

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Gilman, Ronald L.	2. Court or Organization U. S. Court of Appeals, Sixth Circuit	3. Date of Report 03/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 167 North Main Street, Suite 1176 Memphis, TN 38103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Gulf Housing Ltd. Partnership (5 apt. projects in Ala. and Miss.)	A	Interest	J	W					
2.	C	Rent							
3. FedTrust Federal Credit Union (cash- equivalent)	A	Interest	L	T					
4. Schwab Cash & Bank Sweep (cash- equivalent)	A	Interest	K	T					
5. Barclays Bank iPath Pure Beta		None			Buy (add'l)	03/20/20	J		
6.					Sold	03/23/20	L		
7. Blackrock Strategic Income Opportunities Portfolio	A	Dividend			Buy (add'l)	01/14/20	K		
8.					Sold (part)	03/09/20	J		
9.					Sold	03/20/20	K		
10. Charles Schwab U.S. REIT		None			Sold	03/23/20	L		
11. Charles Schwab U.S. Broad Market	D	Dividend	N	T	Buy	03/20/20	K		
12.					Buy (add'l)	03/23/20	N		
13.					Sold (part)	06/25/20	L	E	
14. DFA Emerging Markets Core Equity Portfolio	C	Dividend	M	T	Buy (add'l)	03/20/20	K		
15. DFA International Core Equity	D	Dividend	O	T	Buy (add'l)	03/20/20	K		
16.					Buy (add'l)	03/23/20	M		
17.					Sold (part)	04/07/20	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DFA International Small Co. Portfolio		None			Buy	03/23/20	K		
19.					Sold	06/16/20	K	D	
20. DFA U.S. Small Cap Value	C	Dividend	M	T	Buy (add'l)	03/09/20	K		
21.					Buy (add'l)	03/20/20	L		
22.					Buy (add'l)	03/23/20	J		
23.					Sold (part)	03/27/20	L		
24.					Sold (part)	09/01/20	K		
25. Doubleline Shiller Enhanced CAPE	A	Dividend			Sold	03/23/20	L		
26. Fidelity International Cap Appreciation Fund		None			Buy	01/17/20	L		
27.					Sold	08/28/20	L	C	
28. Fidelity Money Market Premium Class		None			Sold	01/17/20	L		
29. Fidelity Select IT Services Portfolio	E	Dividend	N	T					
30. Fidelity Select Technology	E	Dividend	M	T	Buy	08/28/20	L		
31.					Buy (add'l)	08/31/20	L		
32. Goldman Sachs Growth Equity Linked Note due 7/9/20		None			Sold	03/24/20	M		
33. Goldman Sachs GQG Partners International Opportunities	A	Dividend	K	T	Buy	06/16/20	K		
34. Invesco S&P 500 Quality	A	Dividend			Sold	03/23/20	L	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. iShares MSCI World Index		None			Buy	02/03/20	L		
36.					Buy (add'l)	02/26/20	L		
37.					Sold	03/23/20	L		
38. iShares S&P Midcap 400 Value	D	Dividend	N	T	Buy (add'l)	03/20/20	K		
39.					Buy (add'l)	03/23/20	L		
40.					Sold (part)	09/01/20	K	D	
41. iShares Tr. Russell 3000 Index	E	Dividend	P1	T	Sold (part)	01/14/20	K	D	
42.					Sold (part)	09/01/20	L	F	
43. John Hancock Multifactor Developed Int'l	B	Dividend	M	T	Buy	04/07/20	L		
44. JP Morgan Strategic Income Opportunity Port.	A	Dividend			Sold	03/20/20	J		
45. Matthews Asia Growth		None			Sold	01/31/20	L	D	
46. Morgan Stanley Note Zero CPN due 2/25/20		None			Matured	02/25/20	L	D	
47. Pimco Enhanced Short Maturity Strategy	A	Dividend	K	T					
48. SPDR S&P 600 Small Cap Value	B	Dividend	M	T	Buy	03/27/20	L		
49. Stone Ridge Alt. Lending Risk Premium	A	Dividend	J	T	Sold (part)	06/12/20	J		
50.					Sold (part)	09/11/20	J		
51.					Sold (part)	12/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Stone Ridge Reinsurance Risk Premium Interval	B	Dividend	L	T	Sold (part)	05/29/20	J		
53.					Sold (part)	08/21/20	K		
54.					Sold (part)	11/20/20	J		
55. T Rowe Price Summit Int. Term Bond	D	Interest	O	T	Sold (part)	03/20/20	L		
56.					Buy (add'l)	03/23/20	M		
57.					Buy (add'l)	06/25/20	L		
58.					Buy (add'l)	08/28/20	K		
59.					Buy (add'l)	09/01/20	M		
60. Thornburg Ltd. Term Muni	A	Interest			Sold (part)	03/09/20	J	A	
61.					Sold	03/20/20	M		
62. Tortoise MLP & Pipeline		None			Buy	03/20/20	K		
63.					Sold	03/23/20	K		
64. Tortoise North American Pipeline	A	Dividend			Sold	03/23/20	K		
65. Vanguard International Dividend	A	Dividend			Sold	03/23/20	L		
66. Vanguard Ltd. Term Tax Exempt (Admiral Share)	A	Dividend			Sold	03/23/20	L		
67.									
68.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. IRA #1 (H)									
70. - Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	K	T					
71. - Blackrock Strategic Income Opportunities Portfolio	A	Dividend			Sold	03/20/20	K		
72. - Charles Schwab U.S. Broad Market	A	Dividend			Buy	03/20/20	J		
73. - DFA Int'l Core Equity	C	Dividend	M	T	Sold (part)	01/14/20	J	A	
74.					Buy (add'l)	03/20/20	L		
75.					Sold (part)	09/25/20	L	D	
76. - DFA Int'l Small Company Port.		None			Buy (add'l)	03/20/20	K		
77.					Sold	06/16/20	M	B	
78. - DFA U.S. Small Cap Value	C	Dividend	M	T	Buy (add'l)	03/23/20	K		
79. - Dodge & Cox Income Fund	A	Dividend	K	T	Buy	06/25/20	J		
80.					Buy (add'l)	09/25/20	K		
81. - Doubleline Shiller Enhanced CAPE		None			Buy	03/20/20	J		
82.					Sold	03/23/20	J		
83. - Goldman Sachs GQG Partner International Opportunities	A	Dividend	M	T	Buy	06/16/20	M		
84.					Buy (add'l)	09/25/20	K		
85. - iShares Edge MSCI International Quality		None			Buy	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold	03/23/20	J		
87. - JP Morgan Strategic Income Oppty.	A	Dividend			Sold	03/20/20	K		
88. -JP Morgan Tax Aware Real Return	A	Dividend			Sold	03/20/20	K		
89. - Stone Ridge Alt. Lending Risk Premium	A	Dividend	J	T	Sold (part)	03/13/20	K		
90.					Sold (part)	06/12/20	J		
91.					Sold (part)	09/11/20	J		
92.					Sold (part)	12/04/20	J		
93.									
94. IRA #2 (H)									
95. -Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	K	T					
96. - DFA Emerging Markets Core Equity	B	Dividend	L	T	Buy	03/23/20	K		
97. - DFA U.S. Small Cap Value	A	Dividend	L	T	Buy	03/09/20	J		
98.					Buy (add'l)	03/23/20	K		
99.					Buy (add'l)	09/25/20	J		
100. -Dodge & Cox Income Fund	A	Dividend	K	T	Sold (part)	03/09/20	J	A	
101.					Buy (add'l)	06/25/20	J		
102.					Buy (add'l)	08/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	09/25/20	J		
104. -Goldman Sachs Gr. Equity Linked Note due 7/9/20		None			Sold	03/24/20	K		
105. -iShares MSCI World Index		None			Buy	02/03/20	K		
106.					Sold	03/23/20	K		
107. -JP Morgan Strategic Income Opportunity	A	Dividend			Sold	03/20/20	K		
108. -Matthews Asia Growth		None			Sold	01/31/20	K		
109. - Stone Ridge Alt. Lending Risk Premium	B	Dividend	K	T	Sold (part)	06/12/20	J		
110.					Sold (part)	09/11/20	J		
111.					Sold (part)	12/04/20	K		
112. -Stone Ridge Reinsurance Risk Premium Interval	A	Dividend	J	T	Sold (part)	05/29/20	J		
113.					Sold (part)	08/21/20	J		
114.					Sold (part)	11/20/20	J		
115. -Vanguard REIT					Buy	03/20/20	K		
116.					Sold	03/23/20	K		
117.									
118. College Savings Plan (529 account) for Grandchild #1 (H)									
119. - Fidelity/Delaware Money Market		None	L	T	Sold (part)	04/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	07/28/20	K		
121.					Sold (part)	12/29/20	K		
122.									
123. College Savings Plan (529 account) for Grandchild #2 (H)									
124. - Fidelity/Delaware Money Market		None	M	T					
125.									
126. College Savings Plan (529 account) for Grandchild #3 (H)									
127. - Fidelity/Delaware Total Market Index Fund		None	L	T					
128. -Fidelity/Delaware Money Market		None	M	T					
129.									
130. College Savings Plan (529 account) for Grandchild #4 (H)									
131. - Fidelity/Delaware Total Market Index Fund		None	L	T					
132. -Fidelity/Delaware Money Market		None	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 118-132. I have shown "None" for "Income during reporting period" for these college savings plans (Sec. 529 accounts) because that information is not generated by Fidelity Investments due to the tax free nature of the accounts.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Gilman, Ronald L.

Date of Report

03/19/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ronald L. Gilman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544