

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seitz, Patricia A.	2. Court or Organization District Court, SDFL	3. Date of Report 05/5/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. District Court 400 North Miami Avenue, Chambers 11-4 Miami, FL 33128-1810		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Day Pitney LLP - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Timberland, Clark Co., AR, Appraisal dated 1996		None	K	Q					
2. City National Bank, Money Market Miami, FL	B	Interest	N	T					
3. Northern Trust Bank, IRA/U.S. Treasury Bills, Miami, FL.	B	Interest	M	T					
4. Interest in Richman Greer 401(k) Plan		None	P1	T					
5. Wells Fargo, N.A., Miami, FL	C	Interest	O	T					
6. USAA Savings Account	A	Interest	J	T					
7. Oil & Gas Interest, Trego Co., KS	C	Royalty	K	W					
8. Condo Boat Slip, Miami-Dade County, FL.	C	Rent	M	S					
9. Northern Trust Bank, Money Market - Miami, FL.	B	Interest	N	T					
10. MS Cash	A	Interest	J	T					
11. MS Bank Deposit Program - CitiBank NA	A	Interest	J	T					
12. MFB Northern FDS Money Mkt FD (X)	A	Dividend	M	T					
13. Vanguard Ltd Tm Tx Ext Inv	B	Dividend	M	T					
14. General Electric Co 5% Fixed to 01/21/21	B	Interest	K	T					
15. American International Group Inc 4.875% due 06	B	Interest	L	T					
16. Bank of America Corp 5% due 05/13/21	B	Interest	L	T					
17. Goldman Sachs Group 4% due 03/03/24	C	Interest	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. HSBC Holdings PLC 4.875% due 01/14/22	B	Interest	K	T					
19. JCMorgan Chase % Co 4.5% due 01/24/22	B	Interest	K	T					
20. Marsh & McLennan Cos Inc 40.05% due 10/15/23	B	Interest	L	T					
21. Verizon Communications 5.15% due 09/15/23	C	Interest	L	T					
22. AT&T Inc 4.45% due 04/01/24	B	Interest	L	T					
23. Anheuser Busch Inbev Worldwide Inc. 4.375% due 02/15/21	B	Interest			Sold	08/12/20	L	A	
24. MSLIF Government PTF INST	A	Dividend			Sold (part)	01/10/20	J		
25.					Sold (part)	06/18/20	K		
26.					Sold	08/12/20	J		
27. Wells Fargo & Co 4.125% due 02/15/21	B	Interest	L	T					
28. United States Treasury Bill 09/10/20	B	Interest			Matured	09/10/20	L		
29. United States Treasury Bill 03/05/20	A	Interest			Matured	03/05/20	L		
30. United States Treasury Bill 03/26/20	C	Interest			Matured	03/26/20	N		
31. United States Treasury Bill 06/18/20	A	Interest			Matured	06/18/20	K		
32. United States Treasury Bill 12/03/20	B	Interest			Matured	12/03/20	L		
33. United States Treasury Bill 01/28/20	B	Interest			Matured	01/28/20	N		
34. United States Treasury Note 1.125% 09/10/21	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. United States Treasury Bill 04/23/20	A	Interest			Buy	01/29/20	N		
36.					Matured	04/23/20	N		
37. United States Treasury Bill 10/15/20	A	Interest			Buy	04/23/20	N		
38.					Matured	10/15/20	N		
39. United States Treasury Bill 03/31/21	A	Interest	L	T	Buy	03/06/20	L		
40. Proctor & Gamble Co 3.1% due 08/15/23	B	Interest	L	T	Buy	03/31/20	L		
41. Chevron Corp 5.191% due 06/24/23	B	Interest	K	T	Buy	03/31/20	L		
42. Berkshire Hathaway Inc. 3.4% due 01/31/22	B	Interest	L	T	Buy	03/31/20	L		
43. Pfizer Inc. 2.8% due 03/11/22	B	Interest	L	T	Buy	03/31/20	L		
44. Wells Fargo & Co 4.48% due 01/16/24	B	Interest	L	T	Buy	03/31/20	L		
45. Vanguard Short Term Corporate	B	Interest	M	T	Buy	09/09/20	L		
46.					Buy (add'l)	12/04/20	K		
47. Vanguard Intermediate Term Bond	D	Interest	O	T	Buy	03/31/20	M		
48.					Buy (add'l)	08/12/20	K		
49.					Buy (add'l)	10/22/20	N		
50.					Buy (add'l)	12/04/20	K		
51. Vanguard Tax Exempt Bond	B	Interest	M	T	Buy	06/18/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	08/11/20	L		
53.					Buy (add'l)	12/04/20	K		
54.									
55.									
56.									
57.									
58.									
59.									

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(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia A. Seitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544