

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Lindsay, Colin H.	2. Court or Organization U.S. District Court, Western District of Kentucky	3. Date of Report 6/2/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 601 W. Broadway Louisville, KY 40202		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Lindsay, Colin H.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Lindsay, Colin H.	6/2/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Lindsay, Colin H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 529 # 1 (H)									
2. Alliance Bernstein CollegeBoundfund 529		None	J	T	Distributed (part)	01/15/19	J		
3.					Distributed (part)	03/11/19	J		
4.					Distributed (part)	06/20/19	J		
5.					Distributed (part)	08/12/19	J		
6.					Distributed (part)	12/26/19	J		
7. IRA # 1 (H)									
8. American Funds Capital Income Builders Fund	C	Dividend	L	T	Buy (add'l)	01/25/19	K		
9.					Buy (add'l)	04/25/19	J		
10.					Buy (add'l)	07/25/19	J		
11.					Sold (part)	10/14/19	J	A	
12.					Sold (part)	10/25/19	J	A	
13. American Funds New World Fund	B	Dividend	K	T	Buy (add'l)	01/25/19	J		
14.					Buy (add'l)	04/25/19	J		
15.					Sold (part)	07/25/19	J	A	
16.					Sold (part)	10/14/19	J	A	
17.					Sold (part)	10/25/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

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18. American Funds The Growth Fund of America	C	Dividend	K	T	Buy (add'l)	01/25/19	J		
19.					Sold (part)	04/25/19	J	A	
20.					Sold (part)	07/25/19	J	A	
21.					Sold (part)	10/14/19	J	A	
22.					Sold (part)	10/25/19	J	A	
23. AQR Managed Funds Strategy Funds	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
24.					Buy (add'l)	04/25/19	J		
25.					Sold (part)	07/25/19	J		
26.					Sold (part)	10/14/19	J		
27.					Buy (add'l)	10/25/19	J		
28. Charles Schwab Money Market Account	A	Interest	K	T					
29. Calvert Global Energy Fund	A	Dividend	J	T	Sold (part)	01/25/19	J		
30.					Sold (part)	04/25/19	J		
31.					Buy (add'l)	07/25/19	J		
32.					Sold (part)	10/14/19	J		
33.					Sold (part)	10/25/19	J		
34. Cohen & Steers Realty Fund (X)	A	Dividend			Buy (add'l)	01/25/19	J		

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35.					Sold (part)	04/25/19	J		
36.					Buy (add'l)	07/25/19	J		
37.					Sold (part)	10/14/19	J		
38.					Sold	10/25/19	J	B	
39. Davis New York Venture Fund (X)		None			Sold	01/25/19	K		
40. Deleware Diversified Income Fund	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
41.					Sold (part)	04/25/19	J		
42.					Sold (part)	07/25/19	J		
43.					Sold (part)	10/14/19	J	A	
44.					Buy (add'l)	10/25/19	J		
45. Diamond Hill Long Short Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
46.					Sold (part)	04/25/19	J	A	
47.					Sold (part)	07/25/19	J	A	
48.					Sold (part)	10/14/19	J	A	
49. Eaton Vance Floating Rate Fund	A	Dividend	K	T	Sold (part)	01/25/19	J	A	
50.					Sold (part)	04/25/19	J	A	
51.					Sold (part)	07/25/19	J	A	

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3 Value Method Codes (See Column C2)					

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52.					Sold (part)	10/14/19	J	A	
53.					Sold (part)	10/25/19	J		
54. Fidelity Advisor Materials Fund	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
55.					Sold (part)	04/25/19	J		
56.					Buy (add'l)	07/25/19	J		
57.					Sold (part)	10/14/19	J		
58. Fidelity MSCI Health Care Index ETF	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
59.					Buy (add'l)	04/25/19	J		
60.					Buy (add'l)	07/25/19	J		
61.					Sold (part)	10/14/19	J	A	
62.					Sold (part)	10/25/19	J	A	
63. First Eagle Overseas Fund	B	Dividend	K	T	Buy (add'l)	01/25/19	J		
64.					Buy (add'l)	04/25/19	J		
65.					Sold (part)	07/25/19	J	A	
66.					Sold (part)	10/14/19	J	A	
67. IShares Convertible Bond	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
68.					Sold (part)	07/25/19	J	A	

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69.					Sold (part)	10/14/19	J	A	
70. iShares Global REIT ETF	A	Dividend	K	T	Buy	10/25/19	K		
71. IShares Total USD Bond Market ETF	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
72.					Buy (add'l)	04/25/19	J		
73.					Sold (part)	07/25/19	J	A	
74.					Sold (part)	10/14/19	J	A	
75.					Buy (add'l)	10/25/19	J		
76. Oppenheimer Developing Markets Fund	B	Dividend	K	T	Buy (add'l)	07/25/19	J		
77.					Sold (part)	10/14/19	J	A	
78.					Sold (part)	10/25/19	J	A	
79. PIMCO Commodity Real Return Strategy Fund	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
80.					Buy (add'l)	04/25/19	J		
81.					Buy (add'l)	07/25/19	J		
82.					Sold (part)	10/14/19	J		
83.					Sold (part)	10/25/19	J		
84. ROYCE Opportunity Fund	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
85.					Sold (part)	04/25/19	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	07/25/19	J		
87.					Sold (part)	10/14/19	J		
88.					Buy (add'l)	10/25/19	J		
89. Segall, Bryant & Hamill Plus Bond (FKA Westcore Plus Bond Fund)	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
90.					Buy (add'l)	04/25/19	J		
91.					Sold (part)	07/25/19	J		
92.					Sold (part)	10/14/19	J	A	
93.					Buy (add'l)	10/25/19	J		
94. Templeton Global Bond Fund (FKA Franklin Templeton Global Bond Fund)	B	Dividend	K	T	Sold (part)	01/25/19	J		
95.					Buy (add'l)	04/25/19	J		
96.					Buy (add'l)	07/25/19	J		
97.					Sold (part)	10/14/19	J		
98.					Sold (part)	10/25/19	J		
99. Vanguard Developing Markets Fund	B	Dividend	K	T	Buy (add'l)	01/25/19	J		
100.					Sold (part)	04/25/19	J	A	
101.					Buy (add'l)	07/25/19	J		
102.					Sold (part)	10/14/19	J	A	

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103.					Sold (part)	10/25/19	J	A	
104. Vanguard Inflation Protected SECS ADM SHR	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
105.					Buy (add'l)	04/25/19	J		
106.					Sold (part)	07/25/19	J	A	
107.					Sold (part)	10/14/19	J	A	
108. Vanguard Mid Cap ETF	A	Dividend	L	T	Buy (add'l)	01/25/19	J		
109.					Sold (part)	04/25/19	J	A	
110.					Buy (add'l)	07/25/19	J		
111.					Sold (part)	10/14/19	J	A	
112.					Buy (add'l)	10/25/19	J		
113. VOYA International Real Estate Fund (X)	A	Dividend			Sold (part)	01/25/19	J		
114.					Buy (add'l)	04/25/19	J		
115.					Sold (part)	07/25/19	J		
116.					Sold (part)	10/14/19	J		
117.					Sold	10/25/19	J		
118. Wasatch Small Cap Growth Fund	B	Dividend	J	T	Buy (add'l)	01/25/19	J		
119.					Sold (part)	04/25/19	J	A	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	07/25/19	J	A	
121.					Buy (add'l)	10/14/19	J		
122.					Buy (add'l)	10/25/19	J		
123. IRA # 2 (H)									
124. American Funds Capital Income Builder	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
125.					Buy (add'l)	04/25/19	J		
126. American Funds New World Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
127.					Buy (add'l)	04/25/19	J		
128.					Sold (part)	07/25/19	J	A	
129. American Funds The Growth Fund of America	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
130.					Sold (part)	04/25/19	J	A	
131. AQR Managed Future Strategy Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
132.					Buy (add'l)	04/25/19	J		
133.					Sold (part)	07/25/19	J		
134.					Buy (add'l)	10/25/19	J		
135. Calvert Global Energy Fund	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
136.					Sold (part)	04/25/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Lindsay, Colin H.

Date of Report

6/2/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	07/25/19	J		
138.					Sold (part)	10/25/19	J	A	
139. Charles Schwab Money Market Account	A	Interest	J	T					
140. Cohen & Steers Realty Fund	A	Dividend	J	T	Sold (part)	10/25/19	J	A	
141. Davis New York Venture Fund (X)		None			Sold	01/25/19	J		
142. Diamond Hill Long Short Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
143.					Sold (part)	07/25/19	J	A	
144. Eaton Vance Floating Rate Fund	A	Dividend	J	T	Sold (part)	01/25/19	J		
145. Fidelity Advisor Materials Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
146.					Buy (add'l)	10/25/19	J		
147. Fidelity MSCI Health Care Index ETF	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
148.					Buy (add'l)	04/25/19	J		
149.					Sold (part)	07/25/19	J		
150. First Eagle Overseas Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
151.					Buy (add'l)	07/25/19	J		
152.					Sold (part)	10/25/19	J	A	
153.					Sold (part)	10/25/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Lindsay, Colin H.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. iShares Convertible Bond ETF	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
155. iShares Global REIT ETF	A	Dividend	J	T	Buy	10/25/19	J		
156. iShares Total USD Bond Market ETF	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
157. Invesco Oppenheimer Developing Markets Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
158.					Sold (part)	04/25/19	J	A	
159.					Buy (add'l)	10/25/19	J		
160. PIMCO Commodity Real Return Strategy Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
161.					Buy (add'l)	04/25/19	J		
162.					Buy (add'l)	07/25/19	J		
163. ROYCE Opportunity Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
164.					Buy (add'l)	07/25/19	J		
165.					Sold (part)	10/25/19	J		
166. Templeton Global Bond Fund (FKA Franklin Templeton Global Bond Fund)	A	Dividend	J	T	Sold (part)	01/25/19	J		
167.					Sold (part)	04/25/19	J		
168.					Buy (add'l)	07/25/19	J		
169.					Sold (part)	10/25/19	J		
170. Vanguard Developing Markets Fund	A	Dividend	J	T	Buy (add'l)	04/25/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	07/25/19	J		
172.					Sold (part)	10/25/19	J	A	
173. Vanguard Midcap ETF	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
174.					Buy (add'l)	07/25/19	J		
175.					Buy (add'l)	10/25/19	J		
176. VOYA International Real Estate Fund (X)	A	Dividend			Sold (part)	01/25/19	J		
177.					Buy (add'l)	04/25/19	J		
178.					Sold (part)	07/25/19	J	A	
179.					Sold	10/25/19	J	A	
180. Wasatch Small Cap Growth Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
181.					Sold (part)	04/25/19	J		
182.					Sold (part)	07/25/19	J		
183.					Buy (add'l)	10/25/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Lindsay, Colin H.	6/2/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Lindsay, Colin H.

Date of Report

6/2/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Colin H. Lindsay**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544