

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEYMOUR, STEPHANIE K.	2. Court or Organization US COURT OF APPEALS	3. Date of Report 09/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE--SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 4-562 U.S. COURTHOUSE 333 WEST FOURTH STREET TULSA, OK 74103-3877		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

09/14/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	self-employed -- lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

09/14/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

09/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Oklahoma Accounts	A	Interest	J	T					
2. Prosperity Bank Accounts	A	Interest	K	T					
3. Raymound James Accounts	A	Interest	N	T					
4. Lot, Mt. Crested Butte, CO (\$81,000, 8/10/1994)		None	O	R					
5. IRA #5 (H)									
6. -Doubleline Total Return Fund Instl (DBLTX) (mutual fund)	A	Dividend	J	T					
7. -Loomis Sayles Investment Grade Bd Cl Y (LSIIX) (mutual fund)	A	Dividend	J	T					
8. -TCW Emerging Markets Income Fd Cl I (TGEIX) (mutual fund)	A	Dividend	K	T					
9. -Virtus Newfleet Multi-Sector Short Term Bond (PIMSX) (mutual fund)	A	Dividend	J	T					
10. -Blackrock Strategic Income I (BSIIX) (mutual fund)	A	Dividend	K	T					
11. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)	A	Dividend	J	T					
12. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)	A	Dividend	J	T					
13. -Lord Abbett Short Duration Income Fd (LDLFX) (mutual fund)	A	Dividend	J	T					
14. -Guggenheim Macro Opportunities Fd Cl (GIOIX) (mutual fund)	A	Dividend	J	T					
15. -Vanguard Total Intl BD (BNDX) (ETF)	A	Dividend	J	T					
16. -Invesco Core Bond Plus Fund (CPBYX) (mutual fund)	A	Dividend	J	T					
17. -SPDR Doubleline TR Tact ETF (TOTL) (ETF)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -AB Global Bond Fund (ANAYX) (mutual fund)	A	Dividend	J	T					
19. -Guggenheim Total Return Bond Fund (GIBIX) (mutual fund)	A	Dividend	K	T					
20. -Vanguard Wellesley Income Fund (VWIAIX) (mutual fund)	A	Dividend	K	T					
21. -Invesco Bulletshares 2019 HY Corp Bond (BSJJ) (ETF)	A	Dividend			Sold	12/18/19	K		
22. -Spdr Bloomberg Barclays 1-3 mo. T-bill (BIL) (ETF)	A	Dividend	K	T					
23. IRA #6 (H)									
24. -Double Linne Total Return Fund Instl (DBLTX) (mutual fund)	B	Dividend	K	T					
25. -Loomis Sayles Investment Grade Bond (LSIIX) (mutual fund)	B	Dividend	K	T					
26. -TCW Emerging Markets Income Fd Class I (TGEIX) (mutual fund)	B	Dividend	K	T					
27. -Virtus Newfleet Multi-Sector Short Term Bond (PIMSX) (mutual fund)	B	Dividend	K	T					
28. -Blackrock Strategic Income I (BSIIX) (mutual fund)	C	Dividend	L	T					
29. -Blackrock Global Long/Short FD I (BGCIX) (mutual fund)	B	Dividend	K	T					
30. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)	B	Dividend	K	T					
31. -Lord Abbett Short Duration Inc Fd (LDLFX) (mutual fund)	B	Dividend	K	T					
32. -Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	B	Dividend	K	T					
33. -AB Global Bond Fund Class Advisor (ANAYX) (mutual fund)	B	Dividend	K	T					
34. -Invesco Core Bond Plus Fund Class Y (CPBYX) (mutual fund)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

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35. -SPDR Doubleline Tr Tact ETF (TOTL) (ETF)	B	Dividend	K	T					
36. -Vanguard Total Instl Bond ETF (BNDX) (ETF)	B	Dividend	K	T					
37. -Guggenheim Total Return Bond Fund (GIBIX) (mutual fund)	B	Dividend	L	T					
38. -Vanguard Wellesley Income Fund (VWIAIX) (mutual fund)	B	Dividend	L	T					
39. -Invesco Bulletshares 2019 High Yield (BSIJ) (ETF)	B	Dividend			Sold	12/18/19	L		
40. -Spdr Bloomberg Barclays 1-3 mo. T-bill (BIL) (ETF)	B	Dividend	L	T					
41. BROKERAGE ACCOUNT #9 ANNUITIES (H)									
42. Jackson Nat'l Life Ins. Perspect. L Series Cl L (variable annuity) (H)									
43. -JNL/MC Index 5		None	M	T					
44. -JNL/Institutional Alt 25		None	M	T					
45. -JNL/Blackrock Global Allocation		None	K	T					
46. Lincoln Nat'l Choice Plus Assurance L Share (variable annuity) (H)									
47. -Blackrock Global Allocation		None	L	T					
48. -LVIP SSGA Mod Aggr Index Allo		None	M	T					
49. -LVIP Global Growth Alloc MR		None	M	T					
50. -LVIP SSGA Glbl Tactical Alloctn Mgd		None	M	T					
51. Lincoln Nat'l Choice Plus Assurance L Share (variable annuity) (H)									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52. -Blackrock Global Allocation		None	L	T					
53. -LVIP SSGA Mod Aggr Index Allo		None	M	T					
54. -LVIP Global Growth Alloc MR		None	M	T					
55. -LVIP SSGA Gbl Tactical Allocn Mgd		None	M	T					
56. Ohio Nat'l Oncore Lite II Class L (variable annuity) (H)									
57. -Omni Portfolio (Suffolk)		None	K	T					
58. -Invesco V.I. Balanced Risk Allocation		None	L	T					
59. -TOPS (R) Managed Risk Growth ETF		None	M	T					
60. -Pimco GLB DIV Alloc		None	K	T					
61. BROKERAGE #10 (H)									
62. -Blackrock Strategic Income (BSIIX) (mutual fund)	B	Dividend	K	T					
63. -Vanguard Total Stock Market (VTI) (ETF)	B	Dividend	M	T					
64. -Invesco Powershared QQQ Trust (QQQ) (ETF)	A	Dividend	K	T					
65. -Invesco Equally-Weighted S&P 500 (VADDX) (mutual fund)	A	Dividend	K	T					
66. -Lord Abbett Short Duration Income Fd (LDLFX) (mutual fund)	B	Dividend	K	T					
67. -Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	B	Dividend	K	T					
68. -JP Morgan Small Cap Equity Bond Fund Select (VSEIX) (mutual fund)	A	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69. -Vanguard Intl Equity Index FD FTSE All World EX US (VEU) (ETF)	B	Dividend	K	T					
70. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	B	Dividend	K	T					
71. -Virtus Vontobel Emerging Markets Opp (HIEMX) (mutual fund)	A	Dividend	K	T					
72. -Guggenheim Total Return Bond Fund (GIBIX) (mutual fund)	B	Dividend	K	T					
73. -Spdr Bloomberg Barclays 1-3 mo. T-bill (BIL) (ETF)	A	Dividend			Sold (part)	07/02/19	J		
74.					Sold	07/31/19	K	A	
75. IRA #7 (H)									
76. -iShares Core MSCI EAFE (IEFA) (ETF)	C	Dividend	M	T					
77. -iShares Core MSCI Emerging Market (IEMG) (ETF)	B	Dividend	K	T					
78. -iShares Core S&P Cap (IJR) (ETF)	A	Dividend	K	T					
79. -iShares MSCI China (MCHI) (ETF)	A	Dividend	K	T					
80. -Vanguard Mid Cap (VO) (ETF)	B	Dividend	L	T					
81. -Vanguard Total World STK (VT) (ETF)	A	Dividend	J	T					
82. -Vanguard Value (VTV) (ETF)	B	Dividend	L	T					
83. -Vanguard Growth (VUG) (ETF)	A	Dividend	L	T					
84. -Vanguard Large Cap (VV) (ETF)	B	Dividend	M	T					
85. BROKERAGE ACCOUNT #12 (H)									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. -Blackrock Strategic Income I (BSIIX) (mutual fund)	B	Dividend			Sold	08/28/19	K		
87. -Doubleline Total Return Fd Instl (DBLTX) (mutual fund)	B	Dividend	K	T					
88. -Invesco High Yield Munnicipal Fund Cl Y (ACTDX) (mutual fund)	B	Dividend	K	T					
89. -Thornburg Limited Term Municipal FD Cl I (LTMIX) (mutual fund)	A	Dividend			Sold	07/24/19	K	A	
90. -Virtus Newfleet Multi-Sector Short Term BD (PIMSX) (mutual fund)	B	Dividend			Sold	08/28/19	K	A	
91. -Blackrock Multi-Asset Income Portfolio Instl (BICX) (mutual fund)	B	Dividend			Sold	06/13/19	K		
92. -Blackrock Strategic Municipal Opportunities (MAMTX) (mutual fund)	A	Dividend			Sold	07/24/19	K	A	
93. -Lord Abbett Short Duration Income Fund (LDLFX) (mutual fund)	B	Dividend			Sold	06/13/19	K		
94. -Mainstay Mackay Tax Free Bond Cl I (MTBIX) (mutual fund)	B	Dividend	K	T					
95. -Loomis Sayles Investment Grade Bond Class Y (LSIIX) (mutual fund)	A	Dividend			Sold	05/23/19	K		
96. -Guggenheim Macro Opportunities Fund Class (GIOX) (mutual fund)	B	Dividend	K	T					
97. -TCW Emerging Markets Income Fund Class I (TGEIX) (mutual fund)	B	Dividend	K	T					
98. -AB Global Bond Fund Class Advisor (ANAYX) (mutual fund)	A	Dividend			Sold	06/13/19	K	A	
99. -Invesco Core Bond Plus Class Y (CPBYX) (mutual fund)	B	Dividend			Sold	05/23/19	K		
100. -Invesco Intermediate Term Muni Inc Fd Cl Y N/L (VKLIX) (mutual fund)	A	Dividend			Sold	08/28/19	K	B	
101. -Guggenheim Total Return Bd Fd Instl Cl (GIBIX) (mutual fund)	B	Dividend			Sold	06/13/19	K	A	
102. -Vanguard Wellesley Inc Fd Admiral Shares (VWIAIX) (mutual fund)	A	Dividend	K	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Invesco Bulletshare 2019 HY Corp Bd (BSIJ) (ETF)	A	Dividend			Sold	12/18/19	K		
104. -Spdr Bloomberg Barclays 1-3 mo T-Bill (BIL) (ETF)	A	Dividend			Sold	05/16/19	K		
105. BROKERAGE ACCOUNT #13 (H)									
106. -iShares NASDAQ Biotechnology (IBB) (ETF)		None	J	T					
107. -iShaars TR USA MSCI Momentum Factor (MTUM) (ETF)	A	Dividend	J	T					
108. -iShaars TR USA MSCI Quality Factor (QUAL) (ETF)	A	Dividend	J	T					
109. -Vanguard Consumer Discretionary (VCR) (ETF)	A	Dividend			Sold	08/12/19	K	D	
110. -Vanguard Financials (VFH) (ETF)		None			Sold	01/03/19	K		
111. -Vanguard Information Technology (VGT) (ETF)	A	Dividend	L	T					
112. -Vanguard Health Care (VHT) (ETF)	A	Dividend	K	T					
113. -Spdr Materials Select Sector Trust (XLB) (ETF)	A	Dividend	J	T					
114. -Spdr Industrial Select Sector Fund (XLI) (ETF)	A	Dividend	K	T					
115. -Spdr Consumers Staples Select Sector Fund (XLP) (ETF)	A	Dividend	J	T					
116. -iShares TR Trans Avg (IYT) (ETF)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

09/14/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII INVESTMENTS AND TRUSTS, BROKERAGE ACCOUNT #9--HEADING ON LINE 41 AND ASSETS ON LINES 42 THROUGH 60:

The reporting of annuities in this brokerage account have been amended to indicate they are variable annuities and to list their underlying assets which had been inadvertently omitted in past reports. Insurers of these assets report income as "unit value" and therefore income information of the underlying assets is not available.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

09/14/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHANIE K. SEYMOUR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544