

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hodge, John S.	2. Court or Organization United States Bankruptcy Court Western District of Louisiana	3. Date of Report 05/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Tom Stagg United States Court House 300 Fannin Street, Suite 4400 Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hodge, John S.

Date of Report

05/14/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Hodge, John S.	05/14/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hodge, John S.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Account No. 1 (H)									
2. -HSA Bank - Cash Account	A	Interest	J	T					
3. -JP Morgan Equity Index R6 Fund	A	Dividend	L	T					
4. Account No. 2 (H)									
5. -Capital One Cash Account	A	Interest	K	T					
6. Account No. 3 (H)									
7. -Bank of America Cash Accounts	F	Interest	P1	T					
8. -ISH USD HIGH YIELD CORPORATE BOND ETF SH FUND	A	Dividend	J	T	Buy (add'l)	01/17/20	J		
9.					Buy (add'l)	01/17/20	J		
10.					Buy (add'l)	02/12/20	J		
11.					Sold (part)	03/11/20	J	A	
12.					Sold (part)	03/19/20	J	A	
13.					Sold (part)	03/19/20	J	A	
14.					Buy (add'l)	04/16/20	J		
15.					Buy (add'l)	08/18/20	J		
16.					Buy (add'l)	08/18/20	J		
17. -ISHARES RUSSELL 1000 GROWTH FUND	A	Dividend	K	T	Buy (add'l)	01/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	01/17/20	J		
19.					Buy (add'l)	02/12/20	J		
20.					Buy (add'l)	03/19/20	J		
21.					Buy (add'l)	03/19/20	J		
22.					Buy (add'l)	03/19/20	J		
23.					Buy (add'l)	04/16/20	J		
24.					Buy (add'l)	04/16/20	J		
25.					Sold (part)	08/18/20	J	A	
26.					Sold (part)	08/18/20	J	A	
27. -ISHARES RUSSELL 1000 VALUE FUND	B	Dividend	L	T	Sold (part)	01/17/20	J	A	
28.					Sold (part)	01/17/20	J	A	
29.					Buy (add'l)	02/12/20	J		
30.					Buy (add'l)	03/19/20	J		
31.					Sold (part)	04/16/20	J	A	
32.					Sold (part)	04/16/20	J	A	
33.					Buy (add'l)	08/18/20	J		
34.					Buy (add'l)	08/18/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -ISHARES TR CORE MSCI EAF ETF FUND	A	Dividend	K	T	Buy (add'l)	01/17/20	J		
36.					Buy (add'l)	02/12/20	J		
37.					Buy (add'l)	03/19/20	J		
38.					Buy (add'l)	03/19/20	J		
39.					Sold (part)	04/16/20	J	A	
40.					Buy (add'l)	04/16/20	J		
41.					Buy (add'l)	04/16/20	J		
42.					Sold (part)	04/16/20	J	A	
43.					Buy (add'l)	08/18/20	J		
44.					Sold (part)	08/18/20	J	A	
45.					Buy (add'l)	08/18/20	J		
46.					Sold (part)	08/18/20	J	A	
47. -ISHARES US TREASURY BOND ETF FUND	A	Dividend	K	T	Buy (add'l)	01/17/20	J		
48.					Buy (add'l)	01/17/20	J		
49.					Buy (add'l)	02/12/20	J		
50.					Sold (part)	03/11/20	J	A	
51.					Sold (part)	03/11/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	03/19/20	J		
53.					Sold (part)	03/19/20	J	A	
54.					Buy (add'l)	04/16/20	J		
55.					Buy (add'l)	04/16/20	J		
56.					Buy (add'l)	04/16/20	J		
57.					Buy (add'l)	08/18/20	J		
58.					Buy (add'l)	08/18/20	J		
59. -VANGUARD INTRMDIATE-TERM CORPORATE BOND FUND	B	Dividend	K	T	Buy (add'l)	01/17/20	J		
60.					Sold (part)	01/17/20	J	A	
61.					Buy (add'l)	02/12/20	J		
62.					Sold (part)	03/11/20	J	A	
63.					Sold (part)	03/11/20	J	A	
64.					Sold (part)	03/19/20	J	A	
65.					Sold (part)	03/19/20	J	A	
66.					Buy (add'l)	04/16/20	J		
67.					Buy (add'l)	04/16/20	J		
68.					Buy (add'l)	08/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	08/18/20	J		
70. -VANGUARD MORTGAGE-BACKED SEC FUND	A	Dividend	K	T	Buy (add'l)	01/17/20	J		
71.					Buy (add'l)	01/17/20	J		
72.					Buy (add'l)	02/12/20	J		
73.					Sold (part)	03/11/20	J	A	
74.					Sold (part)	03/11/20	J	A	
75.					Sold (part)	03/19/20	J	A	
76.					Sold (part)	03/19/20	J	A	
77.					Sold (part)	04/16/20	J	A	
78.					Sold (part)	04/16/20	J	A	
79.					Buy (add'l)	08/18/20	J		
80.					Buy (add'l)	08/18/20	J		
81. -VANGUARD SHORT-TERM TREASURY ETF FUND	A	Dividend			Sold (part)	01/17/20	J	A	
82.					Sold (part)	01/17/20	J	A	
83.					Buy (add'l)	02/12/20	J		
84.					Sold	03/11/20	J	A	
85.					Sold	03/11/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hodge, John S.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -VANGUARD SMALL CAP FUND	A	Dividend	L	T	Buy (add'l)	03/19/20	J		
87.					Buy (add'l)	03/20/20	J		
88.					Buy (add'l)	09/24/20	J		
89. -VANGUARD TOTAL INTERNATL BOND ETF FUND	A	Dividend	J	T	Buy (add'l)	01/17/20	J		
90.					Buy (add'l)	01/17/20	J		
91.					Buy (add'l)	02/12/20	J		
92.					Sold (part)	03/11/20	J	A	
93.					Sold (part)	03/11/20	J	A	
94.					Sold (part)	03/19/20	J	A	
95.					Sold (part)	03/19/20	J	A	
96.					Buy (add'l)	04/16/20	J		
97.					Buy (add'l)	04/16/20	J		
98.					Buy (add'l)	08/18/20	J		
99.					Buy (add'l)	08/18/20	J		
100. -AIG FOCUSED DIVIDEND STRATEGY FD C	C	Dividend	L	T	Buy (add'l)	03/26/20	J		
101.					Buy (add'l)	06/18/20	J		
102.					Buy (add'l)	09/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	12/16/20	J		
104. -ALLIANZGI INCOME AND GROWTH FUND CL P	A	Dividend	K	T	Buy (add'l)	01/17/20	J		
105.					Buy (add'l)	01/17/20	J		
106.					Buy (add'l)	02/21/20	J		
107.					Buy (add'l)	02/21/20	J		
108.					Buy (add'l)	03/20/20	J		
109.					Buy (add'l)	03/20/20	J		
110.					Buy (add'l)	04/17/20	J		
111.					Buy (add'l)	04/17/20	J		
112.					Buy (add'l)	05/22/20	J		
113.					Buy (add'l)	05/22/20	J		
114.					Buy (add'l)	06/18/20	J		
115.					Buy (add'l)	06/19/20	J		
116.					Buy (add'l)	07/17/20	J		
117.					Buy (add'l)	07/17/20	J		
118.					Buy (add'l)	08/21/20	J		
119.					Buy (add'l)	08/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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120.					Buy (add'l)	09/18/20	J		
121.					Buy (add'l)	09/18/20	J		
122.					Buy (add'l)	10/23/20	J		
123.					Buy (add'l)	10/23/20	J		
124. -AMER FUNDS AMERICAN BALANCED FUND CL F2	B	Dividend	L	T	Buy (add'l)	03/20/20	J		
125.					Buy (add'l)	06/19/20	J		
126. -AMERICAN FUNDAMENTAL INVESTORS CL C FD	D	Dividend	L	T	Buy (add'l)	03/20/20	J		
127.					Buy (add'l)	03/20/20	J		
128.					Buy (add'l)	06/19/20	J		
129.					Buy (add'l)	06/19/20	J		
130.					Buy (add'l)	07/28/20	J		
131.					Buy (add'l)	09/18/20	J		
132.					Buy (add'l)	12/22/20	J		
133.					Buy (add'l)	12/22/20	J		
134.					Buy (add'l)	12/22/20	J		
135. -AMERICAN FUNDAMENTAL INVESTORS FUND CL F2	C	Dividend	M	T	Buy (add'l)	06/18/20	J		
136.					Buy (add'l)	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Hodge, John S.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	06/19/20	J		
138.					Buy (add'l)	09/18/20	J		
139.					Buy (add'l)	09/18/20	J		
140. -AMERICAN GROWTH FUND OF AMERICAN CL F1	E	Dividend	N	T	Buy (add'l)	12/22/20	J		
141.					Buy (add'l)	12/22/20	J		
142. -AMERICAN SMALLCAP WORLD FUND CL C	D	Dividend	L	T	Sold (part)	07/28/20	J	A	
143.					Sold (part)	07/28/20	J	A	
144. -AMERICAN SMALLCAP WORLD FUND CL F1	B	Dividend	L	T	Buy (add'l)	12/21/20	J		
145.					Buy (add'l)	12/21/20	J		
146.					Buy (add'l)	12/21/20	J		
147. -BLACKROCK ADVANTAGE LRG CAP VALUE FUND CL C	A	Dividend	K	T	Buy (add'l)	11/27/20	J		
148.					Buy (add'l)	12/03/20	J		
149.					Buy (add'l)	12/03/20	J		
150. -BLACKROCK ADVANTAGE LRG CAP VALUE FUND CL A	A	Dividend	K	T	Buy (add'l)	07/20/20	J		
151.					Buy (add'l)	07/20/20	J		
152.					Buy (add'l)	11/27/20	J		
153.					Buy (add'l)	12/03/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Hodge, John S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	12/03/20	J		
155. -BLACKROCK BALANCED CAPITAL FUND INC INSTL	A	Dividend	J	T	Buy (add'l)	06/29/20	J		
156. -BLACKROCK EQTY DIVIDEND FUND INSTL	A	Dividend	K	T	Sold (part)	01/17/20	J	A	
157.					Sold (part)	01/17/20	J	A	
158.					Buy (add'l)	02/12/20	J		
159.					Buy (add'l)	03/19/20	J		
160.					Buy (add'l)	03/19/20	J		
161.					Sold (part)	04/16/20	J	A	
162.					Sold (part)	04/16/20	J	A	
163.					Buy (add'l)	08/18/20	J		
164.					Buy (add'l)	08/18/20	J		
165. -BLACKROCK GLOBAL ALLOCATION FD INC A	B	Dividend	M	T	Buy (add'l)	07/20/20	J		
166.					Buy (add'l)	07/20/20	J		
167.					Buy (add'l)	12/22/20	J		
168.					Buy (add'l)	12/22/20	J		
169.					Buy (add'l)	12/22/20	J		
170. -CLEARBRIDGE LARGE CAP GROWTH FUND CL I	A	Dividend	K	T	Buy (add'l)	01/17/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	01/17/20	J		
172.					Buy (add'l)	02/12/20	J		
173.					Buy (add'l)	03/19/20	J		
174.					Buy (add'l)	03/19/20	J		
175.					Buy (add'l)	04/16/20	J		
176.					Buy (add'l)	04/16/20	J		
177.					Buy (add'l)	08/18/20	J		
178.					Buy (add'l)	08/18/20	J		
179. -DELAWARE SMALL CAP CORE FUND CL INSTL	A	Dividend	K	T	Sold (part)	01/17/20	J	A	
180.					Sold (part)	01/17/20	J	A	
181.					Buy (add'l)	02/12/20	J		
182.					Buy (add'l)	03/19/20	J		
183.					Buy (add'l)	03/19/20	J		
184.					Sold (part)	04/16/20	J	A	
185.					Sold (part)	04/16/20	J	A	
186.					Sold (part)	08/18/20	J	A	
187.					Sold (part)	08/18/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -EDGEWOOD GROWTH FUND CL INST	A	Dividend	K	T	Buy (add'l)	01/17/20	J		
189.					Buy (add'l)	01/17/20	J		
190.					Buy (add'l)	02/12/20	J		
191.					Buy (add'l)	03/19/20	J		
192.					Buy (add'l)	03/19/20	J		
193.					Buy (add'l)	04/16/20	J		
194.					Buy (add'l)	04/16/20	J		
195.					Sold (part)	08/18/20	J	A	
196.					Sold (part)	08/18/20	J	A	
197.					Sold (part)	08/18/20	J	A	
198. -INVESCO INTERNATIONAL GROWTH FUND CL Y	A	Dividend	J	T	Buy (add'l)	12/14/20	J		
199.					Buy (add'l)	12/14/20	J		
200. -INVESCO INTERNATIONAL GROWTH FUND CL A	D	Dividend	L	T					
201. -LORD ABBETT ALPHA STRATEGY FD CL F	B	Dividend	M	T	Buy (add'l)	12/23/20	J		
202.					Buy (add'l)	12/23/20	J		
203. -MFS RESEARCH INTERNATIONAL FD CL I	A	Dividend	J	T					
204. -MFS VALUE FD CL I	C	Dividend	M	T	Sold (part)	01/17/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	01/17/20	J	A	
206.					Buy (add'l)	02/12/20	J		
207.					Buy (add'l)	03/19/20	J		
208.					Buy (add'l)	03/19/20	J		
209.					Buy (add'l)	03/20/20	J		
210.					Buy (add'l)	03/30/20	J		
211.					Buy (add'l)	03/30/20	J		
212.					Sold (part)	04/16/20	J	A	
213.					Sold (part)	04/16/20	J	A	
214.					Buy (add'l)	06/29/20	J		
215.					Buy (add'l)	06/29/20	J		
216.					Buy (add'l)	08/18/20	J		
217.					Buy (add'l)	08/18/20	J		
218.					Buy (add'l)	09/28/20	J		
219.					Buy (add'l)	09/28/20	J		
220.					Buy (add'l)	12/21/20	J		
221.					Buy (add'l)	12/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. -NEUBERGER BERMAN INTL EQUITY FUND CL INSTL	A	Dividend			Buy (add'l)	01/17/20	J		
223.					Buy (add'l)	01/17/20	J		
224.					Buy (add'l)	02/12/20	J		
225.					Buy (add'l)	03/19/20	J		
226.					Buy (add'l)	03/19/20	J		
227.					Sold (part)	04/16/20	J	A	
228.					Sold	08/18/20	J	A	
229. -OAKMARK INTL FD CL ADV	A	Dividend	L	T	Sold (part)	04/16/20	J	A	
230.					Buy (add'l)	08/18/20	J		
231.					Buy (add'l)	08/18/20	J		
232. -PIMCO INVESTMENT GRADE CREDIT BOND FD CL I2	B	Dividend	K	T	Sold (part)	01/17/20	J	A	
233.					Sold (part)	01/17/20	J	A	
234.					Buy (add'l)	02/12/20	J		
235.					Sold (part)	03/11/20	J	A	
236.					Sold (part)	03/11/20	J	A	
237.					Sold (part)	03/19/20	J	A	
238.					Sold (part)	03/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	04/16/20	J		
240.					Buy (add'l)	04/16/20	J		
241.					Buy (add'l)	08/18/20	J		
242.					Buy (add'l)	08/18/20	J		
243. -T ROWE PRICE BLUE CHIP GROWTH FUND INV CL	A	Dividend	M	T					
244. -T ROWE PRICE EMERGING MARKETS STOCK FD INV CL	A	Dividend			Buy (add'l)	01/17/20	J		
245.					Buy (add'l)	01/17/20	J		
246.					Buy (add'l)	02/12/20	J		
247.					Buy (add'l)	03/19/20	J		
248.					Buy (add'l)	03/19/20	J		
249.					Sold	04/16/20	J	A	
250.					Sold	04/16/20	J	A	
251. -T ROWE PRICE EQUITY INDEX 500 FUND INV CL	B	Dividend	M	T					
252. -T ROWE PRICE GROWTH STOCK FUND INV CL	A	Dividend	L	T					
253. -T ROWE PRICE QM US SMALL CAP GROWTH EQ FD INV CL	A	Dividend	J	T					
254. -TCW TOTAL RETURN BOND FD CL I	B	Dividend	K	T	Buy (add'l)	01/17/20	J		
255.					Buy (add'l)	01/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Hodge, John S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	02/12/20	J		
257.					Sold (part)	03/11/20	J	A	
258.					Sold (part)	03/11/20	J	A	
259.					Redeemed (part)	03/19/20	J	A	
260.					Sold (part)	03/19/20	J	A	
261.					Buy (add'l)	04/16/20	J		
262.					Sold (part)	04/16/20	J	A	
263.					Buy (add'l)	08/18/20	J		
264.					Buy (add'l)	08/18/20	J		
265. -ALTRIA GROUP INC COMPANY GUARNT GLB BOND	A	Interest	K	T					
266. -BANK OF AMERICA CORP SER NOTZ BOND	B	Interest	K	T					
267. -CATERPILLAR FINANCIAL SE SER NOTZ BOND	B	Interest	K	T					
268. -CITIGROUP INC GLB BOND	A	Interest	K	T					
269. -COMCAST CORP COMPANY GUARNT GLB BOND	A	Interest			Redeemed	09/10/20	K	A	
270. -DISCOVER FINANCIAL SVS SER NOTZ BOND	B	Interest	K	T					
271. -DOW CHEMICAL CO/THE SER NOTZ BOND	A	Interest	K	T					
272. -DUKE ENERGY CORP SER NOTZ BOND	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. -GENERAL ELEC CAP CORP SER NOTZ BOND	A	Interest	K	T					
274. -GENERAL ELEC CAP CORP SER NOTZ BOND	A	Interest	K	T					
275. -GOLDMAN SACHS GROUP INC SER NOTZ BOND	B	Interest	K	T					
276. -GOLDMAN SACHS GROUP INC SER NOTZ BOND	A	Interest	K	T					
277. -JOHN DEERE CAPITAL CORP SER MTN BOND	B	Interest	K	T					
278. -JPMORGAN CHASE & CO GLB BOND	B	Interest	K	T					
279. -KRAFT FOODS GROUP INC COMPANY GUARNT GLB BOND	B	Interest	K	T					
280. -MORGAN STANLEY SER GMTN GLB BOND	A	Interest	K	T					
281. -NATIONAL RURAL UTIL COOP SER NOTZ BOND	B	Interest	K	T					
282. -NORTHERN TRUST CORP SUBORDINATED GLB BOND	B	Interest	K	T					
283. -PHILIP MORRIS INTL INC GLB BOND	B	Interest	K	T					
284. -WELLS FARGO & COMPANY SUBORDINATED SER MTN BOND	B	Interest	K	T					
285. -WESTPAC BANKING CORP GLB BOND	B	Interest	K	T					
286. -SPDR BLMBRG BRCLY HIGH YIELD BOND ETF	B	Dividend	K	T	Buy	03/11/20	J		
287.					Buy (add'l)	03/11/20	J		
288.					Sold (part)	03/19/20	J	A	
289.					Sold (part)	03/19/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	04/16/20	J		
291.					Buy (add'l)	04/16/20	J		
292.					Buy (add'l)	08/18/20	J		
293.					Buy (add'l)	08/18/20	J		
294. -AMERICAN FUNDAMENTAL INVESTORS FUND CL A	A	Dividend	M	T	Buy	08/18/20	M		
295. -AMERICAN SMALL CAP WORLD FD CL A	A	Dividend	L	T	Buy	08/18/20	L		
296. -GS GQG PARTNERS INTL OPPS FD CL INSTL	A	Dividend	K	T	Buy	08/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John S. Hodge**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544