	Dividend	K	T	Sold	07/29/19	K	D	
21. Reverse Split of Dow Dupont	A	Distribution	K	T		06/05/19	K		
22. Walgreens	A	Dividend	K	T	Sold	07/29/19	K	A	
23. South Harrison Indiana Municipal Bond	B	Interest	K	T	Buy	09/10/19	K		
24. Greater Clark Indiana Municipal Bond	B	Interest	L	T	Buy	09/24/19	L		
25. Wawasee Municipal Bond	A	Interest	J	T	Buy	09/24/19	J		
26. Johnson and Johnson Gift to WCC	A	Dividend	J	T	Sold	11/21/19	J	A	
27. Lord Abbett Short Duration Fund	B	Dividend	K	T	Sold	01/22/19	K	A	
28. Vanguard Intermediate Term Investment Grade Fund	A	Dividend	J	T	Buy	01/22/19	J		
29. SPDR Series Dow Jones REIT Fund		Dividend	K	T	Buy	03/21/19	K		
30. Vanguard Intermediate Term Investment Grade Fund	A	Dividend	K	T	Buy	03/21/19	K		
31. Wells Fargo Short TermHI Yield Fund		Dividend	K	T	Buy	03/21/19	K		
32. Lord Abbett Short Duration Fund	B	Dividend	K	T	Sold	01/22/19	J	A	
33. Flexshares orningstar Ex US Tilt Factor Fund	A	Dividend	J	T	Buy	01/22/19	J		
34. Flexshares Ready Access Variable Income ETF	A	Dividend	J	T	Buy	03/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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35. Powershares S&P 500 Low Volatility Index	A	Dividend	J	T	Sold	08/30/19	J	A	
36. Kane McHenry Muni Bond	B	Int./Div.			Sold	07/01/18	K		
37. Indiana St. Fin. Auth. bond	A	Int./Div.			Sold	07/01/18	J		
38. Ohio State Higher Ed Bond	A	Int./Div.			Sold	01/01/18	J		
39. Oppenheimer Steelpath (MLPDX)	C	Distribution	K	T					
40. Gaslog Ltd preferred	A	Dividend	J	T					
41. Nuveen Interduration (NID)	B	Int./Div.	L	T					
42. Indianapolis Public School Corp 1st Mortgage Refunding Bond	A	Interest	J	T					
43. Indianapolis IN gas bond	A	Interest			Sold	08/15/18	J	A	
44. RMB Mendon Financial (RMBKX)	B	Distribution	L	T					
45. University of Akron bond	A	Interest			Sold	01/01/18	J		
46. IRA Items: items 20-65									
47. Wells Fargo Sweep	A	Distribution	J	T					
48. -Income Fund Amer	A	Distribution	K	T					
49. -Fund America Growth	A	Distribution	K	T					
50. Europacific Growth	A	Distribution	J	T					
51. Qwest Corp preferred	B	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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52. First Trust Equity	B	Distribution	K	T					
53. Ishares US Preferred Stock	C	Dividend	K	T					
54. Franklin Income Fund	C	Distribution			Sold	07/23/18	K		
55. American Balanced Fund (ABALX)	A	Distribution	K	T	Buy	07/23/18	K		
56. -American Mutual Fund(AMRFX(Roth)	A	Distribution			Sold	01/16/18	J	A	
57. -Powershares S&P 500 Fund (Roth)	A	Distribution	K	T	Sold (part)	12/11/18	J	B	
58. Wells Fargo STYIX (Roth)	A	Distribution	J	T	Buy (add'l)	01/16/18	J		
59. Wells Fargo Short-Term High Yield (STYIX)	A	Distribution	J	T	Sold (part)	04/25/18	J	A	
60. Wells Fargo Emerging Markets (EQIIX)	A	Distribution	J	T					
61. Wells Fargo Emerging Markets (EQIIX)	A	Distribution	J	T					
62. Advisory Research (INFIX)	A	Distribution			Sold	04/25/18	J	A	
63. Powershares Exchange (XSLV)	A	Distribution	K	T	Buy (add'l)	04/25/18	J		
64. Powershares Exchange (XSLV)	A	Distribution	K	T	Sold (part)	10/03/18	J	A	
65. Flexshares Morning Star (TLTD)	A	Distribution	J	T	Buy	07/01/18	J		
66. Baird General Market (cash equivalent)	A	Distribution	J	T					
67. American Mutual (AMRFX)	A	Distribution	K	T	Sold (part)	04/25/18	K	D	
68. Wells Fargo Fund (EQIIX)	A	Distribution	J	T					

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69. Wells Fargo Fund (EQIIX)	A	Distribution	J	T					
70. Wells Fargo Fund (EQIIX)	A	Distribution	J	T					
71. -Vanguard Intermediate Bond Fund (VCIT) (Roth)	A	Distribution	J	T	Buy (add'l)	01/16/18	J		
72. T Rowe Price Growth (PRGFX)	A	Distribution	J	T	Sold (part)	01/16/18	J	A	
73. T Rowe Price Growth (PRGFX)	A	Distribution	J	T	Sold (part)	07/11/18	J	A	
74. T Rowe Price Growth (PFGFX)	A	Distribution	J	T	Sold (part)	10/03/18	J	A	
75. T Rowe Price Growth (PRGFX)	A	Distribution	J	T	Sold (part)	12/11/18	J	A	
76. - SPDR Series Trust Fund (RWR) (Roth)	A	Distribution	J	T	Buy (add'l)	01/16/18	J		
77. -SPDR Series Trust Fund (RWR) (Roth)	A	Distribution	J	T	Sold (part)	12/11/18	J	A	
78. - Baird General Mkt Fund (Roth)	A	Int./Div.	J	T					
79. - Powershares S&P 500 Fund	B	Distribution	K	T	Sold (part)	04/25/18	K	A	
80. - Poweershares S&P 500 Fund	B	Distribution	K	T	Sold (part)	12/11/18	J	A	
81. SPDR Series Trust (RWR)	A	Distribution	J	T					
82. - Lord Abbett Short Duration Income Fund (LALDX)	B	Distribution	K	T					
83. - Vanguard Intermediate Term Fund (VCIT)	A	Distribution	K	T	Sold (part)	04/25/18	J	A	
84. Powershares Exchange S&P 600 (Roth)	A	Distribution	K	T	Buy (add'l)	04/25/18	J		
85. Powershares Exchange S&P 600 (Roth)	A	Distribution	K	T	Sold (part)	10/03/18	J	A	

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86. Flexshares Morning Star Fund (Roth)	A	Distribution	K	T	Buy	07/11/18	J		
87. Lord Abbett Floating Rate (LFRAX) (Roth)	B	Distribution	K	T	Sold (part)	12/11/18	J	A	
88. Northwestern Mutual Life Insurance (whole life policy)		None	N	T					
89. Unimproved real estate St. Joseph Cty, IN		None	L	W					
90. Baird Trust Acct: items 68-126									
91. - Automatic Data Processing (ADP)	B	Dividend	L	T					
92. - Kellogg Company	B	Dividend	K	T					
93. -Blackrock Inc.	B	Dividend	L	T	Sold (part)	05/11/18	K	E	
94. - Factset Research Systems	B	Dividend	M	T					
95. - Illinois Tool Works common	B	Dividend	L	T					
96. -Intel Corp common	A	Dividend	K	T					
97. - Varian Medical		None	L	T					
98. - Johnson & Johnson	B	Dividend	L	T					
99. - LKQ Corp Common		None	J	T	Buy	08/14/18	K		
100. -LKQ Corp Common		None	J	T	Sold (part)	12/14/18	K	A	
101. - Procter & Gamble common	B	Dividend	L	T					
102. Elkhart, IN muni	A	Interest	K	T					

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103. Nike Common	A	Dividend	K	T					
104. Locorr Managed Futures Fund	C	Distribution	J	T	Sold (part)	05/11/18	K	A	
105. - Fiserv Inc.		None	O	T					
106. - Becton Dickinson & Co.	B	Dividend	L	T					
107. - Ecolab common	B	Dividend	M	T					
108. - Patterson Companies common	C	Dividend	L	T					
109. - Barclays Bank Bond	B	Int./Div.	K	T					
110. - Cognizant Technology	A	Dividend	K	T					
111. - Fastenal common	C	Dividend	M	T					
112. Walt Disney Common	A	Dividend	L	T					
113. - Bio Techne Corp.	A	Dividend	L	T					
114. - Barnes Group common		None	K	T					
115. - Valmont Industries	A	Dividend	K	T					
116. - Cisco Systems common	D	Dividend	J	T					
117. - Gilead Sciences common	B	Dividend	L	T					
118. - Harley Davidson common	B	Dividend	K	T					
119. Walgreens Boots Alliance	B	Distribution	K	T	Buy	01/04/18	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Manion, Daniel A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Pepsico Inc.	C	Dividend	L	T					
121. Middleby Corp		None	K	T	Sold (part)	06/05/18	K		
122. Middleby Corp		None	K	T	Buy	07/12/18	K		
123. - Emerson Electric	B	Dividend	L	T					
124. - EQT Corp.	A	Dividend			Sold	10/26/18	K		
125. - Microsoft Corp	C	Dividend	M	T					
126. -Intuit Inc (INTU)	A	Dividend	L	T					
127. -CDK Global	A	Dividend	K	T	Buy	05/11/18	K		
128. -CDK Global	A	Dividend	K	T	Buy (add'l)	08/14/18	K		
129. - McCormick & Co.	B	Dividend	L	T					
130. - Wells Fargo Muni Bond --	C	Dividend	M	T					
131. - General Electric	B	Dividend			Donated				
132. Vanguard Intermediate Muni Fund	A	Interest			Sold	10/12/18	M	A	
133. -Pfizer Inc.	A	Dividend	J	T					
134. -Corning Common	A	Dividend	K	T					
135. -Dowdupont common	A	Dividend	K	T					
136. -JM Smucker (SJM)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Skyworks Solutions	A	Dividend	K	T					
138. -Intercontinental Exchange (ICE)	B	Dividend	L	T					
139. Apple Common	B	Dividend	L	T	Sold (part)	05/11/18	K	D	
140. UBS custodial accts:Fidelity Adv. dividend growth	A	Int./Div.	J	T					
141. 529 Ed. Plan Funds: items 121-128									
142. Invesco College Bound 2017-2018		None	K	T					
143. Invesco College Bound Today		None	K	T					
144. American Balance Fund 529A		None	M	T					
145. Growth Fund of America 529A		None	L	T					
146. College Choice Advisor Plan: 2016 Enrollment		None			Sold	07/11/18	K		
147. College Choice Advisor Plan: 2016 Enrollment		None	K	T	Buy	12/06/18	J		
148. College Choice Advisor Plan: 2016 Enrollmwnt		None			Sold	12/27/18	J		
149. US Charitable Gift Fund		None	K	T					
150. Diamond		None	J	W					
151. Alliance Data Systems	A	Dividend			Buy	03/27/18	K		
152. Alliance Data Systems	A	Dividend			Sold	12/14/18	K	A	
153. Flexshares Trust Intl Quality Div Index FD	C	Distribution	K	T	Buy	12/21/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. LKQ Corp	B	Dividend			Buy	08/14/18	K		
155. LKQ Corp	B	Dividend			Sold	12/14/18	K	A	
156. MSCI Inc Class A	A	Dividend	K	T	Buy	11/23/18	K		
157. Walgreens Boots Alliance Inc	B	Dividend	K	T	Buy	01/04/18	K		
158. IPS MLTI Sch Bldg Corp in 1st MTG RFDG REV CR	B	Interest	K	T	Buy	12/21/18	K		
159. Lebanon IN Cmnty Sch Corp	B	Interest	L	T	Buy	10/12/18	L		
160. Richmond IN Cmnty Sch	B	Interest	L	T	Buy	10/12/18	L		
161. Tippecanoe Cnty IN NSE08 Sch Bldg Corp	A	Interest	J	T	Buy	12/21/18	J		
162. Tri Creek 2002 High Sch Bldg Corp	B	Interest	L	T	Buy	12/21/18	L		
163. Flexshares Trust Qlty Div Index Fund	A	Distribution	K	T	Buy	04/03/18	K		
164. LOCORR MACRO Strategies CL I	A	Distribution	J	T	Buy	04/03/18	J		
165. Flexshares Trust Qlty Div Index Fund	A	Distribution	K	T	Buy	04/25/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 114: Self-directed charitable foundation (value donated to charity reduced corpus in fund)

VII. Investments and Trusts, Line 116: Name change only - from Dupont to Dowdupont.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel A. Manion**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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