AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rodriguez, Xavier	Western District of Texas	5/8/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2020 **to** 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address		
655 E. Cesar E. Chavez Blvd. San Antonio, Texas 78206		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Federal Bar Association, San Antonio Chapter
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2020	St. Mary's Univ. School of Law - teaching	$25,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2020	Judson I.S.D. - Teacher
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Baylor Univ. School of Law	January 11-12, 2020	Waco, Texas	Deliver presentation to LLM students	mileage, hotel, meals
2.	State Bar of Texas	January 23-24, 2020	Austin, Texas	Attend State Bar board meeting	mileage, parking, hotel
3.	State Bar of Texas	January 29, 2020	Austin, Texas	Attend State Bar CLE planning meeting	mileage
4.	State Bar of Texas	January 30-31, 2020	Austin, Texas	Deliver CLE presentations	hotel, meals
5.	State Bar of Texas	February 5-7, 2020	New Orleans, LA	Deliver CLE presentations	airfare, hotel, meals
6.	Arizona State Univ.	March 11-12, 2020	Phoenix, AZ	Deliver CLE presentations	airfare, meals, taxi

Name of Person Reporting	Date of Report
Rodriguez, Xavier	5/8/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rodriguez, Xavier	5/8/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B	C		D				
Description of Assets (including trust assets)	Income during reporting period	Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity IRA Baron Growth	A	Dividend	L	T					
2. Fidelity IRA Low Priced Stock	A	Dividend	M	T					
3. Fidelity IRA Puritan	A	Dividend	M	T					
4. Frost National Bank Market Index	A	Interest	K	T					
5. Frost National Bank	A	Interest	J	T					
6. American Balanced Fund	A	Dividend	M	T					
7. American Balanced Fund - 529	A	Dividend	J	T	Sold (part)	07/27/20	K		
8. Fidelity IRA FBALX	A	Dividend	K	T					
9. Fidelity IRA Int'l Discovery	A	Dividend	K	T					
10. Fidelity IRA Contrafund	A	Dividend	N	T					
11. Fidelity IRA Emerging Markets	A	Dividend	J	T					
12. Teacher Retirement System of Texas	A	Dividend	L	T					
13. Firstmark	A	Interest	J	T					
14. Royalty Interest, Lavaca County, Texas	A	Royalty	J	T					
15. Fidelity IRA Strategic Income	A	Dividend	L	T					
16. Fidelity Yacktman Focused	A	Dividend	M	T					
17.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Xavier Rodriguez

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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