

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kerrigan, Kathleen M.	2. Court or Organization United States Tax Court	3. Date of Report 04/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Tax Court Judge-active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Tax Court 400 Second St. N.W. Washington, DC 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1998	Baker & Hostetler LLP 401(k) and 401(a) plan with employer law firm. (Reported in Part VII.) All in one account managed by Fidelity and allowed to select from
2.	certain investments. Also have option of rolling over into an IRA.
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

Kerrigan, Kathleen M.

Date of Report

04/10/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

Kerrigan, Kathleen M.

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04/10/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

Kerrigan, Kathleen M.

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04/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Roth Contributory IRA (H)									
2. Janus Fund Henerson Research JAMRX	A	Dividend	J	T					
3. Schwab IRA Rollover (H)									
4. Schwab Value Advanatage SWVXX	A	Dividend	J	T	Sold (part)	06/15/20	J		
5. Pimco PONAX	A	Dividend	J	T					
6. Lazard LISOX	A	Dividend	J	T					
7. Schwab Fundamental Large SFLNX	B	Dividend	J	T	Buy	06/15/20	J		
8. Schwab Mid SWMCX	A	Dividend	J	T	Buy	06/15/20	J		
9. Schwab Fundamental Small SFSNX	A	Dividend	J	T	Buy	06/15/20	J		
10. Schwab One Acoount #1 (H)									
11. Janus Fund Henderson Research	B	Dividend	L	T					
12. Invesco QQQ	A	Dividend	M	T	Buy	12/07/20	L		
13.					Buy (add'l)	12/18/20	L		
14. Baker & Hostetler Retirement Account (H)									
15. Fidelity Growth Co K6 FGKFX	A	Dividend	L	T					
16. MetWest TOT MWTSX	A	Dividend	K	T					
17. Fid 500 Index FXAIX	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. WFA Govt Sec SGVIX	A	Dividend	K	T					
19. Scwab Muni Aaccount (H)									
20. Cal St Var Stg ST6	A	Interest	L	T	Buy	03/12/20	L		
21. Central FL BH8	B	Interest	K	T					
22. Fairfax County VA SWR JX4	B	Interest	L	T					
23. Denver Airport NW2	B	Interest	K	T	Buy	10/28/20	K		
24. Hampton Roads HH9	A	Interest	J	T					
25. Hamoton Roads JU8	B	Interest	K	T					
26. Henrico County VA MS2	B	Interest	L	T					
27. Long Island Power BJ8	B	Interest	K	T					
28. Lower CO River RQ6	B	Interest	K	T					
29. MA St. Health SVI	A	Interest	N	T					
30. MA ST. School YQO	A	Interest	L	T	Buy	07/01/20	L		
31. Met Auth NY FK8	B	Interest	K	T					
32. Met Auth NY JN8	B	Interest	K	T					
33. Michigan St. Hosp. QN9	B	Interest	K	T					
34. MN St. Gen BX7	B	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Kerrigan, Kathleen M., 04/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and 5 sub-columns for D. Rows 35-51 contain asset data.

1 Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000
2 Value Codes J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000
(See Columns C1 and D3) N=\$250,001 - \$500,000, O=\$500,001 - \$1,000,000, P3=\$25,000,001 - \$50,000,000, P4=More than \$50,000,000
3 Value Method Codes Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market
(See Column C2) U=Book Value, V=Other, W=Estimated

FINANCIAL DISCLOSURE REPORT

Page 7 of 17

Name of Person Reporting

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Schwab One Account #2 (H)									
53. JP Morgan Chasse (Common stock) JPM	B	Dividend	L	T					
54. Walgren Company (WBA)	A	Dividend	J	T					
55. Wells Fargo AX3	C	Interest	M	T	Buy	02/24/20	M		
56. Morgan Stanley TC4	C	Interest	M	T	Buy	02/24/20	M		
57. Morgan Stanley 6YO	B	Interest	M	T	Buy	02/24/20	M		
58. Matthews India Fund (MINDX)	A	Dividend	L	T					
59. Schwab Total Stock Mkt (SWTSX)	A	Dividend	O	T					
60. Vanguard Value VIVAX	D	Dividend	O	T					
61. Yacktman Fund		None			Sold	02/19/20	P1	G	
62. Schwab INTL EQ (SCHIF)	A	Dividend	L	T					
63. Schwab Value Advantage SWVXX	A	Interest	L	T	Sold (part)	02/20/20	L		
64. US. Treasury Bills	D	Interest			Redeemed	08/06/20	O		
65.					Buy	02/21/20	O		
66. US. Treasury Bills	B	Interest			Redeemed	08/06/20	M		
67.					Buy	02/21/20	M		
68. Bank Haopalim	C	Interest	M	T	Redeemed	12/28/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Page 8 of 17

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69. Schwab Rollover IRA #2 (H)									
70. Templeton Dragon Fund TDF	D	Dividend	K	T					
71. PIMCO Total Return Fund PTTRX	C	Dividend	M	T					
72. Blacrock Latin America MDLTX	A	Dividend	J	T					
73. Schwab Total S ock Mkt SWTSZ	E	Dividend	P1	T					
74. Scwhab Value advantage	A	Interest	J	T					
75. Invesco QQQ	A	Dividend	L	T	Buy	11/30/20	L		
76. SCW INTL EQ ETF SCHIF	C	Dividend	M	T					
77. Yacktmn Fund YACKX	B	Dividend	K	T					
78. Schwab &P 500 Index SWPPX	B	Dividend	L	T					
79. Schwab Short-term Muni (H)									
80. Alabama St. Public FH3	A	Interest	K	T	Buy	10/29/20	K		
81. Chat. TN HLTH DZ4	A	Interest	J	T					
82. CT ST SPL ZQ9	A	Interest	J	T	Buy	05/21/20	J		
83. Conn State. Hops EP3	A	Interest	K	T	Buy	01/17/20	K		
84. Dallas Tax Area TA4	A	Interest	J	T	Buy	05/21/20	J		
85. DC HWY Tran WP5	A	Interest	K	T	Buy	01/30/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. DC Income Tax SGI	A	Interest	K	T	Buy	02/31/20	K		
87. Georgia St. AH6	A	Interest	K	T	Buy	05/26/20	K		
88. Hampton Road GJ6	A	Interest	K	T	Buy (add'l)	01/28/20	J		
89. Harris TX FN8	A	Interest			Sold	10/22/20	K	A	
90. Hawaii St. 6H6	A	Interest	K	T	Buy	01/15/20	K		
91. FL ST TPK 7JO	A	Interest	K	T	Buy	02/06/20	K		
92. Illinois Fin Auth FH3	A	Interest	K	T	Buy	12/16/20	K		
93. MN ST GN AJ9	A	Interest			Buy	02/10/20	K		
94.					Sold	12/16/20	K		
95. New Jersey St YJ0	A	Interest	J	T	Buy	11/19/20	J		
96. New York NY GQ7	A	Interest	K	T	Buy	02/13/20	K		
97. New Uork ST Dorm 5L7	A	Interest	K	T	Buy	03/18/20	K		
98. San Antonio TX 2JO	A	Interest	K	T	Buy	01/17/20	K		
99. VA ST. Pub Bld Q57	A	Interest	K	T	Buy	03/19/20	K		
100. VA ST 2L5	A	Interest	K	T	Buy	01/02/20	K		
101. VA St. Pub. Auth J63	A	Interest	K	T					
102. VA CLG BLDG QT4	A	Interest	K	T	Buy	01/17/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

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H1 =\$1,000,001 - \$5,000,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Washington St.	A	Interest	K	T	Buy	03/26/20	K		
104. WI ST 6X0	A	Interest	K	T	Buy	01/22/20	K		
105. WI ST Trans L55	A	Interest	K	T	Buy	01/31/20	K		
106. C Schwab bank		None			Closed				
107. Schwab Premeier Bank		None			Closed				
108. Schwab Intel Portfolio (H)									
109. Schwab Fundamental FNDA	A	Dividend	J	T	Buy (add'l)	03/30/20	J		
110.					Sold (part)	02/28/20	J		
111.					Sold (part)	06/23/20	J		
112. Schwab Fundamental International FNDC	A	Dividend	J	T	Buy (add'l)	01/27/20	J		
113.					Buy (add'l)	03/26/20	J		
114.					Buy (add'l)	03/26/20	J		
115. SBDR BLMBRG EBND	A	Dividend			Sold	03/30/20	J		
116. Xtrackers HYLN	A	Dividend			Sold	03/12/20	J		
117. Schwab Fundamental FDNX	A	Dividend	J	T	Buy (add'l)	03/30/20	J		
118.					Sold	02/27/20	J		
119.					Sold (part)	06/23/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

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P4 =More than \$50,000,000

S =Assessment

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D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 17

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Schwab Fundamental Large FNDF	A	Dividend	J	T	Buy (add'l)	01/27/20	J		
121.					Buy (add'l)	04/03/20	J		
122.					Sold (part)	02/24/20	J		
123.					Sold (part)	06/23/20	J	A	
124. Schwab US Large SCHX	A	Dividend	J	T	Buy (add'l)	03/30/20	J		
125.					Sold	02/28/20	J	A	
126. SPTD INTERMED SPIB	A	Dividend	J	T					
127. Vanguard MortgageVMBS	A	Dividend	J	T	Sold (part)	06/28/20	J	A	
128. Schwab Emerging Markets SCHE	A	Dividend	J	T	Sold (part)	02/28/20	J		
129.					Sold (part)	03/30/20	J		
130. Schwab Intel Small Cap SCHC	A	Dividend	J	T	Sold	02/28/20	J		
131.					Buy	03/30/20	J		
132. Schwab US REIT SCHH	A	Dividend	J	T	Buy (add'l)	01/27/20	J		
133.					Sold (part)	02/28/20	J		
134.					Buy (add'l)	03/30/20	J		
135. I Shares US GOLD IAU		None	J	T	Sold (part)	06/23/20	J	A	
136. Schwab US TIPS ETF SCHP	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

Kerrigan, Kathleen M.

Date of Report

04/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Schwab Fund EMG MKTS FNDE	A	Dividend	J	T	Buy (add'l)	01/27/20	J		
138.					Sold (part)	02/24/20	J		
139.					Buy (add'l)	03/26/20	J		
140.					Buy (add'l)	06/23/20	J		
141. Schwab International SCHF	A	Dividend	J	T	Buy (add'l)	01/27/20	J		
142.					Sold (part)	02/26/20	J		
143.					Buy (add'l)	03/30/20	J		
144. Schwab Intermediate SCHR	A	Dividend			Sold	06/23/20	J	A	
145. Schwab US Small SCHA	A	Dividend	J	T	Buy (add'l)	03/30/20	J		
146.					Buy (add'l)	06/23/20	J		
147. Xtrackers INTL Real HAUZ	A	Dividend	J	T	Sold	03/11/20	J		
148.					Buy	04/13/20	J		
149. Schwab Savings Sweep	A	Interest	J	T					
150. Vaneck Vectors EMLC	A	Dividend	J	T	Buy	03/16/20	J		
151. Vanguard Intermediate VCIT	A	Dividend	J	T	Buy	06/23/20	J		
152. I Shares Broad USHY	A	Dividend			Buy	03/12/20	J		
153.					Sold	06/23/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

Kerrigan, Kathleen M.

Date of Report

04/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Vanguard Global VNQI		None			Buy	3/12/20	J		
155.					Sold	04/13/20	J	A	
156. Invesco Developed PXF	A	Dividend			Buy	02/28/20	J		
157.					Buy (add'l)	03/12/20	J		
158.					Sold	04/03/20	J		
159. I Shares Core USRT		None			Buy	02/28/20	J		
160.					Sold	03/30/20	J		
161. Invesco FTSE PRFZ	A	Dividend			Buy	02/27/20	J		
162.					Sold (part)	02/28/20	J		
163.					Sold (part)	03/30/20	J		
164. Vanguard S7P 500 VOO	A	Dividend			Buy	02/28/20	J		
165.					Sold	03/30/20	J		
166. Vanguard Small Cap VB	A	Dividend			Buy	02/28/20	J		
167.					Sold	03/30/20	J		
168. Vanguard Debeloped VEA	A	Dividend			Buy	02/26/20	J		
169.					Sold	03/30/20	J		
170. Vanguard FTSE VSS		None			Buy	02/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold	03/30/20	J		
172. Invesco FTSG PRF	A	Dividend			Buy	02/27/20	J		
173.					Buy (add'l)	02/28/20	J		
174.					Sold	03/30/20	J		
175. I Shares Core Emerging		None			Buy	02/28/20	J		
176.					Sold	03/30/20	J		
177. Invesco FTSE PXH	A	Dividend			Buy	02/24/20	J		
178.					Buy (add'l)	02/28/20	J		
179.					Sold	03/26/20	J		
180. Invesco FTSE PDN	A	Dividend			Buy	02/24/20	J		
181.					Buy (add'l)	02/28/20	J		
182.					Sold	03/26/20	J		
183. Schwab Compare Intel (H)									
184. Schwab S&P 500 SWPPX	B	Dividend	M	T					
185. Bank of America Series of Accounts	A	Interest	M	T					
186. American Express Savings Accounts	D	Interest	N	T					
187. Capital One Savings Accounts	B	Interest	N	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Discover Bank Savings Account	B	Interest	M	T					
189. EDCS Limited Partnership	D	Rent	O	W					
190.	E	Interest							
191. VSSC Limited Partnership	D	Rent	M	W					
192.	A	Interest							
193. THSC Llimited Partnership	E	Rent	O	W					
194.	E	Interest							
195. Falstaff Cneter LLP		None	L	W					
196. PHSA Medical Center	B	Interest			Sold	04/03/20	N		
197. Inherited IRA Schwab (H)									
198. Columbia Dividend Income GEQAX		None	J	T	Buy	12/24/20	J		
199. Invesco Rising Dividieds OCRDX		None	J	T	Buy	12/24/20	J		
200. Invesco Select Risk OCCIX	A	Dividend	K	T	Buy	12/24/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

Kerrigan, Kathleen M.

Date of Report

04/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII Investments and Trust lines 189, 191, 193, and 196 are all limited partnership investments in real estate. Line 195 Fallstaff is residual interest in a real estate partnership. In lines 189, 191, and 193, there is also a loan to the partnership. The value of the loan is included in the value of the asset and any interest paid on the loan has been reported.

In Part VII Investment and Trust line 106, C Schwab Bank and line 10, Schwab Premier Bank were temporary accounts to hold cash for Schwab brokerage accounts. According to Schwab there was no reportable interest. These accounts were liquidated at the beginning of 2020. Schwab could not provide the exact dates for which there were no assets remaining.

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Kerrigan, Kathleen M.

Date of Report

04/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kathleen M. Kerrigan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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