

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mora, Mindy A.	2. Court or Organization U.S. BANKRUPTCY - S DIST OF FL	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address THE FLAGLER WATERVIEW BUILDING 1515 NORTH FLAGLER DRIVE, ROOM 801 WEST PALM BEACH, FL 33401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. PRESIDENT	MINDY MORA, P.A.
2. EXECUTIVE BOARD MEMBER	ASSOCIATION OF COMMERCIAL FINANCE ATTORNEYS
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	THE FLORIDA BAR	1/16/19-1/17/19	ORLANDO, FLORIDA	BAR MEETING	LODGING, MEAL
2.	AMERICAN BANKRUPTCY INSTITUTE	2/8/19	TAMPA, FL	SEMINAR SPEAKER	LODGING, TRANSPORTATION, MEAL
3.	IBAJUD (BRAZILIAN INSTITUTE FOR JUDICIAL ADMINISTRATION)	5/1/19-5/5/19	FORTALEZA, BRAZIL	SEMINAR SPEAK	TRANSPORATION, VISA
4.	THE FLORIDA BAR	5/10/19-5/14/19	ISRAEL	BUSINESS LAW SECTION EXECUTIVE COUNCIL RETREAT	LODGING, TRANSPORATION, MEALS

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

5.	ASSOCIATION OF COMMERCIAL FINANCE ATTORNEYS	5/17/19-5/19/19	MAINE	SEMINAR SPEAKER	LODGING, TRANSPORTATION, MEALS
6.	CENTRAL FLORIDA BANKRUPTCY BAR ASSN	6/13/19-6/14/16	ORLANDO, FL	SEMINAR SPEAKER	LODGING, TRANSPORTATION, MEALS
7.	THE FLORIDA BAR	6/26/19-6/27/19	BOCA RATON, FL	BAR MEETING	TRANSPORTATION, MEAL, LODGING
8.	FEDERAL JUDICIAL CENTER	7/7/19-7/10/19	NEW YORK, NY	FJC MEETING	TRANSPORTATION, MEAL, LODGING
9.	STATE OF FLORIDA, DEPT OF FINANCIAL SVCS	8/5/19-8/6/19	NAPLES, FL	SEMINAR SPEAKER	TRANSPORTATION, MEAL, LODGING
10.	NATIONAL CONF OF BANKRUPTCY JUDGES	10/29/19-11/2/19	WASHINGTON, DC	BANKRUPTCY JUDGES' CONFERENCE	TRANSPORTATION, MEAL, LODGING
11.	THE FLORIDA BAR	11/7/19-11/8/19	MIAMI, FL	SEMINAR SPEAKER	TRANSPORTATION, LODGING
12.	FEDERAL JUDICIAL CENTER	11/18/19-11/22/19	WASHINGTON, DC	SEMINAR	TRANSPORTATION, MEAL, LODGING

Name of Person Reporting	Date of Report
Mora, Mindy A.	05/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	CITIBANK	BRIDGE LOAN	O
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. CITIBANK CITIGOLD CHECKING	A	Int./Div.	J	T					
2. CITIBANK CITIGOLD CHECKING	A	Int./Div.	J	T					
3. CITIBANK CITIGOLD SAVINGS	A	Int./Div.	L	T					
4. FIDELITY GOVERNMENT MONEY MARKET	A	Int./Div.	J	T					
5. RLS/TLH HUGHES-1, LLC	G	Distribution	N	W					
6. ISHARES RUSSELL 2000 ETF	A	Int./Div.	K	T					
7. SPDR S&P 500 ETF TRUST	B	Int./Div.	M	T					
8. CALL SPDR S&P 500 ETF	B	Int./Div.	J	T	Buy	03/12/19	J		
9.					Sold	03/12/19	J	A	
10.					Buy	12/06/19	J		
11.					Sold	12/06/19	J	A	
12.					Buy	12/20/19	J		
13. PUT SPDR S&P 500 ETF	B	Int./Div.			Buy	03/12/19	J		
14.					Sold	03/12/19	J	A	
15.					Buy	12/06/19	J		
16.					Sold	12/06/19	J	A	
17.					Buy	12/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	12/20/19	J	A	
19. FIDELITY SELECT MED TECHNOLOGY & DEVICES	A	Int./Div.	K	T	Sold (part)	05/13/19	J	A	
20. ISHARES CORE GROWTH ALLOCATION	A	Int./Div.	J	T	Sold (part)	05/14/19	J	A	
21. ISHARES S&P MID CAP 400 GROWTH ETF	A	Int./Div.	J	T	Buy	05/20/19	J		
22.					Sold (part)	07/10/19	J	A	
23.					Sold (part)	07/24/19	J	A	
24. ISHARES S&P MIDCAP 400 VALUE ETF	A	Int./Div.	J	T	Buy	05/20/19	J		
25.					Buy (add'l)	07/10/19	J		
26.					Sold (part)	07/24/19	J	A	
27. ISHARES S&P SMALLCAP 600 VALUE	A	Int./Div.			Buy	05/20/19	J		
28.					Sold	07/24/19	J	A	
29. ISHARES S&P 500 GROWTH ETF	A	Int./Div.	J	T	Buy	05/20/19	J		
30.					Sold (part)	07/10/19	J	A	
31.					Sold (part)	07/24/19	J	A	
32. ISHARES S&P 500 VALUE ETF	A	Int./Div.	J	T	Buy	05/20/19	J		
33.					Sold (part)	07/10/19	J	A	
34.					Sold (part)	07/24/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ISHARES TR S&P SMALL CAP 600 GROWTH	A	Int./Div.	J	T	Buy	05/20/19	J		
36.					Buy (add'l)	07/10/19	J		
37.					Buy (add'l)	07/10/19	J		
38.					Sold (part)	07/24/19	J	A	
39. FIDELITY TREASURY MONEY MARKET FUND	A	Int./Div.	J	T					
40. IRA: FIDELITY GOVERNMENT CASH RESERVES	A	Int./Div.	K	T					
41. IRA: CATALYST EXCEED DEFINED RISK	D	Int./Div.	M	T					
42. IRA: FID CONSERVATIVE INCOME BOND FUND	B	Int./Div.	L	T					
43. IRA: FIDELITY MSCI CONSUMER DISCRETIONARY INDEX	A	Int./Div.	L	T					
44.					Buy	04/11/19	J		
45.					Buy (add'l)	07/30/19	J		
46.					Sold (part)	11/04/19	J	A	
47. IRA: MSCI CONSUMER STAPLES INDEX	A	Int./Div.	K	T					
48.					Buy	04/11/19	J		
49.					Sold (part)	07/30/19	J	A	
50.					Sold (part)	11/04/19	J	A	
51. IRA: FIDELITY MSCI ENERGY INDEX	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	04/11/19	J		
53.					Sold (part)	11/04/19	J	A	
54. IRA: FIDELITY MSCI FINLS INDEX	A	Int./Div.	M	T					
55.					Buy	04/11/19	J		
56.					Buy (add'l)	07/30/19	J		
57.					Buy (add'l)	11/04/19	J		
58. IRA: FIDELITY MSCI HEALTH CARE INDEX	A	Int./Div.	M	T					
59.					Buy	04/11/19	J		
60.					Sold	07/30/19	J	A	
61.					Buy (add'l)	11/04/19	J		
62. IRA: FIDELITY MSCI INDL INDEX	A	Int./Div.	L	T					
63.					Sold (part)	04/11/19	J	A	
64.					Buy (add'l)	07/30/19	J		
65.					Sold (part)	11/04/19	J	A	
66. IRA: FIDELITY MSCI INFORMATION TECHNOLOGY	A	Int./Div.	M	T					
67.					Sold (part)	04/11/19	J	A	
68.					Buy (add'l)	07/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	11/04/19	J	A	
70. IRA: FIDELITY MSCI REAL ESTATE INDEX	A	Int./Div.	K	T					
71.					Buy	04/11/19	J		
72.					Sold	07/30/19	J	A	
73.					Buy (add'l)	11/04/19	J		
74. IRA: FIDELITY MSCI UTILS INDEX	A	Int./Div.	K	T					
75.					Buy	04/11/19	J		
76.					Sold	07/30/19	J	A	
77.					Buy (add'l)	11/04/19	J		
78. IRA: FIDELITY MSCI COMMUNICATION SERVICES	A	Int./Div.	L	T					
79.					Buy	04/11/19	J		
80.					Buy (add'l)	07/30/19	J		
81.					Buy (add'l)	11/04/19	J		
82. IRA: FIDELITY MSCI MATLS INDEX	A	Int./Div.	K	T					
83.					Sold (part)	04/11/19	J	A	
84.					Sold	07/30/19	J	A	
85.					Buy	11/04/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. IRA: ISHARES TRUST MSCI EMG MKTS ETF	A	Int./Div.	K	T					
87. IRA: ISHARES S&P 500 GROWTH	C	Int./Div.	N	T					
88.					Sold (part)	04/02/19	J	A	
89.					Sold (part)	07/10/19	J	A	
90. IRA: ISHARES S&P 500 VALUE	C	Int./Div.	N	T					
91.					Buy	04/02/19	J		
92.					Sold (part)	07/10/19	J	A	
93. IRA: ISHARES MSCI EAFE ETF	C	Int./Div.	M	T					
94. IRA: ISHARES S&P MID CAP 400 GROWTH	A	Int./Div.	J	T					
95.					Buy	04/02/19	J		
96.					Sold (part)	07/10/19	J	A	
97. IRA: ISHARES S&P MIDCAP 400 VALUE	A	Int./Div.	K	T					
98.					Sold (part)	04/02/19	J	A	
99.					Buy	07/10/19	J		
100. IRA: ISHARES S&P SMALLCAP 600 VALUE	A	Int./Div.	K	T					
101.					Buy	04/02/19	J		
102.					Buy (add'l)	07/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. IRA: ISHARES S&P SMALL CAP 600 GROWTH	A	Int./Div.	K	T					
104.					Buy	04/02/19	J		
105.					Buy (add'l)	07/10/19	J		
106. IRA: OAKHURST INCOME FUND II	A	Int./Div.	M	T					
107. IRA: HSR INCOME AND VALUE REIT	A	Int./Div.	M	T					
108. IRA: OAKHURST INCOME FUND	A	Int./Div.	M	T					
109. IRA: OAKHURST REAL ESTATE FUND III	A	Int./Div.	M	T					
110. IRA: FID CONSERVATIVE INCOME BOND FUND	A	Int./Div.			Sold	02/06/19	J	A	
111. ROTH IRA: FIDELITY GOVERNMENT CASH RESERVES	A	Int./Div.	J	T					
112. ROTH IRA: FIDELITY MSCI CONSUMER DISCRETIONARY	A	Int./Div.	J	T					
113.					Buy	04/11/19	J		
114.					Buy (add'l)	07/30/19	J		
115.					Sold (part)	11/04/19	J	A	
116. ROTH IRA: FIDELITY MSCI CONSUMER STAPLES	A	Int./Div.	J	T					
117.					Buy	04/11/19	J		
118.					Sold (part)	07/30/19	J	A	
119.					Sold (part)	11/04/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ROTH IRA: FIDELITY MSCI ENERGY INDEX	A	Int./Div.	J	T					
121.					Buy	04/11/19	J		
122.					Sold (part)	11/04/19	J	A	
123. ROTH IRA: FIDELITY FINLS INDEX	A	Int./Div.	J	T					
124.					Buy	04/11/19	J		
125.					Buy (add'l)	07/30/19	J		
126.					Buy (add'l)	11/04/19	J		
127. ROTH IRA: FIDELITY MSCI HEALTH CARE INDEX	A	Int./Div.	J	T					
128.					Buy	04/11/19	J		
129.					Sold (part)	07/30/19	J	A	
130.					Buy	11/04/19	J		
131. ROTH IRA: FIDELITY MSCI INDL INDEX	A	Int./Div.	J	T					
132.					Sold (part)	04/11/19	J	A	
133.					Buy	07/30/19	J		
134.					Sold (part)	11/04/19	J	A	
135. ROTH IRA: FIDELITY MSCI INFORMATION TECHNOLOGY	A	Int./Div.	J	T					
136.					Sold (part)	04/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy	07/30/19	J		
138.					Sold (part)	11/04/19	J	A	
139. ROTH IRA: FIDELITY MSCI REAL ESTATE INDEX	A	Int./Div.	J	T					
140.					Buy	04/11/19	J		
141.					Sold (part)	07/30/19	J	A	
142.					Buy (add'l)	11/04/19	J		
143. ROTH IRA: FIDELITY UTILS INDEX	A	Int./Div.	J	T					
144.					Buy	04/11/19	J		
145.					Sold (part)	07/30/19	J	A	
146.					Buy (add'l)	11/04/19	J		
147. ROTHE IRA: FIDELITY COMMUNICATION SERVICES	A	Int./Div.	J	T					
148.					Buy	04/11/19	J		
149.					Buy (add'l)	07/30/19	J		
150.					Buy (add'l)	11/04/19	J		
151. ROTH IRA: FIDLEITY MSCI MATLS INDEX	A	Int./Div.	J	T					
152.					Sold (part)	04/11/19	J	A	
153.					Sold (part)	07/30/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy	11/04/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting	Date of Report
Mora, Mindy A.	05/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART II: INVESTMENTS AND TRUST
GAINS AND LOSSES ON RETIREMENT ACCOUNTS ARE NOT REPORTED FOR INCOME TAX PURPOSES AND HAS BEEN ESTIMATED.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Mora, Mindy A.

Date of Report

05/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mindy A. Mora**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544