

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hall, Sarah A.	2. Court or Organization Bankruptcy Court - Western District of OK	3. Date of Report 09/02/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address United States Bankruptcy Court 215 Dean A. McGee, 9th Floor Oklahoma City, OK 73102		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1 (See Part VII, lines 12-61)
2. Director`	Oklahoma Bar Association Bankruptcy Reorganization Committee
3. Director	Federal Bar Association - Oklahoma City Chapter
4. Director	The Historical Society for the United States District Court for the Western District of Oklahoma
5. Member	Board of Visitors, University of Oklahoma Honors College

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	spouse (self-employed lawyer) professional corporation - salary and member distribution - K-1
2. 2019	National Cooperative Refinery Association - royalty
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney Bank Deposit Program		None	J	T					
2. LL&E Royalty Trust		None	J	T					
3. Massachusetts Mutual Limited Pay Whole Life Policy	A	Dividend	K	T					
4. Massachusetts Mutual Whole Life Policy	B	Dividend	L	T					
5. Massachusetts Mutual Ltd Pay Whole Life @ 65 Policy	A	Dividend	J	T					
6. Massachusetts Mutual Ltd Pay Whole Life Policy	A	Dividend	K	T					
7. Massachusetts Mutual Whole Life Policy	B	Dividend	L	T					
8. Massachusetts Mutual Ltd Pay Whole Life @65 Policy	A	Dividend	K	T					
9. 20 Payment Life	A	Dividend	J	T	Buy	01/02/19	J		
10. TRUST #1	E	Int./Div.							
11. Morgan Stanley Private Bank	A	Interest	J	T					
12. - AT&T	A	Dividend	K	T	Buy (add'l)	02/04/19	J		
13. - Chevron Corp.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
14. - Community Trust Bancorp Inc.	A	Dividend			Buy (add'l)	02/04/19	J		
15.					Sold	08/20/19	J	A	
16. - Duke Energy Corp. New	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
17. - Emerson Electronic Co.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Nucor Corporation	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
19.					Buy (add'l)	09/06/19	J		
20. - Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	02/04/19	J		
21. - Realty Income Corp.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
22. - Sonoco Products Co.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
23. - DNP Select Income Inc.	B	Dividend	K	T	Buy (add'l)	02/04/19	J		
24. - Eaton Vance Tax Mgd Div Equ Fd	B	Dividend	J	T	Buy (add'l)	02/04/19	J		
25. - Nuveen Taxable Municipal Incm FD	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
26. - PIMCO Corporate & Income Oppor.	B	Dividend	J	T	Buy (add'l)	02/04/19	J		
27. - Franklin Income Adv.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
28.					Sold	08/08/19	J		
29. - Lord Abbett Sht Duration Inc. F	C	Dividend	M	T	Buy (add'l)	02/04/19	K		
30.					Buy (add'l)	09/06/19	J		
31. - Target Corp.	A	Dividend	K	T	Buy (add'l)	02/04/19	J		
32.					Buy (add'l)	09/05/19	J		
33. - Altria Group Inc.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
34. - International Business Machines Corp.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy	05/20/19	J		
36.					Sold (part)	12/05/19	J		
37. - Verizon Communications	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
38. - Foot Locker Inc.	A	Dividend			Buy	02/04/19	J		
39.					Sold	05/20/19	J	A	
40. - Alliance Bernstein Income Adv.	B	Dividend	L	T	Buy (add'l)	02/04/19	J		
41. - Cardinal Health Inc.	A	Dividend			Buy	02/04/19	J		
42.					Sold	04/04/19	J		
43. - Omega Healthcare Inv. Inc.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
44. - Eaton Vance Tax Mg CEF	B	Dividend	J	T	Buy (add'l)	02/04/19	J		
45. - WP Carey Inc, Com	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
46. - Abbvie Inc. Com	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
47.					Buy (add'l)	05/20/19	J		
48. - General Mills, Inc.	A	Dividend			Buy (add'l)	02/04/19	J		
49.					Sold	05/30/19	J		
50. - Main Sreet Capital	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
51. - Helmerich & Payne	A	Dividend			Buy	04/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold	12/15/19	J		
53. - 3M Company	A	Dividend	J	T	Buy	05/20/19	J		
54. - Leggett & Platt Inc.	A	Dividend	K	T	Buy	05/30/19	J		
55. - Guggenheim Strategic Oppt.	A	Dividend	J	T	Buy	08/08/19	J		
56. - Exxon Mobil Corp.	A	Dividend	J	T	Buy	08/22/19	J		
57. IRA #2	E	Int./Div.							
58. - Morgan Stanley Private Bank	A	Interest	J	T					
59. - AT&T, Inc.	A	Dividend	J	T	Buy (add'l)	05/20/19	J		
60. - Chevron Corp.	A	Dividend	J	T					
61. - Community Trust Bancorp Inc.	A	Dividend			Buy (add'l)	04/22/19	J		
62.					Sold	08/23/19	J	A	
63. - Duke Energy Corp. New	A	Dividend	J	T					
64. - Emerson Electric Co.	A	Dividend	J	T					
65. - Nucor Corporation	A	Dividend	J	T					
66. - Proctor & Gamble	A	Dividend	J	T					
67. - Realty Income Corp.	A	Dividend	J	T					
68. - Sonoco Products Co.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - DNP Select Income Inc.	B	Dividend	K	T					
70. - Eaton Vance Tax Mgd Div Equ FD	B	Dividend	J	T	Buy (add'l)	04/22/19	J		
71.					Buy (add'l)	07/17/19	J		
72. - Nuveen Taxable Municipal Incm Fd	A	Dividend	J	T					
73. - PIMCO Corporate & Incom Oppor.	B	Dividend	K	T					
74. - Lord Abbett Sht Duration Inc F	C	Dividend	L	T	Buy (add'l)	04/22/19	J		
75.					Buy (add'l)	07/17/19	J		
76.					Buy (add'l)	09/06/19	J		
77. - Target Corp.	A	Dividend	J	T	Sold (part)	09/05/19	J	B	
78. - Altria Group Inc.	A	Dividend	J	T					
79. - International Business Machines Corp.	A	Dividend	J	T	Buy (add'l)	05/20/19	J		
80. - Verizon Communications	A	Dividend	J	T					
81. - Foot Locker Inc.	A	Dividend			Sold	05/20/19	J	C	
82. - Alliance Bernstein Income Adv.	B	Dividend	K	T					
83. - Cardinal Health Inc.	A	Dividend			Sold	04/04/19	J		
84. - Omega Healthcare Inv. Inc.	A	Dividend	J	T					
85. - Eaton Vance Tax Mg CEF	B	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - WP Carey Inc. Com	A	Dividend	J	T					
87. - Abbvie Inc. Com.	A	Dividend	J	T	Buy (add'l)	04/22/19	J		
88.					Buy (add'l)	05/20/19	J		
89. - General Mills Inc.	A	Dividend			Sold	05/30/19	J	A	
90. - Main Street Capital Corp.	A	Dividend	J	T	Buy (add'l)	04/22/19	J		
91. - Helmerich & Payne	A	Dividend	J	T	Buy	04/04/19	J		
92.					Buy	07/17/19	J		
93. - 3M Company	A	Dividend	J	T	Buy	05/20/19	J		
94.					Buy (add'l)	07/17/19	J		
95. - Leggett & Platt Inc.	A	Dividend	J	T	Buy	05/30/19	J		
96. - Exxon Mobil Corp.	A	Dividend	J	T	Buy	08/23/19	J		
97. TRUST #2 (sep ira #2)	E	Int./Div.							
98. - MidFirst Bank Acct	A	Interest	M	T					
99. - Morgan Stanley Private Bank	A	Interest	J	T					
100. - AT&T Inc.	B	Dividend	K	T	Buy (add'l)	05/20/19	J		
101. - Chevron Corp.	B	Dividend	K	T					
102. - Community Trust Bancorp Inc.	A	Dividend			Buy (add'l)	04/22/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold	08/22/19	K	C	
104. - Duke Energy Corp. New	B	Dividend	K	T					
105. - Emerson Electric Co.	A	Dividend	K	T					
106. - Nucor Corp.	A	Dividend	K	T					
107. - Proctor & Gamble	A	Dividend	K	T					
108. - Realty Income Corp.	B	Dividend	K	T					
109. - Sonoco Products Co.	A	Dividend	K	T					
110. - DNP Select Income Inc.	C	Dividend	L	T					
111. - Eaton Vance Tax Mgd Div Equ FD	C	Dividend	K	T	Buy	04/22/19	J		
112. - Nuveen Taxable Municipal Incm Fd	B	Dividend	K	T					
113. - PIMCO Corporate & Income Oppor.	C	Dividend	K	T					
114. - Lord Abbett Sht Duration Inc. F	D	Dividend	M	T	Buy (add'l)	04/22/19	J		
115.					Buy (add'l)	09/06/19	J		
116. - Target Corp.	A	Dividend	K	T	Sold (part)	09/15/19	J	C	
117. - Altria Group Inc.	B	Dividend	K	T	Buy (add'l)	09/06/19	J		
118. - International Business Machines Corp.	B	Dividend	K	T	Buy (add'l)	05/20/19	J		
119. - Verizon Communications	B	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Foot Locker Inc.	A	Dividend			Sold	05/20/19	K	D	
121. - Alliance Bernstein Income Adv.	C	Dividend	M	T					
122. - Cardinal Health Inc.	A	Dividend			Sold	04/04/19	K		
123. - Omega Healthcare Inv. Inc.	B	Dividend	K	T					
124. -Eaton Vance Tax Mg CEF	C	Dividend	K	T					
125. - WP Carey Inc. Com	B	Dividend	K	T					
126. - Abbvie Inc. Com	A	Dividend	K	T	Buy (add'l)	04/22/19	J		
127.					Buy (add'l)	05/20/19	J		
128. - General Mills. Inc.	A	Dividend			Sold	05/30/19	K	B	
129. - Main Street Capital Corp.	B	Dividend	K	T					
130. - Central National Bank CD	B	Interest	M	T					
131. - Helmerich & Payne	A	Dividend			Buy	04/04/19	K		
132.					Sold	12/15/19	J		
133. - 3M Company	A	Dividend	K	T	Buy	05/20/19	J		
134. - Leggett & Platt Inc.	A	Dividend	K	T	Buy	05/30/19	J		
135. - Exxon Mobil Corp.	A	Dividend	K	T	Buy	08/22/19	J		
136. TRUST #3	C	Interest							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Morgan Stanley Private Bank	A	Interest	J	T					
138. - Bank Baroda New		None			Redeemed	01/30/19	K		
139. - Investors Savgs Bk		None			Redeemed	04/18/19	K		
140. - First Bank CD Richmond In CD		None			Redeemed	07/24/19	K		
141. - Federated Govt Obligations PRM	B	Interest	M	T	Buy	01/31/19	K		
142.					Buy (add'l)	04/18/19	K		
143.					Buy (add'l)	07/24/19	K		
144. BROKERAGE ACCOUNT #1	A	Interest							
145. - Extended Insurance Sweep Account - ETrade	A	Interest	J	T					
146. - PMX Communities Inc.		None	J	T					
147. Midfirst Bank Account	A	Interest	J	T					
148. Edward Jones Inherited IRA	A	Interest							
149. - Cash Account	A	Interest	J	T	Open	07/26/19	J		
150.					Distributed (part)	10/31/19	J		
151. - VK Babs Income Trust 36	A	Interest	J	T	Distributed (part)	07/26/19	K		
152.									
153. Edward Jones Single Account	A	Int./Div.							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Cash	A	Interest	J	T	Open	07/24/19	J		
155. - American Cap Wrld Grw & Inc	A	Dividend	J	T	Open	07/24/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

.Nuveen Build America Bonds FD renamed Nuveen Taxable Municpl Incm Fd in November 2019 - lines 25, 73, 113.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Hall, Sarah A.

Date of Report

09/02/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah A. Hall

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544