

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Larry R.	2. Court or Organization U.S. District Court - Nevada	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Bruce R. Thompson Courthouse 400 S. Virginia Street, Ste. 506 Reno, NV 89501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary/Treasurer	Avansino Realty Partners, Ltd.
2. Secretary/Treasurer	Avansino Realty Corp.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Pre-2001	See Part VIII, page 7, re McDonald Carano Wilson 401K Profit Sharing Plan.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Hicks, Larry R.

Date of Report

05/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Avansino Realty Partners, Ltd. - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Hicks, Larry R.	05/12/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property #1 - Reno, NV	D	Rent	O	W					
2. Rental Property #2 - Sparks, NV	F	Rent	O	W					
3. Avansino Realty Partners, Ltd.-Washoe Co., NV									
4. **Rental Property #3-Sparks, NV	E	Rent	O	W					
5. **Rental Property #4-Sparks, NV	E	Rent	M	W					
6. **Rental Property #5-Reno, NV	E	Rent	M	W					
7. **Rental Property #6-Reno, NV	D	Rent	M	W					
8. **Rental Property #7-San Francisco, CA	G	Rent	P1	W					
9. MGM Mirage - Common	A	Dividend	K	T					
10. Zion's Bancorp - Common	A	Dividend	K	T					
11. Wal-Mart Stores - Common	A	Dividend	K	T					
12. IGT	A	Dividend	J	T					
13. Harbor Fund	A	Dividend	K	T					
14. Stillwater Farms, Inc.-Common		None	M	W					
15. McDonald Carano Wilson 401K Profit Sharing Plan									
16. **VGENX	D	Dividend	M	T					
17. **COST	A	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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18. **BMO - Account BMO Prime	B	Interest	K	T	Sold (part)	10/23/19	K	A	
19. Charles Schwab IRA Rollover Account									
20. **PRFDX	A	Dividend	M	T					
21. **TGCNX	A	Dividend	N	T	Sold (part)	12/06/19	K	D	
22. **DODGX	A	Dividend	N	T	Sold (part)	12/06/19	K	D	
23. **PTLAX	A	Interest	M	T					
24. **SWUXX	A	Interest	J	T					
25. **VSGBX	A	Interest	M	T					
26. IRA #1									
27. **Merrill Lynch - Account Bank of America, NA RASP	A	Interest	K	T					
28. IRA #2									
29. **AICFX	B	Dividend	L	T	Sold (part)	12/10/19	J	C	
30. IRA #3									
31. **VWESX	A	Dividend	K	T					
32. Bank of America - Accounts	A	Interest	K	T					
33. First Independent Bank - Accounts	A	Interest	N	T					
34. Brokerage #1									

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(See Columns B1 and D4)

2 Value Codes

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(See Column C2)

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35. - BAC	A	Dividend	J	T					
36. - BPK	A	Interest			Redeemed	01/04/19	K	D	
37. - BYD	A	Dividend	K	T					
38. - PBMFX	B	Interest	M	T					
39. - ZION	A	Dividend	K	T					
40. - IQI	A	Interest	K	T					
41. - NEA	A	Interest	K	T					
42. -NVG	B	Interest	L	T					
43. - Morgan Stanley Bank, N.A. Deposit Program	A	Interest	L	T					
44. Brokerage #2									
45. - BAC	A	Dividend	K	T					
46. - WMT	A	Dividend	M	T					
47. - IGT	A	Dividend	J	T					
48. - Morgan Stanley Bank	A	Interest	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 4, line 3: column C(2), the appraisal date is December 1998.

Part VII, page 4, line 15: The McDonald Carano Wilson 401K Profit Sharing Plan is a 401K profit sharing plan derived from this filer's former employment prior to 2002 in the private sector. It is owned by this filer and is a self-directed plan.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Larry R. Hicks**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544