

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ruiz, David A.	2. Court or Organization U.S. District Court, Northern District of Ohio	3. Date of Report 08/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 801 West Superior Ave Cleveland, OH 44113		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Ruiz, David A.

Date of Report

08/13/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2020	Benesch Friedlander Copland & Aromoff LLP- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Ruiz, David A.	08/13/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PNC Bank (Cash Accounts)	A	Interest	M	T					
2. 401K#1 (H)									
3. -BR LifePath Dynamic 2030	B	Dividend	K	T					
4. -American Funds- EuroPacific Growth Fund	D	Dividend	L	T					
5. -American Funds-Growth Fund of America	D	Dividend	M	T					
6. -PGIM Total Return Bond Fund	B	Dividend	L	T					
7. -Vanguard 500 Index Fund	B	Dividend	L	T					
8. 401k#2 (H)									
9. -T Rowe Price Retirement 2040	A	Dividend	M	T					
10. 401k#3 (H)									
11. -Fidelity Freedom Index 2025	B	Dividend	K	T					
12. -Vanguard International Growth Adm	B	Dividend	L	T					
13. -Fidelity MidCap Index Fund	A	Dividend	L	T					
14. -TRowe Price Inst Large Cap Growth	A	Dividend	M	T					
15. 529 Accounts (H)									
16. -Vanguard 500 Index	A	Dividend	M	T					
17. -Vanguard Wellington	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Vanguard Total Bond Market Index	A	Dividend	K	T					
19. -Vanguard Extended Market Index	A	Dividend	J	T					
20. 401k#4 (H)									
21. -Benesch Balanced Fund	A	Dividend	L	T					
22. -JH 2040	C	Dividend	L	T					
23. Brokerage Account (H)									
24. -Vanguard Prime Money Market Fund	A	Dividend	K	T	Buy (add'l)	01/03/20	J		
25.					Buy (add'l)	01/10/20	J		
26.					Buy (add'l)	01/17/20	J		
27.					Buy (add'l)	02/21/20	J		
28.					Buy (add'l)	02/28/20	J		
29.					Buy (add'l)	03/06/20	J		
30.					Buy (add'l)	03/13/20	J		
31.					Buy (add'l)	03/20/20	J		
32.					Buy (add'l)	03/27/20	J		
33.					Buy (add'l)	04/03/20	J		
34.					Buy (add'l)	04/13/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/17/20	J		
36.					Buy (add'l)	04/24/20	J		
37.					Buy (add'l)	05/01/20	J		
38.					Buy (add'l)	05/08/20	J		
39.					Buy (add'l)	05/15/20	J		
40.					Buy (add'l)	05/22/20	J		
41.					Buy (add'l)	05/29/20	J		
42.					Sold (part)	09/23/20	J	A	
43.					Sold (part)	11/18/20	K	A	
44. -Federal Money Market Fund	A	Dividend	J	T					
45. -Vanguard Balanced Index Fund	B	Dividend	L	T					
46. -Vanguard 500 Index Fund	A	Dividend	L	T	Buy (add'l)	10/16/20	J		
47.					Buy (add'l)	10/23/20	J		
48.					Buy (add'l)	10/30/20	J		
49.					Buy (add'l)	11/06/20	J		
50.					Buy (add'l)	11/13/20	J		
51.					Buy (add'l)	11/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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R =Cost (Real Estate Only)

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H1 =\$1,000,001 - \$5,000,000

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52.					Buy (add'l)	11/27/20	J		
53.					Buy (add'l)	12/04/20	J		
54.					Buy (add'l)	12/11/20	J		
55.					Buy (add'l)	12/18/20	J		
56.					Buy (add'l)	12/28/20	J		
57. -Vanguard Total Stock Market	A	Dividend	J	T					
58. -Vanguard International Stock Market Index	A	Dividend	J	T					
59. -Vanguard Intermediate Term Bond Fund	A	Dividend	K	T	Buy (add'l)	11/18/20	K		
60.					Buy (add'l)	11/24/20	J		
61. -Vanguard Mid-Cap Index	A	Dividend	J	T					
62. -Vanguard Total Bond Market	A	Dividend			Buy	11/18/20	K		
63.					Buy (add'l)	11/24/20	K		
64.					Sold	12/24/20	K	A	
65. -Vanguard Target Retirement Fund	A	Dividend	K	T	Buy	12/24/20	K		
66. -Vanguard US Growth			J	T	Buy	12/29/20	J		
67. Third Federal Savings & Loan (Cash Account)	A	Interest	J	T					
68. IRA (H)									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Calamos Growth Fund	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
Ruiz, David A.	08/13/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Ruiz, David A.

Date of Report

08/13/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David A. Ruiz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544