

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Bloom, Lois S.	2. Court or Organization United States District Court, Eastern District of New York	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Bloom, Lois S.

Date of Report

05/11/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2020	self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Bloom, Lois S.

Date of Report

05/11/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds Income Fund of America (a)	B	Dividend	K	T					
2. Pfizer	B	Dividend	K	T					
3. Citibank Accounts	A	Interest	M	T					
4. Chase Accounts	A	Interest	M	T					
5. Fidelity SEP IRA (H)									
6. Fidelity International Disc	A	Dividend	K	T					
7. Fidelity International Disc					Buy (add'l)	09/09/20	J		
8. Fidelity International Disc					Buy (add'l)	09/22/20	J		
9. Fidelity International Disc					Buy (add'l)	10/06/20	J		
10. Int'l Index Premium Class		None			Sold		J		
11. 500 Index Premium Class	B	Dividend	L	T					
12. 500 Index Premium Class					Buy (add'l)	09/09/20	J		
13. 500 Index Premium Class					Buy (add'l)	09/22/20	J		
14. Fidelity Contrafund		None	M	T					
15. Fidelity Contrafund					Buy (add'l)	06/12/20	K		
16. Fidelity Contrafund					Buy (add'l)	09/24/20	J		
17. Fidelity Puritan	C	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Bloom, Lois S.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Fidelity Puritan					Buy (add'l)	06/28/20	K		
19. Fidelity Puritan					Buy (add'l)	09/24/20	J		
20. Fidelity Balanced					Sold	04/13/20	J		
21. Fidelity Cash Reserves	A	Dividend	L	T					
22. Fidelity Trend	A	Dividend	K	T	Buy	09/08/20	K		
23. Int'l Cap Appreciation	A	Dividend	J	T	Buy	09/08/20	J		
24. Fidelity Investment Grade Bond Fund	A	Dividend	K	T	Buy	07/20/20	K		
25. Charles Schwab Bank Deposit Accts.	A	Interest	J	T					
26. Activision Blizzard	A	Dividend			Sold	07/22/20	K		
27. Comcast	A	Dividend			Sold	07/22/20	K		
28. Blackstone Mortgage TR	A	Dividend			Sold	07/22/20	J		
29. Invesco S&P Global Water (formerly Guggenheim CGW)		None			Sold	07/22/20	J		
30. JP Morgan Chase & Co.	A	Dividend			Sold	07/22/20	J		
31. Kinder Morgan Inc.	A	Dividend			Sold	07/22/20	J		
32. Novartis		None			Sold	07/22/20	J		
33. Paypal Holdings Incorporated		None			Sold	07/22/20	K		
34. Schwab International Small		None			Sold	07/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Bloom, Lois S.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. SPDR Index Shares Fund	A	Dividend			Sold	07/22/20	J		
36. Starwood PPTY	A	Dividend			Sold	07/22/20	K		
37. TJX Cos Inc.		None			Sold	07/22/20	K		
38. Ventas Inc.	A	Dividend			Sold	07/22/20	J		
39. BP PLC		None			Buy	03/05/20	J		
40. BP PLC	A	Dividend			Sold	07/22/20	J		
41. Shake Shack		None			Buy	02/21/20	J		
42. Shake Shack		None			Sold	07/22/20	J		
43. ISHARES CORE MSCI: IEMG	A	Dividend	K	T	Buy	07/30/20	K		
44. ISHARES CORE MSCI: IEMG					Sold (part)	08/03/20	K		
45. Schwab Government Money Fund Investor Shares: SNVXX	A	Dividend	J	T	Buy	02/20/20	J		
46. Schwab Government Money Fund Investor Shares: SNVXX					Sold (part)	03/10/20	J		
47. ISHARES RUSSELL 2000: IWM	A	Dividend	K	T	Buy	07/30/20	K		
48. ISHARES RUSSELL 2000: IWM					Sold (part)	08/03/20	K		
49. Schwab International: SCHF	A	Dividend	K	T	Buy	07/30/20	K		
50. Schwab International: SCHF					Sold (part)	11/24/20	J		
51. Scwab US large cap: SCHG	A	Dividend	K	T	Buy	07/30/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Schwab US large cap: SCHG					Sold (part)	08/07/20	J		
53. Schwab US large cap: SCHV	A	Dividend	J	T	Buy	08/07/20	J		
54. SPDR S&P Biotech ETF IV:XBI	A	Dividend	K	T	Buy	07/30/20	K		
55. SPDR S&P Biotech ETF IV:XBI					Sold (part)	08/03/20	K		
56. Vanguard Mid Cap Growth: VOT		None			Buy	07/30/20	K		
57. Vanguard Mid Cap Growth: VOT					Sold	08/03/20	K		
58. Vanguard Total Bond: BND		None	J	T	Buy	07/31/20	J		
59. Vanguard Total Bond: BND	A	Dividend			Buy (add'l)	08/03/20	K		
60. Vanguard Total Internatl: BNDX	A	Dividend	K	T	Buy	07/30/20	K		
61. Vanguard Total Stock: VTI	A	Dividend	K	T	Buy	07/30/20	K		
62. Vanguard Total Stock: VTI					Buy (add'l)	08/03/20	K		
63. Vanguard Total Stock: VTI					Sold (part)	11/24/20	J		
64.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Bloom, Lois S.

Date of Report

05/11/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, I list Fidelity Int'l Premium Class which was sold on 10/11/19, but had been listed as still owned at year's end on last year's report. I believe I confused Int'l Index Premium Class with 500 Index Premium Class on last year's report. (On last year's report, 500 Index Premium Class was listed as sold on 10/11/19 but was not.) I also list Activision Blizzard on this year's report which was inadvertently listed as sold on last year's report, but was only sold in part. This year it was sold on 7/22/20.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Bloom, Lois S.

Date of Report

05/11/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lois S. Bloom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544