

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wright, Elizabeth C.	2. Court or Organization U.S. District Court, District of Minnesota	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Warren E. Burger Federal Building & US Courthouse 316 N Robert St St Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2016	Faegre Daniels LLP Retirement Savings Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	3M Company - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Faegre Daniels LLP Capital Balance (cash)		None	K	T					
3. FBD Building Partnership LLP (rental property, Minneapolis, MN)	A	Rent			Distributed	12/26/19	J		
4. Ameriprise ACC Cash Reserve (cash) (X)	A	Interest	K	T					
5. Ameriprise ACC Flexible Savings (cash)	A	Interest	K	T	Open	09/05/19	K		
6. RiverSource Variable Universal Life 5 ES (H)									
7. Riversource VP Mod Aggr Port Cl2		None	M	T					
8. RiverSource Variable Universal Life Insurance IV (H)									
9. Riversource VP Mod Aggr Port Cl4		None	L	T					
10. 401k Acct #1 (H)									
11. Schwab/Faegre Baker Daniels Cash Balance Pension Plan (cash) (Y)									
12. Baron Small Cap Instl (BSFIX)	B	Dividend	K	T					
13. American Funds Europacific Growth R6 (RERGX)	D	Dividend	M	T					
14. DFA Global Real Estate Securities Port (DFGEX)	A	Dividend	K	T					
15. Metropolitan West Total Return Bd Plan (MWT SX)	C	Dividend	M	T					
16. PIMCO High Yield Instl (PHIYX)	C	Dividend	L	T					
17. 401k Acct #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. State Street Intermediate-Term Bond Fund		None	K	T	Sold (part)	09/30/19	M		
19. State Street Long Term Bond Index Fund		None	K	T	Sold (part)	09/30/19	K		
20. State Street S&P 500 Stock Index Fund		None			Sold	09/30/19	L		
21. State Street Small and Mid Cap Stock Index Fund		None	M	T	Buy (add'l)	07/01/19	M		
22. State Street International Stock Index Fund		None	N	T	Buy (add'l)	07/01/19	M		
23. 3M Stock	D	Dividend	M	T					
24. IRA #1 (H)									
25. 3M Company Stock (MMM)	B	Dividend	L	T					
26. 3M Company Stock Option Plan		None	K	T					
27. IRA #2 (H)									
28. Ameriprise Insured Money Mkt (AIMMA) (cash)	A	Interest	J	T					
29. Fidelity Advisor New Markets Income Cl I (FGZMX)	A	Dividend	J	T	Buy	09/05/19	J		
30. Invesco Oppenheimer Steelpath MLP Income Cl Y (MLPZX)	B	Dividend			Sold	09/05/19	K		
31. Goldman Sachs Emerg Mkts Eq Insights Inv Cl (GIRPX)	A	Dividend	K	T					
32. Janus Henderson Enterprise Cl I (JMGRX)	C	Dividend	K	T	Buy (add'l)	09/05/19	K		
33. JPMorgan Intrepid Val Cl I (JPIVX)	A	Dividend			Sold	09/05/19	J		
34. Virtus Kar Small Cap Sustainable Growth Cl I (PXSGX)	A	Dividend	K	T					

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35. Invesco FTSE RAFI US 1500 Sm Mid ETF (PRFZ)	A	Dividend	K	T	Buy (add'l)	09/05/19	J		
36. Wisdomtree Mid Cap Div ETF (DON)	A	Dividend	K	T					
37. IRA #3 (H)									
38. Invesco Balanced Risk Commodity Strat Cl Y (BRCYX)	A	Dividend	J	T	Buy	08/16/19	J		
39. Invesco Oppenheimer Intl Growth Cl Y (OIGYX)	A	Dividend	J	T	Buy	09/06/19	J		
40. Blackrock Short Obligations Intl Cl (BISOX)	A	Dividend	J	T	Buy	08/16/19	J		
41.					Buy (add'l)	09/06/19	J		
42. Columbia Select Large Cap Growth Instl Cl (UMLGX)	A	Dividend	J	T	Buy	08/16/19	J		
43. Goldman Sachs Intl Equity Insights Investor Cl (GCITX)	A	Dividend	J	T	Buy	08/16/19	J		
44.					Buy (add'l)	09/23/19	J		
45.					Buy (add'l)	11/25/19	J		
46.					Buy (add'l)	12/26/19	J		
47. Goldman Sachs GQG Partners Intl Opptys Investor Cl (GSINX)	A	Dividend	J	T	Buy	08/16/19	J		
48. John Hancock Classic Value Cl I (JCVIX)	A	Dividend	K	T	Buy	08/16/19	J		
49.					Buy (add'l)	09/06/19	J		
50.					Buy (add'l)	12/26/19	J		
51. John Hancock US Global Leaders Growth Cl I (USLIX)	A	Dividend	J	T	Buy	08/16/19	J		

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52.					Buy (add'l)	09/06/19	J		
53. Janus Henderson Global Value Cl I (JPPIX)		None			Buy	08/16/19	J		
54.					Sold	09/06/19	J		
55. Janus Henderson US Managed Volatility Cl I (JRSIX)	A	Dividend	J	T	Buy	08/16/19	J		
56.					Buy (add'l)	09/06/19	J		
57.					Buy (add'l)	09/11/19	J		
58.					Buy (add'l)	12/26/19	J		
59. John Hancock Strategic Income Opptys Cl I (JIPIX)	A	Dividend			Buy	08/16/19	J		
60.					Sold	09/06/19	J		
61. Brandywine Global Unconstrained Bond Cl I (LROIX)		None	J	T	Buy	08/16/19	J		
62. Nuveen NWQ Intl Value Cl I (NGRRX)		None			Buy	08/16/19	J		
63.					Sold	09/23/19	J		
64. Principal Global Real Estate Securities Instl Cl (POSIX)	A	Dividend			Buy	08/16/19	J		
65.					Sold	11/25/19	J		
66. Wells Fargo C&B Mid Cap Value Instl Cl (CBMSX)	A	Dividend	J	T	Buy	08/16/19	J		
67. Wells Fargo Intl Value Instl Cl (WFOVIX)	A	Dividend	J	T	Buy	08/16/19	J		
68. Global X MSCI Norway Etf (NORW) (X)	A	Dividend			Sold	08/16/19	J		

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69. IShares MSCI Australia (EWA)		None			Sold (part)	01/10/19	J		
70.					Sold (part)	02/12/19	J		
71.					Buy (add'l)	03/12/19	J		
72.					Sold	04/09/19	J		
73. IShares MSCI Canada (EWC)		None			Sold	03/12/19	J		
74. IShares MSCI France (EWQ)		None			Buy (add'l)	03/12/19	J		
75.					Sold (part)	04/09/19	J		
76.					Sold	05/13/19	J		
77.					Buy	07/10/19	J		
78.					Buy (add'l)	08/08/19	J		
79.					Sold	08/16/19	J		
80. IShares MSCI Spain Etf (EWP)	A	Dividend			Buy	03/12/19	J		
81.					Buy (add'l)	04/09/19	J		
82.					Sold	07/10/19	J		
83. IShares MSCI Hong Kong Etf (EWH)		None			Buy	04/09/19	J		
84.					Sold	06/11/19	J		
85. IShares MSCI Switzerland Etf (EWL)	A	Dividend			Buy	03/12/19	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Sold (part)	08/08/19	J		
87.					Sold	08/16/19	J		
88. IShares Core S&P 500 (IVV)	A	Dividend			Buy (add'l)	02/20/19	J		
89.					Buy (add'l)	03/19/19	J		
90.					Buy (add'l)	04/18/19	J		
91.					Buy (add'l)	07/08/19	J		
92.					Buy (add'l)	07/18/19	J		
93.					Sold (part)	08/06/19	J		
94.					Sold (part)	08/15/19	J		
95.					Sold	08/16/19	J		
96. IShares Core US Aggregate Bond (AGG)	A	Dividend			Sold	08/16/19	J		
97. IShares IBOXX \$ High Yield Corp Bond Etf (HYG)	A	Dividend			Buy	02/12/19	J		
98.					Sold (part)	06/11/19	J		
99.					Buy (add'l)	07/10/19	J		
100.					Sold	08/16/19	J		
101. IShares MBS (MBB)	A	Dividend			Buy (add'l)	01/10/19	J		
102.					Sold	08/16/19	J		

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103. IShares MSCI Japan Etf New (EWJ)		None			Buy	01/10/19	J		
104.					Sold	03/12/19	J		
105. IShares Intermediate Term Corp Bond ETF (IGIB)	A	Dividend			Buy	05/13/19	J		
106.					Sold	08/08/19	J		
107. IShares MSCI Singapore ETF (EWS)	A	Dividend			Buy	05/13/19	J		
108.					Sold	08/16/19	J		
109. IShares Inc MSCI Italy New ETF (EWI)		None			Buy	07/10/19	J		
110.					Sold	08/16/19	J		
111. IShares 20+ Year Treasury Bond ETF (TLT)	A	Dividend	J	T	Buy	08/16/19	J		
112.					Sold (part)	09/06/19	J		
113.					Sold (part)	11/25/19	J		
114. IShares 7-10 Year Treasury Bond ETF (IEF)	A	Dividend	J	T	Buy	08/16/19	J		
115.					Buy (add'l)	11/25/19	J		
116.					Buy (add'l)	12/26/19	J		
117. IShares Core MSCI Emerging Markets Etf (IEMG)	A	Dividend	J	T	Buy	02/12/19	J		
118.					Buy (add'l)	03/12/19	J		
119.					Sold	06/11/19	J		

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy	08/16/19	J		
121. IShares Currency Hedged MSCI Eurozone ETF (HEZU)	A	Dividend	J	T	Buy	08/16/19	J		
122. IShares MSCI UTD Kingdom New ETF (EWU)	A	Dividend	J	T	Buy	06/11/19	J		
123.					Sold	07/10/19	J		
124.					Buy	08/16/19	J		
125. SPDR Portfolio Large Cap ETF (SPLG)	A	Dividend	J	T	Buy	08/16/19	J		
126. IShares 1-3 Year Treasury Bond ETF (SHY)	A	Dividend			Buy	08/16/19	J		
127.					Sold	09/06/19	J		
128. SPDR Bloomberg Barclays 1-3 Mo T-Bill (BIL)	A	Dividend			Sold (part)	02/05/19	J		
129.					Sold (part)	02/20/19	J		
130.					Buy (add'l)	03/12/19	J		
131.					Sold (part)	03/19/19	J		
132.					Sold (part)	04/09/19	J		
133.					Sold (part)	04/18/19	J		
134.					Buy (add'l)	05/13/19	J		
135.					Sold (part)	06/11/19	J		
136.					Sold (part)	07/08/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	07/10/19	J		
138.					Sold (part)	07/18/19	J		
139.					Buy (add'l)	08/06/19	J		
140.					Buy (add'l)	08/15/19	J		
141.					Sold	08/16/19	J		
142. Schwab Intermediate Term US Treasury ETF (SCHR)		None			Buy	08/08/19	J		
143.					Sold	08/16/19	J		
144. Schwab US REIT (SCHH)	A	Dividend			Buy	01/10/19	J		
145.					Sold (part)	02/12/19	J		
146.					Sold	08/16/19	J		
147. Sector Energy Select Sect SPDR (XLE)		None			Sold	01/10/19	J		
148. Sector Healthcare Select Sector SPDR (XLV)	A	Dividend			Buy	02/12/19	J		
149.					Sold	08/08/19	J		
150. Sector Consumer Staples Select Sector SPDR ETF (XLP)	A	Dividend			Buy	06/11/19	J		
151.					Sold	07/10/19	J		
152. Sector Industrial Select Sector Spdr Etf (XLI)	A	Dividend			Buy	02/12/19	J		
153.					Buy (add'l)	03/12/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wright, Elizabeth C.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold	08/16/19	J		
155. Consumer Discretionary Select Sect SPDR (XLY)	A	Dividend			Sold (part)	03/12/19	J		
156.					Sold	04/09/19	J		
157. Vanguard Information Technology (VGT)	A	Dividend			Sold	03/12/19	J		
158.					Buy	04/19/19	J		
159.					Buy (add'l)	05/13/19	J		
160.					Sold	06/11/19	J		
161.					Buy	07/10/19	J		
162.					Buy (add'l)	08/08/19	J		
163.					Sold	08/16/19	J		
164. Vanguard Communication Services Etf (VOX)	A	Dividend			Buy	03/12/19	J		
165.					Sold	05/13/19	J		
166.					Buy	08/08/19	J		
167.					Sold	08/16/19	J		
168. Vanguard FTSE All World Ex US (VEU)	A	Dividend			Buy (add'l)	02/05/19	J		
169.					Sold (part)	03/12/19	J		
170.					Buy (add'l)	06/11/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold	08/16/19	J		
172. Vanguard Long Term Corp Bond Etf (VCLT)	A	Dividend			Buy	04/09/19	J		
173.					Sold	05/13/19	J		
174. Vanguard Intermediate Term Corp Bond Etf (VCIT)	A	Dividend			Buy	04/09/19	J		
175.					Sold	05/13/19	J		
176. Vanguard Intermed Term Treas Idx (VGIT)		None			Sold (part)	02/12/19	J		
177.					Sold (part)	03/12/19	J		
178.					Sold	04/09/19	J		
179. Vanguard Long Term Treas (VGLT)	A	Dividend			Sold	02/12/19	J		
180.					Buy	06/11/19	J		
181.					Sold	07/10/19	J		
182. Vanguard Real Estate Index Etf (VNQ)	A	Dividend			Buy	01/10/19	J		
183.					Sold	02/12/19	J		
184.					Buy	05/13/19	J		
185.					Buy (add'l)	07/10/19	J		
186.					Sold	08/16/19	J		
187. Barclays Bank PLC IPath Bloom Comm Indx Tot Retn (DJP)		None			Sold (part)	01/10/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wright, Elizabeth C.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	02/12/19	J		
189.					Sold	08/16/19	J		
190. Vanguard Consumer Staples ETF (VDC)	A	Dividend	J	T	Buy	08/16/19	J		
191. Vanguard Energy ETF (VDE)	A	Dividend	J	T	Buy	08/16/19	J		
192. Vanguard Short Term Corp Bond ETF (VCSH)	A	Dividend			Buy	08/16/19	J		
193.					Sold	09/06/19	J		
194. Vanguard Mortgage Backed Securities ETF (VMBS)	A	Dividend			Buy	08/16/19	J		
195.					Sold	09/06/19	J		
196. Vanguard Health Care ETF (VHT)	A	Dividend	J	T	Buy	09/06/19	J		
197. IRA #4 (H)									
198. Invesco Oppenheimer Active Alloc A (OAAAX)		None			Sold	09/10/19	J		
199. IRA #5 (H)									
200. Columbia Income Builder Cl C (RBBCX)	A	Dividend	J	T					
201. IRA #6 (H)									
202. Hines Global Reit (HNGL)	D	Dividend	J	T					
203. IRA #7 (H)									
204. Putnam Dynamic Asset Alloc Growth Cl C (PAECX)	A	Dividend	K	T	Buy	04/08/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. IRA #8 (H)									
206. Ameriprise Insured Money Market (AIMMA) (cash)	A	Interest	J	T	Open	12/16/19	J		
207. BROKERAGE #1 (H)									
208. Invesco Oppenheimer Steelpath MLP Inc A (MLPTX)	B	Dividend			Sold	09/05/19	K		
209. Alger Cap Apprec Z (ACAZX)	D	Dividend	M	T					
210. Calamos Market Neutral Income Cl I (CMNIX)	A	Dividend	K	T	Buy	05/01/19	K		
211.					Buy (add'l)	09/05/19	K		
212. Columbia Minnesota Tax Exempt Z (CMNZX)	B	Dividend	K	T					
213. Goldman Sachs Mgd Fut Strat Inv (GFIRX)		None			Sold	05/02/19	K	A	
214. Mainstay Mackay High Yield Mun Bond Cl I (MMHIX)	B	Dividend	K	T	Sold (part)	09/05/19	J	A	
215. Delaware Minnesota High Yield Mun Bond Instl Cl (DMHIX)	B	Dividend	K	T	Buy (add'l)	09/05/19	J		
216. IShares Intl Select Dividend (IDV)	B	Dividend	K	T					
217. IShares Edge MSCI Min Vol (USMV)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wright, Elizabeth C.

Date of Report

08/12/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions. Part II: Holdings within this plan are reported in Part VII.

Part VII, line 2: The firm's capital funds are held at Wells Fargo.

Part VII, line 3: Reporting is based upon the filer's pro rata share.

Oppenheimer Steelpath MLP Income CL Y (MLPZX) and is now Invesco Oppenheimer Steelpath MLP Income CL Y (MLPZX).

Oppenheimer Active Alloc Cl A (OAAAX) is now Invesco Oppenheimer Active Alloc Cl A (OAAAX).

Oppenheimer Steelpath MLP Select 40 Cl Y (MLPDX) is now Invesco Oppenheimer Steelpath MLP Select 40 Cl Y (MLPTX).

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Wright, Elizabeth C.

Date of Report

08/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Elizabeth C. Wright

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544