

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Black, Paul M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Virginia	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 210 Church Avenue, S.W., Room 209 Roanoke, VA 24011		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	W-2, Second Presbyterian Church
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	March 1 - 3, 2020	New York, New York	Duberstein Bankruptcy Moot Court Competition	lodging, transportation and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BB&T	A	Interest	J	T					
2. American Funds Investment Company of America Class A	A	Dividend	K	T	Sold (part)	01/28/20	J	A	market
3.					Sold (part)	07/29/20	J	B	market
4.					Sold (part)	11/30/20	J	C	market
5. American Funds Capital World Growth & Income Class A	A	Dividend	K	T					
6. Growth Fund of America Class A	B	Dividend	K	T	Sold (part)	01/28/20	J	A	market
7.					Sold (part)	04/29/20	J	B	market
8.					Sold (part)	09/01/20	J	B	market
9.					Sold (part)	10/29/20	J	C	market
10. American Balanced Class A	B	Dividend	L	T					
11. Washington Mutual Investors Class A	B	Dividend	K	T	Sold (part)	01/28/20	J	A	market
12.					Sold (part)	09/30/20	J	B	market
13.					Sold (part)	12/29/20	J	C	market
14. Income Fund of America Class A	B	Dividend	L	T	Sold (part)	06/30/20	J	A	market
15. American Funds Europacific Growth Class A	A	Dividend	J	T					
16. American Funds Smallcap World Class A	A	Dividend	K	T					
17. Amcap Class A	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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18. New York Life Insurance Policy (see note #1)	B	Dividend	K	T					
19. CEMA LLC (Roanoke, VA) (see note #2)	B	Rent	K	W					
20. Prime Cash Obligs CII	A	Interest	J	T					
21. Federated Prime Cash Obl Funds Wealth Class Shares- see note 3 (X)	A	Dividend							
22. IShares ETF	A	Dividend			Sold (part)	03/27/20	J		market
23.					Sold	12/31/20	J		market
24. IShares Core S&P Small Cap	A	Dividend			Sold	03/27/20	J		market
25. Cohen & Steers PFD Secs & Income Fund, Class 1	B	Dividend	K	T					
26. Columbia VA Int. Munc. Bond Fund Class 1	B	Dividend	L	T	Sold (part)	12/31/20	J	A	market
27. Doubleline FDS Class 1	A	Dividend	K	T	Sold (part)	12/31/20	K	C	market
28. Goldman Sachs Dynamic Munic. Income Fund	A	Dividend			Sold	05/15/20	L		market
29. American Funds New World Fund CL F2	A	Dividend	J	T	Buy (add'l)	01/31/20	J		market
30.					Sold (part)	03/27/20	J		market
31. Nuveen Municipal Trust Ltd Term Munc Bd Fd Cl 1	B	Dividend	K	T	Sold (part)	12/31/20	K	A	market
32. TCW FDS Inc. Emerging Markets Inc. Fund Cl 1	A	Dividend			Buy (add'l)	01/02/20	J		market
33.					Sold	05/15/20	K		market
34. Vanguard Funds Dividend Growth Fund Investor Class IV	A	Dividend			Buy (add'l)	01/02/20	J		market

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35.					Buy (add'l)	03/27/20	J		market
36.					Sold	12/31/20	K	C	market
37. Vanguard Growth ETF	A	Dividend	L	T	Buy (add'l)	01/31/20	J		market
38.					Buy (add'l)	03/27/20	J		market
39. Vanguard Mid-Cap ETF Growth Fund	A	Dividend	K	T	Buy (add'l)	03/27/20	J		market
40. Vanguard Mid-Cap Val ETF Index Fund	A	Dividend			Sold	12/31/20	K	A	market
41. Mainstay Mackay Convertible Fund Cl 1	A	Dividend	J	T					
42. Principal Inv. Fund Real Estate Secs FD	A	Dividend			Sold	12/31/20	J		market
43. Fidelity Investments Money Market Fds	A	Dividend			Buy	01/31/20	J		market
44.					Sold	12/31/20	J		market
45. First Eagle Gold Fund	A	Dividend	K	T	Buy	03/27/20	J		market
46. T Rowe Price Diversified Small Cap Growth Fd	A	Dividend	J	T	Buy	03/27/20	J		market
47.					Sold (part)	05/15/20	J	A	market
48. MFS Ser Tr X Emerging Markets Debt Fd Class 1	A	Dividend	K	T	Buy	05/15/20	K		market
49. Nuveen Mun Trust Inter Duration Mun Bd Fd Class 1	B	Dividend	L	T	Buy	05/15/20	L		market
50.					Sold (part)	12/31/20	J	A	market
51. Allianz Fds Multi Strt Tr Agic Conv FD Institutional Class		None	K	T	Buy	12/31/20	K		market

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. IShares Edge MSCI ETF		None	K	T	Buy	12/31/20	K		market
53. Morgan Stanley Inst. Fd Inc Global Oppty Port Class		None	J	T	Buy	12/31/20	J		market
54. Artisan Funds Developing World Fund Advisor Class Shares		None	J	T	Buy	12/31/20	J		market
55. Vanguard High Yield Corporate Fund Admiral Class Shares		None	K	T	Buy	12/31/20	K		market
56. Wells Fargo Expanded Bank Deposit	A	Interest	J	T					
57. Wells Fargo cash sweep balance		None	K	T					
58. Josiana Hungary Spring, LLC (Richmond, VA)	E	Rent	O	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Reporting person is 50% owner of this life insurance policy. Therefore, 1/2 of the total assets and income is reported. Part VII, line 18.
2. Reporting person is 10% owner of LLC. Therefore, 10% of the total assets and income is reported. Part VII, line 19.
3. Assets were sold on December 31, 2019, but dividend received in 2020. Part VII, line 21.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul M. Black**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544