

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drain, Robert D.	2. Court or Organization Southern District of New York	3. Date of Report 12/22/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Bankruptcy Court, S.D.N.Y. 300 Quarropas St. White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	American Bankruptcy Institute
2. Board Member	National Conference of Bankruptcy Judges
3. Adjunct Professor	Pace University School of Law
4. Chair	Judicial Insolvency Network
5. Trustee (See Investments and Trusts line 1111 and Part VIII)	██████████ Revocable Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Jan-Apr.	Pace Law School -- teaching	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	4/10 - 4/13	Washington, D.C.	Speaker at bankruptcy education conference; board meeting	transportation, lodging, food
2.	Practicing Law Institute	4/22	New York, NY	Speaker at bankruptcy education seminar	transportation, food
3.	Hudson Valley Bankruptcy Bar Association	5/31	Poughkeepsie, NY	Speaker at mediation training seminar	transportation, food
4.	Association of the Bar of the City of New York	6/20	New York, NY	Speaker on bankruptcy topic	transportation, food
5.	Hudson Valley Bankruptcy Bar Association	9/5 - 9/6	Poughkeepsie, NY	Sepaker at bankruptcy education conference	transporation, lodging, food

FINANCIAL DISCLOSURE REPORT

Page 3 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

6.	American Bankruptcy Institute	9/19 - 9/20	Washington, D.C.	Speaker at bankruptcy views from the bench program	transportation, lodging, food
7.	Capital Region Bankruptcy Bar Assn and The Central New York Bankruptcy Bar Assn	10/25 -10/26	Cooperstown, NY	Speaker at bankruptcy education conference	transportation, lodging, food
8.	American Bankruptcy Institute	10/30 - 11/2	Washington, D.C.	Speaker at bankruptcy education conferences; board meeting	transportation, lodging, food
9.	Federal Bar Council	11/3	New Paltz, NY	Speaker on bankruptcy topic	transportation, food
10.	American Bankruptcy Institute	11/7	New York, NY	Speaker at bankruptcy education seminar	transportation, food
11.	Association of Insolvency and Restructuring Advisors	11/18	New York, NY	Speaker at bankruptcy education conference	transportation, food
12.	American Bankruptcy Institute	12/5 -12/7	Los Angeles, CA	Speaker at bankruptcy education conference; board meeting	transportation, food, lodging
13.					
14.					
15.					
16.					
17.					

Name of Person Reporting	Date of Report
Drain, Robert D.	12/22/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Account	A	Interest			Closed	09/30/19	J		
2. Chase Account	A	Interest	K	T					
3. Acess Financial Account (Cash and Stock)		None			Closed	01/15/19	J		
4. -FifthThird Bancorp common	A	Dividend	J	T					
5. US Trust Managed Common Account (no control); see lines 5-141	E	Int./Div.	P1	T					
6. -Abbott Labs	A	Dividend	J	T	Buy	08/15/19	J		
7.					Sold (part)	09/20/19	J		
8.					Sold	10/08/19	J		
9.					Buy	12/10/19	J		
10. -Abbvie	A	Dividend	J	T	Buy	08/15/19	J		
11.					Buy (add'l)	10/08/19	J		
12. -Abiomed Inc	A	Dividend			Sold	12/19/19	J		
13. -Activision Blizzard	A	Dividend	J	T	Buy	08/15/19	J		
14.					Buy (add'l)	10/08/19	J		
15.					Sold (part)	12/10/19	J		
16. -Adobe	A	Dividend	K	T	Buy	01/14/19	J		
17.					Buy (add'l)	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	09/20/19	J		
19.					Buy (add'l)	12/10/19	J		
20. -Advanced Auto Parts		None	J	T	Buy	12/10/19	J		
21. -Advanced Mirco Devices		None	J	T	Buy	08/15/19	J		
22.					Sold	09/20/19	J		
23.					Buy	12/10/19	J		
24. -AES	A	Dividend	J	T	Buy	08/15/19	J		
25.					Buy (add'l)	10/08/19	J		
26. -Affiliated Managers Grp	A	Dividend	J	T	Buy	10/08/19	J		
27. -Aflac		None			Buy	08/15/19	J		
28.					Sold	10/08/19	J		
29. -Air Prods & Chems	A	Dividend	J	T	Buy	10/08/19	J		
30. -Akamai Technologies		None			Buy	10/08/19	J		
31.					Sold	12/10/19	J		
32. -Alaska Air Grp	A	Dividend	J	T	Buy	10/08/19	J		
33. -Alexion Pharmaceuticals		None			Buy	08/15/19	J		
34.					Sold	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Align Technology		None	J	T	Buy	10/08/19	J		
36. -Allergan	A	Dividend	J	T	Buy	08/15/19	J		
37. -Allstate	A	Dividend	J	T	Buy	08/15/19	J		
38.					Buy (add'l)	10/08/19	J		
39. -Alphabet Inc. CL C Com		None	M	T	Sold (part)	01/14/19	J	A	
40.					Buy (add'l)	08/15/19	J		
41.					Buy (add'l)	10/08/19	J		
42. -Alphabet Inc. CL A Com		None	M	T	Sold (part)	06/10/19	J	B	
43.					Buy (add'l)	08/15/19	J		
44.					Buy (add'l)	10/08/19	J		
45. -Altria Grp.	A	Dividend	J	T	Buy	08/15/19	J		
46.					Sold	09/20/19	J		
47.					Buy	12/10/19	J		
48. -Amazon Com		None	N	T	Sold (part)	02/26/19	J	B	
49.					Sold (part)	07/16/19	J	D	
50.					Buy (add'l)	08/15/19	J		
51.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Amcor		None			Buy	08/15/19	J		
53.					Sold	09/20/19	J		
54. -American Airls Grp		None			Buy	08/15/19	J		
55.					Sold	10/08/19	J		
56. -American Elec Power	A	Dividend	J	T	Buy	08/15/19	J		
57.					Buy (add'l)	12/10/19	J		
58. -American Express	A	Dividend	J	T	Buy	08/15/19	J		
59.					Sold	09/20/19	J		
60.					Buy	12/10/19	J		
61. -American Int'l Grp.	A	Dividend	J	T	Buy	08/15/19	J		
62.					Buy (add'l)	12/10/19	J		
63. -American Tower		None			Buy	08/15/19	J		
64.					Buy (add'l)	10/08/19	J		
65.					Sold	12/03/19	J		
66. -Amerisourcebergen	A	Dividend			Buy	08/15/19	J		
67.					Sold	09/20/19	J		
68. -Ametek	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 9 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Amgen	A	Dividend	J	T	Buy	08/15/19	J		
70.					Sold	09/20/19	J		
71.					Buy	12/10/19	J		
72. -Analog Devices	A	Dividend	J	T	Buy	08/15/19	J		
73.					Buy (add'l)	10/08/19	J		
74. -Ansys		None	J	T	Buy	10/08/19	J		
75. -Anthem	A	Dividend	J	T	Buy	08/15/19	J		
76.					Sold	09/20/19	J		
77.					Buy	12/10/19	J		
78. -Aon	A	Dividend	J	T	Buy	08/15/19	J		
79. -Apache		None			Buy	08/15/19	J		
80.					Buy (add'l)	10/08/19	J		
81.					Sold	12/10/19	J		
82. -Apartment Invt & Mgmt	A	Dividend	J	T	Buy	08/15/19	J		
83.					Buy (add'l)	12/10/19	J		
84. -Apple	B	Dividend	M	T	Sold (part)	01/14/19	J	B	
85.					Buy (add'l)	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	10/08/19	J		
87. -Applied Matls	A	Dividend	J	T	Buy	08/15/19	J		
88. -Aptiv PLC		None	J	T	Sold (part)	01/16/19	J	A	
89.					Sold (part)	08/05/19	J	C	
90.					Buy (add'l)	08/15/19	J		
91.					Buy (add'l)	10/08/19	J		
92. -Archer Daniels Midland	A	Dividend	J	T	Buy	08/15/19	J		
93.					Buy (add'l)	10/08/19	J		
94. -Arconic		None			Buy	08/15/19	J		
95.					Sold	10/08/19	J		
96. -AT&T	A	Dividend	J	T	Open	06/25/19	K		
97.					Sold (part)	07/24/19	K	A	
98.					Buy (add'l)	08/15/19	J		
99. -Autodesk		None			Buy	09/20/19	J		
100.					Sold	10/08/19	J		
101. -Automatic Data Processing		None			Buy	08/15/19	J		
102.					Sold	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -AvalonBay Cmnty		None			Buy	10/08/19	J		
104.					Sold	12/10/19	J		
105. -Avery Dennison	A	Dividend	J	T	Buy	10/08/19	J		
106. -Baker Hughes					Buy	08/15/19	J		
107.					Sold	09/20/19	J		
108. -Ball	A	Distribution	J	T	Buy	08/15/19	J		
109.					Sold	09/20/19	J		
110.					Buy	12/10/19	J		
111. -Bank of NY Mellon	A	Dividend	J	T	Buy	08/15/19	J		
112.					Sold	10/08/19	J		
113.					Buy	12/10/19	J		
114. -Baxter Int'l		None			Buy	08/15/19	J		
115.					Buy (add'l)	10/08/19	J		
116.					Sold	12/10/19	J		
117. -BB&T Corp.	A	Dividend	J	T	Sold	01/14/19	J	A	
118.					Buy	08/15/19	J		
119.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Becton Dickinson	A	Dividend	J	T	Buy	08/15/19	J		
121.					Buy (add'l)	10/08/19	J		
122. -Berkshire Hathaway Cl B		None	K	T	Buy	08/15/19	J		
123.					Buy (add'l)	10/08/19	J		
124. -Best Buy	A	Dividend	J	T	Buy	08/15/19	J		
125.					Sold	09/20/19	J		
126.					Buy	12/10/19	J		
127. -Biogen		None			Buy	09/20/19	J		
128.					Sold	10/08/19	J		
129. -Blackrock Inc.	A	Dividend	J	T	Buy	02/26/19	J		
130.					Buy (add'l)	02/27/19	J		
131.					Sold (part)	08/05/19	J	A	
132.					Buy (add'l)	10/08/19	J		
133. -Block, H & R		None			Buy	09/20/19	J		
134.					Sold	10/08/19	J		
135. -Boeing	A	Dividend	J	T	Buy	08/15/19	J		
136.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	12/10/19	J		
138. -Booking Hldgs		None	K	T	Sold (part)	01/14/19	J	B	
139. -Boston Properties	A	Dividend	J	T	Buy	10/08/19	J		
140. -Boston Scientific		None	K	T	Buy (add'l)	08/15/19	J		
141.					Sold (part)	10/08/19	J		
142. -Borg Warner		None			Buy	09/20/19	J		
143.					Sold	10/08/19	J		
144. -Bristol Myers Squibb	A	Dividend	J	T	Buy	08/15/19	J		
145.					Buy (add'l)	10/08/19	J		
146. -Broadcom Inc.	A	Dividend	J	T	Buy (add'l)	01/16/19	J		
147.					Buy (add'l)	02/26/19	J		
148.					Sold (part)	08/05/19	J	B	
149.					Buy (add'l)	08/15/19	J		
150.					Buy (add'l)	10/08/19	J		
151. -Brown Forman		None			Buy	09/20/19	J		
152.					Sold	10/08/19	J		
153. -Cabot Oil & Gas		None			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	10/08/19	J		
155.					Sold	12/10/19	J		
156. -Cadence Design System		None			Buy	08/15/19	J		
157.					Sold	09/20/19	J		
158. -Campbell Soup	A	Dividend	J	T	Buy	08/15/19	J		
159. -Capital One Finl	A	Dividend	J	T	Buy	08/15/19	J		
160. -Capri Hldgs		None	J	T	Buy	10/08/19	J		
161. -Cardinal Health	A	Dividend	J	T	Buy	08/15/19	J		
162.					Buy (add'l)	10/08/19	J		
163. -Carmax		None	J	T	Buy	08/15/19	J		
164.					Buy (add'l)	10/08/19	J		
165. -Carnival		None			Buy	08/15/19	J		
166.					Sold	10/08/19	J		
167. -Caterpillar	A	Dividend	J	T	Buy	08/15/19	J		
168.					Buy (add'l)	10/08/19	J		
169. -CBOE Hldgs	A	Dividend	J	T	Buy	10/08/19	J		
170. -CBRE Grp		None			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	10/08/19	J		
172. -CBS		None			Buy	08/15/19	J		
173.					Sold	09/20/19	J		
174. -CDW	A	Dividend	J	T	Buy	10/08/19	J		
175. -Celanese Corp		None			Buy	09/20/19	J		
176.					Sold	10/08/19	J		
177. -Celgene		None			Buy	08/15/19	J		
178.					Buy (add'l)	10/08/19	J		
179. -Centene		None			Buy	08/15/19	J		
180.					Sold	09/20/19	J		
181. -Centerpoint Energy		None			Buy	10/08/19	J		
182.					Sold	12/10/19	J		
183. -Centurylink		None			Buy	08/15/19	J		
184.					Sold	10/08/19	J		
185. -Cerner		None			Buy	08/15/19	J		
186.					Sold	09/20/19	J		
187. -CF Inds Hldgs	A	Dividend			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 16 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	10/08/19	J		
189. -C H Robinson Worldwide		None			Buy	10/08/19	J		
190.					Sold	12/10/19	J		
191. -Charter Communications		None	J	T	Buy	10/08/19	J		
192. -Chevron	A	Dividend	J	T	Buy	05/31/19	J		
193.					Sold (part)	08/05/19	J	A	
194.					Buy (add'l)	08/15/19	J		
195.					Sold	10/08/19	J		
196.					Buy	12/10/19	J		
197. -Chubb Ltd.		None			Buy	08/15/19	J		
198.					Buy (add'l)	10/08/19	J		
199.					Sold	12/10/19	J		
200. -Cigna	A	Dividend	J	T	Open	06/25/19	J		
201.					Sold	07/24/19	J		
202.					Buy	09/20/19	J		
203.					Sold	10/08/19	J		
204.					Buy	12/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -Cincinnati Finl		None			Buy	09/20/19	J		
206.					Buy (add'l)	10/08/19	J		
207.					Sold	12/10/19	J		
208. -Cintas	A	Dividend			Buy	10/08/19	J		
209.					Sold	12/10/19	J		
210. -Cisco Sys	A	Dividend	J	T	Buy	08/15/19	J		
211.					Sold	09/20/19	J		
212.					Buy	12/10/19	J		
213. -Citigroup	A	Dividend	K	T	Buy (add'l)	02/26/19	J		
214.					Buy (add'l)	08/15/19	J		
215.					Buy (add'l)	10/08/19	J		
216. -Citizens Finl. Grp.	A	Dividend	J	T	Buy	08/15/19	J		
217. -Citrix Sys	A	Dividend	J	T	Buy	10/08/19	J		
218. -Clorox	A	Dividend	J	T	Buy	10/08/19	J		
219. -CME Grp.		None			Buy	08/15/19	J		
220.					Buy (add'l)	10/08/19	J		
221.					Sold	12/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 18 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -CMS Energy	A	Dividend	J	T	Buy	08/15/19	J		
223.					Buy (add'l)	10/08/19	J		
224.					Sold (part)	12/10/19	J		
225. -Coca Cola	A	Dividend	J	T	Sold (part)	01/14/19	J	A	
226.					Buy (add'l)	08/15/19	J		
227.					Buy (add'l)	09/20/19	J		
228.					Buy (add'l)	10/08/19	J		
229. -Cognizant Technology	A	Dividend	J	T	Buy	08/15/19	J		
230. -Colgate Palmolive	A	Dividend			Buy	08/15/19	J		
231.					Sold (part)	09/20/19	J		
232.					Sold	12/10/19	J		
233. -Col. Dividend Income FD Cl. Z	B	Int./Div.	L	T					
234. -Col. Select Large Cap Growth FD Cl. Z	D	Distribution	M	T					
235. -Comcast Corp.	B	Dividend	M	T	Buy	08/15/19	J		
236.					Buy (add'l)	10/08/19	J		
237.					Sold (part)	12/10/19	J		
238. -Comerica	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	10/08/19	J		
240. -Conagra	A	Dividend	J	T	Buy	08/15/19	J		
241.					Sold (part)	10/08/19	J		
242.					Buy	12/10/19	J		
243. -Concho Res Inc.		None			Buy	08/15/19	J		
244.					Sold	10/08/19	J		
245. -Conoco Phillips	A	Dividend	J	T	Buy	08/15/19	J		
246.					Buy (add'l)	10/08/19	J		
247. -Consolidated Edison	A	Dividend	J	T	Buy	12/10/19	J		
248. -Constellation Brands Inc	A	Dividend	J	T	Buy (add'l)	10/08/19	J		
249.					Sold (part)	12/10/19	J		
250. -Copart		None	J	T	Buy	09/20/19	J		
251.					Sold	10/08/19	J		
252.					Buy	12/10/19	J		
253. -Corning		None			Buy	08/15/19	J		
254.					Sold	09/20/19	J		
255. -Corteva		None			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	09/20/19	J		
257.					Sold	10/08/19	J		
258. -Costco Whlsl	A	Dividend	K	T	Buy	08/15/19	J		
259.					Buy (add'l)	10/08/19	J		
260. -Coty		None			Buy	09/20/19	J		
261.					Sold	10/08/19	J		
262. -Crown Castle Intl	A	Dividend	J	T	Buy	08/15/19	J		
263.					Sold	10/08/19	J		
264.					Buy	12/10/19	J		
265. -CSX	A	Dividend	J	T	Buy	08/15/19	J		
266.					Buy (add'l)	10/08/19	J		
267. -Cummins	A	Dividend	J	T	Buy	10/08/19	J		
268. -CVS Health	A	Dividend	J	T	Buy	08/15/19	J		
269.					Buy (add'l)	10/08/19	J		
270. -Danaher	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
271.					Buy (add'l)	08/15/19	J		
272.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 21 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -Darden Restraurants	A	Dividend	J	T	Buy	10/08/19	J		
274.					Buy (add'l)	12/10/19	J		
275. -Davita		None			Buy	09/20/19	J		
276.					Sold	10/08/19	J		
277. -Deere & Co	A	Dividend	J	T	Buy	08/15/19	J		
278.					Buy (add'l)	10/08/19	J		
279.					Sold (part)	12/10/19	J		
280. -Delta Air Lines		None			Buy	08/15/19	J		
281.					Sold	10/08/19	J		
282. -Dentsply Sirona		None			Buy	08/15/19	J		
283.					Sold	09/20/19	J		
284. -Devon Energy		None			Buy	08/15/19	J		
285.					Sold	10/08/19	J		
286. -Diamondback Energy		None			Buy	10/08/19	J		
287.					Sold	12/10/19	J		
288. -Digital Rlty Tr		None			Buy	10/08/19	J		
289.					Sold	12/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Discover Fin. Grp.		None			Buy	08/15/19	J		
291.					Sold	10/08/19	J		
292. -Discovery		None	J	T	Buy	08/15/19	J		
293.					Sold (part)	09/20/19	J		
294.					Buy (add'l)	10/08/19	J		
295. -Dish Network		None	J	T	Buy	08/15/19	J		
296.					Buy (add'l)	10/08/19	J		
297. -Disney Walt Co.	A	Dividend	K	T	Sold (part)	06/10/19	J	B	
298.					Buy (add'l)	08/15/19	J		
299.					Buy (add'l)	10/08/19	J		
300. -D R Horton	A	Dividend	J	T	Buy	08/15/19	J		
301.					Buy (add'l)	12/10/19	J		
302. -Dollar Gen.	A	Dividend	J	T	Buy	08/15/19	J		
303.					Buy (add'l)	10/08/19	J		
304.					Sold (part)	12/10/19	J		
305. -Dollar Tree		None			Buy	08/15/19	J		
306.					Sold	12/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. -Dominion Energy	A	Dividend	J	T	Buy	08/15/19	J		
308.					Buy (add'l)	10/08/19	J		
309. -Dow	A	Dividend	J	T	Buy	08/15/19	J		
310.					Buy (add'l)	10/08/19	J		
311. -DTI Energy	A	Dividend	J	T	Buy	12/10/19	J		
312. -Duke Energy	A	Dividend	J	T	Buy	08/15/19	J		
313.					Buy (add'l)	10/08/19	J		
314.					Sold (part)	12/10/19	J		
315. -Duke Realty	A	Dividend	J	T	Buy	08/15/19	J		
316. -Dupont de Nemours		None			Buy	08/15/19	J		
317.					Buy (add'l)	10/08/19	J		
318.					Sold	12/10/19	J		
319. -DXC Technology	A	Dividend	J	T	Buy	10/08/19	J		
320. -Eastman Chem	A	Dividend	J	T	Buy	10/08/19	J		
321. -Eaton Corp PLC	A	Dividend	J	T	Buy	08/15/19	J		
322.					Buy (add'l)	10/08/19	J		
323. -Ebay	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 24 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Sold (part)	09/20/19	J		
325.					Sold	10/08/19	J		
326.					Buy	12/10/19	J		
327. -Ecolab		None			Buy	08/15/19	J		
328.					Sold	09/20/19	J		
329. -Edison Intl		None			Buy	08/15/19	J		
330.					Buy (add'l)	10/08/19	J		
331.					Sold	12/10/19	J		
332. -Edwards Life Sciences		None	J	T	Buy	08/15/19	J		
333. -Electronic Arts		None	J	T	Buy	08/15/19	J		
334.					Buy (add'l)	10/08/19	J		
335. -Emerson Elec	A	Dividend	J	T	Buy	08/15/19	J		
336.					Buy (add'l)	10/08/19	J		
337. -Entergy	A	Dividend	J	T	Buy	08/15/19	J		
338. -EOG Res	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
339.					Sold (part)	08/05/19	J		
340.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 25 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. -Equifax	A	Dividend	J	T	Buy	10/08/19	J		
342. -Equity Residential	A	Dividend	J	T	Buy	08/15/19	J		
343. -Etrade Finl	A	Dividend			Buy	08/15/19	J		
344.					Sold	10/08/19	J		
345. -Eversource Energy	A	Dividend	J	T	Buy	08/15/19	J		
346.					Buy (add'l)	12/10/19	J		
347. -Exelon		None			Buy	08/15/19	J		
348.					Buy (add'l)	10/08/19	J		
349.					Sold	12/10/19	J		
350. -Expedia Grp		None			Buy	10/08/19	J		
351.					Sold	12/10/19	J		
352. -Expeditors Intl Washington		None			Buy	09/20/19	J		
353.					Sold	10/08/19	J		
354. -Extra Space Storage	A	Dividend	J	T	Buy	12/10/19	J		
355. -Exxon Mobil	C	Dividend	L	T	Open	06/25/19	J		
356.					Buy (add'l)	08/15/19	J		
357.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	12/10/19	J		
359. -Facebook		None	K	T	Sold (part)	01/14/19	J	A	
360.					Buy (add'l)	08/15/19	J		
361.					Buy (add'l)	10/08/19	J		
362. -Fastenal	A	Dividend	J	T	Buy	08/15/19	J		
363.					Buy (add'l)	10/08/19	J		
364. -Federal Realty Inv.	A	Dividend	J	T	Buy	12/10/19	J		
365. -Fedex		None			Buy	08/15/19	J		
366.					Sold	09/20/19	J		
367. -Fidelity Nat'l Information Svcs	A	Dividend	J	T	Buy	08/15/19	J		
368.					Buy (add'l)	09/20/19	J		
369.					Buy (add'l)	10/08/19	J		
370. -F5 Networks		None	J	T	Buy	10/08/19	J		
371. -Fifth Third Bancorp.					Open	08/15/19	J		
372.					Sold	10/08/19	J		
373. -FirstEnergy	A	Dividend	J	T	Buy	08/15/19	J		
374. -First Rep. Bk San Francisco	A	Dividend			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold	10/08/19	J		
376. -Fiserv		None	J	T	Buy	08/15/19	J		
377.					Buy (add'l)	10/08/19	J		
378. -Flowserve	A	Dividend	J	T	Buy	08/15/19	J		
379. -FMC Corp	A	Dividend	J	T	Buy	10/08/19	J		
380. -Ford Motor	A	Dividend	J	T	Buy	08/15/19	J		
381.					Sold	10/08/19	J		
382.					Buy	12/10/19	J		
383. -Fortinet		None			Buy	09/20/19	J		
384.					Sold	10/08/19	J		
385. -Fortive	A	Dividend	J	T	Sold (part)	01/14/19	J	A	
386.					Sold (part)	09/20/19	J		
387.					Buy (add'l)	08/15/19	J		
388. -Fortune Brands Home & Sec	A	Dividend	J	T	Buy	08/15/19	J		
389. -Fox Cl A		None			Buy	08/15/19	J		
390.					Sold	09/20/19	J		
391. -Fox Cl B		None			Buy	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Sold	10/08/19	J		
393. -Franklin Res. Inc.		None			Buy	08/15/19	J		
394.					Sold	10/08/19	J		
395. -Freeport-McMoran		None			Buy	08/15/19	J		
396.					Sold	10/08/19	J		
397. Gallagher Arthur J & Co.		None			Buy	08/15/19	J		
398.					Sold	10/08/19	J		
399. -Gap		None			Buy	09/20/19	J		
400.					Sold	10/08/19	J		
401. -Gen. Dynamics	A	Dividend	J	T	Buy	08/15/19	J		
402.					Sold	10/08/19	J		
403.					Buy	12/10/19	J		
404. -Gen. Elec.	A	Dividend	J	T	Open	06/25/19	J		
405.					Sold	07/24/19	J		
406.					Buy	10/08/19	J		
407. Gen'l Mills		None			Buy	08/15/19	J		
408.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 29 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.					Sold	12/10/19	J		
410. -Gen'l Motors	A	Dividend	J	T	Buy	08/15/19	J		
411.					Sold	09/20/19	J		
412.					Buy	12/10/19	J		
413. -Gilead Sciences	A	Dividend	J	T	Sold	08/05/19	J		
414.					Buy	09/20/19	J		
415.					Buy (add'l)	10/08/19	J		
416. -Global Payments	A	Dividend	J	T	Buy	10/08/19	J		
417. -Goldman Sachs Grp.	A	Dividend	J	T	Buy	08/15/19	J		
418.					Sold	10/08/19	J		
419.					Buy	12/10/19	J		
420. -Halliberton	A	Dividend	J	T	Buy	08/15/19	J		
421.					Buy (add'l)	10/08/19	J		
422. -HanesBrands		None			Buy	08/15/19	J		
423.					Sold	10/08/19	J		
424. -Harley Davidson	A	Dividend	J	T	Buy	09/20/19	J		
425.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 30 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. -Hartford Finl Svcs Grp.		None			Buy	08/15/19	J		
427.					Sold	10/08/19	J		
428. -HCA Hldgs	A	Dividend	J	T	Buy	08/15/19	J		
429.					Sold	09/20/19	J		
430.					Buy	12/10/19	J		
431. -HCP		None	J	T	Buy	08/15/19	J		
432.					Buy	09/20/19	J		
433. -Healthpeak Pptys	A	Dividend	J	T	Buy	12/10/19	J		
434. -Helmerich & Payne	A	Dividend	J	T	Buy	10/08/19	J		
435. -Hershey		None			Buy	09/20/19	J		
436.					Sold	12/10/19	J		
437. -Hess Corp.	A	Dividend	J	T	Buy	08/15/19	J		
438. -Hewlett Packard Ent	A	Dividend	J	T	Buy	08/15/19	J		
439.					Buy (add'l)	10/08/19	J		
440. -Hilton Worldwide	A	Dividend	J	T	Buy	08/15/19	J		
441.					Buy (add'l)	10/08/19	J		
442. -Hollyfrontier		None			Buy	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 31 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold	12/10/19	J		
444. -Hologic		None			Buy	08/15/19	J		
445.					Sold (part)	09/20/19	J		
446.					Sold	10/08/19	J		
447. -Home Depot	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
448.					Buy (add'l)	08/15/19	J		
449.					Buy (add'l)	09/20/19	J		
450.					Buy (add'l)	10/08/19	J		
451.					Sold (part)	12/10/19	J		
452. -Honeywell	B	Dividend	M	T	Open	06/25/19	M		
453.					Buy (add'l)	09/20/19	J		
454.					Sold (part)	10/08/19	J		
455.					Buy (add'l)	12/10/19	J		
456. -Hormel Foods	A	Dividend	J	T	Buy	12/10/19	J		
457. -HP Inc.		None			Buy	08/15/19	J		
458.					Sold	09/20/19	J		
459. -Host Hotels & Resorts	A	Dividend	J	T	Buy	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 32 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. -Humana		None			Buy	08/15/19	J		
461.					Sold	09/20/19	J		
462. -Huntington Bancshares	A	Dividend	J	T	Buy	08/15/19	J		
463.					Buy (add'l)	10/08/19	J		
464. -Idexx Labs		None			Buy	10/08/19	J		
465.					Sold	12/10/19	J		
466. -IHS Markit Ltd		None	J	T	Buy	08/15/19	J		
467.					Buy (add'l)	10/08/19	J		
468. -Illinois Tool Wks	A	Dividend	J	T	Buy	08/15/19	J		
469. -Illumina	A	Dividend	J	T	Buy	10/08/19	J		
470. -Incyte Corp.		None			Buy	09/20/19	J		
471.					Sold	10/08/19	J		
472. -Intel	A	Dividend	J	T	Buy	08/15/19	J		
473.					Buy (add'l)	09/20/19	J		
474.					Buy (add'l)	10/08/19	J		
475. -Intercontinental Exchange	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
476.					Buy (add'l)	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 33 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Buy (add'l)	09/20/19	J		
478.					Buy (add'l)	10/08/19	J		
479. -IBM		None			Buy	08/15/19	J		
480.					Buy (add'l)	09/20/19	J		
481.					Buy (add'l)	10/08/19	J		
482.					Sold	12/10/19	J		
483. -International Flavors & Fragrance	A	Dividend	J	T	Buy	10/08/19	J		
484. -International Paper		None			Buy	08/15/19	J		
485.					Sold	10/08/19	J		
486. -Interpublic Grp		None			Buy	08/15/19	J		
487.					Sold	10/08/19	J		
488. -Intuit	A	Dividend	J	T	Buy	08/15/19	J		
489.					Sold	09/20/19	J		
490.					Buy	12/10/19	J		
491. -Intuitive Surgical	A	Dividend	J	T	Buy	10/08/19	J		
492. -Invesco	A	Dividend	J	T	Buy	10/08/19	J		
493. -Iqvia Hldgs		None			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 34 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold	09/20/19	J		
495. -Iron Mountain	A	Dividend	J	T	Buy	08/15/19	J		
496.					Buy (add'l)	10/08/19	J		
497.					Buy (add'l)	12/10/19	J		
498. -Johnson & Johnson	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
499.					Buy (add'l)	08/15/19	J		
500.					Buy (add'l)	10/08/19	J		
501. -Johnson Controls		None			Buy	08/15/19	J		
502.					Buy (add'l)	09/20/19	J		
503.					Sold (part)	10/08/19	J		
504.					Sold (part)	12/10/19	J		
505. -JPMorgan Chase	A	Dividend	J	T	Buy	08/15/19	J		
506.					Buy (add'l)	09/20/19	J		
507.					Buy (add'l)	10/08/19	J		
508. -Juniper Networks		None			Buy	08/15/19	J		
509.					Sold	09/20/19	J		
510. -Kansas City Southern	A	Dividend	J	T	Buy	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 35 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511. -Kellogg Co.	A	Dividend	J	T	Buy	08/15/19	J		
512.					Buy (add'l)	12/10/19	J		
513. -Keycorp New	A	Dividend	J	T	Buy	08/15/19	J		
514.					Buy (add'l)	10/08/19	J		
515. -Keysight Technologies		None	J	T	Buy	08/15/19	J		
516. -Kimberly Clark	A	Dividend	J	T	Buy	08/15/19	J		
517.					Sold	09/20/19	J		
518.					Buy	12/10/19	J		
519. -Kimco Realty	A	Dividend	J	T	Buy	09/20/19	J		
520. -Kinder Morgan		None			Buy	08/15/19	J		
521.					Buy (add'l)	10/08/19	J		
522.					Sold	12/10/19	J		
523. -KLA-Tencor	A	Dividend	J	T	Buy	10/08/19	J		
524. -Kohls	A	Dividend	J	T	Buy	10/08/19	J		
525. -Kraft Heinz	A	Dividend	J	T	Sold (part)	07/24/19	J	A	
526.					Buy (add'l)	08/15/19	J		
527.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 36 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. -Kroger	A	Dividend	J	T	Buy	08/15/19	J		
529.					Buy (add'l)	09/20/19	J		
530.					Sold (part)	10/08/19	J		
531. -Laboratory Corp Am. Hldgs		None	J	T	Buy	10/08/19	J		
532. -Lam Research	A	Dividend	J	T	Buy	10/08/19	J		
533. -Lamb Weston Hldgs	A	Dividend	J	T	Buy	10/08/19	J		
534. -Las Vegas Sands	A	Dividend	J	T	Buy	10/08/19	J		
535. -Lauder Estee Cos.	A	Dividend	J	T	Buy (add'l)	09/20/19	J		
536. -L Brands	A	Dividend	J	T	Buy	08/15/19	J		
537.					Sold	09/20/19	J		
538.					Buy	12/10/19	J		
539. -Leggett & Platt		None			Buy	08/15/19	J		
540.					Sold	10/08/19	J		
541. -Lennar	A	Dividend	J	T	Buy	10/08/19	J		
542.					Buy (add'l)	12/10/19	J		
543. -Lilly Eli & Co	A	Dividend	J	T	Buy	08/15/19	J		
544.					Buy (add'l)	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 37 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545.					Sold	10/08/19	J		
546.					Buy	12/10/19	J		
547. -Lincoln Nat'l	A	Dividend	J	T	Buy	08/15/19	J		
548.					Buy (add'l)	10/08/19	J		
549. -Linde PLC	A	Dividend	J	T	Buy	08/15/19	J		
550.					Sold	10/08/19	J		
551.					Buy	12/10/19	J		
552. -Lockhead Martin	A	Dividend	J	T	Buy	08/15/19	J		
553. -Lowes Cos.	A	Dividend	J	T	Buy	08/15/19	J		
554.					Buy (add'l)	09/20/19	J		
555.					Sold (part)	10/08/19	J		
556.					Buy (add'l)	12/10/19	J		
557. -L3Harris Technologies		None			Buy	10/08/19	J		
558.					Sold	12/10/19	J		
559. -Macerich	A	Dividend	J	T	Buy	12/10/19	J		
560. -Macy's	A	Dividend	J	T	Buy	12/10/19	J		
561. -Marathon Oil	A	Dividend			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 38 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562.					Sold	10/08/19	J		
563. -Marathon Pete	A	Dividend	J	T	Buy	08/15/19	J		
564.					Buy (add'l)	09/20/19	J		
565.					Buy (add'l)	10/08/19	J		
566. -Marriott Int'l	A	Dividend			Buy	08/15/19	J		
567.					Sold	09/20/19	J		
568. -Marsh & McLennan Cos.	A	Dividend	J	T	Buy	08/15/19	J		
569.					Sold	10/08/19	J		
570.					Buy	12/10/19	J		
571. -Martin Marietta Matls	A	Dividend	J	T	Buy	12/10/19	J		
572. -Masco	A	Dividend	J	T	Buy	08/15/19	J		
573.					Buy (add'l)	10/08/19	J		
574. -Mastercard	A	Dividend	L	T	Sold (part)	01/14/19	J	D	
575.					Buy (add'l)	08/15/19	J		
576.					Buy (add'l)	09/20/19	J		
577.					Buy (add'l)	10/08/19	J		
578. -McCormick & Co	A	Dividend	J	T	Buy	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 39 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579. -McDonalds	A	Dividend			Buy	08/15/19	J		
580.					Buy (add'l)	10/08/19	J		
581.					Sold	12/10/19	J		
582. -McKesson		None			Buy	08/15/19	J		
583.					Sold	09/20/19	J		
584. -Medtronic	A	Dividend	J	T	Buy	08/15/19	J		
585.					Buy (add'l)	10/08/19	J		
586. -Merck & Co	A	Dividend	J	T	Buy	08/15/19	J		
587.					Sold (part)	09/20/19	J		
588.					Buy (add'l)	12/10/19	J		
589. -Metlife	A	Dividend	J	T	Buy	08/15/19	J		
590.					Buy (add'l)	10/08/19	J		
591. -MFS Value FD Cl 1	B	Int./Div.	M	T					
592. -MGM Resorts		None			Buy	08/15/19	J		
593.					Buy (add'l)	09/20/19	J		
594.					Sold	10/08/19	J		
595. -Microchip Technology	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 40 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596. -Micron Technology		None	J	T	Buy	08/15/19	J		
597.					Buy (add'l)	10/08/19	J		
598. -Microsoft Corp.	B	Dividend	L	T	Sold (part)	01/14/19	J	C	
599.					Buy (add'l)	08/15/19	J		
600.					Buy (add'l)	09/20/19	J		
601.					Buy (add'l)	10/08/19	J		
602. -Mid-Amer Apt Cmnty	A	Dividend	J	T	Buy	08/15/19	J		
603. -Molson Coors		None			Buy	08/15/19	J		
604.					Sold	12/10/19	J		
605. -Mondelez Intl		None			Buy	08/15/19	J		
606.					Buy (add'l)	10/08/19	J		
607.					Sold	12/10/19	J		
608. -Monster Beverage	A	Dividend	J	T	Buy	08/15/19	J		
609.					Buy (add'l)	10/08/19	J		
610. -Moody's Corp		None			Buy	09/20/19	J		
611.					Sold	10/08/19	J		
612. -Morgan Stanley	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 41 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
613.					Buy (add'l)	10/08/19	J		
614. -Mosaic		None			Buy	09/20/19	J		
615.					Sold	10/08/19	J		
616. -Motorola Solutions		None			Buy	09/20/19	J		
617.					Sold	12/10/19	J		
618. -MSCI	A	Dividend	J	T	Buy	10/08/19	J		
619. -Mylan		None	J	T	Buy	08/15/19	J		
620.					Buy (add'l)	10/08/19	J		
621.					Sold (part)	12/10/19	J		
622. -Nasdaq	A	Dividend	J	T	Buy	10/08/19	J		
623. -National Oilwell Varco	A	Dividend	J	T	Buy	08/15/19	J		
624.					Buy (add'l)	10/08/19	J		
625. -Nektar Therapeutics		None			Buy	09/20/19	J		
626.					Sold	10/08/19	J		
627. -Newell Rubbermaid	A	Dividend	J	T	Buy	08/15/19	J		
628.					Buy (add'l)	10/08/19	J		
629. -Newmont Mining	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 42 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	12/10/19	J		
631.					Buy (add'l)	10/08/19	J		
632. -Netapp		None			Buy	09/20/19	J		
633.					Sold	10/08/19	J		
634. -Netflix		None	J	T	Buy	08/15/19	J		
635.					Sold	10/08/19	J		
636.					Buy	12/10/19	J		
637. -News Corp		None			Buy	09/20/19	J		
638.					Sold	10/08/19	J		
639. -Nextera Energy	A	Dividend	J	T	Buy	02/26/19	J		
640.					Sold (part)	08/05/19	J	A	
641.					Buy (add'l)	10/08/19	J		
642. -Nielson Hldgs PLC		None			Buy	08/15/19	J		
643.					Sold	10/08/19	J		
644. -Nike		None			Buy	08/15/19	J		
645.					Sold	10/08/19	J		
646. -Nisource		None			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 43 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Sold	12/10/19	J		
648. -Noble Energy		None			Buy	08/15/19	J		
649.					Sold	10/08/19	J		
650. -Nordstrom	A	Dividend	J	T	Buy	10/08/19	J		
651. -Norfolk Southern		None			Buy	08/15/19	J		
652.					Sold	10/08/19	J		
653. -Northern Tr.		None			Buy	08/15/19	J		
654.					Sold	10/08/19	J		
655. -Northrup Grumman		None			Buy	10/08/19	J		
656.					Sold	12/10/19	J		
657. -NortonLifelock	A	Distribution	J	T	Buy	10/08/19	J		
658. -Norwegian Cruise Line Hldgs		None	J	T	Buy	10/08/19	J		
659. -NRG Energy	A	Dividend	J	T	Buy	08/15/19	J		
660.					Buy (add'l)	10/08/19	J		
661. -Nucor		None			Buy	08/15/19	J		
662.					Sold	10/08/19	J		
663. -Nvidia	A	Dividend	J	T	Buy	01/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 44 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
664.					Buy (add'l)	08/15/19	J		
665.					Buy (add'l)	10/08/19	J		
666. -Occidental Pete		None			Buy	08/15/19	J		
667.					Buy (add'l)	10/08/19	J		
668.					Sold	12/10/19	J		
669. -Omnicom Grp		None			Buy	08/15/19	J		
670.					Sold	10/08/19	J		
671. -Oneok	A	Dividend	J	T	Buy	08/15/19	J		
672.					Buy (add'l)	10/08/19	J		
673. -Oracle	A	Dividend	J	T	Buy	08/15/19	J		
674.					Buy (add'l)	09/20/19	J		
675.					Buy (add'l)	10/08/19	J		
676. -Paccar	A	Dividend	J	T	Buy	08/15/19	J		
677. -Packaging Corp Amer	A	Dividend	J	T	Buy	10/08/19	J		
678. -Paychex	A	Dividend	J	T	Buy	10/08/19	J		
679. -Paypal Hldgs.	A	Dividend	K	T	Buy	01/14/19	J		
680.					Buy (add'l)	02/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681.					Buy (add'l)	03/27/19	J		
682.					Buy (add'l)	07/16/19	J		
683.					Sold (part)	08/05/19	J	B	
684.					Buy (add'l)	08/15/19	J		
685.					Buy (add'l)	10/08/19	J		
686.					Sold (part)	12/10/19	J		
687. -Parker Hannifin	A	Dividend	J	T	Buy	10/08/19	J		
688. -Peoples Utd Finl	A	Dividend	J	T	Buy	08/15/19	J		
689. -Pepsico	A	Dividend	J	T	Buy	08/15/19	J		
690.					Buy (add'l)	09/20/19	J		
691.					Buy (add'l)	10/08/19	J		
692.					Sold (part)	12/10/19	J		
693. -Perkinelmer	A	Dividend	J	T	Buy	08/15/19	J		
694. -Perrigo		None			Buy	09/20/19	J		
695.					Sold	10/08/19	J		
696. -Pfizer	A	Dividend	J	T	Buy	08/15/19	J		
697.					Buy (add'l)	09/20/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 46 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Buy (add'l)	10/08/19	J		
699. -Philip Morris	A	Dividend	J	T	Buy	08/15/19	J		
700.					Sold	09/20/19	J		
701.					Buy	12/10/19	J		
702. -Phillips 66	A	Dividend	J	T	Buy	08/15/19	J		
703.					Buy (add'l)	10/08/19	J		
704. -Pioneer Nat Res	A	Dividend	J	T	Buy	10/08/19	J		
705. -PNC Finl Sys Grp	A	Dividend	J	T	Buy	08/15/19	J		
706.					Buy (add'l)	10/08/19	J		
707. -PPG Inds	A	Dividend	J	T	Buy	08/15/19	J		
708. -PPL	A	Dividend	J	T	Buy	08/15/19	J		
709.					Buy (add'l)	10/08/19	J		
710. -Price T Rowe	A	Dividend	J	T	Buy	08/15/19	J		
711.					Buy (add'l)	10/08/19	J		
712. -Principal Finl Grp		None			Buy	08/15/19	J		
713.					Sold	10/08/19	J		
714. -Procter & Gamble	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 47 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
715.					Buy (add'l)	10/08/19	J		
716. -Progressive	A	Dividend	J	T	Buy	08/15/19	J		
717.					Sold	09/20/19	J		
718.					Buy	12/10/19	J		
719. -Prologis	A	Dividend	J	T	Buy	08/15/19	J		
720.					Buy (add'l)	10/08/19	J		
721. -Prudential Finl Grp	A	Dividend	J	T	Buy	08/15/19	J		
722.					Buy (add'l)	10/08/19	J		
723. -Public Svc Ent. Grp	A	Dividend	J	T	Buy	08/15/19	J		
724.					Buy (add'l)	10/08/19	J		
725.					Sold (part)	12/10/19	J		
726. -Public Storage		None			Buy	10/08/19	J		
727.					Sold	12/10/19	J		
728. -PulteGroup	A	Dividend	J	T	Buy	08/15/19	J		
729. -Qualcom	A	Dividend	J	T	Buy	08/15/19	J		
730.					Buy (add'l)	10/08/19	J		
731. -Quest Diagnostics		None			Buy	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 48 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
732.					Sold	10/08/19	J		
733. -Raymond James Finl	A	Dividend	J	T	Buy	10/08/19	J		
734. -Raytheon	A	Dividend	J	T	Buy (add'l)	01/14/19	J		
735.					Sold (part)	07/24/19	J	B	
736.					Buy (add'l)	08/15/19	J		
737. -Realty Income	A	Dividend	J	T	Buy	08/15/19	J		
738.					Buy (add'l)	10/08/19	J		
739.					Sold (part)	12/10/19	J		
740. -Regeneron Pharmaceuticals	A	Dividend	J	T	Buy (add'l)	10/08/19	J		
741. -Regions Finl Corp	A	Dividend	J	T	Buy	08/15/19	J		
742.					Buy (add'l)	10/08/19	J		
743. -Republhc Svcs		None			Buy	08/15/19	J		
744.					Sold	09/20/19	J		
745. -Resmed	A	Dividend	J	T	Buy	09/20/19	J		
746. -Robert Half Intl	A	Dividend	J	T	Buy	10/08/19	J		
747. -Rockwell Automation	A	Dividend	J	T	Buy	10/08/19	J		
748. -Rollins		None			Buy	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 49 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Sold	10/08/19	J		
750. -Roper Technologies	A	Dividend	J	T	Buy	10/08/19	J		
751. -Ross Stores	A	Dividend	J	T	Buy	08/15/19	J		
752. -S & P Global	A	Dividend			Buy	08/15/19	J		
753.					Sold	09/20/19	J		
754. -Salesforce Com. Inc.		None	K	T	Sold (part)	01/14/19	J	A	
755.					Buy (add'l)	08/15/19	J		
756.					Buy (add'l)	09/20/19	J		
757.					Buy (add'l)	10/08/19	J		
758. -SBA Communications		None			Buy	10/08/19	J		
759.					Sold	12/10/19	J		
760. -Schein Henry		None	J	T	Buy	09/20/19	J		
761. -Schlumberger Ltd.	A	Dividend	J	T	Buy	08/15/19	J		
762.					Sold	10/08/19	J		
763.					Buy	12/10/19	J		
764. -Schwab Charles	A	Dividend	J	T	Sold (part)	01/14/19	J	A	
765.					Sold (part)	07/16/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 50 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Buy (add'l)	08/15/19	J		
767.					Sold	10/08/19	J		
768.					Buy	12/10/19	J		
769. -Seagate Tech		None			Buy	09/20/19	J		
770.					Sold	10/08/19	J		
771. -Sealed Air		None			Buy	08/15/19	J		
772.					Sold	09/20/19	J		
773. -Sempra Energy	A	Dividend	J	T	Buy	08/15/19	J		
774. -Sherwin Williams	A	Dividend	J	T	Buy	10/08/19	J		
775. -Simon Pprty Grp	A	Dividend	J	T	Buy	08/15/19	J		
776.					Sold	10/08/19	J		
777.					Buy	12/10/19	J		
778. -Skyworks Solutions	A	Dividend	J	T	Buy	10/08/19	J		
779. -SL Green Rlty	A	Dividend	J	T	Buy	12/10/19	J		
780. -Smith A O	A	Dividend	J	T	Buy	10/08/19	J		
781. -Smucker	A	Dividend	J	T	Buy	12/10/19	J		
782. -Sourthern	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 51 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
783. -Southwest Airls	A	Dividend	J	T	Buy	08/15/19	J		
784.					Buy (add'l)	10/08/19	J		
785.					Buy (add'l)	12/10/19	J		
786. -SPDR S&P Dividend ETF	C	Int./Div.	M	T					
787. -SPDR S&P 500 ETF	B	Int./Div.	L	T	Open	06/25/19	L		
788. -Square		None			Buy	02/26/19	J		
789.					Sold	08/05/19	J	A	
790. -Stanely Black & Decker	A	Dividend	K	T	Buy	10/08/19	J		
791. -Starbucks	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
792.					Buy (add'l)	08/15/19	J		
793.					Sold (part)	09/20/19	J		
794.					Sold (part)	10/08/19	J		
795.					Buy (add'l)	12/10/19	J		
796. -State Str Corp	A	Dividend	J	T	Buy	08/15/19	J		
797.					Buy (add'l)	10/08/19	J		
798. -Stryker		None			Buy	08/15/19	J		
799.					Sold	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 52 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. -Suntrust Bks		None			Buy	08/15/19	J		
801.					Sold	10/08/19	J		
802. -Symantic		None			Buy	08/15/19	J		
803.					Sold	10/08/19	J		
804. -Synchrony Finl		None			Buy	08/15/19	J		
805.					Buy (add'l)	09/20/19	J		
806.					Sold	10/08/19	J		
807. -Synopsis		None			Buy	09/20/19	J		
808.					Sold	12/10/19	J		
809. -Sysco	A	Dividend	J	T	Buy	08/15/19	J		
810.					Buy (add'l)	10/08/19	J		
811. -Take-Two Interactive Software		None	J	T	Buy	10/08/19	J		
812. -Tapestry	A	Dividend			Buy	08/15/19	J		
813.					Sold	09/20/19	J		
814. -Target	A	Dividend	J	T	Buy	08/15/19	J		
815.					Buy (add'l)	10/08/19	J		
816. -TechniPFMC PIC					Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 53 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
817.					Sold	09/20/19	J		
818. -TE Connectivity	A	Dividend	J	T	Buy	08/15/19	J		
819.					Buy (add'l)	10/08/19	J		
820. -Texas Instr.	A	Dividend	J	T	Buy	08/15/19	J		
821.					Buy (add'l)	09/20/19	J		
822.					Buy (add'l)	10/08/19	J		
823.					Sold (part)	12/10/19	J		
824. -Textron	A	Dividend	J	T	Buy	08/15/19	J		
825. -Thermo Fisher Scientific	A	Dividend	J	T	Buy	08/15/19	J		
826.					Buy (add'l)	10/08/19	J		
827. -Tiffany & Co	A	Dividend	J	T	Buy	10/08/19	J		
828. -TJX Cos.	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
829.					Buy	08/15/19	J		
830.					Buy (add'l)	10/08/19	J		
831. -T-Mobile		None	J	T	Buy	08/15/19	J		
832. -Tractor Supply Co.	A	Dividend	J	T	Buy	09/20/19	J		
833. -Travellers		None			Buy	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 54 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
834.					Sold	12/10/19	J		
835. -TripAdvisor	A	Dividend	J	T	Buy	10/08/19	J		
836.					Sold (part)	12/10/19	J		
837. -Truist Finl Corp	A	Dividend	J	T	Buy	12/10/19	J		
838. -Twitter		None			Buy	08/15/19	J		
839.					Buy (add'l)	10/08/19	J		
840.					Sold	12/10/19	J		
841. -Tyson Foods	A	Dividend	J	T	Buy	08/15/19	J		
842.					Sold	10/08/19	J		
843.					Buy	12/10/19	J		
844. -UDR	A	Dividend	J	T	Buy	08/15/19	J		
845.					Buy (add'l)	12/10/19	J		
846. -Under Armour Cl A		None			Buy	09/20/19	J		
847.					Sold	10/08/19	J		
848. -Under Armour Cl C		None			Buy	09/20/19	J		
849.					Sold	10/08/19	J		
850. -Union Pacific	B	Dividend	L	T	Buy (add'l)	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 55 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
851.					Sold (part)	10/08/19	J		
852.					Buy (add'l)	12/10/19	J		
853. -United Airlines Hldgs		None	J	T	Buy	10/08/19	J		
854. -United Parcel Cl B	A	Dividend	K	T	Sold (part)	01/14/19	J		
855.					Buy (add'l)	08/15/19	J		
856.					Buy (add'l)	10/08/19	J		
857. -UnitedHealth Grp.	A	Dividend	J	T	Buy	01/16/19	J		
858.					Sold	08/05/19	J		
859.					Buy	09/20/19	J		
860.					Buy (add'l)	10/08/19	J		
861. -United Rentals		None	J	T	Buy	10/08/19	J		
862. United Technologies	A	Dividend	J	T	Buy	08/15/19	J		
863. -Universal Health Sys	A	Dividend	J	T	Buy	10/08/19	J		
864. -Unum Grp	A	Dividend	J	T	Buy	10/08/19	J		
865. -US Bancorp	A	Dividend	J	T	Buy	08/15/19	J		
866.					Buy (add'l)	10/08/19	J		
867. -Valero Energy	A	Dividend	J	T	Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 56 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
868.					Buy (add'l)	10/08/19	J		
869. -Vanguard Large-Cap ETF	C	Int./Div.	M	T					
870. -Vanguard S&P 500 ETF	C	Int./Div.	M	T	Buy	01/16/19	M		
871. -Ventas	A	Dividend			Buy	08/15/19	J		
872.					Sold	12/10/19	J		
873. -Varian Med Sys		None	J	T	Buy	10/08/19	J		
874. -Verisign		None	J	T	Buy	10/08/19	J		
875. -Verisk Analytics		None			Buy	09/20/19	J		
876.					Sold	10/08/19	J		
877. -Verizon Com.	A	Dividend	K	T	Buy (add'l)	01/14/19	J		
878.					Open	06/25/19	K		
879.					Sold (part)	07/24/19	J	A	
880.					Buy (add'l)	08/15/19	J		
881.					Buy (add'l)	10/08/19	J		
882. -Vertex Pharmaceuiticals		None	J	T	Buy (add'l)	01/14/19	J		
883.					Buy (add'l)	08/15/19	J		
884.					Sold (part)	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 57 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
885. -V F Corp.	A	Dividend	J	T	Buy	08/15/19	J		
886.					Buy (add'l)	12/10/19	J		
887. -Viacom Cl B	A	Dividend	J	T	Buy	08/15/19	J		
888.					Sold	09/20/19	J		
889.					Buy	12/10/19	J		
890. -Visa	A	Dividend	J	T	Buy	08/15/19	J		
891.					Sold (part)	09/20/19	J		
892.					Buy (add'l)	12/10/19	J		
893. -Vulcan Metals		None			Buy	10/08/19	J		
894.					Sold	12/10/19	J		
895. -Wabtec	A	Dividend	J	T	Buy	10/08/19	J		
896. -Walgreens Boots Alliance	A	Dividend	J	T	Buy	08/15/19	J		
897.					Buy (add'l)	10/08/19	J		
898. -Walmart	A	Dividend	J	T	Buy	08/15/19	J		
899.					Buy (add'l)	10/08/19	J		
900. -Waste Mgmt	A	Dividend	J	T	Buy	08/15/19	J		
901.					Sold	09/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 58 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
902.					Buy	12/10/19	J		
903. -Waters Corp		None	J	T	Buy	10/08/19	J		
904. -WEC Energy Grp	A	Dividend	J	T	Buy	08/15/19	J		
905.					Buy (add'l)	12/10/19	J		
906. -Wellcare Grp		None	J	T	Buy	10/08/19	J		
907. -Wells Fargo & Co. New Com	A	Dividend	J	T	Buy	08/15/19	J		
908.					Buy (add'l)	10/08/19	J		
909. -Welltower		None			Buy	08/15/19	J		
910.					Buy (add'l)	10/08/19	J		
911.					Sold	12/10/19	J		
912. -Western Digital		None			Buy	08/15/19	J		
913.					Sold	12/10/19	J		
914. -Western Union	A	Dividend	J	T	Buy	09/20/19	J		
915. -Westrock		None			Buy	09/20/19	J		
916.					Sold	10/08/19	J		
917. -Weyerhaeuser	A	Dividend	J	T	Buy	08/15/19	J		
918.					Buy (add'l)	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 59 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
919. -Whirlpool		None			Buy	10/08/19	J		
920.					Sold	12/10/19	J		
921. -Williams Cos.		None			Buy	08/15/19	J		
922.					Buy (add'l)	10/08/19	J		
923.					Sold	12/10/19	J		
924. -Willis Towers Watson	A	Dividend	J	T	Buy	10/08/19	J		
925. -Wynn Resorts	A	Dividend	J	T	Buy	10/08/19	J		
926. -Xcel Energy	A	Dividend	J	T	Buy	08/15/19	J		
927.					Buy (add'l)	10/08/19	J		
928.					Sold (part)	12/10/19	J		
929. -Xerox Hldgs		None			Buy	09/20/19	J		
930.					Sold	10/08/19	J		
931. -Xilinx		None			Buy	08/15/19	J		
932.					Sold	09/20/19	J		
933. -Xylem		None			Buy	08/15/19	J		
934.					Sold	10/08/19	J		
935. -Yum Brands		None			Buy	08/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 60 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
936.					Buy (add'l)	10/08/19	J		
937.					Sold	12/10/19	J		
938. -Zimmer Biomet Hldgs		None			Buy	08/15/19	J		
939.					Sold	10/08/19	J		
940. -Zions Bancorporation	A	Dividend	J	T	Buy	08/15/19	J		
941. -Zoetis Inc.	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
942.					Buy (add'l)	08/15/19	J		
943.					Buy (add'l)	10/08/19	J		
944. -3M	A	Distribution	J	T	Buy	08/15/19	J		
945.					Buy (add'l)	10/08/19	J		
946. -Agilent Technologies	A	Dividend	J	T	Sold (part)	08/05/19	J	A	
947.					Buy (add'l)	08/15/19	J		
948.					Buy (add'l)	10/08/19	J		
949. -Albemarle Corp.	A	Dividend			Buy	01/16/19	J		
950. .					Sold (part)	05/31/19	J		
951.					Sold	06/04/19	J		
952.					Buy	10/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 61 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953.					Sold	12/10/19	J		
954. -Amphenol	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
955.					Buy (add'l)	08/15/19	J		
956.					Buy (add'l)	10/08/19	J		
957. -Booz Allen Hamilton Hldg.	A	Dividend	K	T	Buy	01/16/19	J		
958.					Buy (add'l)	07/16/19	J		
959.					Buy (add'l)	07/17/19	J		
960. -Church & Dwight	A	Dividend	J	T	Sold (part)	01/14/19	J	A	
961.					Buy (add'l)	08/15/19	J		
962.					Sold (part)	09/20/19	J		
963.					Buy (add'l)	12/10/19	J		
964. -Cimarex Energy	A	Dividend	J	T	Buy	01/16/19	J		
965.					Sold (part)	02/26/19	J	A	
966.					Sold	02/27/19	J	A	
967.					Buy	10/08/19	J		
968. -Hasbro		None			Buy	01/16/19	J		
969.					Sold	02/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 62 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970.					Buy	10/08/19	J		
971.					Sold	12/10/19	J		
972. -Hunt JB Trans.	A	Dividend	J	T	Sold (part)	01/14/19	J	A	
973.					Sold	07/16/19	J	B	
974.					Buy	10/08/19	J		
975. -Idex Corp.	A	Dividend	J	T	Buy	07/16/19	J		
976. -Jacobs Engr Grp	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
977. -Leidos Hldgs	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
978.					Buy (add'l)	10/08/19	J		
979. -Sm Energy		None			Buy	01/16/19	J		
980.					Sold (part)	02/26/19	J		
981.					Sold	02/27/19	J		
982. -Teladoc		None	K	T	Sold (part)	01/14/19	J	A	
983.					Sold (part)	02/26/19	J	A	
984.					Sold (part)	08/05/19	J	B	
985.					Sold (part)	11/04/19	J	C	
986. -Vanguard Mid-Cap ETF	C	Int./Div.	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 63 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. -Wabco Hldgs	A	Dividend			Sold	08/05/19	J	B	
988. -Wabtec		None			Open	06/25/19	J		
989.					Sold	07/24/19	J		
990. -Advansix		None			Open	06/25/19	J		
991.					Sold	07/24/19	J		
992. -Garrett Motion		None			Open	06/25/19	J		
993.					Sold	07/24/19	J		
994. -Residio Technologies		None			Open	06/25/19	J		
995.					Sold	07/24/19	J		
996. -Accenture PLC Cl A	A	Dividend	K	T	Sold (part)	01/14/19	J		
997.					Buy (add'l)	08/15/19	J		
998.					Buy (add'l)	10/08/19	J		
999. -Anheuser Busch Inbev	A	Dividend			Buy (add'l)	01/16/19	J		
1000.					Sold	07/29/19	J	B	
1001. -Asahi Grp		None			Buy (add'l)	01/18/19	J		
1002.					Sold	07/29/19	J	A	
1003. -BP PLC	A	Dividend			Buy	02/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 64 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1004.					Buy (add'l)	06/03/19	J		
1005.					Buy (add'l)	06/03/19	J		
1006.					Sold	07/29/19	J		
1007. -Core Labs		None			Buy	01/14/19	J		
1008.					Sold (part)	06/03/19	J		
1009.					Sold	06/04/19	J		
1010. -Danone		None			Buy (add'l)	01/17/19	J		
1011.					Sold	07/29/19	J	B	
1012. -Dassault Systemes		None			Sold (part)	02/27/19	J	B	
1013.					Sold	07/29/19	J	D	
1014. -DBS Group Hldgs		None	J	T	Buy (add'l)	02/27/19	J		
1015.					Buy (add'l)	02/27/19	J		
1016.					Sold (part)	07/30/19	J	A	
1017. -Diageo ADR		None			Sold	07/29/19	J	D	
1018. -Fanuc Corp.					Buy (add'l)	01/18/19	J		
1019.					Sold	07/30/19	J	B	
1020. -Ingersoll-Rand Ireland	A	Dividend	J	T	Buy (add'l)	01/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 65 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1021.					Buy (add'l)	08/15/19	J		
1022.					Sold (part)	10/08/19	J		
1023. -Keyence Corp.		None			Sold (part)	02/27/19	J	C	
1024.					Sold	07/30/19	J	D	
1025. LVMH Moet ADR	A	Dividend			Buy	01/16/19	J		
1026.					Sold	07/29/19	J	C	
1027. -LyondellBasell	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
1028.					Buy (add'l)	08/15/19	J		
1029.					Buy (add'l)	10/08/19	J		
1030. -Nidec Corp.		None			Sold	07/30/19	J	D	
1031. -Novozymes		None			Sold	07/29/19	J	B	
1032. -Onex		None			Sold	07/29/19	J	B	
1033. -Roche Hldgs		None			Sold	07/29/19	J	A	
1034. -Softbank		None			Sold (part)	02/28/19	J	A	
1035.					Sold	07/30/19	J	C	
1036. -Vanguard Total World Stock Index		None			Sold	01/16/19	M		
1037. -Alibaba ADS		None	J	T	Sold (part)	01/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 66 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038.					Sold (part)	02/26/19	J	B	
1039.					Sold (part)	07/29/19	J	D	
1040. -China Communications Const. Co. Ltd.		None			Buy (add'l)	01/17/19	J		
1041.					Sold (part)	06/03/19	J		
1042.					Sold (part)	06/04/19	J		
1043.					Sold (part)	06/10/19	J		
1044.					Sold (part)	06/11/19	J		
1045.					Sold (part)	06/12/19	J		
1046.					Sold	06/13/19	J		
1047. -Icici Bank Ltd ADR		None			Sold	07/29/19	J	C	
1048. -Tencent Hldgs		None			Sold	07/29/19	J	D	
1049. -Col. Bond Fd.	C	Interest	M	T	Open	06/25/19	M		
1050. -Col. Short Term Bond Fd	B	Interest	L	T	Open	06/25/19	L		
1051. -Barclays Bk Sr. Nt. UK	B	Interest	K	T	Open	06/25/19	K		
1052. -NY NY Go Ref BDS	B	Interest			Matured	08/01/19	L		
1053. -North Hempstead NY Pub Impt BDS	C	Interest	L	T					
1054. -Port Auth NY & NJ CONS BDS OID 2012	B	Interest			Matured	09/16/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 67 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1055. -NY St. Dorm Auth Pers BDS 2022	B	Interest			Sold	03/05/19	L		
1056. -Syracuse Pub Impt BDS	C	Interest	M	T					
1057. -BofA Tax Exempt Reserves	A	Interest	J	T					
1058. -Blackrock Liquidity Fed Fund	D	Interest	O	T					
1059. US Trust managed IRA Rollover Account (no control); see lines 143-204	D	Int./Div.	P1	T					
1060. -BofA Money Market Savings	B	Interest	M	T					
1061. -Diamond Hill Fds	A	Dividend	L	T	Buy	02/01/19	K		
1062. -iShares S&P 100 ETF	B	Dividend	L	T					
1063. -Col. Select Large Cap Growth Fd Cl Z		None	M	T					
1064. -Natixis Loomis Sayles Growth Fd	A	Dividend	L	T					
1065. -Oakmark Select Fd Cl 1		None			Sold	02/01/19	K	A	
1066. -Vanguard S&P 500 ETF	B	Dividend	L	T					
1067. -Baird Midcap Fd Instl		None	K	T	Buy (add'l)	02/01/19	K		
1068.					Sold (part)	08/29/19	J	A	
1069. -iShares Russell Mid Cap ETF	A	Int./Div.	L	T	Sold (part)	02/04/19	K	B	
1070. -MFS Mid Cap Value Fd Cl 1	A	Dividend	K	T	Buy (add'l)	02/01/19	K		
1071. -Conestoga Small Cap Fd		None	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 68 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1072. -iShares Russell 2000 ETF	A	Dividend	K	T					
1073. -Wells Fargo Special Small Cap Value Fd	A	Dividend	K	T	Buy (add'l)	02/01/19	J		
1074. -Harding Loevner Fds Intl Equity	A	Dividend	K	T	Buy (add'l)	10/11/19	J		
1075. -Oakmark Intl Fd	A	Dividend	K	T	Buy (add'l)	02/01/19	J		
1076. -Vanguard FTSE Developed Markets ETF	B	Int./Div.	L	T					
1077. -Hartford Mut Fds II Schroders Emerging Mkts	B	Dividend	L	T	Buy (add'l)	02/01/19	K		
1078. -Neuberger Berman Long Short Fund		None	K	T	Sold (part)	02/01/19	J		
1079. -Vanguard FTSE Emerging Markets ETF	B	Dividend	K	T	Sold (part)	02/04/19	K	A	
1080. -iShares Core US Aggregate Bond ETF		None			Sold	02/04/19	J		
1081. -Abbey Capital Futures Strategy Fd	A	Dividend	J	T	Sold (part)	02/01/19	J		
1082.					Sold (part)	08/29/19	J	A	
1083. -AQR Long-Short Equity Fd	A	Dividend	K	T	Buy	05/01/19	K		
1084. -Blackrock Event Driven Equity Fd	A	Dividend	K	T	Buy	05/01/19	K		
1085. -PIMCO Unconstrained Bd Fd	A	Dividend	J	T	Buy	05/01/19	J		
1086. -Blackrock Strategic Income Opportunities	A	Dividend	K	T	Sold (part)	02/01/19	J		
1087.					Sold (part)	05/01/19	K		
1088. -Boston Parners Long Short Res Fd		None			Sold (part)	02/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 69 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1089.					Sold	05/01/19	K		
1090. -Legg Mason BW Absolute Return Opp. Fd		None			Sold	02/01/19	J		
1091. -The Merger Fd		None			Sold (part)	02/01/19	J		
1092.					Sold	05/01/19	K	A	
1093. -Credit Suisse Commodity-Return Fd	A	Dividend	K	T	Sold (part)	02/01/19	J		
1094.					Buy (add'l)	05/01/19	J		
1095. -Apple Sr Unsecd Nt	A	Interest	K	T					
1096. -Berkshire Hathaway Fin Corp Gtd Sr Nt.	B	Interest	L	T					
1097. -Goldman Sachs Sr. Unsecured Nt	A	Interest	K	T					
1098. -JPMorgan Chase Sr Unsecd Nt	A	Interest	K	T					
1099. -CMG Ultra Short Term Bond Fd	C	Interest	M	T					
1100. Fifth Third Bancorp common	A	Int./Div.	J	T					
1101. US Trust IRA (no control); see lines 207-215	D	Int./Div.	N	T					
1102. -BoFA Money Market	A	Interest	L	T					
1103. -SPDR S&P Div. ETF	B	Int./Div.	L	T					
1104. -SPDR S&P 500 ETF	C	Int./Div.	M	T					
1105. -Vanguard Index Tr Total Stk Mkt	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 70 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1106. -Vanguard Mid-Cap ETF	A	Int./Div.	J	T					
1107. -Vanguard Small-Cap ETF	A	Dividend	J	T					
1108. -US Treas Strips	A	Interest	L	T					
1109. -Col. Select Large Cap Growth Fd Cl. Z		None	L	T					
1110. -CMG Ultra Short Term Bond Fd	B	Interest	L	T					
1111. US Trust Managed Trust Inv. Account; see lines 21-233	C	Int./Div.			Distributed	06/25/19			
1112. -BofA money market sav. account	A	Interest			Distributed (part)	06/25/19	L		
1113.					Closed	08/16/19	J		
1114. -AT&T Inc.	B	Dividend			Distributed	06/25/19	K		
1115. -Cigna Corp.	A	Dividend			Distributed	06/25/19	J		
1116. -Express Scripts Hldg		None			Merged (with line 1115)	02/06/19	J	B	
1117. -Exxon Mobil	A	Dividend			Distributed	06/25/19	J		
1118. -General Elec	A	Dividend			Distributed	06/25/19	J		
1119. -Honeywell Intl	B	Dividend			Distributed	06/25/19	M		
1120. -SPDR S&P 500 ETF	B	Interest			Distributed	06/25/19	M		
1121. -Verizon Comm.	B	Dividend			Distributed	06/25/19	K		
1122. -Advansix Inc.		None			Distributed	06/25/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 71 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1123. -Garrett Motion		None			Distributed	06/25/19	J		
1124. -Residio Technologies		None			Distributed	06/25/19	J		
1125. -Wabtec Corp.	A	Dividend			Spinoff (from line 1118)	02/26/19	J		
1126.					Distributed	06/25/19	J		
1127. -Wal-Mart Sr. Unsecd NT	B	Interest			Matured	02/01/19	K		
1128. -Col. Bond Fund Instl 3	C	Interest			Distributed	06/25/19	N		
1129. -Col. Short Term Bond Fund Instl 3	C	Interest			Distributed	06/25/19	M		
1130. -Barclays BK Sr. NT UK		None			Distributed	06/25/19	L		
1131. Merit Energy Co. gas rights Big Horn County, Wyo.	D	Royalty	L	W					
1132. US Trust core checking account 1		None	K	T					
1133. US Trust Core checking account 2		None	K	T					
1134. NYS 529 savings program #1-Moderate Age-Based Option Income Portfolio	B	Int./Div.	J	T					
1135. NYS 529 savings program #2-Moderate Age-Based Option Income Portfolio	B	Int./Div.	J	T					
1136. NYS 529 savings program #3-Moderate Age-Based Option Income Portfolio	C	Int./Div.	J	T	Distributed (part)	01/31/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 72 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts, line 3: account transferred to lines 370 and 1058.

Part VII. Investments and Trusts, lines 5, 1058, 1100 and 1111 are managed investment accounts that contain the investments that follow them.

Part VII. Investments and Trusts, line 1110. This account comprised the trust of which I am trustee (see Part I, line 5). The trust assets were distributed on 6/25/19.

Part VII. Investments and Trusts, lines 96, 200, 355 403, 451, 786, 876, 987, 989, 991, 993, 1048, 1049, and 1050 reflect distribution/transfer from lines 1113, 1114, 1116, 1117, 1118, 1119, 1120, 1124, 1121, 1122, 1123, 1127, 1128, and 1129.

FINANCIAL DISCLOSURE REPORT

Page 73 of 73

Name of Person Reporting

Drain, Robert D.

Date of Report

12/22/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert D. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544