

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crawford, Karen S.	2. Court or Organization U.S. District Court, Southern District of California	3. Date of Report 08/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. Courthouse 333 W. Broadway, Suite 1010 San Diego, Ca. 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Executive Board	Wallace Chapter, American Inn of Court
2. Member, Past President, Executive Board	Welsh Chapter, American Inn of Court
3. Member	Council of Visitors, California Western School of Law
4. Trustee	Trust No. 1
5. Trustee	Trust No. 2
6. Trustee	Trust Nos. 3, 4
7. Custodian	Custodial Accounts, Nos. 1, 2

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Crawford, Karen S., 08/07/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Rows 1-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

Name of Person Reporting	Date of Report
Crawford, Karen S.	08/07/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Crawford, Karen S.

Date of Report

08/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust Acct. No. 1 (Vanguard)									
2. - Ca. Municipal Money Market Fund	A	Distribution	L	T					
3.									
4. Trust Acct. No. 4 (Y)									
5.									
6. - Capital World Growth and Income Fund-529A	C	Interest	L	T					
7. - Growth Fund of America-529A	B	Interest	K	T					
8. - Income Fund of America-529A	C	Interest	J	T					
9. - Investment Co. of America-529A	C	Interest	L	T					
10. - New Perspective Fund-529A	B	Interest	K	T					
11. - New World Fund-529A	A	Interest	J	T					
12. - SMALLCAP World Fund-529A	A	Interest	K	T					
13. - Washington Mutual Investors Fund- 529A	A	Interest	J	T					
14.									
15. Custodial Acct. No. 2 (American Funds)									
16. - New Perspective Fund-529A	A	Interest	J	T					
17. - Capital World Growth and Income-529A	A	Interest			Sold	01/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.									
19. Retirement Acct. IRA No. 1 (TIAA/CREF)									
20. - TIAA/CREF Traditional	A	Interest	L	T					
21. - CREF/CREF Global Equities	A	Interest	L	T					
22.									
23. Retirement Acct. IRA No. 2 (Schwab)									
24. - TIAA CREF Lifecycle 2020 Institution	C	Interest	N	T					
25.									
26. Retirement Acct. IRA No. 3 (Schwab)									
27. - DFA US Large Cap Value I	A	Interest	L	T					
28. - Schwab Institutional Large Cap Value	A	Interest	K	T					
29. - William Blair Large Cap Growth I	C	Interest	K	T					
30. - DFA Small Cap	A	Interest	J	T					
31. - Ivy Mid Cap Growth I	C	Interest	K	T					
32. - Royce Opportunity Instl.	C	Interest	K	T					
33. - Dodge & Cox Balanced	C	Interest	K	T					
34. - BlackRock Low Duration Bond Instl.	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. - Metro. West Total Return Bond	A	Interest	J	T					
36.									
37. Retirement Acct. IRA No. 4 (UBS)									
38. - Checking Acct. (UBS)	A	Interest	J	T					
39. - Ca.Tax Exempt Money Market	A	Interest	M	T					
40.									
41. Mass. Mutual Life Exec Group Life, Strategic Group Universal Life Ins.	B	Interest	J	T					
42.									
43. Chase Cash Checking Acct.		None	J	T					
44.									
45.									
46.									
47. Brokerage Account #2 (H)									
48. Brokerage Account #4 (H)									
49. Brokerage Account #5 (H)									
50. Brokerage Account #7 (H)									
51. -MO ALTRIA GROUP INC	B	Dividend	K	T					

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52. -GOOG ALPHABET INC		None	L	T					
53.									
54. -AAPL Apple Inc.	C	Dividend	M	T					
55. -BAC BANK OF AMERICA	A	Dividend	J	T					
56. -BRKB BERKSHIRE HATHAWAY INC-DE		None	O	T					
57. -BAM BROOKFIELD ASSET MGMT	C	Dividend	N	T					
58. -COF CAPITAL ONE FINL CORP	A	Dividend	J	T					
59. - Cash (TD Bank USA FDIC ins. dep.) and cash	A	Interest	M	T					
60. -CX Cemex, S.A.B. De C.V. Sponsored		None	K	T					
61. -CHK CHESAPEAKE ENERGY		None	J	T					
62. -CKH Seacor Holdings Inc Com		None	K	T					
63. -KO COCA COLA CO	A	Dividend	J	T					
64. -DEO DIAGEO PLC-SPONSORED ADR NEW REPSTG 4 ORD SHS (X)	C	Dividend	M	T					
65. -EOG EOG RESOURCES	A	Dividend	J	T					
66. -XOM Exxon Mobil Corporation Com	A	Dividend	J	T					
67. -FB Facebook		None	J	T					
68. -FRFHF FAIRFAX FNL HLDGS	A	Dividend	K	T					

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69. -GE GENERAL ELECTRIC CO	A	Dividend	K	T					
70. -IVV Ishares Core S&P 500	D	Dividend	N	T					
71. -CKHUY CK Hutchinson Holdings	A	Dividend	J	T					
72. -JEF JEFFERIES FINANCIAL GROUP INC (formerly LUK LEUCADIA NATL CORP)	B	Dividend	K	T					
73. -L LOEWS CORPORATION	B	Dividend	M	T					
74. -LPG DORIAN LPG LTD		None	J	T					
75. -MKL MARKEL CP COM		None	M	T					
76. -MSFT Microsoft Corporation	D	Dividend	O	T					
77. -NSRGY NESTLE SA SPONSORED ADRS REGISTERED	B	Dividend	L	T					
78. -ORCL ORACLE CORP	A	Dividend	L	T					
79. -PM PHILIP MORRIS INTL COM	B	Dividend	K	T					
80. -PXD Pioneer Natural Resources Company	A	Dividend	M	T					
81. -SMHI SEACOR MARINE HLDGS INC		None	J	T					
82. -SPEM SPDR PORTFOLIO EMG MK ETF		None	K	T					
83. -SPDW SPDR PORTFOLIO DEVL PD ETF	A	Dividend	K	T					
84. -SPTM SPRD SERIES TRUST PORTFOLIO TL STK ETF	C	Dividend	N	T					

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85. -SU Suncor Energy Inc. Com	A	Dividend	K	T					
86. -Treasury Bonds T-Bill	D	Interest	P1	T					
87. -UTX UNITED TECH CORP	A	Dividend	J	T					
88. -V VISA INC	A	Dividend	J	T					
89. -YUM YUM BRANDS	B	Dividend	L	T					
90. -YUMC Yum China Holdings Inc.	A	Dividend	K	T					
91. Brokerage Account #9 (H)									
92. -GOOG Alphabet Inc Class C		None	M	T					
93. -AAPL Apple Inc.	B	Dividend	L	T					
94. -BRKB BERKSHIRE HATHAWAY INC-DE		None	N	T					
95. - Cash (TD Bank USA FDIC ins. dep.) and cash	A	Interest	K	T					
96. -CHK Chesapeake Energy Corp Com		None	K	T					
97. -CKH Seacor Holdings Inc Com		None	J	T					
98. -KO COCA COLA CO	B	Dividend	K	T					
99. -LPG DORIAN LPG LTD		None	J	T					
100. -XOM Exxon Mobil Corporation Com	A	Dividend	J	T					
101. -CKHUY CK Hutchinson Holdings Ltd Adr	A	Dividend	J	T					

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102. -JEF JEFFERIES FINANCIAL GROUP (formerly LUK LEUCADIA NATL CORP)	A	Dividend	K	T					
103. -L LOEWS CORPORATION	A	Dividend	K	T					
104. -MKL Markel Corp Com		None	J	T					
105. -ORCL Oracle Corp Com	A	Dividend	L	T					
106. -PM PHILIP MORRIS INTL COM	B	Dividend	K	T					
107. -SPEM SPDR PORTFOLIO EMG MK ETF		None	K	T					
108. -SPTM SPDR SERIES TRUST PORTFOLIO TL STK ETF	A	Dividend	L	T					
109. -Treasy Bonds - T-Bills	C	Interest	N	T					
110. -Treasury Bonds - TIPS	B	Interest	M	T					
111.									
112. Brokerage account #10 (H)									
113. Brokerage Account #2 (H)									
114. Brokerage Account #4 (H)									
115. Brokerage Account #5 (H)									
116. Brokerage Account #7 (H)									
117. -MO ALTRIA GROUP INC	B	Dividend	K	T					
118. -GOOG ALPHABET INC		None	L	T					

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119. -AAPL Apple Inc.	C	Dividend	M	T					
120. -BAC BANK OF AMERICA	A	Dividend	J	T					
121. -BRKB BERKSHIRE HATHAWAY INC-DE		None	O	T					
122. -BAM BROOKFIELD ASSET MGMT	C	Dividend	N	T					
123. -COF CAPITAL ONE FINL CORP	A	Dividend	J	T					
124. - Cash (TD Bank USA FDIC ins. dep.) and cash	A	Interest	M	T					
125. -CX Cemex, S.A.B. De C.V. Sponsored		None	K	T					
126. -CHK CHESAPEAKE ENERGY		None	J	T					
127. -CKH Seacor Holdings Inc Com		None	K	T					
128. -KO COCA COLA CO	A	Dividend	J	T					
129. -DEO DIAGEO PLC-SPONSORED ADR NEW REPSTG 4 ORD SHS (X)	C	Dividend	M	T					
130. -EOG EOG RESOURCES	A	Dividend	J	T					
131. -XOM Exxon Mobil Corporation Com	A	Dividend	J	T					
132. -FB Facebook		None	J	T					
133. -FRFHF FAIRFAX FNL HLDGS	A	Dividend	K	T					
134. -GE GENERAL ELECTRIC CO	A	Dividend	K	T					
135. -IVV Ishares Core S&P 500	D	Dividend	N	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
136. -CKHUY CK Hutchinson Holdings	A	Dividend	J	T					
137. -JEF JEFFERIES FINANCIAL GROUP INC (formerly LUK LEUCADIA NATL CORP)	B	Dividend	K	T					
138. -L LOEWS CORPORATION	B	Dividend	M	T					
139. -LPG DORIAN LPG LTD		None	J	T					
140. -MKL MARKEL CP COM		None	M	T					
141. -MSFT Microsoft Corporation	D	Dividend	O	T					
142. -NSRGY NESTLE SA SPONSORED ADRS REGISTERED	B	Dividend	L	T					
143. -ORCL ORACLE CORP	A	Dividend	L	T					
144. -PM PHILIP MORRIS INTL COM	B	Dividend	K	T					
145. -PXD Pioneer Natural Resources Company	A	Dividend	M	T					
146. -SMHI SEACOR MARINE HLDGS INC		None	J	T					
147. -SPEM SPDR PORTFOLIO EMG MK ETF		None	K	T					
148. -SPDW SPDR PORTFOLIO DEVL PD ETF	A	Dividend	K	T					
149. -SPTM SPRD SERIES TRUST PORTFOLIO TL STK ETF	C	Dividend	N	T					
150. -SU Suncor Energy Inc. Com	A	Dividend	K	T					
151. -Treasury Bonds T-Bill	D	Interest	P1	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Crawford, Karen S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. -UTX UNITED TECH CORP	A	Dividend	J	T					
153. -V VISA INC	A	Dividend	J	T					
154. -YUM YUM BRANDS	B	Dividend	L	T					
155. -YUMC Yum China Holdings Inc.	A	Dividend	K	T					
156. Brokerage Account #9 (H)									
157. -GOOG Alphabet Inc Class C		None	M	T					
158. -AAPL Apple Inc.	B	Dividend	L	T					
159. -BRKB BERKSHIRE HATHAWAY INC- DE		None	N	T					
160. - Cash (TD Bank USA FDIC ins. dep.) and cash	A	Interest	K	T					
161. -CHK Chesapeake Energy Corp Com		None	K	T					
162. -CKH Seacor Holdings Inc Com		None	J	T					
163. -KO COCA COLA CO	B	Dividend	K	T					
164. -LPG DORIAN LPG LTD		None	J	T					
165. -XOM Exxon Mobil Corporation Com	A	Dividend	J	T					
166. -CKHUY CK Hutchinson Holdings Ltd Adr	A	Dividend	J	T					
167. -JEF JEFFERIES FINANCIAL GROUP (formerly LUK LEUCADIA NATL CORP)	A	Dividend	K	T					
168. -L LOEWS CORPORATION	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
169. -MKL Markel Corp Com		None	J	T					
170. -ORCL Oracle Corp Com	A	Dividend	L	T					
171. -PM PHILIP MORRIS INTL COM	B	Dividend	K	T					
172. -SPEM SPDR PORTFOLIO EMG MK ETF		None	K	T					
173. -SPTM SPDR SERIES TRUST PORTFOLIO TL STK ETF	A	Dividend	L	T					
174. -Treasy Bonds - T-Bills	C	Interest	N	T					
175. -Treasury Bonds - TIPS	B	Interest	M	T					
176. Brokerage account #10 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

44 and 50 Not listed in Section VII and reasons therefore:

1. Trust No. 1: Trust account for trust related expenses.
2. Trust No. 2: Trust account created for benefit of filer.
3. Trust Nos. 3, 4: Trust created for the benefit of third parties for which filer was designated as a "trustee" consistent with the controlling Canons of Judicial Conduct, No. 4(e). Filer has no financial interest in the assets of these trusts, no knowledge of manner in which the principal is invested, and no control or influence of any kind over investment decisions related to the assets held in this trust. Rather, filer's sole fiduciary responsibility is limited to the exercise of veto power for requests made by beneficiaries to Trust Nos. 3 or 4 to incur extraordinary expenses as specifically defined by trust document. To reiterate, filer has no fiduciary responsibilities over the management or investment of the "trust" assets, nor does she have any beneficial interest of any kind in Trust No. 3 and 4.
4. Partnership No. 1: real estate assets for which filer has potential future interest when and if partnership real estate interests are sold. Filer has no direct or indirect control over holdings, and cannot influence policy or other decisions which affect the purchase, exchange, sale or disposition of the partnership assets.
5. Brokerage account no. 6 was merged in 2013 with another account (see 2013 FDR), and no longer exists.
6. Regarding brokerage account nos. 7, 8, and 9, after consultation with a representative from the Washington, D.C. FDR Office, the delivery of shares of Hutchinson Whampoa (HUWHY), receipt of new shares of CK Hutchinson Holdings (CKHUY), and related dividends and returns of capital were noted as follows:
 - a. HUWHY was held in three accounts on 12/31/15.
 - b. HUWHY paid a dividend in the three accounts in 2015.
 - c. There was a reorganization (mandatory exchange) on 6/19/15 which resulted, for all three accounts, in the following:
 - i. All shares of HUWHY were surrendered. This was noted in D(1) as "Distributed" on 6/19/15 (D2) and the dollar value of the "Distributed" shares was noted in D(3). This was not a taxable event and there were no capital gains or losses.
 - ii. There was a "return of capital" which was noted in the following row. The amount of the ROC was noted in B(1) and the type was noted as a distribution in B(2).
 - iii. In addition to the return of capital, shares of CKHUY were received (6/19/2015). There was a subsequent dividend paid by CKHUY which was noted in B(1) and B(2). In all three accounts, shares of CKHUY were owned on 12/31/2015. The value was noted in C(1) and C(2). The acquisition of shares of the CKHUY was noted in D(1) as a spinoff from HUWHY, on 6/19/15(D2) and the dollar value of the shares of CKHUY which were received was noted in D(3).
7. In Part VII, lines 54 and 62, William Blair Large Cap Growth I and MainStay Marketfield I are listed. Both of these assets were acquired on 12/31/2013. The William Blair Large Cap Growth I was acquired for \$10.33 per share, for an aggregate total purchase price of \$7,234.61. The MainStay Marketfield I asset was acquired on the same date for \$18.52 per share, for a total of \$7,234.64.
8. PCP (Precision Castparts) was fully acquired, for cash, by Berkshire Hathaway, on February 1, 2016. This acquisition was taxable and was listed on 1099s as a sale. It is listed, in several accounts, as a sale in section D.
9. Note that line #143, listing SPY in brokerage account #5 does not have a corresponding acquisition listed on the 2015 FDR. This was an error. The 2015 report listed account #5 as holding cash at year-end. It should have listed the following: Column A: -SPY SPDR S&P 500 ETF; Column B(1): A; Column B(2): Divident; Column C(1): J; Column C(2): T; Column D(1): Buy; Column D(2): 03/13/15; Column D(3): J.
10. Note that COSWF was 100% acquired by SU (Suncor) on March 24, 2016. This was a non-taxable transaction, in which COSWF shareholders relinquished their shares, which were exchanged for SU shares. The manner in which the 2016 FDR reports these holdings for all accounts was suggested by FDR staff on 7/27/2017.
11. Note that the increase in cash, held in brokerage account Nos. 8, 11, 12, and trust account No. 1 was due to inheritance in 2016.
12. In Part VII, lines 1, 4, 9, 20, 26, 30, 33, 45, 51-54, 138, and 170 are headings only.
13. In Part VII, line 2, the Vanguard "Ca. Municipal Money Market Fund" is the same as the 2015 Vanguard "Ca. Tax Exempt Money Market Fund." The name of the Fund was changed by Vanguard sometime after the filing of the 2015 Report.
14. In Part VII, lines 79-93, the assets were acquired in trust through inheritance in 11/2016. The equities are not listed at line 86, as they were transferred to other accounts in 2017, and will be listed separately in my 2017 Financial Disclosure.
15. Part VII, lines 711-72, these accounts were transferred to TD Ameritrade on 11/10/2010, and were inadvertently excluded from earlier filed Financial Disclosure reports.
16. Part VII, lines 10-12, these accounts were sold in March 2012 for the Total International Stock Admiral and Total Bond Market Index Admiral (lines 10 and 11), and in June 2012 for the Total Stock Market Index Admiral (line 12). This information was inadvertently not included in earlier Financial Disclosure reports. The 01/01/16 dates were included in the current submission as the system would not permit recordation of an earlier correct date.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

17. Part VII, lines 19, 20 and 24, these assets were distributed on 2/24/2014, 1/1/2015, and 1/1/15, respectively. The same is true for lines 38-40, which were distributed on 8/12/15. This information was inadvertently not included in earlier Financial Disclosure reports. The 01/01/216 dates were included in the current submission as the system would not permit recordation of the earlier correct dates.

18. In the 12/19/2017 letter from Judge Trenga, reference is made in the third paragraph on page one to a request for additional information for Part VII, line 332. I believe that a correction was instead requested for line 32, as there is in line 332 in the report. Accordingly, I have incorporated a correction to Line 32 into this amended report.

19. Part VII, line 62, assets from this Mainstay Marketfield I were moved, 50/50% on 4/8/2016 to Janus I (line 53) and William Blair Large Cap Growth I (line 54).

20. Part VII, assets listed in lines 20, 24, were distributed on 7/1/15, and for lines 38 and 39 were distributed on 8/12/15 to make college tuition payments.

21. Brokerage Account #3 was merged with Brokerage Account #2 on 4/3/17. This is recorded as "sold" in Account #3's holding, a cash balance, and "bought" on line 24 in Account #2. An examiner instructed us to handle merged accounts in this manner during a phone conversation the week of 5/28/2018, and again on 6/11/2018. No capital gain is recorded, as no capital gain was realized.

22. Brokerage Account #8 was merged with Brokerage Account #2 on 11/3/2017. This is reflected in the "buy" transactions in Account #7 and "sell" transactions in Account #8. The "sell" transactions have no capital gains because they were transferred to a like-titled account, not sold in taxable transactions. This method of recording the merged accounts was recommended by an examiner telephonically during the week of 5/28/2018 and confirmed on 6/11/18.

23. Brokerage Account #12 was also merged with Brokerage Account #7 on 11/3/17. This is reflected in the "buy" transactions in Account #7 and "sell" transactions in Account #8. The sell transactions have no capital gains because they were transferred to a like-titled account, not sold in taxable transactions. This method of recording the merged accounts was prescribed telephonically by an examiner during the week of 5/28/2018, and confirmed on 6/11/2018. These admissions, as they have are no longer minors and the accounts have been transferred into their names.

24. For Retirement Acct. IRA No. 3 (Schwab), starting at Sec. VII, line 26, one reference to "Schwab Institutional Large Cap Value" was removed, as duplicative.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen S. Crawford**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544